

## Administration

### Consequences of Not Funding this Program

The Administration program provides for the overall management and operational control of the facility. This program includes the warden, human resources, mailroom, policy and compliance, staff development, and fiscal. Not funding this program would eliminate the leadership and support functions necessary to operate the facility.

| Statutory Basis   | Mandatory<br>vs. | MOE/Match<br>Rqt. | Priority<br>Level |
|---|------------------|-------------------|-------------------|
| General KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5253, | Mandatory        | No                | 1                 |

### Program Goals

A. Operate and maintain a personnel system in accordance with state and departmental regulations, ensuring that positions are classified appropriately and that vacant positions are filled in a timely manner.  
 B. Operate programs for existing and new employees that provide the training required by state law and departmental regulations.  
 C.

### Program History

The Ellsworth Correctional Facility (ECF) was established by KSA 75-52,122 in response to a rapidly increasing resident population and the need for additional bed space. Originally conceived as 96-bed minimum-security facility, the rapid population growth prompted a scope change in 1986 to add medium-security beds to the design. The facility received its first residents on August 8, 1988. In December 1995, forty-eight additional minimum-security beds were added to the minimum housing unit. Starting on February 14, 1994, ECF began housing all condition violators. The theory behind this change was that residents who have returned as technical violators were perceived to have needs that are somewhat different from the rest of the prison population, and rather than focusing on integrating this group into the prison system, the focus should be on reintegration back into the community. In December 1995, forty-eight additional minimum-security beds were added to the minimum housing unit and in January 1996, ECF assumed its original role of housing multi-custody level residents. The 2000 Legislature approved funding for the construction of a 100-bed maximum security housing unit at ECF. This was later changed to a 200-bed medium-security unit. This housing unit was constructed utilizing federal Violent Offender Incarceration/Truth in Sentencing funds with a 10% state match. Also included in this project was the construction of a new staff development building outside the secure perimeter of ECF, as well as expansion of the existing warehouse and construction of an industrial building inside the secure perimeter. Construction on this project began in November 2000 and all work was completed by November 2002. In July 2012, KDOC purchased the St. Francis Boy's Home for use as a minimum-security unit. Designated the ECF - East Unit, this unit provides 95 minimum-security beds. This purchase allowed ECF to move minimum custody residents outside the Central Unit, filling those minimum beds with medium custody residents previously housed in county jails. The East Unit was temporarily closed in 2021 due to the inability to hire enough staff to operate it.

### Performance Measures

| Outcome Measures                  | Goal | FY 2019 | FY 2020 | FY 2021 | 3- yr. Avg. | FY 2022 | FY 2023 |
|-----------------------------------|------|---------|---------|---------|-------------|---------|---------|
| 1. Turnover Rates -               | A    | 24.2%   | 25.5%   | 31.1%   | 26.9%       | 25.0%   | 25.0%   |
| 2. Turnover Rates - Non-Uniformed | A    | 16.2%   | 17.8%   | 11.0%   | 15.0%       | 7.0%    | 7.0%    |
| <i>Output Measures</i>            |      |         |         |         |             |         |         |
| 3. Average Daily Population       |      | 913     | 901     | 825     | 880         | 897     | 909     |

### Funding

| Funding Source      | FY 2018      | FY 2019      | FY 2020      | FY 2021      | FY 2022      | FY 2023      |
|---------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| State General Fund  | \$ 1,440,968 | \$ 1,466,144 | \$ 1,449,571 | \$ 1,418,096 | \$ 1,451,314 | \$ 1,454,287 |
| Non-SGF State Funds | -            | -            | -            | -            | -            | -            |
| Federal Funds       | -            | -            | -            | -            | -            | -            |
| <b>Total</b>        | \$ 1,440,968 | \$ 1,466,144 | \$ 1,449,571 | \$ 1,418,096 | \$ 1,451,314 | \$ 1,454,287 |

## Security

### Consequences of Not Funding this Program

The Security program include salaries and wages for all uniformed security officers and operating expenses, such as clothing, drug testing, and security equipment. This program is essential to operating the facility.

| Statutory Basis  | Mandatory<br>vs.<br>Mandatory | MOE/Match<br>Rqt. | Priority<br>Level |
|--|-------------------------------|-------------------|-------------------|
| General KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5253, 75-5256, 75- |                               | No                | 1                 |

### Program Goals

- A. To maintain an effective posture of physical/perimeter security as measured by the KDOC Security Inspection and accreditation audits.
- B. To effectively control unsanctioned prison groups in the prison population through proper identification, tracking, intelligence gathering techniques, and management strategies.
- C. To maintain a safe environment for incarcerated offenders.

### Program History

See the Administration program.

### Performance Measures

| Output Measures   | Goal | FY 2019 | FY 2020 | FY 2021 | 3- yr. Avg. | FY 2022 | FY 2023 |
|---|------|---------|---------|---------|-------------|---------|---------|
| 1. Number of inmates involved in escape by facility type  |      |         |         |         |             |         |         |
| Secure  | A    | 0       | 0       | 0       | 0           | 0       | 0       |
| Non-Secure  | A    | 0       | 0       | 0       | 0           | 0       | 0       |
| 2. Number of escape events and number of inmates involved by security custody level                       | A    |         |         |         |             | 0       | 0       |
| Secure  | A    | 0       | 0       | 0       | 0           | 0       | 0       |
| Non-Secure  | A    | 0       | 0       | 0       | 0           | 0       | 0       |
| 3. Number of apprehensions  |      |         |         |         |             |         |         |
| Secure  | A    | 0       | 0       | 0       | 0           | 0       | 0       |
| Non-Secure  | A    | 0       | 0       | 0       | 0           | 0       | 0       |
| 4. Number of validated security threat group members as identified.                                       | B    | 80      | 53      | 46      | 60          | 50      | 50      |
| 5. Number of gang related activities/disruptions based on incident reports and facility activity reports. | B    | 3       | 6       | 5       | 5           | 5       | 5       |
| 6. Number of inmate-on-inmate assaults/batteries by custody level (injury/non-injury).                    | C    |         |         |         |             |         |         |
| Minimum   | C    | 0/0     | 0/0     | 0/0     | 0/0         | 0/0     | 0/0     |
| Medium  | C    | 8/0     | 4/7     | 0/2     | 4/3         | 0/2     | 0/2     |
| Maximum   | C    | 0/0     | 0/0     | 0/0     | 0/0         | 0/0     | 0/0     |
| Special Management  | C    | 0/0     | 0/0     | 0/0     | 0/0         | 0/0     | 0/0     |

|   |   |     |     |     |     |     |
|---|---|-----|-----|-----|-----|-----|
| 7. Number of inmate-on-staff batteries by custody level, which have been referred for criminal prosecution (injury/non-injury). |   |     |     |     |     |     |
| Minimum   | C | 1/0 | 0/0 | 0/0 | 1/0 | 0/0 |
| Medium  | C | 6/0 | 6/1 | 0/0 | 4/1 | 0/0 |
| Maximum   | C | 0/0 | 0/0 | 0/0 | 0/0 | 0/0 |
| Special Management  | C | 0/0 | 0/0 | 0/0 | 0/0 | 0/0 |
| 8. Number of disruptive events  | C | 2   | 2   | 0   | 1   | 0   |
| 9. Number of substantiated inmate-on-inmate sexual  | C | 0   | 1   | 0   | 0   | 0   |
| 10. Number of substantiated staff-on-inmate sexual assaults   | C | 0   | 0   | 0   | 0   | 0   |

### Funding

| <i>Funding Source</i> | <i>FY 2018</i>      | <i>FY 2019</i>     | <i>FY 2020</i>       | <i>FY 2021</i>      | <i>FY 2022</i>       | <i>FY 2023</i>       |
|-----------------------|---------------------|--------------------|----------------------|---------------------|----------------------|----------------------|
| State General Fund    | \$ 8,001,597        | \$8,732,604        | \$ 10,206,182        | \$ 6,064,320        | \$ 10,514,307        | \$ 10,549,223        |
| Non-SGF State Funds   | -                   | 1                  | 13,327               | -                   | -                    | -                    |
| Federal Funds         | -                   | -                  | -                    | -                   | -                    | -                    |
| <b>Total</b>          | <b>\$ 8,001,597</b> | <b>\$8,732,605</b> | <b>\$ 10,219,509</b> | <b>\$ 6,064,320</b> | <b>\$ 10,514,307</b> | <b>\$ 10,549,223</b> |

Note: In FY 2021, \$3,649,504 was expended from the Coronavirus Relief Fund (CRF) for security salaries and wages in addition to the SGF expenditures shown above. Expenditures from the CRF are recorded in the COVID-19 program code.

## Classification & Programs

### Consequences of Not Funding this Program

This program includes Classification and Records and various support functions. Classification and Records are responsible for the reporting and recording of all pertinent information regarding the movement and progress of residents at the facility, to included establishment of legal authority to incarcerate, movement, behavior, progress, disciplinary history, and program participation. Under the coordination of the unit teams, an individualized treatment program is developed, implemented, and maintained for each resident. Each resident, as well as facility staff, is kept aware of the resident's status within the correctional process. This program provides direct case management to the inmates, holding them accountable for their behavior, while identifying and localizing problems within each unit. Also include in this program are chaplain services, library services, and recreation. Not funding this program would eliminate the processes and activities that are critical to appropriate placement, documentation, and treatment plan development and implementation.

| Statutory Basis                         | Mandatory<br>vs.<br>Mandatory | MOE/Match<br>Rqt. | Priority<br>Level |
|---|-------------------------------|-------------------|-------------------|
| Specific KSA 75-5210, 75-5210a, 75-5211 |                               | No                | 1                 |

### Program Goals

A. To provide effective caseload management from reception to release of offenders from confinement.  
 B.  
 C.

### Program History

See the Administration program.

### Performance Measures

| Output Measures  | Goal | FY 2019 | FY 2020 | FY 2021 | 3- yr. Avg. | FY 2022 | FY 2023 |
|--|------|---------|---------|---------|-------------|---------|---------|
| 4. Percentage of inmates available for work who are employed; number of inmates unemployed due to no jobs available. | A    |         |         |         |             |         |         |
| Inmates employed   | A    | 85.8%   | 85.5%   | 79.0%   | 83.4%       | 89.0%   | 90.0%   |
| Inmates unemployed – no jobs available   | A    | 129     | 109     | 128     | 122         | 100     | 95      |

### Funding

| Funding Source      | FY 2018      | FY 2019     | FY 2020      | FY 2021      | FY 2022      | FY 2023      |
|---------------------|--------------|-------------|--------------|--------------|--------------|--------------|
| State General Fund  | \$ 2,002,579 | \$2,174,785 | \$ 2,283,614 | \$ 2,253,733 | \$ 2,342,511 | \$ 2,349,734 |
| Non-SGF State Funds | -            | -           | -            | -            | -            | -            |
| Federal Funds       | -            | -           | -            | -            | -            | -            |
| <b>Total</b>        | \$ 2,002,579 | \$2,174,785 | \$ 2,283,614 | \$ 2,253,733 | \$ 2,342,511 | \$ 2,349,734 |

## Support Services

### Consequences of Not Funding this Program

Functions included in this program consist of maintenance, laundry, warehouse operations. Also included in this program are utility expenditures. Not funding the support services program would eliminate funding necessary for the operation and maintenance of the facility.

| Statutory Basis                   | Mandatory<br>vs.<br>Discretionary | MOE/Match<br>Rgt. | Priority<br>Level |
|-----------------------------------|-----------------------------------|-------------------|-------------------|
| General    KSA 75-5201; 75-52,125 | Discretionary                     | No                | 1                 |

### Program Goals

A. None  
B.  
C.

### Program History

See the Administration program.

### Performance Measures

| <i>Outcome Measures</i>                          | <i>Goal</i> | <i>FY 2019</i> | <i>FY 2020</i> | <i>FY 2021</i> | <i>3- yr. Avg.</i> | <i>FY 2022</i> | <i>FY 2023</i> |
|--|-------------|----------------|----------------|----------------|--------------------|----------------|----------------|
| 1. Outcome Measure #1                            |             |                |                |                |                    |                |                |
| 2. Outcome measure #2                            |             |                |                |                |                    |                |                |
| 3. Outcome measure comparing outcomes to dollars |             |                |                |                |                    |                |                |
| <i>Output Measures</i>                           |             |                |                |                |                    |                |                |
| 4. Additional Output Measure                     |             |                |                |                |                    |                |                |
| 5. Additional Output Measure                     |             |                |                |                |                    |                |                |

### Funding

| <i>Funding Source</i> | <i>FY 2018</i> | <i>FY 2019</i> | <i>FY 2020</i> | <i>FY 2021</i> | <i>FY 2022</i> | <i>FY 2023</i> |
|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| State General Fund    | \$ 3,079,805   | \$ 3,130,650   | \$3,124,921    | \$ 3,201,218   | \$ 3,072,765   | \$ 3,079,263   |
| Non-SGF State Funds   |                |                | 54,179         | 118,373        | 15,853         | 8,500          |
| Federal Funds         | -              | -              | -              | -              | -              | -              |
| <b>Total</b>          | \$ 3,079,805   | \$ 3,130,650   | \$3,179,100    | \$ 3,319,591   | \$ 3,088,618   | \$ 3,087,763   |

## Capital Improvements

### Consequences of Not Funding this Program

The capital improvement program is used solely for budgeting and recording expenditures related to rehabilitation and repair projects. KDOC central office is appropriated \$4,920,000 from the Correctional Institutions Building Fund annually for rehabilitation and repair projects throughout the KDOC system. Funds are transferred to the facility as projects are approved. Eliminating this program would prohibit the Department from making repairs, upgrades, and improvements to the facilities.

| Statutory Basis                   | Mandatory vs. Discretionary | MOE/Match Rqt. | Priority Level |
|-----------------------------------|-----------------------------|----------------|----------------|
| General    KSA 75-5210, 75-52,125 | Discretionary               | No             | 2              |

### Program Goals

A. None; this program is for budgetary and accounting purposes only.  
 B.  
 C.

### Program History

None

### Performance Measures

| <i>Outcome Measures</i>                          | <i>Goal</i> | <i>FY 2019</i> | <i>FY 2020</i> | <i>FY 2021</i> | <i>3- yr. Avg.</i> | <i>FY 2022</i> | <i>FY 2023</i> |
|--|-------------|----------------|----------------|----------------|--------------------|----------------|----------------|
| 1. Outcome Measure #1                            |             |                |                |                |                    |                |                |
| 2. Outcome measure #2                            |             |                |                |                |                    |                |                |
| 3. Outcome measure comparing outcomes to dollars |             |                |                |                |                    |                |                |
| <i>Output Measures</i>                           |             |                |                |                |                    |                |                |
| 4. Additional Output Measure                     |             |                |                |                |                    |                |                |
| 5. Additional Output Measure                     |             |                |                |                |                    |                |                |

### Funding

| <i>Funding Source</i> | <i>FY 2018</i> | <i>FY 2019</i> | <i>FY 2020</i> | <i>FY 2021</i> | <i>FY 2022</i> | <i>FY 2023</i> |
|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| State General Fund    | \$ 293,296     | \$ 382,128     | \$ 267,135     | \$ 265,962     | \$ 104,826     |                |
| Non-SGF State Funds   |                |                |                |                |                |                |
| Federal Funds         | -              | -              | -              | -              | -              | -              |
| <b>Total</b>          | \$ 293,296     | \$ 382,128     | \$ 267,135     | \$ 265,962     | \$ 104,826     | \$ -           |