

KANSAS DEPARTMENT OF CORRECTIONS

FY 2021 – FY 2023 BUDGET ANALYSIS

FIGURE 1
BUDGET OVERVIEW, FY 2021 – FY 2023

	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
Operating Expenditures:					
State General Fund	\$ 383,585,339	\$ 419,364,350	\$ 449,030,775	\$ 480,429,621	\$ 478,575,969
Federal Funds	35,325,591	3,250,685	21,555,715	3,815,373	3,815,373
All Other Funds	27,597,386	39,869,080	40,130,656	20,870,195	28,182,226
<i>Subtotal</i>	<u>\$ 446,508,316</u>	<u>\$ 462,484,115</u>	<u>\$ 510,717,146</u>	<u>\$ 505,115,189</u>	<u>\$ 510,573,568</u>
Capital Improvements:					
State General Fund	\$ 1,103,191	\$ 6,614,588	\$ 6,614,588	\$ -	\$ -
Federal Funds	218,649	-	-	-	-
All Other Funds	6,694,837	17,569,264	17,569,264	12,231,519	8,296,199
<i>Subtotal</i>	<u>\$ 8,016,677</u>	<u>\$ 24,183,852</u>	<u>\$ 24,183,852</u>	<u>\$ 12,231,519</u>	<u>\$ 8,296,199</u>
TOTAL	<u>\$ 454,524,993</u>	<u>\$ 486,667,967</u>	<u>\$ 534,900,998</u>	<u>\$ 517,346,708</u>	<u>\$ 518,869,767</u>
Percentage Change:					
State General Fund	(4.2) %	10.7 %	18.4 %	12.8 %	5.0 %
All Funds	1.5 %	7.1 %	17.7 %	6.3 %	(3.0) %
FTE Positions	3,322.0	3,321.0	3,321.0	3,405.0	3,420.1

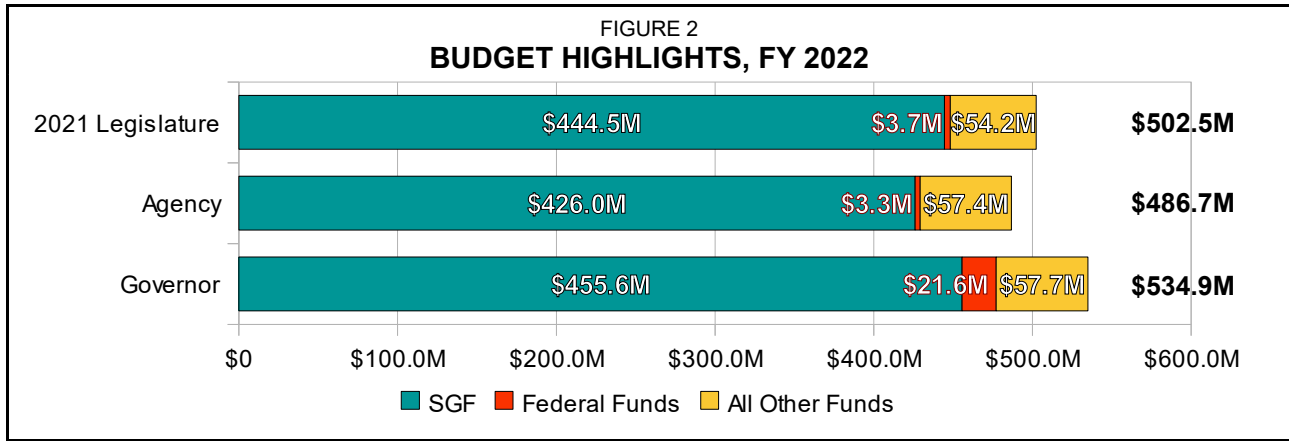
For purposes of this analysis, full-time equivalent (FTE) positions include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.

The Kansas Department of Corrections (KDOC) System is composed of the KDOC Central Office, eight adult correctional facilities, one juvenile correctional facility, and a network of parole offices and local community corrections entities. The System provides containment of the highest risk offenders and community supervision of those serving probation and parole. In fiscal year (FY) 2014, the functions of the former Juvenile Justice Authority and juvenile correctional facilities were merged with the system. While components of the KDOC System are independent agencies, the structure allows for some commonly utilized items to be budgeted in a central location (e.g., the inmate health care contract). The annual appropriations bill grants the Secretary of Corrections the authority to transfer State General Fund (SGF) dollars between the facilities and the Central Office.

EXECUTIVE SUMMARY

The 2021 Legislature approved a budget of \$466.5 million, including \$408.6 million SGF, for the KDOC System for FY 2022. One adjustment has been made subsequently to that amount, which changes the current year approved amount without any legislative action. Adjustments for this agency include the following:

- **SGF REAPPROPRIATION.** The agencies received an increase of \$35.9 million SGF that was not spent in FY 2021 and has shifted to FY 2022.



The **agencies** request a revised estimate of \$486.7 million, including \$426.0 million SGF, for the entire KDOC System in FY 2022. This is an all funds decrease of \$15.8 million, or 3.1 percent, including an SGF decrease of \$18.6 million, or 4.2 percent, below the FY 2022 approved amount. The revised estimate includes 3,321.0 FTE positions for the KDOC System, which is a decrease of 12.5 FTE positions below the FY 2022 approved number. The position decrease is mainly at the Norton Correctional Facility.

The agencies request revised operating budgets totaling \$462.5 million, including \$419.4 million SGF, in FY 2022. This is an all funds decrease of \$18.1 million, and an SGF decrease of \$16.6 million, below the FY 2022 approved amount. The decrease is primarily attributed to decreased expenditures from the Evidence-Based Juvenile Programs account (\$18.6 million) and for contracted inmate beds (\$1.3 million). The decrease is partially offset by the agencies' supplemental requests totaling \$2.8 million for: the replacement of revenue lost from reduced inmate phone charges, expansion of a post-release housing program, and information technology outreach staff. The supplemental requests also include overtime expenditures and a shrinkage reduction among the correctional facilities.

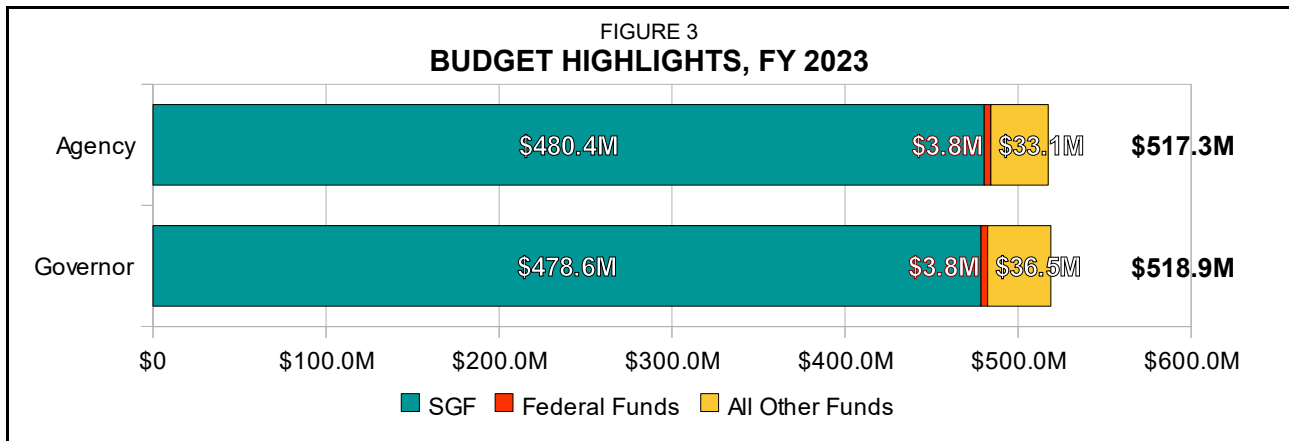
The FY 2022 revised estimate includes capital improvement expenditures for the KDOC System totaling \$24.2 million, including \$6.6 million SGF. This is an all fund increase of \$2.4 million, and an SGF decrease of \$1.9 million, from the FY 2022 approved amount. The increase is primarily attributed to increased expenditures, all from special revenue funds, for routine repair and rehabilitation projects at correctional facilities (\$5.4 million). The agency budgeted \$12.7 million for expansion projects at Lansing and Winfield correctional facilities involving substance abuse treatment centers and an assisted living unit.

The **Governor** recommends expenditures of \$534.9 million, including \$455.6 million SGF, for the entire KDOC System in FY 2022. This is an all funds increase of \$48.2 million, including an SGF increase of \$29.7 million, above the agencies' FY 2022 revised estimate. The recommendation includes 3,321.0 FTE positions, which is unchanged from the agencies' FY 2022 revised estimate.

The Governor recommends operating budgets totaling \$510.7 million, including \$449.0 million SGF, in FY 2022. This is an all funds increase of \$30.1 million, including an SGF increase of \$13.1 million, above the agency's FY 2022 revised estimate. The increase is due to the addition of \$21.1 million SGF to the Evidence Based Juvenile Programs account to restore funding lapsed in the previous budget cycle, \$18.6 million from the federal American Rescue Plan Act Fund for implementation of the 24/7 pay initiative, \$2.6 million SGF for salary increases among community corrections agencies, and \$6.7 million for the Pathway for Success technical education initiative. The increase is partially offset by decreased expenditures due to the Governor not recommending

two of the agencies' supplemental requests.

The Governor recommends \$24.2 million, including \$6.6 million SGF, for capital improvements, which is unchanged from the agencies' FY 2022 revised estimate.



The **agencies** request \$517.3 million, including \$480.4 million SGF, for the entire KDOC System for FY 2023. This is an all funds increase of \$30.7 million, or 6.3 percent, and an SGF increase of \$54.5 million, or 12.8 percent, above the FY 2023 revised estimate. The request also includes 3,405.0 FTE positions for the KDOC System, which is an increase of 84.0 FTE positions above the FY 2022 revised number. The position increase is primarily at the Lansing and Winfield correctional facilities to support operation of new substance abuse treatment centers and an assisted living unit.

The agencies request operating budgets totaling \$505.1 million, including \$480.4 million SGF, for FY 2023. This is an all funds increase of \$42.6 million, including an SGF increase of \$61.1 million, above the FY 2022 revised estimate. The increase is attributed to the agency's 20 enhancement requests, which total \$54.2 million SGF. The enhancements primarily include: operating expenditures for new substance abuse treatment centers and an assisted living unit at Lansing and Winfield correctional facilities; and reentry programs, replacement of revenue lost as result of decreased inmate phone charges, and increased staff for community corrections and parole services. The enhancement requests also include shrinkage reductions at five correctional facilities. The increase is partially offset by decreased expenditures from the Evidence-Based Juvenile Programs account and for the Athena 2 data system.

The FY 2023 request includes capital improvement expenditures for the KDOC System totaling \$12.2 million, all from special revenue funds. This is a decrease of \$12.0 million, including \$6.6 million SGF, below the FY 2022 revised amount. The decrease is primarily attributed to completion of expansion projects in FY 2022.

The **Governor** recommends expenditures of \$518.9 million, including \$478.6 million SGF, for the entire KDOC System for FY 2023. This is an all funds increase of \$1.5 million, and an SGF decrease of \$1.9 million, from the agencies' FY 2023 request. The recommendation includes 3,420.1 FTE positions, which is an increase of 15.1 FTE positions above the agencies' FY 2023 request. The increase is due the addition of positions at the Lansing and Winfield correctional facilities.

The Governor recommends operating budgets totalling \$510.6 million, including \$478.6 million SGF, for FY 2023. This is an all funds increase of \$5.5 million, and an SGF decrease of \$1.9

million, from the agencies' FY 2023 request. The decrease SGF is due to the Governor not recommending several enhancement requests.

The recommendation includes \$33.9 million SGF to continue the 24/7 pay initiative, \$9.6 million in operating expenditures for a newly established substance abuse treatment centers and an assisted living unit at the Lansing and Winfield correctional facilities, and \$2.6 million for replacement of vehicles and safety and security equipment utilized by correctional staff.

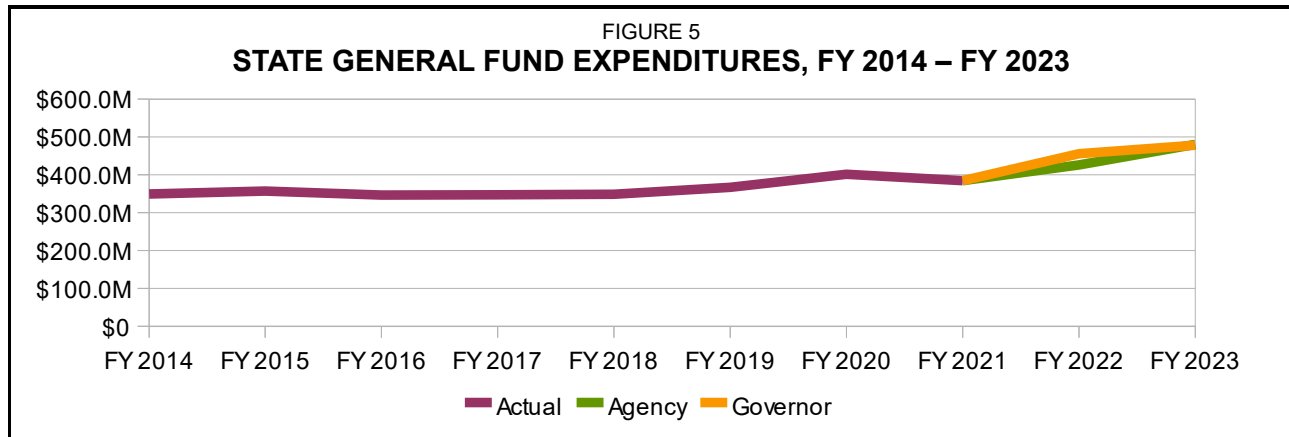
The Governor recommends \$8.3 million for capital improvements expenditures throughout the KDOC System for FY 2023. This is a decrease of \$3.9 million below the agencies' FY 2023 request. The decrease is due to the Governor not recommending the agency's enhancement request to increase expenditures from the Correctional Institutions Building Fund.

EXPENDITURES AND FINANCING

FIGURE 4
BUDGET SUMMARY BY CATEGORY OF EXPENDITURE, FY 2021 – FY 2023

	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
Category of Expenditure:					
Salaries and Wages	\$ 218,189,681	\$ 213,870,092	\$ 230,683,501	\$ 226,373,842	\$ 243,554,379
Contractual Services	158,929,181	171,025,104	170,725,464	194,561,212	177,864,334
Commodities	15,448,372	14,220,078	14,220,078	15,326,410	14,558,697
Capital Outlay	5,602,145	5,247,249	13,312,641	7,972,305	8,119,370
Debt Service Interest	-	-	-	-	-
<i>Subtotal</i>	<u>\$ 398,169,379</u>	<u>\$ 404,362,523</u>	<u>\$ 428,941,684</u>	<u>\$ 444,233,769</u>	<u>\$ 444,096,780</u>
Aid to Local Units	45,175,481	55,493,397	79,147,267	58,253,325	63,848,693
Other Assistance	3,163,456	2,628,195	2,628,195	2,628,095	2,628,095
<i>Subtotal—Operating</i>	<u>\$ 446,508,316</u>	<u>\$ 462,484,115</u>	<u>\$ 510,717,146</u>	<u>\$ 505,115,189</u>	<u>\$ 510,573,568</u>
Capital Improvements	8,016,677	24,183,852	24,183,852	12,231,519	8,296,199
Debt Service Principal	-	-	-	-	-
TOTAL	<u>\$ 454,524,993</u>	<u>\$ 486,667,967</u>	<u>\$ 534,900,998</u>	<u>\$ 517,346,708</u>	<u>\$ 518,869,767</u>
Financing:					
State General Fund	\$ 384,688,530	\$ 425,978,938	\$ 455,645,363	\$ 480,429,621	\$ 478,575,969
COVID-19 Federal Relief Funds	30,831,967	76	18,305,106	-	-
Other Federal Funds	4,712,273	3,250,609	3,250,609	3,815,373	3,815,373
All Other Funds	34,292,223	57,438,344	57,699,920	33,101,714	36,478,425
TOTAL	<u>\$ 454,524,993</u>	<u>\$ 486,667,967</u>	<u>\$ 534,900,998</u>	<u>\$ 517,346,708</u>	<u>\$ 518,869,767</u>
FTE Positions	3,322.0	3,321.0	3,321.0	3,405.0	3,420.1

STATE GENERAL FUND



For the KDOC System, SGF expenditures are primarily for operational purposes, such as salaries and wages and utilities at correctional facilities and systemwide contracts for inmate health care and food service. Other significant SGF expenditures include aid to local units of governments for community-based supervision of adult and juvenile offenders.

FY 2022 ANALYSIS

FIGURE 6
SUMMARY OF BUDGET REQUEST, FY 2022

	SGF	Special Revenue Funds	All Funds	FTE
Legislative Approved:				
Amount Approved by 2021 Legislature	\$ 408,626,270	\$ 57,919,669	\$ 466,545,939	3,333.5
1. SGF Reappropriation	35,906,383	-	35,906,383	--
<i>Subtotal—Legislative Approved</i>	<i>\$ 444,532,653</i>	<i>\$ 57,919,669</i>	<i>\$ 502,452,322</i>	<i>3,333.5</i>
Agency Revised Estimate:				
CENTRAL OFFICE				
2. Supplemental— Replace Revenue from Inmate Phone Charges	\$ 747,651	\$ (747,651)	\$ -	--
3. Supplemental— Housing Program	75,000	-	75,000	--
4. Supplemental— IT Outreach Staff	224,640	-	224,640	--
5. General Fee Fund	-	1,559,071	1,559,071	--
6. Evidence Based Juvenile Programs	(18,565,100)	-	(18,565,100)	--
7. Outsourcing Adult Offenders	(1,324,000)	-	(1,324,000)	--
8. KCI Fund	-	(777,409)	(777,409)	--
9. Capital Improvements	(1,942,503)	(1,141,519)	(3,084,022)	--
FACILITIES				
10. Supplemental—Overtime Expenditures	\$ 1,431,120	-	\$ 1,431,120	--
11. Supplemental—Shrinkage Reduction	322,077	-	322,077	--
12. Capital Improvements	-	5,434,525	5,434,525	--
13. All Other Adjustments	477,400	(1,557,657)	(1,080,257)	(12.5)
<i>Subtotal—Agency Revised Estimate</i>	<i>\$ 425,978,938</i>	<i>\$ 60,689,029</i>	<i>\$ 486,667,967</i>	<i>3,321.0</i>
Governor’s Recommendation:				
CENTRAL OFFICE				
14. Supplemental—Housing Program	\$ (75,000)	-	\$ (75,000)	--
15. Supplemental—IT Outreach Staff	(224,640)	-	(224,640)	--
16. Evidence-Based Juvenile Programs	21,095,320	-	21,095,320	--
17. 24/7 Pay Initiative	-	18,566,606	18,566,606	--
18. Community Corrections	2,558,550	-	2,558,550	--
19. Equipment	1,400,000	-	1,400,000	--
20. Pathways for Success	6,665,392	-	6,665,392	--
FACILITIES				
21. Supplemental—Overtime Expenditures	(1,431,120)	-	(1,431,120)	--
22. Supplemental—Shrinkage Reduction	(322,077)	-	(322,077)	--
TOTAL	\$ 455,645,363	\$ 79,255,635	\$ 534,900,998	3,321.0

LEGISLATIVE APPROVED

The 2021 Legislature approved a budget of \$466.5 million, including \$408.6 million SGF, for the Kansas Department of Corrections (KDOC) System for FY 2022. One adjustment has been made subsequently to that amount, which changes the current year approved amount without any legislative action. Adjustments for this agency include the following:

1. **SGF REAPPROPRIATION.** The agencies received an increase of \$35.9 million SGF that was not spent in FY 2021 and has shifted to FY 2022.

AGENCY ESTIMATE

The agencies request a revised estimate of \$486.7 million, including \$426.0 million SGF, for the entire KDOC System in FY 2022. This is an all funds decrease of \$15.8 million, or 3.1 percent, and an SGF decrease of \$18.6 million, or 4.2 percent, below the FY 2022 approved amount.

The **agencies'** estimate includes the following adjustments:

2. **CENTRAL OFFICE SUPPLEMENTAL—REPLACE REVENUE FROM INMATE PHONE CHANGES.** The agency requests \$747,651 SGF to offset revenue lost due of an Federal Communications Commission (FCC) order limiting the charge for inmate phone calls to \$0.14 per minute, which is \$0.04 below the agency's current rate.
3. **CENTRAL OFFICE SUPPLEMENTAL—HOUSING PROGRAM.** The agency requests \$75,000 SGF to expand community-based housing for offenders with special needs upon reentry into society. The request includes a coordinator and temporary leased housing to provide stability for chronically homeless offenders and offenders with severe mental health needs.
4. **CENTRAL OFFICE SUPPLEMENTAL—IT OUTREACH STAFF.** The agency requests \$224,640 SGF for contracted information technology staff to provide outreach to community corrections agencies regarding Athena 1, a recently-implemented case management data system for offenders on parole and serving probation.
5. **CENTRAL OFFICE GENERAL FEE FUND.** The revised estimate includes increased expenditures of \$1.6 million from the General Fees Fund, primarily for information technology services. These expenditures support the initial phase of multi-year financing plan for development of Athena 2, a data system for management adults and juveniles at correctional facilities.
6. **EVIDENCE-BASED JUVENILE PROGRAMS.** The revised estimate includes expenditures totaling \$19.5 million from the Evidence-Based Juvenile Programs account of the SGF, which is a decrease of \$18.6 million below the FY 2022 approved amount. Expenditure are primarily for aid to local governments and statewide contracts supporting evidence-based community programs for juvenile offenders to decrease reliance on incarceration. The revised estimate includes planned reappropriation of \$21.3 million from FY 2022 into FY 2023, which the agency indicates is needed to sustain programs in future years.
7. **OUTSOURCING ADULT OFFENDERS.** The revised estimate includes no expenditures for the contracted beds to house adult offenders, which is a decrease of \$1.3 million below the FY 2022 approved amount. The decrease is primarily attributed to a general decline in the prison population.
8. **KANSAS CORRECTIONAL INDUSTRIES (KCI) FUND.** The revised estimate includes a decrease of \$777,409 from the KCI Fund. The fund draws revenue from the sale of inmate-produced goods and services. The decrease is primarily due to the closure of the Furniture Division.
9. **CENTRAL OFFICE CAPITAL IMPROVEMENTS.** The revised estimate includes capital improvements expenditures totaling \$18.7 million, including \$6.6 million SGF, for the KDOC Central Office in FY 2022. This is a decrease of \$3.1 million below the FY 2022 approved amount. The decrease is primarily attributed to the transfer of \$3.1 million from special revenue funds to the correctional facilities for routine repair and rehabilitation. The revised estimate includes \$12.7 million for expansion projects at the Lansing and Winfield correctional facilities, which were delayed in FY 2021.
10. **FACILITIES SUPPLEMENTAL—OVERTIME EXPENDITURES.** The agencies request a total of \$1.4 million SGF for additional overtime expenditures at El Dorado and

Lansing, the largest facilities, due to implementation of 12-hour shifts in response to low staffing levels.

11. **FACILITIES SUPPLEMENTAL—SHRINKAGE REDUCTION.** The agencies request \$322,077 SGF for a shrinkage reduction at Larned, which would allow for vacant positions to be filled. The agency notes that at current funding levels, 11 correctional officer positions would remain vacant.
12. **FACILITIES CAPITAL IMPROVEMENTS.** The revised estimate includes increased capital improvement expenditures of \$5.4 million, all from special revenue funds, for correctional facilities in FY 2022. This includes \$5.1 million for adult facilities and \$1.0 million for juvenile facilities.
13. **ALL OTHER ADJUSTMENTS.** Other adjustments include increased expenditures for technology services, which are offset by decreased employer contributions for the Kansas Public Employees Retirement System (KPERs). The revised estimate includes a decrease of \$1.0 million from the Inmate Benefit Fund primarily due to revenue lost as result of limiting inmate phone charges.

The revised estimate also includes 3,321.0 FTE positions for the KDOC System, which is a decrease of 12.5 FTE positions below the FY 2022 approved number. The position decrease is mainly at the Norton Correctional Facility.

GOVERNOR'S RECOMMENDATION

The **Governor** recommends \$534.9 million, including \$455.6 million SGF, for the entire KDOC System in FY 2022. This is an all funds increase \$48.2 million, including an SGF increase of \$29.7 million, above the agency's FY 2022 revised estimate.

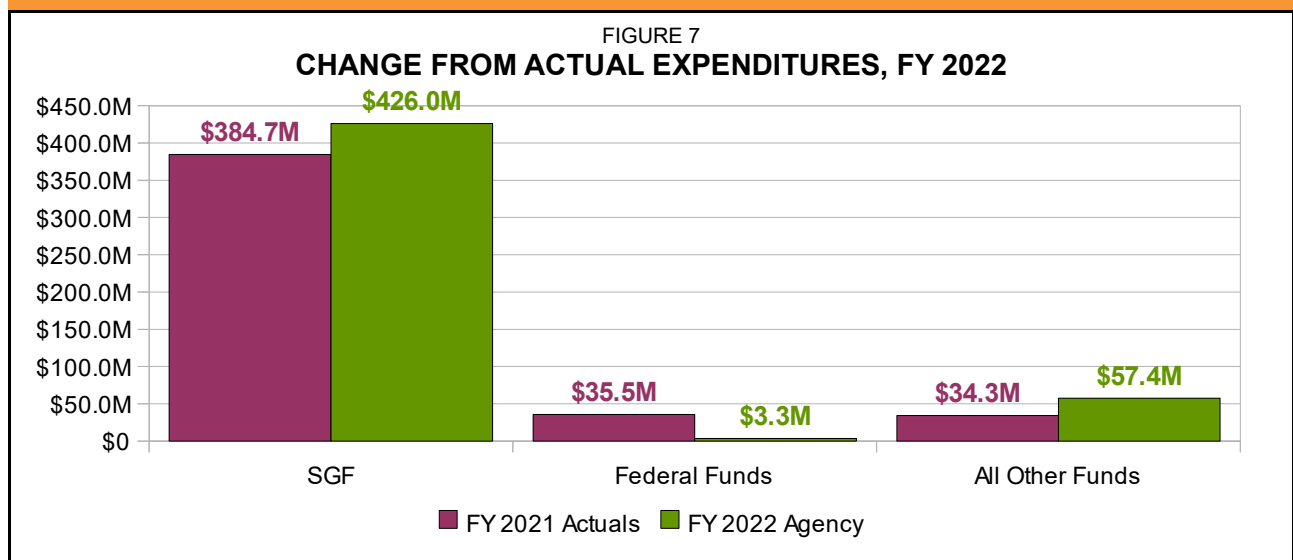
The **Governor's** recommendation includes the following adjustments:

14. **CENTRAL OFFICE SUPPLEMENTAL—HOUSING PROGRAM.** The Governor does not recommend this request.
15. **CENTRAL OFFICE SUPPLEMENTAL—IT OUTREACH STAFF.** The Governor does not recommend this request.
16. **EVIDENCE-BASED JUVENILE PROGRAMS ACCOUNT.** The Governor recommends adding \$21.1 million SGF to the Evidence Based Juvenile Programs account to restore funding lapsed in the previous budget cycle. This would result in expenditures from the account totaling \$40.6 million in FY 2022. The Governor also recommends that up to \$3.5 million from this fund be made available to the Jobs for America's Graduates-Kansas program (JAG-K).
17. **24/7 PAY INITIATIVE.** The Governor recommends adding \$18.6 million, all from the federal American Rescue Plan Act Fund, in FY 2020 to implement the 24/7 pay initiative recommended by the SPARK Taskforce and approved by the State Finance Council. The plan provides a temporary base pay increase for hourly and salaried staff, pay differentials for hourly personnel, and one-time premium pay allocations up to \$3,500 for salaried staff.

18. **COMMUNITY CORRECTIONS.** The Governor recommends the addition of \$2.6 million SGF for increased aid to local community corrections agencies for the purpose of salary increases.
19. **EQUIPMENT.** The Governor recommends the addition of \$1.4 million SGF for the purchase of safety and security equipment.
20. **PATHWAYS FOR SUCCESS.** The Governor recommends the addition of \$6.7 million SGF for the Pathways for Success initiative, which provides equipment and materials for education programs at the correctional facilities facilitated by community colleges and universities. Equipment includes virtual welders, commercial driver's license simulators, and mobile devices.
21. **FACILITIES SUPPLEMENTAL—OVERTIME.** The Governor does not recommend the supplement for overtime expenditures at El Dorado and Lansing, which deletes \$1.4 million, all SGF, from the FY 2022 agency request.
22. **FACILITIES SUPPLEMENTAL—SHRINKAGE.** The Governor does not recommend the supplemental to reduce facility shrinkage at Larned, which deletes \$322,077, all SGF, from the FY 2022 agency request.

The **Governor's** recommendation also includes 3,321.0 FTE positions, which is unchanged from the agency's FY 2022 revised estimate.

FY 2022 CHANGE FROM ACTUAL EXPENDITURES



The agencies request a revised estimate of \$486.7 million, including \$426.0 million SGF, for the entire KDOC System in FY 2022. This is an all funds increase of \$32.1 million, including \$41.3 million SGF, above the FY 2021 actual amount. The increase is primarily attributed to increased salaries and wages expenditures of \$24.7 million SGF for salaries and wages of correctional officers at the facilities to offset expenditures from the federal Coronavirus Relief Fund moneys appropriated for this purpose in FY 2021 that are no longer available in FY 2022. The increase is also attributed to increased expenditures from the Evidence-Based Juvenile Programs account totaling \$11.6 million, mainly for aid to local units of governments and statewide contracts for juvenile programs. Other increases include expansion projects at the Lansing and Winfield correctional facilities that were delayed in FY 2021 (\$6.0 million), increased treatment of inmates infected with hepatitis C (\$2.3 million), inmate health care (\$1.3 million), and food service (\$1.2 million). The increase is partially offset by decreased expenditures for contracted beds for adult offenders (\$1.5 million).

FY 2023 ANALYSIS

FIGURE 8
SUMMARY OF BUDGET REQUEST, FY 2023

	SGF	Special Revenue Funds	All Funds	FTE
Agency Revised Estimate, FY 2022	\$ 425,978,938	\$ 60,689,029	\$ 486,667,967	3,321.0
Agency Request:				
1. Enhancement–LCF and WCF Expansion Project Operational Expenditures	\$ 20,167,333	\$ -	\$ 20,167,333	89.0
CENTRAL OFFICE				
2. Enhancement–Restore Central Office Staffing	\$ 450,776	-	\$ 450,776	--
3. Enhancement–Vehicles and Equipment	817,682	-	817,682	--
4. Enhancement–Staff Development Specialists	1,134,000	-	1,134,000	--
5. Enhancement–Reentry Programs	11,445,000	-	11,445,000	--
6. Enhancement–Parole Support	547,200	-	547,200	--
7. Enhancement–Replace Revenue from Inmate Phone Charges	747,651	(747,651)	-	--
8. Enhancement–Eliminate ICS Commission	3,736,927	(3,736,927)	-	--
9. Enhancement–Eliminate KCI Transfer	3,149,952	(3,149,952)	-	--
10. Enhancement–IT Outreach Staff	224,640	-	224,640	--
11. Enhancement–Housing Program	840,000	-	840,000	--
12. Enhancement–Community Corrections and Parole Staffing	3,105,000	-	3,105,000	--
13. Enhancement–CIBF Adjustment	-	3,935,320	3,935,320	--
14. Enhancement–Actionable Intel Unit	159,120	-	159,120	--
15. Enhancement–Prisoner Review Board	243,024	-	243,024	--
16. Enhancement–BIP Services	705,570	-	705,570	--
17. Enhancement–Assessment Quality Assurance	1,600,000	-	1,600,000	--
18. Enhancement–Restorative Justice	157,013	-	157,013	--
19. Enhancement–GPS Monitoring	250,000	-	250,000	--
20. Restore SGF for Graduated Sanction Grants	10,000,000	(10,000,000)	-	--
21. General Fee Fund	-	(3,000,000)	(3,000,000)	--
22. Evidence Based Juvenile Programs	(3,005,176)	-	(3,005,176)	--
23. Capital Improvements	(6,614,588)	(3,838,540)	(10,453,128)	--
FACILITIES				
24. Shrinkage Reduction	\$ 4,708,949	-	\$ 4,708,949	--
25. Capital Improvements	-	(5,434,525)	(5,434,525)	--
26. All Other Adjustments	(119,390)	2,200,333	2,080,943	(5.0)
<i>Subtotal–Agency Request</i>	<u>\$ 480,429,621</u>	<u>\$ 36,917,087</u>	<u>\$ 517,346,708</u>	<u>3,405.0</u>
Governor’s Recommendation:				
27. Enhancement–LCF and WCF Expansion Project Operational Expenditures	\$ (10,549,345)	\$ -	\$ (10,549,345)	15.1
CENTRAL OFFICE				
28. Enhancement–Vehicles and Equipment	\$ 3,055,257	-	\$ 3,055,257	--
29. Enhancement–Community Corrections and Parole Staffing	5,250,296	-	5,250,296	--

FIGURE 8
SUMMARY OF BUDGET REQUEST, FY 2023

	SGF	Special Revenue Funds	All Funds	FTE
30. Non-recommended Enhancements	(24,192,446)	2,951,559	(21,240,887)	--
31. 24/7 Pay Initiative	33,909,523	425,152	34,334,675	--
32. Reallocation	(2,391,725)	-	(2,391,725)	--
FACILITIES				
33. Shrinkage Reduction	(4,708,949)	-	(4,708,949)	--
34. Reallocation	(2,226,263)	-	(2,226,263)	--
TOTAL	\$ 478,575,969	\$ 36,917,087	\$ 518,869,767	3,420.1

AGENCY REQUEST

The **agencies** request \$517.3 million, including \$480.4 million SGF, for the entire KDOC System for FY 2023. This is an all funds increase of \$30.7 million, or 6.3 percent, including an SGF increase of \$54.5 million, or 12.8 percent, above the FY 2022 revised estimate.

The **agencies** request includes the following adjustments:

1. **ENHANCEMENT—LCF AND WCF EXPANSION PROJECTS OPERATIONAL EXPENDITURES.** The agency requests a total of \$20.2 million SGF to operate a recently renovated 200-bed substance abuse treatment center at Lansing Correctional Facility (LCF) and 241-bed assisted living unit and substance abuse treatment center at the Winfield Correctional Facility (WCF). These expenditures included contracted medical staff and program staff managed through the Central Office. Expenditures also support the addition of 89.0 FTE positions at Lansing and Winfield correctional facilities, which include correctional officers, counselors, and maintenance staff, as well as costs for utilities and office equipment.
2. **CENTRAL OFFICE ENHANCEMENT—RESTORE CENTRAL OFFICE STAFFING.** The agency requests \$450,776 SGF to restore salaries and wages expenditures at the Central Office that were decreased by the 2022 Legislature as part of a reduced resources item. This adjustment would sustain 6.0 FTE positions in areas such as fiscal management, human resources, and legal counsel
3. **CENTRAL OFFICE ENHANCEMENT—VEHICLES AND EQUIPMENT.** The agency requests \$817,682 SGF to partially support the scheduled replacement of 48 vehicles and safety and security equipment utilized by staff.
4. **CENTRAL OFFICE ENHANCEMENT—STAFF DEVELOPMENT SPECIALISTS.** The agency request \$1.1 million SGF to fund 12.0 FTE Staff Development Specialist positions and purchase equipment to enable training in areas such case management for unit team counselors, parole officers, and community corrections staff, as well as information technology.
5. **CENTRAL OFFICE ENHANCEMENT—REENTRY PROGRAMS.** The agency requests \$11.4 million SGF for a forensic nursing facility and job specialist positions to support offenders during reentry to society. The contracted forensic nursing facility would provide care, including mental health beds, for offenders who are oftentimes not accepted at standard nursing homes. The Job Specialist positions would work with workforce centers and employers to place offenders departing correctional facilities.

6. **CENTRAL OFFICE ENHANCEMENT—PAROLE SUPPORT.** The agency requests \$547,200 SGF to fund 3.0 vacant FTE positions and purchase six additional vehicles for parole offices. Positions include a safety officer and two program providers.
7. **CENTRAL OFFICE ENHANCEMENT—REPLACE REVENUE FROM INMATE PHONE CHARGES.** The agency requests \$747,651 SGF to offset revenue lost due of a Federal Communications Commission order limiting the charge for inmate phone calls to \$0.14 per minute, which is \$0.04 below the agency's current rate.
8. **CENTRAL OFFICE ENHANCEMENT—ELIMINATE ICS COMMISSIONS.** The agency requests \$3.7 million to offset revenue lost from further reducing the charge for inmate phone calls to \$0.7 per minute. Inmate communication services (ICS) are administered by a contracted services provider, which pays a commission utilized for inmate programs. The commission, which is estimated at \$3.7 million for FY 2023, would be eliminated if the further rate reductions were implemented.
9. **CENTRAL OFFICE ENHANCEMENT—ELIMINATE KCI FUND TRANSFER.** The agency requests \$3.1 million SGF to offset the elimination of a transfer from the Kansas Correctional Industries (KCI) Fund for operational expenditures. The fund draws revenue from the sale of inmate-produced goods and was intended to support the education program. The agency notes, however, that moneys from this fund have been utilized for increased food service and medical expenditures in lieu of SGF moneys in recent years.
10. **CENTRAL OFFICE ENHANCEMENT—IT OUTREACH STAFF.** The agency requests \$224,640 SGF for contracted information technology staff to provide outreach to community corrections agencies regarding Athena 1, a recently implemented case management data system for offenders on parole and serving probation.
11. **CENTRAL OFFICE ENHANCEMENT—HOUSING PROGRAM.** The agency requests \$840,000 SGF to continue expansion of community-based housing for offenders with special needs upon reentry into society.
12. **CENTRAL OFFICE ENHANCEMENT—COMMUNITY CORRECTIONS AND PAROLE STAFFING.** The agency requests \$3.1 million SGF for increased grant funding to local community corrections agencies and to fund 5.0 FTE positions in Parole Services, which would reduce caseloads for community-based supervision of offenders. The request includes \$2.8 million for community corrections agencies, which is equivalent to 40.0 FTE positions.
13. **CENTRAL OFFICE ENHANCEMENT—CIBF ADJUSTMENT.** The agency requests \$3.9 million from the Correctional Institutions Building Fund (CIBF) to adjust the annual appropriation for the repair and rehabilitation of correctional facilities to a level that would account for 2.5 percent inflation. The agency notes that the current appropriation of approximately \$5.0 million has been fixed since 1997, while the cost of services and material has increased.
14. **CENTRAL OFFICE ENHANCEMENT—ACTIONABLE INTEL UNIT.** The agency requests \$159,120 SGF to fund 1.0 FTE position and purchase equipment for implementation of an Actionable Intelligence Unit (AIU) to coordinate the compilation of data regarding emerging threats and criminal behavior within the correctional system.
15. **CENTRAL OFFICE ENHANCEMENT—PRISONER REVIEW BOARD.** The agency requests \$243,024 SGF to fund 3.0 FTE Hearing Officer positions and 1.0 Administrative Support position in the Prisoner Review Board program. These positions would administer

preliminary parole revocation hearings across the state, assist with youthful offender hearings, and address open records requests.

16. **CENTRAL OFFICE ENHANCEMENT—BIP SERVICES.** The agency requests \$705,570 SGF for Batterers Intervention Program (BIP) services in the Victim Services program. The request includes BIP services for paroled offenders and offenders in correctional facilities, and providing notification to victims.
17. **CENTRAL OFFICE ENHANCEMENT—ASSESSMENT QUALITY ASSURANCE.** The agency requests \$1.6 million SGF to contract with a consultant to conduct quality assurance evaluations of risk assessments conducted by staff with offenders under community supervision.
18. **CENTRAL OFFICE ENHANCEMENT—RESTORATIVE JUSTICE.** The agency requests \$157,013 SGF to fund 1.5 FTE Restorative Justice Specialist positions to provide victim impact classes among inmates.
19. **CENTRAL OFFICE ENHANCEMENT—GPS MONITORING.** The agency requests \$250,000 SGF to increase the use of GPS monitoring of offenders under community supervision.
20. **RESTORE SGF FOR GRADUATED SANCTIONS GRANTS.** The request includes an increase of \$10.0 million SGF, offset by a decrease of the same amount from special revenue funds, for Graduated Sanctions grants provided to local community corrections agencies for the supervision of juvenile offenders. This adjustment would restore SGF moneys decreased by the 2021 Legislature as part of a reduced resources item.
21. **CENTRAL OFFICE GENERAL FEE FUND.** The request includes decreased expenditures of \$3.4 million from the General Fees Fund primarily for technology services associated with the Athena 2 data system that occur in FY 2022 but do not reoccur for FY 2023.
22. **EVIDENCE-BASED JUVENILE PROGRAMS.** The request includes expenditures totaling \$16.5 million from the Evidence-Based Juvenile Programs account of the SGF, which is a decrease of \$3.0 million below the FY 2022 revised estimate. Expenditures support evidence-based community programs for juvenile offenders to decrease reliance on incarceration.
23. **CENTRAL OFFICE CAPITAL IMPROVEMENTS.** The request includes capital improvements expenditures of \$12.2 million, all from special revenue funds. This is a decrease of \$10.5 million, including \$6.6 million SGF. The decrease is primarily due to completion of expansion projects at Lansing and Winfield in FY 2022. The decrease is partially offset by the agency's enhancement request for \$3.9 million from special revenue funds for capital improvements.
24. **FACILITIES ENHANCEMENT—SHRINKAGE REDUCTION.** The agencies request a total of \$4.7 million for shrinkage reductions at Ellsworth (\$111,104), El Dorado (\$2.2 million), the Kansas Juvenile Correctional Complex (\$1.2 million), Lansing (\$385,077), and Norton (\$762,177). A shrinkage reduction would allow agencies to fill vacant positions.
25. **FACILITES CAPITAL IMPROVEMENTS.** The request includes no capital improvements expenditures for correctional facilities for FY 2023, which is a decrease of \$5.4 million below the FY 2022 revised estimate. Funds for capital improvement projects are appropriated to the KDOC Central Office in the budget year for planning purposes and transferred to facilities as needs are identified.

26. **ALL OTHER ADJUSTMENTS.** Other adjustments primarily include increased expenditures from special revenue funds for medical services.

The request also includes 3,405.0 FTE positions for the KDOC System, which is an increase of 84.0 FTE positions above the FY 2022 revised number. The position increase is primarily at the Lansing and Winfield correctional facilities to support operation of a recently renovated assisted living unit and two substance abuse treatment centers.

GOVERNOR'S RECOMMENDATION

The **Governor** recommends \$518.9 million, including \$478.6 million SGF, for the entire KDOC System for FY 2023. This is an all funds increase of \$1.5 million, and an SGF decrease of \$1.9 million, from the agencies' FY 2023 request.

The **Governor's** recommendation includes the following adjustments:

27. **ENHANCEMENT—LCF AND WCF EXPANSION PROJECTS OPERATIONAL EXPENDITURES.** The Governor recommends a total of \$9.6 million SGF for operating expenditures for newly established substance abuse treatment centers and an assisted living unit at Lansing and Winfield correctional facilities. This is a decrease of \$10.5 million below the agencies' request. The expenditure is financed with \$5.0 million in new SGF moneys and \$4.6 million SGF reallocated from the KDOC Central Office (\$2.4 millions) and the facilities (\$2.2 million). The recommendation includes 104.1 FTE positions, which is an increase of 15.1 FTE positions above the agency's request.
28. **CENTRAL OFFICE ENHANCEMENT—EQUIPMENT AND VEHICLES.** The Governor recommends \$3.8 million for replacement of vehicles and security and safety equipment, which is an increase of \$3.1 million above the agency's request.
29. **CENTRAL OFFICE ENHANCEMENT—COMMUNITY CORRECTIONS AND PAROLE STAFF.** The Governor recommends \$8.4 million exclusively for increased grant funding to local community corrections agencies for the purpose of increasing salaries. This is an increase of \$5.3 million above the agency's request.
30. **CENTRAL OFFICE—NON-RECOMMENDED ENHANCEMENTS.** The Governor does not recommend enhancements for: Staff Development Specialists, Reentry Programs, Parole Support, IT Outreach Staff, Elimination of ICS Commissions, CIBF Adjustments, Actionable Intel Unit, BIP Services, Assessment Quality Assurance, Restorative Justice, nor GPS Monitoring.
31. **24/7 PAY INITIATIVE.** The Governor recommends adding \$34.3 million, including \$33.9 million SGF, for FY 2023 to continue the 24/7 pay initiative recommended by the SPARK Taskforce and approved by the State Finance Council. For FY 2023, the plan provides a continuation of the temporary base pay increase as well as the temporary pay differentials for hourly personnel.
32. **CENTRAL OFFICE REALLOCATION.** The Governor recommends reallocating a total of \$2.4 million SGF from the Central Office to partially support operating expenditures at the Lansing and Winfield expansion projects.
33. **FACILITIES ENHANCEMENT—SHRINKAGE REDUCTION.** The Governor does not recommend this request.

34. **FACILITIES REALLOCATION.** The Governor recommends reallocating a total of \$2.2 million SGF from the multiple adult correctional facilities to partially support operating expenditures at the Lansing and Winfield expansion projects. This reallocation is due to unit drawdowns resulting from lower prison population projects.

The **Governor's** recommendation also includes 3,420.1 FTE positions, which is an increase of 15.1 FTE positions above the agencies' FY 2023 request. The increase is due to the addition of positions at Lansing and Winfield correctional facilities.

SUPPLEMENTAL AND ENHANCEMENT REQUESTS

Item	FY 2022 SUPPLEMENTALS			Governor's Recommendation		
	Agency Recommendation SGF	All Funds	FTE	SGF	All Funds	FTE
CENTRAL OFFICE						
Replace Revenue from Inmate Phone Charges	\$ 747,651	\$ -	--	\$ 747,651	\$ 747,651	--
Housing Program	75,000	75,000	--	-	-	--
IT Outreach Staff	224,640	224,640	--	-	-	--
FACILITIES						
Overtime	1,431,120	1,431,120	--	-	-	--
Shrinkage Reduction	322,077	322,077	--	-	-	--
TOTAL	\$ 2,800,488	\$ 2,052,837	--	\$ 747,651	\$ 747,651	--

REQUEST 1

REPLACE REVENUE FROM INMATE PHONE CHARGES

The agency requests \$747,651 SGF to offset revenue lost due to an FCC order limiting the charge for inmate phone calls to \$0.14 per minute. The agency previously maintained a rate of \$0.17 per minute for debit and \$0.18 per minute for prepaid collect calls. The FCC, which regulates interstate communications, issued the order to be effective October 26, 2021. The revenue loss is estimated at \$747,651 annually.

The Governor recommends adoption of this request.

REQUEST 2

HOUSING PROGRAM

The agency requests \$75,000 SGF to expand community-based housing for offenders with special needs upon reentry into society. The request includes initial funding for 6 additional coordinator positions and 25 leased housing units. The agency indicates it has experienced success in working with severely mentally ill and chronically homeless offenders through specialized staff providing wraparound services and utilizing leased housing units to provide stability for a period of 90 days.

The Governor does not recommend adoption of this request.

REQUEST 3

IT OUTREACH STAFF

The agency requests \$224,640 SGF for contracted information technology staff to provide outreach to community corrections agencies regarding Athena 1, which is a recently implemented case management data system. The agency indicates outreach is needed as modifications of the system are incorporated resulting from legislative changes, and to allow interoperability with other data systems. The agency notes that the addition of these two contract positions would allow agency IT staff to focus on development of Athena 2, which provides case management of incarcerated offenders.

The Governor does not recommend adoption of this request.

REQUEST 4

OVERTIME EXPENDITURES

The correctional facilities request of total of \$1.4 million SGF for additional overtime expenditures at the 2 largest facilities due to temporarily transitioning from 8-hour to 12-hour shifts in response to low staffing levels. The request includes the following:

- \$811,635 to the El Dorado Correctional Facility; and
- \$619,485 to the Lansing Correctional Facility.

The Governor does not recommend adoption of this request.

REQUEST 5

SHRINKAGE REDUCTION

The Larned Correctional Mental Health Facility requests \$322,077 SGF for a shrinkage reduction, which would allow the agency to fill vacant positions. The agency states that due to the increased costs of goods and services, approximately 11 positions must be held vacant to stay within budget.

The Governor does not recommend adoption of this request.

FY 2023 ENHANCEMENTS						
Item	Agency Recommendation			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
LCF & WCF Expansion Projects Operational Expenditures	\$ 20,167,333	\$ 20,167,333	--	\$ 9,617,988	\$ 9,618,988	89.0
CENTRAL OFFICE						
Restore Central Office Staffing	450,776	450,776	--	450,776	450,776	--
Vehicles and Equipment	817,682	817,682	--	3,872,929	3,872,929	--
Staff Development Specialists	1,134,000	1,134,000	--	-	-	--
Reentry Programs	11,445,000	11,445,000	--	-	-	--
Parole Support	547,200	547,200	--	-	-	--
Replace Revenue from Inmate Phone Charges	747,651	-	--	747,651	-	--
Eliminate ICS Commissions	3,736,927	-	--	-	-	--
Eliminate KCI Fund Transfer	3,149,952	-	--	-	-	--
IT Outreach Staff	224,640	224,640	--	-	-	--
Housing Program	840,000	840,000	--	-	-	--
Community Corrections and Parole Staffing	3,105,000	3,105,000	--	8,355,296	8,355,296	--
CIBF Indexing	-	3,935,320	--	-	-	--
Actionable Intel Unit	159,120	159,120	--	-	-	--
Prisoner Review Board Support	243,024	243,024	--	-	-	--
BIP Services	705,570	705,570	--	-	-	--
Assessment Quality Assurance	1,600,000	1,600,000	--	-	-	--
Restorative Justice	157,013	157,013	--	-	-	--
GPS Monitoring	250,000	250,000	--	-	-	--
FACILITIES						
Shrinkage Reduction	4,708,949	4,708,949	--	-	-	--
TOTAL	\$ 54,189,837	\$ 50,490,627	--	\$ 23,044,640	\$ 22,297,989	89.0

REQUEST 6

LCF AND WCF EXPANSION PROJECT – MEDICAL AND PROGRAM STAFF

The agency requests a total of \$20.2 million SGF to operate a recently renovated 200-bed substance abuse treatment center at Lansing Correctional Facility and a 241-bed assisted living and substance abuse treatment center at the Winfield Correctional Facility. The agency states that as the prison population continues to age, the need for specialized housing is critical. Further, the need for substance abuse treatment has exceeded the agency's current capacity, with only 48.0 percent of the inmates identified as in need receiving such treatment. To meet this need, the 2020 Legislature appropriated funds to renovate these facilities, which will be operational by FY 2023. The request includes:

- \$11.5 million to the Central Office for contracted staff and other operating expenses at substance abuse treatment centers at Lansing and Winfield. Expenditures include those for specialized staff, operating expenses, and expansion of existing contracts with substance abuse treatment providers. The agency estimates that the number of inmates receiving treatment would increase from 922 to 1,170.
- \$1.4 million to the Central Office to add 18.6 FTE medical positions to the agency's existing health care contract with Centurion to operate an assisted living unit at Winfield. The positions include physicians, psychiatrists, nurses, behavioral health professionals, and support staff. The unit would receive inmates in need of care from across the correctional system.
- \$4.7 million to the Winfield Correctional Facility to add 55.0 FTE positions and for other operating expenditures to support a nursing care and substance abuse treatment center. Positions include primarily correctional officers, but also unit counselors, and maintenance staff. Other expenditures include those for utilities, clothing, and office equipment.
- \$2.6 million to the Lansing Correctional Facility to add 34.0 FTE positions and for other operating expenditures to support a substance abuse treatment center at the East Unit. Positions include unit team counselors, correctional officers, activities specialists, administrative support, and maintenance staff. Other expenditures include utilities, clothing, and office equipment.

The Governor recommends adoption of this request, with modifications.

The recommendation includes a total of \$9.6 million SGF and 104.0 FTE positions for operations of the Lansing and Winfield expansion projects. Of this, \$4.6 million is derived from reallocations among the KDOC Central Office and adult facilities.

REQUEST 7

RESTORE CENTRAL OFFICE STAFFING

The agency requests \$450,776 SGF to restore salaries and wages expenditures at the KDOC Central Office for FY 2023 that were decreased by the 2022 Legislature as part of a reduced resources item. The agency absorbed this reduction in FY 2022 utilizing reappropriated funds, but notes continuance of this reduction in FY 2023 would result in the elimination of funding for 6.0 FTE positions in fiscal management, human resources, and legal council, which would adversely impact the agency's oversight function.

The Governor recommends adoption of this request.

REQUEST 8

VEHICLES AND EQUIPMENT

The agency requests \$817,682 SGF to partially support the scheduled replacement of 48 vehicles and safety and security equipment utilized by staff. The request includes:

- \$263,900 to supplement a total expenditure of \$1.3 million for the purchase of 48 vehicles utilized for inmate transport, offsite work crews, parole staff, and maintenance. Vehicles types include buses, vans, pickup trucks, and sedans that have reached the end of their service life. The agency maintains a fleet of over 500 vehicles utilized at 9 correctional facilities, parole offices, and the KDOC Central Office, with a plan to replace 10.0 percent annually.
- \$553,782 to supplement a total expenditure of \$1.1 million to replace worn equipment and acquire new items utilized by staff to maintain safe operations at correctional facilities. The request is for 1,800 items, which include stab vests, portable radios, firearms, restraints, and riot helmets. The agency notes that while progress has been made in addressing the deficiencies over the last two years, annual funding is needed for regular replacement.

The Governor does recommends adoption of this request, with modification.

The recommendation includes a total of \$1.4 million for the purchase of vehicles and equipment.

REQUEST 9

STAFF DEVELOPMENT SPECIALISTS

The agency request \$1.1 million SGF to fund 12.0 FTE Staff Development Specialist positions and purchase equipment to enable training in areas such a information technology and case management for unit team counselors, parole officers, and community corrections staff. The request is derived from a statewide survey involving correctional staff indicating that training in areas beyond security are a significant need.

The Governor does not recommend adoption of this request.

REQUEST 10

REENTRY PROGRAMS

The agency requests \$11.4 million SGF for a forensic nursing facility and job specialist positions to support offenders during reentry to society. This request includes:

- \$10.5 million for a forensic nursing facility, with mental health beds, for offenders with acute needs. Between 15 and 20 offenders per year require nursing care upon release. The agency reports that oftentimes, no nursing home beds are available, or no facility will receive this population. The agency would partner with the Kansas Department for Aging and Disability Services to issue a request for proposal (RFP) for a forensic nursing facility.
- \$945,000 to fund 10.0 FTE Job Specialist positions to liaison with workforce centers and employers to facilitate employment of offenders upon reentry to society. These positions would be assigned to the El Dorado, Lansing, and Winfield correctional facilities, as well as parole offices and workforce centers.

The Governor does not recommend adoption of this request.

REQUEST 11

PAROLE SUPPORT

The agency requests \$547,200 SGF to fund additional staff and vehicles among parole offices. The request includes:

- \$432,000 for 3.0 FTE positions, which include a Public Service Executive and two Program Providers. The Public Services Executive would ensure safety training for parole officers and assess equipment and building safety needs. The agency's agreement with the parole officer's union requires compliance with certain safety protocols. Further, the addition of two Program Providers would increase the number of paroled offenders receiving programs.
- \$115,200 for the purchase of six vehicles to be used by parole staff at offices in Kansas City, Olathe, and Wichita.

The Governor recommends adoption of this request.

REQUEST 12

REPLACE REVENUE FROM INMATE PHONE CHARGES

The agency requests \$747,651 SGF to offset revenue lost due to an FCC order limiting the charge for inmate phone calls to \$0.14 per minute. The agency previously maintained a rate of \$0.17 per minute for debit and \$0.18 per minute for prepaid collect calls. The FCC, which regulates interstate communications, issued the order to be effective October 26, 2021. The revenue loss is estimated at \$747,651 annually.

The Governor does not recommend adoption of this request.

REQUEST 13

ELIMINATE ICS COMMISSIONS

The agency requests \$3.7 million to offset revenue lost from further reducing the rate of charges per minute for inmate phone calls from \$0.17 per minute to \$0.7 per minute. Inmate communication services (ICS) are administered through a centralized contracted service provider, which pays a commission to the Inmate Benefits Fund estimated at \$3.7 million for FY 2023. Expenditures from this fund support inmate programs, including communications equipment such as tablets, phones, and video conferencing. This rate reduction would result in elimination of all commissions. The agency notes that without SGF money to offset the lost revenue, inmate programs and communications would be adversely affected.

The Governor does not recommend adoption of this request.

REQUEST 14

ELIMINATE KCI FUND TRANSFER

The agency requests \$3.1 million SGF to offset the elimination of a transfer from the Kansas Correctional Industries (KCI) Fund for expenditures associated with food services, medical services, and sex offender treatment programs. The fund draws revenue from the sale of inmate-produced goods at KCI and was intended to support the education program. However, the agency notes that in recent years, money from the fund have been utilized for increased food service and medical expenditures in lieu of SGF moneys. The agency states that utilization of SGF moneys for these purposes would allow KCI to reinvest funds in private industry opportunities allowing more inmates to gain job skills.

The Governor does not recommend adoption of this request.

REQUEST 15

IT OUTREACH STAFF

The agency requests \$224,640 SGF for contracted information technology staff to provide outreach to community corrections agencies regarding Athena 1, which is a recently implemented case management data system. The agency indicates outreach is needed as modifications of the system are incorporated resulting from legislative changes, and to allow interoperability with other data systems. The agency notes that the addition of these two contract positions would allow agency IT staff to focus on development of Athena 2, which provides case management for incarcerated offenders.

The Governor does not recommend adoption of this request.

REQUEST 16

HOUSING PROGRAM

The agency requests \$840,00 SGF to continue expanding community-based housing for offenders with special needs upon reentry into society. The request includes funding for 6 additional coordinator positions and 25 leased housing units. The agency has experienced success in working with severely mentally ill and chronically homeless offenders through specialized staff providing wraparound services and utilizing leased housing units to provide stability for a period of 90 days.

The Governor does not recommend adoption of this request.

REQUEST 17

COMMUNITY CORRECTIONS AND PAROLE STAFFING

The agency requests \$3.1 million SGF for increase grant funding to local community corrections agencies and to fund 5.0 FTE positions in Parole Services, which would reduce caseloads for community-based supervision of offenders. The request includes:

- \$2.8 million to increase grant funding to community corrections agencies equivalent to 40.0 FTE positions. The agency provides grant funding to 31 community corrections agencies operated by county governments for the community-based supervision of offenders on probation. The agency budgeted a total expenditure of \$23.0 million SGF for this purpose for FY 2023.
- \$345,072 for 5.0 parole officer positions supervising offenders on parole upon release from a correctional facilities.

The Governor recommends adoption of this request, with modifications.

The Governor recommends \$8.4 million exclusively for increased grant funding to community corrections agencies for the purpose of increasing salaries.

REQUEST 18

CORRECTIONAL INSTITUTIONS BUILDING FUND (CIBF) ADJUSTMENT

The agency requests \$3.9 million from the Correctional Institutions Building Fund (CIBF) to adjust the annual appropriation for the repair and rehabilitation of correctional facilities to a level that would account for inflation. The CIBF was established in 1976 for the upkeep of adult correctional facilities. Since 1997, the fund has been financed from a 10.0 percent annual transfer from the first \$50.0 million credited to the State Gaming Revenue Fund pursuant to KSA 79-4801 and 79-4803. The agency indicates this has effectively fixed the appropriation for repair and rehabilitation of correctional facilities at \$5.0 million annually since 1997. The agency requests a total appropriation of \$8.5 million from the CIBF for FY 2023, which would account for a 2.5 percent inflation rate since 1997.

The Governor does not recommend adoption of this request.

REQUEST 19

ACTIONABLE INTEL UNIT

The agency requests \$159,120 SGF to fund 1.0 FTE Corrections Manager position and to purchase equipment for implementation of an Actionable Intelligence Unit (AIU) within the correctional system. The AIU would coordinate the efforts of existing field investigators at facilities, and contracted intelligence analysts monitoring phone and message traffic, to compile data regarding emerging threats to staff and inmates or suspected criminal behavior. AIU efforts would be supplemented with ion detection equipment to identify trace amounts of illicit substances.

The Governor does not recommend adoption of this request.

REQUEST 20

PRISONER REVIEW BOARD

The agency requests \$243,024 SGF to fund 3.0 FTE Hearing Officer positions and 1.0 Administrative Support positions in the Prisoner Review Board program. The request includes:

- \$198,096 for 3.0 FTE hearing officer positions to hold preliminary hearings across the state regarding revocation of parole sentencing. The agency indicates the board averages up to 100 hearings per month, and these positions would provide relief to parole officers currently performing this function as an additional duty.
- \$44,928 for 1.0 FTE Administrative Support position to monitor the youthful offender hearing process and the clemency process, and to address hearing notifications and open records request.

The Governor does not recommend adoption of this request.

REQUEST 21

BIP SERVICES

The agency requests \$705,570 SGF for Batterers Intervention Programs (BIP) services in the Victim Services program. The objectives of the BIP is to educate batterers in learning skills for nonviolence and promote safety for victims. The request includes:

- \$346,022 to fund 10.0 FTE positions providing parole-based BIP group services in Olathe, Kansas City, Lawrence, Lansing, Hutchinson, and Wichita, which would address a current waitlist. The agency notes that 81.0 percent of those offenders who completed BIP in 2016 and 2017 have not been charged with new domestic violence offenses.
- \$251,222 to fund 6.0 FTE positions to support BIP services for offenders at correctional facilities to enable healthy behaviors upon release. Group services would be provided at facilities in Lansing, El Dorado, Wichita, Winfield, and Hutchinson, and address a current waitlist.
- \$108,326 to fund 2.0 FTE positions providing contract liaison with victims to meet the notification requirements of the agency's BIP certification through the Office of Attorney General. These positions would track offenders, run background checks, and request police reports and protection order information, to compile criminal histories for parole staff.

The Governor does not recommend adoption of this request.

REQUEST 22

ASSESSMENT QUALITY ASSURANCE

The agency requests \$1.6 million SGF to contract with a consultant to conduct quality assurance evaluations of risk assessments conducted by staff with offenders under community supervision. Risk assessments are conducted with offenders to determine the level of supervision and types of programs needed. This consultant would ensure consistency and provide guidance to staff supervising adults offenders and juveniles.

The Governor does not recommend adoption of this request.

REQUEST 23

RESTORATIVE JUSTICE

The agency requests \$157,013 SGF to fund 1.5 FTE Restorative Justice Specialist positions to provide victim impact classes among inmates. Prior to the COVID-19 pandemic, these classes were provided at the Lansing, Topeka, and El Dorado correctional facilities. This funding would increase the number of facilities served through this program.

The Governor does not recommend adoption of this request.

REQUEST 24

GPS MONITORING

The agency requests \$250,000 SGF to increase the use of GPS monitoring of paroled offenders. The agency indicates mandatory lifetime GPS monitoring of sex offenders has monopolized the existing monitoring resources. These additional funds would enable increased monitoring of the highest risk offenders and provide alternative monitoring for aging and medically impaired offenders who cannot have ankle bracelets.

The Governor does not recommend adoption of this request.

REQUEST 25

FACILITIES SHRINKAGE

The correctional facilities request of total of \$4.7 million SGF for shrinkage reductions, which would allow agencies to fill vacant positions. The agencies state that due to the increased costs of goods and services, approximately 153 positions must be held vacant to stay within budget. The request includes:

- \$111,104 to the Ellsworth Correctional Facility;
- \$2.2 million to the El Dorado Correctional Facility;
- \$1.2 million to the Kansas Juvenile Correctional Complex;
- \$385,077 to the Lansing Correctional Facility; and
- \$762,177 to the Norton Correctional Facility.

The Governor does not recommend adoption of this request.

SPECIAL TOPICS

TOPIC 1

EVIDENCE-BASED JUVENILE PROGRAM ACCOUNT

The Evidence-Based Juvenile Program (EBJP) account of the SGF is administered by the KDOC Central Office and is intended to support evidence-based community programs for juvenile offenders to decrease reliance on incarceration. The Juvenile Justice Oversight Committee (JJOC), an appointed committee, makes recommendations to the Secretary of Corrections regarding expenditures from the account.

EVIDENCE-BASED JUVENILE PROGRAMS ACCOUNT			
Expenditure:	Actual FY 2021	Agency FY 2022	Agency FY 2023
Crossover Youth Practice Model (CYPM) Coordinator	\$ 76,472	\$ 77,043	\$ 77,045
Crime and Justice Institution Technical Assistance	54,503	372,957	372,955
Moral Reconciliation Therapy	-	42,000	42,000
Aggression Replacement Training	-	50,000	50,000
Mental Health Training Curriculum	-	44,000	44,000
Parent Project	33,900	50,000	50,000
CYPM Licensing	102,951	246,000	246,000
CYPM Staff for DCF, OJA, and KDOC	116,855	173,000	173,000
Massachusetts Youth Screening Instrument (MAYSI)	20,400	12,000	12,000
Youth Level of Service Screener	-	12,000	12,000
Family Engagement and Family Guide	-	500,000	500,000
Mental Health Services	-	750,000	750,000
Substance Abuse Counseling for Families	-	750,000	750,000
Functional Family Therapy	744,184	1,387,000	1,387,000
Youth Advocate Program	665,524	665,524	665,524
Community-Based Sex Offender Treatment	325,000	325,000	325,000
OJA Data Collection and Training	-	244,800	244,800
Culturally Responsive Services	-	60,000	60,000
Juvenile Defense Improvements	-	500,000	500,000
Encumbrances	19,348	-	-
Athena 2 Data System	-	3,646,966	641,788
Reinvestment County Grants	2,174,719	4,000,000	4,000,000
Collaboration Grants	285,044	605,748	605,748
JCAB Request	3,303,551	5,000,000	5,000,000
TOTAL	\$ 7,922,451	\$ 19,514,038	\$ 16,508,860
Financing:			
Appropriation	14,321,500	12,521,500	12,521,500
Reappropriation	42,136,132	27,494,369	21,284,844
Transfers In	54,509	783,013	-
Lapses	(21,095,320)	-	-
Expenditures	(7,922,452)	(19,514,038)	(16,508,862)
BALANCE	\$ 27,494,369	\$ 21,284,844	\$ 17,297,482

The fund draws revenue primarily from SGF appropriations, but also from transfers within the correctional system. These transfers are derived from end-of-year unspent funds at the Kansas Juvenile Correctional Complex and contracted Youth Residential Center. This transfer represents savings realized from a decrease in the incarcerated juvenile population.

In previous years, actual expenditures from the EBJP account were less than budgeted, which resulted in the reappropriation of SGF moneys and growth in the balance of the account. During the 2021 Legislative Session, the Governor recommended lapsing \$42.2 million in unspent funds that were to reappropriate from FY 2020 into FY 2021. However, the Legislature approved a lapse of just \$21.1 million for FY 2021.

TOPIC 2

HEPATITIS C TREATMENT

Inmate populations are prone to hepatitis C, a bloodborne virus that can lead to liver damage, cancer, or death. The presence of hepatitis C is attributed to high-risk behaviors, such as injection drug use prior to incarceration. New drugs emerged in 2017 with a cure rate of 90.0 percent, but treatment was costly at \$94,500 per patient. The agency notes that correctional systems are constitutionally required to provide adequate health care for treatable diseases, which proves challenging as new admissions arrive carrying the virus.

The 2019 Legislature appropriated an additional \$4.5 million to address a backlog of inmates requiring hepatitis C treatment. The agency states it was able to eliminate this backlog of 713 patients in FY 2019. However, new admissions continue to require treatment. The agency reports that 444 new admissions were treated by the end of FY 2020 despite complications associated with the COVID-19 pandemic. In FY 2021, after reestablishing the treatment program following pandemic-related delays and a transition to a new health care provider, the agency was able to treat 136 patents, and the agency anticipates treating 301 patients in both FY 2022 and FY 2023. For this, the agency requests \$6.0 million in both FY 2022 and FY 2023.

TOPIC 3

KP&F RETIREMENT SYSTEM

The Governor recommends allowing adult and juvenile correctional officers to transition to the Kansas Police and Firemen's (KP&F) retirement system, which is administered by KPERS, in FY 2023. To facilitate the additional employer retirement contributions necessary for this transition, the Governor recommends appropriating \$10.0 million to the State Finance Council for distribution to affected agencies after a revised fiscal effect can be determined by KPERS. The Governor will propose the necessary bill to accomplish the conversion of these public safety officers.

TOPIC 4
24/7 PAY INITIATIVE

On October 26, 2021, the Secretary of Corrections (Secretary) reported to the Joint Committee on Corrections and Juvenile Justice Oversight regarding critical staff shortages throughout the KDOC System. The shortage includes 405 vacancies among correctional officers at adult facilities, a vacancy rate of 23.0 percent at the juvenile facility, and increased overtime of 66.0 percent above FY 2021. The Secretary indicated that common strategies to address staff shortages are to increase overtime, implement 12-hour shifts, reduce inmate programs, and improve compensation with increased hourly wages and bonuses.

In response, the Joint Committee recommended the Secretary immediately request COVID-19 federal relief funds from the SPARK Taskforce for new-hire referral and retention bonuses. Further, the Committee recommended the agency submit a plan to the 2022 Legislature to address wages, nightly differentials, and premium pay.

The SPARK Taskforce recommended a total expenditure of \$30.3 million from the federal American Rescue Plan Act Fund to support a 24/7 pay initiative, which was subsequently approved by the State Finance Council. The initiative, which primarily supports correctional facilities, state hospitals, and veterans' homes, provides temporary base pay increases for hourly and salaried staff, pay differentials for hourly personnel, and on-time premium pay allocations up to \$3,500 for salaried staff.

For the KDOC System, the initiative provides all current correctional officers with more than three months of experience pay increases ranging from 2.5 to 11.0 percent. New correctional officers will start at the current rate of \$18.26 per hour and receive 2.5 percent increase at 3 months and 9 months, and another 5.0 increase at 12 months after hire for a total increase of 10.0 percent within one year. The initiative also provides all current unit team counselors and parole officers with pay increases ranging from 6.0 to 22.0 percent. New counselors and parole officers will receive a starting pay increase that would raise their rate from \$18.26 to \$22.16 per hour.

The initiative provides the following differentials, which aggregate per eligible positions:

- Differential 1: \$1.50 per hour for all employees assigned to a correctional facilities ;
- Differential 2: \$2.00 per hour for all KDOC uniformed security staff, counselors, and unit team staff at all correctional facilities;
- Differential 3: \$4.50 per hour for medical providers. This differential would apply to one KDOC employee, as the majority of medical provider positions in KDOC are contracted; and
- Differential 4: \$2.50 for employees assigned to facilities with a 25.0 percent vacancy rate.

PROGRAM AND PERFORMANCE MEASURES OVERVIEW

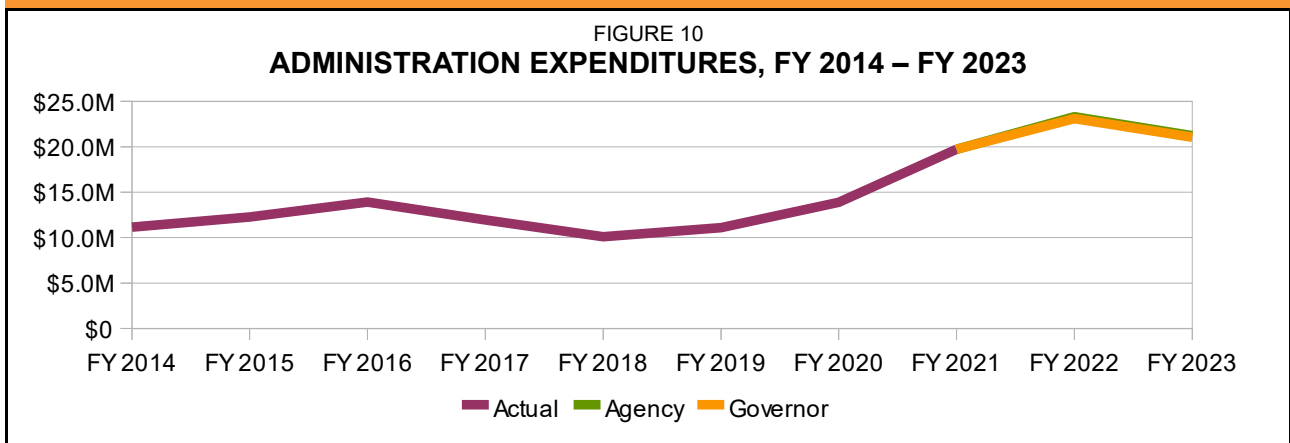
FIGURE 9
EXPENDITURES AND FTE POSITIONS BY PROGRAM, FY 2021 – FY 2023

Programs	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
Expenditures:					
Central Office	\$ 230,506,775	\$ 269,412,605	\$ 319,398,833	\$ 294,757,180	\$ 310,462,714
El Dorado CF	37,248,348	35,718,368	34,906,733	36,485,043	33,841,697
Ellsworth CF	17,085,748	17,843,406	17,843,406	17,619,673	17,305,479
Hutchinson CF	43,211,440	39,250,519	39,250,519	38,314,666	37,849,767
Kansas Juvenile CC	21,580,508	22,586,471	22,586,471	22,897,346	21,662,119
Lansing CF	34,001,948	34,637,661	34,018,176	36,433,656	33,079,096
Larned CMHF	15,492,843	14,374,624	14,052,547	13,644,155	13,479,391
Norton CF	19,219,440	18,757,074	18,757,074	19,133,203	18,192,842
Topeka CF	20,136,941	18,773,567	18,773,567	18,310,993	18,124,626
Winfield CF	16,041,002	15,313,672	15,313,672	19,750,793	14,872,036
TOTAL	\$ 454,524,993	\$ 486,667,967	\$ 534,900,998	\$ 517,346,708	\$ 518,869,767
FTE Positions:					
Central Office	518.0	517.0	517.0	513.0	513.0
El Dorado CF	486.0	486.0	486.0	486.0	486.0
Ellsworth CF	234.0	234.0	234.0	234.0	234.0
Hutchinson CF	510.0	510.0	509.0	509.0	509.0
Kansas Juvenile CC	264.5	264.5	264.5	264.5	264.5
Lansing CF	410.0	410.0	410.0	444.0	451.6
Larned CMHF	189.0	189.0	189.0	189.0	189.0
Norton CF	248.0	248.0	248.0	248.0	248.0
Topeka CF	261.5	261.5	261.5	261.5	261.5
Winfield CF	201.0	201.0	201.0	256.0	263.5
TOTAL	3,322.0	3,321.0	3,320.0	3,405.0	3,420.1

PERFORMANCE MEASURES

The 2016 Legislature passed HB 2739, which outlined a three-year process for state agencies to develop and implement a system of performance budgeting using outcome measures to evaluate program effectiveness.

ADMINISTRATION



STATUTORY BASIS: • KSA 75-5201, 755204, 75-5205, 75-5251, 75-5257

PROGRAM GOALS: • Provide the administrative and staff services required for operation of the KDOC Central Office.
• Provide the leadership, support, and oversight necessary for the correctional system to meets its objectives.

The Administration program includes the activities of the Secretary of Corrections and other administrative and support personnel responsible for the management and oversight of correctional facilities and provision of services to inmates, parolees, and other offenders. The Administration Program is composed of the following subprograms:

ADMINISTRATION

This subprogram provides agency administrative functions, such as legal services, fiscal management, contract oversight, human resources, public information, policy development, and centralized inmate banking.

INFORMATION SERVICES

This subprogram is responsible for the planning, operation, and support of all information technology functions and telecommunications.

ENFORCEMENTS, APPREHENSIONS, AND INVESTIGATIONS

Enforcements, Apprehensions, and Investigations (EAI) is a specialized unit responsible for investigating incidents involving inmates, staff, or individuals on the premises of correctional facilities. Further, EAI is involved with the apprehension of escapees and parole absconders, and is the agency's primary intelligence gathering and analysis entity.

FIGURE 11
ADMINISTRATION, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1.Number of Community Corrections Programs Requiring Technical Assistance and Oversight.	31	31	31	31	31
2.Number of Internal Management Policies and Procedures Revised*	11	16	14	89	114

FIGURE 11
ADMINISTRATION, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
3. Number of Hours Required to Restore IT Services After Failure	12	12	12	8	6
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 10,334,242	\$ 14,471,560		\$ 19,529,124	\$ 20,473,651
Federal Funds	1,018,333	1,132,346		129,114	129,003
All Other Funds	2,533,141	4,129,804		3,433,500	433,500
TOTAL	\$ 13,885,716	\$ 19,733,710		\$ 23,091,738	\$ 21,036,154
Percentage Change:					
SGF	(2.5) %	40.0 %		34.9 %	4.8 %
All Funds	25.1 %	42.1 %		17.0 %	(8.9) %
FTE Positions	103.6	103.6		106.6	106.6

*Performance measure not evaluated by the Office of Governor.

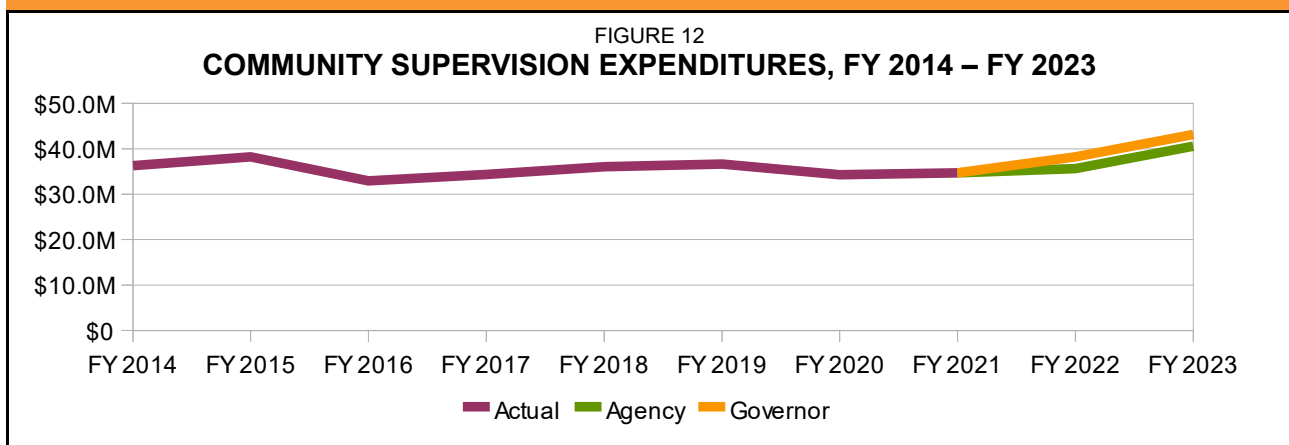
BUDGET ANALYSIS

The **agency** requests \$21.2 million, including \$21.1 million SGF, for the Administration program for FY 2023. This is an all funds decrease of \$2.1 million, and an SGF decrease of \$1.3 million, below the FY 2022 revised estimate. The decrease is primarily attributable to information technology services expenditures for development of Athena 2 that occur in FY 2022 but do not reoccur for FY 2023 (\$3.4 million). Athena 2 is a data system for managing adult and juvenile offenders in correctional facilities. The decrease is partially offset by the agency's enhancements requests totaling \$2.4 million SGF, which include: restoration of central office staffing reductions, agency fleet vehicle replacement, staff

development positions, IT outreach staff, and increased SGF moneys to offset reduction in inmate phone charges.

The **Governor** recommends \$21.0 million, including \$20.5 million SGF, for the Administration program for FY 2023. This is an all funds decrease of \$182,088, and an SGF decrease of \$587,088, below the FY 2022 revised estimate. The recommendation does not fund all enhancement request, but it does include \$450,776 SGF to restore central office staff, \$747,651 SGF to offset revenue lost from decreased inmate phone charges, and \$2.5 million for vehicle replacement.

COMMUNITY SUPERVISION



STATUTORY BASIS: • KSA 75-5290 through 75-52,113

PROGRAM GOALS: • Promote probationer accountability and responsibility to the community and to their victims.
• Provide offender supervision commensurate with the assessed risk level.

The Community Supervision program consists of correctional programs, services, and sanctions that are administered in the community rather than a correctional facility. Offenders covered under the program include probationers and those sentenced under the alternative drug sentencing policy (2003 SB 123), as well as offenders who have been released from correctional facilities on parole. In addition to supervision and assessment of probationers and parolees, the program also administers payments to residential facilities in Johnson and Sedgwick counties for adult felony probationers that are designed to increase accountability by helping offenders find employment and develop good work habits. The Community Supervision program is composed of the following subprograms:

COMMUNITY CORRECTIONS

This subprogram provides operating grants to community corrections agencies administered by county governments for the supervision adult

felony probationers, pursuant to KSA 75-5291. This supervision includes assessment, individualized case plans, rehabilitation programs, behavioral health services, and GPS monitoring. There are currently 31 community corrections agencies receiving grants. Some agencies serve a single county, while others are multi-county agencies.

PAROLE SUPERVISION

This subprogram is responsible for the community-based supervision of offenders who are released from correctional facilities on parole, postrelease supervision, or conditional release, but who have not been discharged from his or her sentence.

INTERSTATE COMPACT UNIT

This unit is responsible for regulating the transfer and movement between states of adult parole and probation offenders under community supervision.

FIGURE 13
COMMUNITY SUPERVISION, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1.Average Adult Felony Intensive Supervision Population	8,227	7,508	7,868	8,531	8,573
2.Number of Adult Felony Residential Centers	240	151	214	257	250

FIGURE 13
COMMUNITY SUPERVISION, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
3.Total Amount of Restitution Paid	\$ 506,584	\$ 665,593	\$ 586,089	\$ 672,248	\$ 678,970
4.Number of Offenders Under Parole Supervision	131	134	149	140	145
Output Measure:					
5.Number of Offenders Under Parole Supervision Returned to Prison with New Sentences for Felony Offenses	131	134	149	140	145
6.Number of Offenders Under Parole Supervision Returned to Prison for Condition Violations	784	792	888	810	798
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 31,933,901	\$ 32,237,332		\$ 35,394,636	\$ 40,660,309
Federal Funds	-	229		-	-
All Other Funds	2,360,702	2,442,422		2,825,623	2,470,000
TOTAL	<u>\$ 34,294,603</u>	<u>\$ 34,679,983</u>		<u>\$ 38,220,259</u>	<u>\$ 43,130,309</u>
Percentage Change:					
SGF	(1.2) %	1.0 %		9.8 %	14.9 %
All Funds	(6.4) %	1.1 %		10.2 %	12.8 %
FTE Positions	162.0	162.0		162.0	162.0

BUDGET ANALYSIS

The **agency** requests \$40.5 million, including \$38.1 million SGF, for the Community Supervision program for FY 2023. This is an all funds increase of \$4.8 million, and an SGF increase of \$5.2 million, above the FY 2022 approved amount. The increase is attributed to the agency's four enhancement requests totaling \$5.5 million.

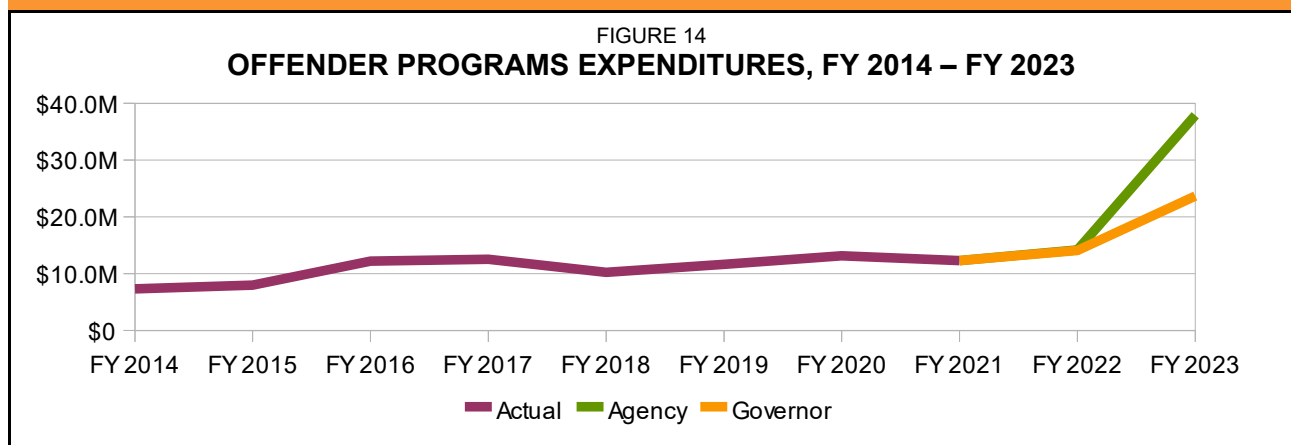
The requests include: increased parole officer staffing and grants to community corrections, offender risk assessment quality assurance consulting, the addition of parole officer safety staff and purchase of three vehicles, and increased GPS monitoring of offenders on parole and serving probation. The increase is

partially offset by decreased expenditures from special revenue funds for intensive supervision of offenders on probation.

The **Governor** recommends \$43.1 million, including \$40.7 million SGF, for the Community Supervision program for FY 2023. This is an SGF increase of \$2.5 million above the FY 2022 request.

The recommendation includes a total of \$8.5 million for increased grants to community corrections agencies for the purpose of salary increases. The Governor did not recommend any other enhancements.

OFFENDER PROGRAMS



STATUTORY BASIS: • KSA 75-5201, 75 5210, 75-5210a, 75-2511, 8-1567

PROGRAM GOALS:

- Increase successful program completions through readiness, responsivity motivation, and providing programs in a dose that fits the risk levels.
- Provide quality release planning services that address housing and connections to treatment and are volunteer led.
- Increase enjoyability and employment opportunities for residents.

Offender Programs provide direct rehabilitative programs and reentry services to inmates and parolees. Offenders are assessed, and a case management plan is developed using evidence-based principles to reduce their risk of returning to the correctional system after release. The services and programs include:

- Special, career technical, and academic education;
- Substance abuse treatment;
- Community-based resources to assist offenders when they transition back into the community
- Sex offender treatment services; and
- Other programs.

Offender Programs is composed of the following subprograms.

TRANSITIONAL HOUSING

This subprogram coordinates temporary housing for recently released offenders. Housing specialists in Kansas City, Olathe, Topeka, and Wichita work with landlords, property managers, public housing authorities,

parole officers, and unit team counselors to ensure that offenders have safe and affordable housing opportunities.

MENTORING

This subprogram provides volunteer mentors to work with offenders pre- and post-release to address needs—such as employment, housing, and family issues—and provide positive social influencing and modeling.

MENTAL HEALTH

The Mental Health subprogram works with offenders with mental illness to provide discharge planning services at all facilities to ensure a continuum of care.

PROGRAM PROVIDERS

The Program Providers subprogram contains expenditures for various contracts that provide programming services to offenders during reintegration into the community.

REENTRY

This subprogram manages teams that work with offenders 18 months prior to release for high-risk/high-need offenders and several months pre-release in all cases. These teams prepare the offender and community for the offender's return.

FIGURE 15
OFFENDER PROGRAMS, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Sex Offender Program Completions	87	147	159	153	153
2. Substance Abuse Program Completion	721	360	596	428	523
Output Measure:					
3. GED Completion	302	275	313	360	396
4. College Courses Completed*	59	118	85	309	371
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 5,995,650	\$ 6,109,152		\$ 7,156,485	\$ 16,674,473
Federal Funds	225,910	380,000		309,585	396,013
All Other Funds	6,937,335	5,844,036		6,623,670	6,624,818
TOTAL	\$ 13,158,895	\$ 12,333,188		\$ 14,089,740	\$ 23,695,304
Percentage Change:					
SGF	24.0 %	1.9 %		17.1 %	133.0 %
All Funds	13.0 %	(6.3) %		14.2 %	68.2 %
FTE Positions	105.0	109.0		108.0	108.0
*Performance measure not evaluated by the Office of Governor.					

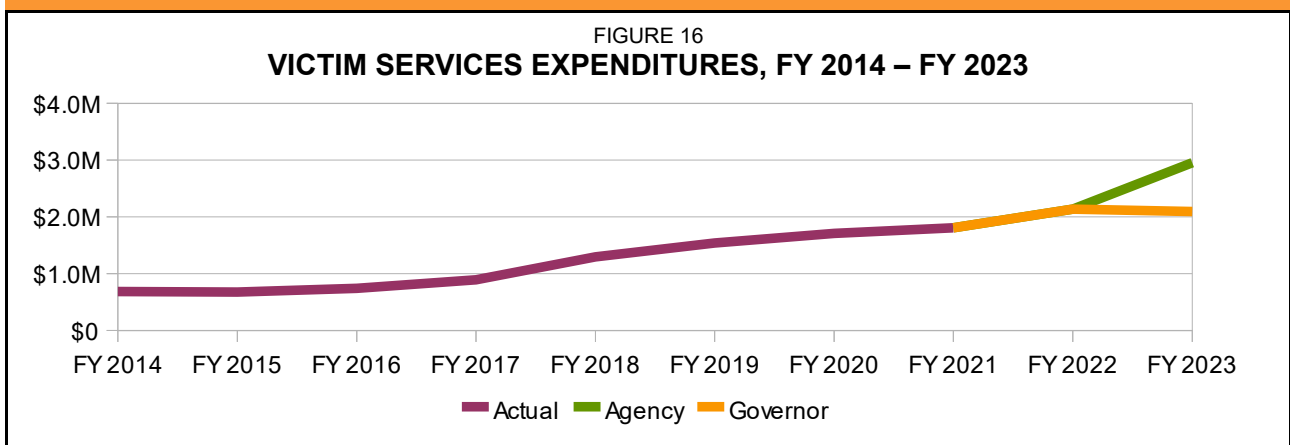
BUDGET ANALYSIS

The **agency** requests \$37.9 million, including \$35.8 million SGF, for Offender Programs for FY 2023. This is an all funds increase of \$23.7 million, and an SGF increase of \$28.5 million, above the FY 2022 revised estimate. The increase is attributable to the agency's six enhancement requests totaling \$29.4 million. These requests include: program staff and operating expenditures for expansion projects at the Lansing and Winfield correctional facilities, a forensic nursing facility for offenders with special needs upon release, and housing and an employment facilitator for offenders upon release. The requests also include additional SGF expenditure to offset revenue lost from the elimination of inmate phone

charges and transfers from the KCI fund. The KCI transfers primarily support substance abuse treatment.

The **Governor** recommends \$23.7 million, including \$16.7 million SGF, for Offender Programs for FY 2023. This is an all funds decrease of \$14.2 million, and an SGF decrease of \$19.1 million, below the FY 2023 request. The recommendation includes \$5.0 million SGF for operating expenditures of substance abuse treatment centers at Lansing and Winfield. The Governor does not recommend any other enhancements.

VICTIM SERVICES



STATUTORY BASIS: • KSA 22-3727, 75-5207

PROGRAM GOALS: • Serve as a liaison and service provider to crime victims.
• Provide quality, victim-centered batterer intervention program (BIP) services.

The Victim Services program provides services to victims of crimes and offending inmates in the correctional facilities. These services include notification of changes in offender status, apology repository, public comment session advocacy, tours, victim/offender dialogue, visitation screenings, cease correspondence orders, safety planning/family reintegration, parole violation hearings, and victim impact classes. The Victims Services program is composed of the following subprograms

VICTIM NOTIFICATION

Pursuant to KSA 22-3727, this office provides written notification of changes to an offender's status regarding release date, death, early discharge, and other listed changes.

VICTIM SERVICES LIAISON

This subprogram allows victims to coordinate with local law enforcement to develop a safety plan in response to an offenders pending release.

RESTORATIVE JUSTICE

This subprogram provides the opportunity for survivors of severe violence to dialogue with an offender under the guidance of trained professionals.

BATTERER INTERVENTION PROGRAMMING

Batterer Intervention Programming (BIP) is certified by the Kansas Office of the Attorney General to provide services to offenders in prison and on parole. BIP services are intended to hold persons convicted of domestic violence offenses accountable, create nonviolent behavior, and promote safety for victims.

FIGURE 17
VICTIM SERVICES, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1.Number of Victims Who Registered for Services	40,125	40,978	39,723	32,500	32,500
2.Number of Domestic Violence Victims Served	306	322	502	350	375

FIGURE 17
VICTIM SERVICES, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Output Measure:					
3. Number of Victims Who Received Services	11,335	10,242	10,801	10,500	11,000
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 878,188	\$ 850,501		\$ 1,092,948	\$ 1,117,332
Federal Funds	735,801	956,115		1,042,882	976,403
All Other Funds	98,190	-		-	-
TOTAL	\$ 1,712,179	\$ 1,806,616		\$ 2,135,830	\$ 2,093,735
Percentage Change:					
SGF	(2.4) %	(3.2) %		28.5 %	2.2 %
All Funds	11.3 %	5.5 %		18.2 %	(2.0) %
FTE Positions	24.0	29.0		29.0	29.0

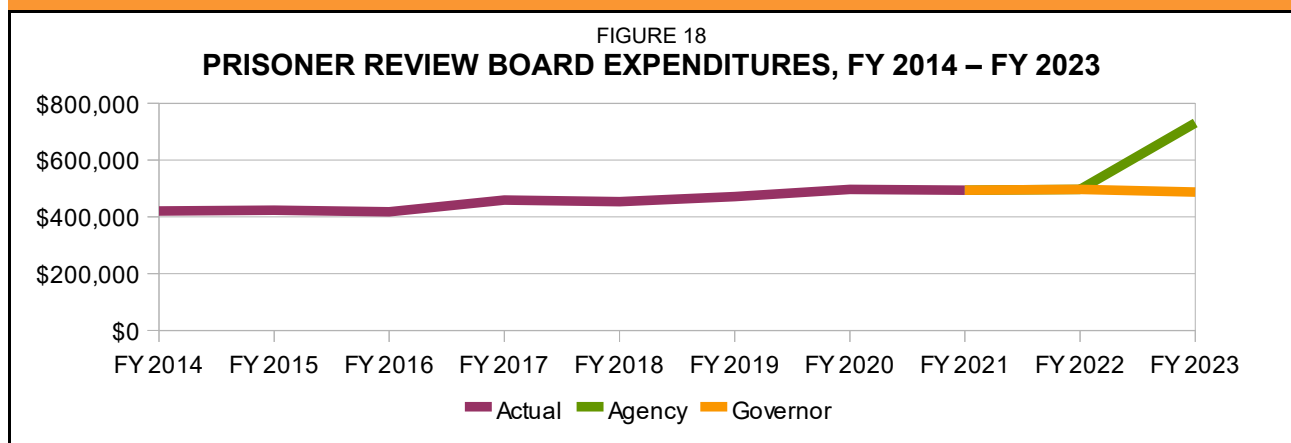
BUDGET ANALYSIS

The **agency** requests \$3.0 million, including \$2.0 million SGF, for the Victim Services program for FY 2023. This is an all funds increase of \$820,488, and an SGF increase of \$886,967, above the FY 2022 revised estimate. The increase is attributable to the agency's two enhancement requests totaling \$862,583 SGF. These enhancements include Batterer Intervention Program (BIP) services for paroled offenders and offenders in correctional facilities, and restorative justice classes among

correctional facilities. The increase is partially offset by decreased expenditures from federal grant fund for salaries and wages expenditures.

The **Governor** recommends \$2.1 million, including \$1.1 million SGF, for the Victim Services program for FY 2023. This is a decrease of \$862,583 SGF below the FY 2023 request. The recommendation does not include the agency's enhancement request for BIP Services.

PRISONER REVIEW BOARD



STATUTORY BASIS: • KSA 22-3701, 22-3706, 22-3709

PROGRAM GOALS: • Issue parole suitability decisions that promote the development of offenders and reduce the risk of offenders committing additional crimes.

The Prisoner Review Board was created in 2011 through Executive Reorganization Order No. 34, which abolished the Parole Board and transferred its duties and powers to the Prisoner Review Board within KDOC. The Board consists of three members who conduct parole suitability hearings, final violation

hearings and revocation consideration, and public comment sessions. The Chairperson and two other board members are full-time employees, and the program also has administrative and clerical staff. The Board reviews approximately 7,000 cases annually.

FIGURE 19
PRISONER REVIEW BOARD, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Number of Meetings Attended by the Board	250	275	248	275	275
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 497,334	\$ 494,068		\$ 497,106	\$ 487,602
Federal Funds	-	-		-	-
All Other Funds	-	-		-	-
TOTAL	\$ 497,334	\$ 494,068		\$ 497,106	\$ 487,602
Percentage Change:					
SGF	5.4 %	(0.7) %		0.6 %	(1.9) %
All Funds	5.4 %	(0.7) %		0.6 %	(1.9) %
FTE Positions	6.0	6.0		6.0	6.0

BUDGET ANALYSIS

The **agency** request \$730,626, all SGF, for the Prisoner Review Board program for FY 2023. This is an increase of \$233,520 above the FY 2022 revised estimate. The increase is primarily attributable to the agency's

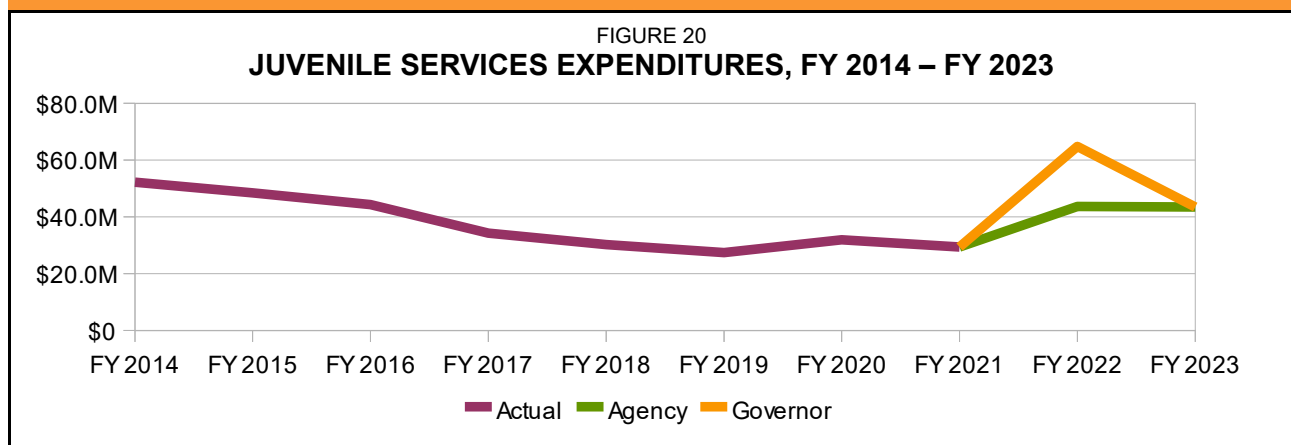
enhancement request totaling \$243,024. This request includes funding for hearing officer and administrative support positions that would administer preliminary parole revocation hearings across the state, assist with youthful

offender hearings, and address open records requests.

The **Governor** recommends \$487,602 SGF for the Prisoner Review Board program for FY

2023. This is a decrease of \$243,024 below the FY 2023 request. The recommendation does not include the agency's enhancement request to fund additional positions.

JUVENILE SERVICES



STATUTORY BASIS: • KSA 75-7001 through 7071, 38-1604

PROGRAM GOALS:

- Reduce juvenile crime by offering community-based prevention and intervention programs.
- Provide oversight and maintain accountability of community case management intensive supervision, intake and assessment, intervention, and prevention programs.

The Juvenile Services program was established within KDOC following a merger of the former Juvenile Justice Authority in FY 2014. The program is responsible for administering state and federal funds and coordinating with other agencies to provide a continuum of juvenile justice services statewide. Services are delivered through grants to local boards of county commissioners for the operation of community-based juvenile justice programs, contracts for community placements, and the Kansas Juvenile Correctional Complex. The Kansas Juvenile Correctional Complex submits a separate agency budget.

TECHNICAL ASSISTANCE AND OVERSIGHT

This subprogram provides support, consultation, oversight, data analysis, and resources to local stakeholders for the operation of various juvenile justice services across Kansas. These services include evidence-based programming, Prevention and Graduated Sanctions Block grants, and Juvenile Alternatives to Detention Grants, which support juvenile intake and assessment services, intensive supervision, and community placements.

SB 367 (2016) reformed the juvenile justice system in Kansas and increased investments in evidence-based programs and practices by reinvesting funds derived from a decreased reliance on the incarceration of juveniles.

KANSAS ADVISORY GROUP

The Kansas Advisory Group is responsible for determining, advocating for, and promoting the best interests of juveniles in the state, pursuant to KSA 75-7007. The KAG reviews juvenile justice policy and ensures compliance with the federal Juvenile Justice and Delinquency Prevention Act of 1974.

The Juvenile Services program includes the following subprograms.

FIGURE 21
JUVENILE SERVICES, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Output Measure:					
1. Number of Residential Provider Site Visits Conducted	0	4	3	5	5

FIGURE 21
JUVENILE SERVICES, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
2. Number of New Staff Training in Correctional Supervision	22	23	51	40	40
3. Number of Assessments on Juvenile Offenders	11,757	9,484	9,717	9,950	9,730
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 29,821,854	\$ 27,199,801		\$ 50,452,301	\$ 39,356,983
Federal Funds	767,707	1,003,751		463,839	463,939
All Other Funds	1,397,926	1,154,579		13,892,175	3,692,175
TOTAL	<u>\$ 31,987,487</u>	<u>\$ 29,358,131</u>		<u>\$ 64,808,315</u>	<u>\$ 43,513,097</u>
Percentage Change:					
SGF	23.2 %	(8.8) %		85.5 %	(22.0) %
All Funds	16.5 %	(8.2) %		120.8 %	(32.9) %
FTE Positions	22.4	24.5		24.5	24.5

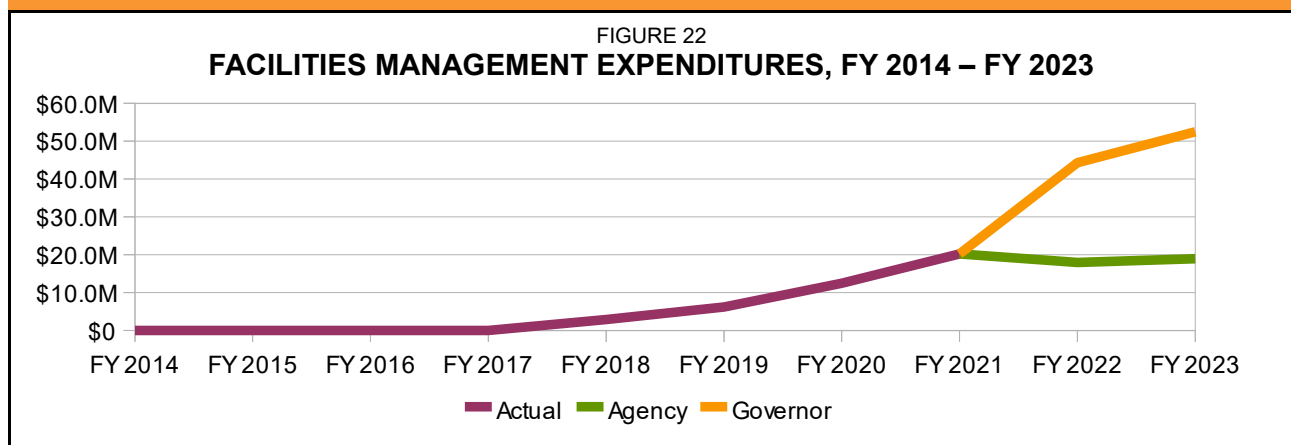
BUDGET ANALYSIS

The **agency** requests \$43.5 million, including \$39.4 million SGF, for the Juvenile Services program for FY 2023. This is an all funds decrease of \$199,898, and an SGF increase of \$10.0 million, from the FY 2022 revised estimate. The SGF increase is attributed to an increase of \$10.0 million SGF for Graduated Sanctions grants provided to local community corrections agencies for the supervision of juvenile offenders. This increase is offset by a

decrease in expenditures of the same amount from special revenue funds. This adjustment would restore SGF moneys decreased by the 2021 Legislature as part of a reduced resources item.

The **Governor** concurs with the agency's request for the Juvenile Services program for FY 2023.

FACILITIES MANAGEMENT



STATUTORY BASIS: • KSA 75-5201 *et seq*

PROGRAM GOALS: • Provide the leadership, support, and oversight necessary for safe operation of the correctional facilities.

The Facilities Management program manages the operations of all correctional facilities. This centralized management ensures uniformity and consistency among facilities. Functions of the program include:

- Infrastructure and capital improvements planning;
- Administration of security classification systems;
- Computation of inmate sentences;
- Conducting security and safety inspections;
- Oversight of volunteer and religious services;
- Processing inmate grievances; and
- Management of contracted beds.

FIGURE 23
FACILITIES MANAGEMENT, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Output Measure:					
1.Number of Security Audits Conducted	9	9	9	9	9
2.Number of Fire/Safety Inspections Conducted	7	15	10	15	15
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 10,738,871	\$ 18,277,410		\$ 25,989,656	\$ 52,443,819
Federal Funds	51,557	20,581		18,346,106	41,076
All Other Funds	1,659,840	1,945,472		5,760	5,760
TOTAL	\$ 12,450,268	\$ 20,243,463		\$ 44,341,522	\$ 52,490,655
Percentage Change:					
SGF	135.4 %	70.2 %		42.2 %	101.8 %
All Funds	99.7 %	62.6 %		119.0 %	18.4 %
FTE Positions	20.0	21.0		18.0	18.0

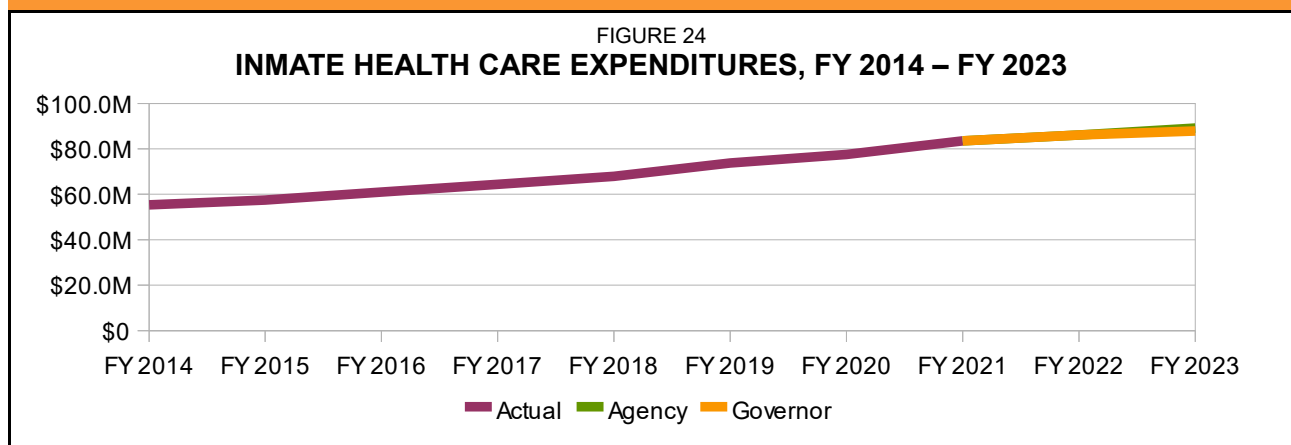
BUDGET ANALYSIS

The **agency** requests \$19.0 million, including \$18.9 million SGF, for the Facilities Management program for FY 2023. This is an SGF increase of \$1.0 million above the FY 2022 revised estimate. The increase is primarily attributable to the agency's two enhancement requests totaling \$712,902. These requests include the purchase of safety and security equipment utilized by correctional officers and operational expenditures to establish an Actionable Intelligence Unit to identify emerging threats in the correctional system. The increase is also attributable to an annual lease payment for the Lansing Correctional Facility totaling \$15.7 million, which is an increase of \$324,202 above the FY 2022 payment due to an escalator fee of 1.9 percent. The 20-year lease

purchase totals \$362.2 million, which is anticipated to be paid off in FY 2040.

The **Governor** recommends \$52.5 million, including \$52.4 million SGF, for the Facilities Management program for FY 2023. This is an SGF increase of \$33.5 million above the agency's FY 2023 request. The recommendation includes \$33.4 million to continue the 24/7 pay initiative. These funds are provided to the Facilities Management program for distribution throughout the correctional system. The recommendation also includes a total of \$1.4 million SGF for the purchase of safety and security equipment.

INMATE HEALTH CARE



STATUTORY BASIS: • KSA 75-5210, KSA 75-5240

PROGRAM GOALS:

- Provide for the delivery of appropriate medical care services in accordance with accreditation requirements of the National Commission on Correctional Health Care (NCCHC), the American Correctional Association (ACA), and within the clinical guidelines of the American Academy of Family Physicians (AAFP) and Medicaid.
- Provide for the delivery of appropriate mental health services in compliance with ACA and within guidelines established by the Behavioral Sciences Regulatory Board.

The Inmate Health Care program is provided for by an all-inclusive contract with Centurion of Kansas, LLC. The contract covers medical, dental, and mental health services for all adult inmates and juveniles offenders. KDOC entered into a contract with Centurion on July 1, 2020. The contract allows for a two-year term with two additional two-year renewal options, which if exercised would extend the contract to June 2026. Included in the Inmate Health Care program are expenditures for Medicaid services, hepatitis C treatment, and COVID-19 medical response.

clinical oversight and monitoring of the contracted health care provider.

The Inmate Health Care program is composed of the following subprograms.

ADULT HEALTH CARE SERVICES

This subprogram provides health care services for adult inmates and includes Medicaid inpatient hospitalization costs for inmates who are under 18, over 65, pregnant, or disabled.

JUVENILE HEALTH CARE SERVICES

This subprogram provides health care to youth housed at the Kansas Juvenile Correctional Complex.

The program includes an agreement with the University of Kansas Medical Center to provide

FIGURE 25
INMATE HEALTH CARE, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1.Number of Inmates Treated for Hepatitis C	467	137	238	301	301
2.Number of Suicides	4	2	3	1	1

Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 75,963,644	\$ 81,841,684		\$ 85,466,587	\$ 85,466,587
Federal Funds	673,634	1,649,724		657,220	1,201,722
All Other Funds	883,156	-		-	1,129,652
TOTAL	\$ 77,520,434	\$ 83,491,408		\$ 86,123,807	\$ 87,797,961
Percentage Change:					
SGF	5.0 %	7.7 %		4.4 %	-- %
All Funds	5.1 %	7.7 %		3.2 %	1.9 %
FTE Positions	4.0	2.0		2.0	2.0

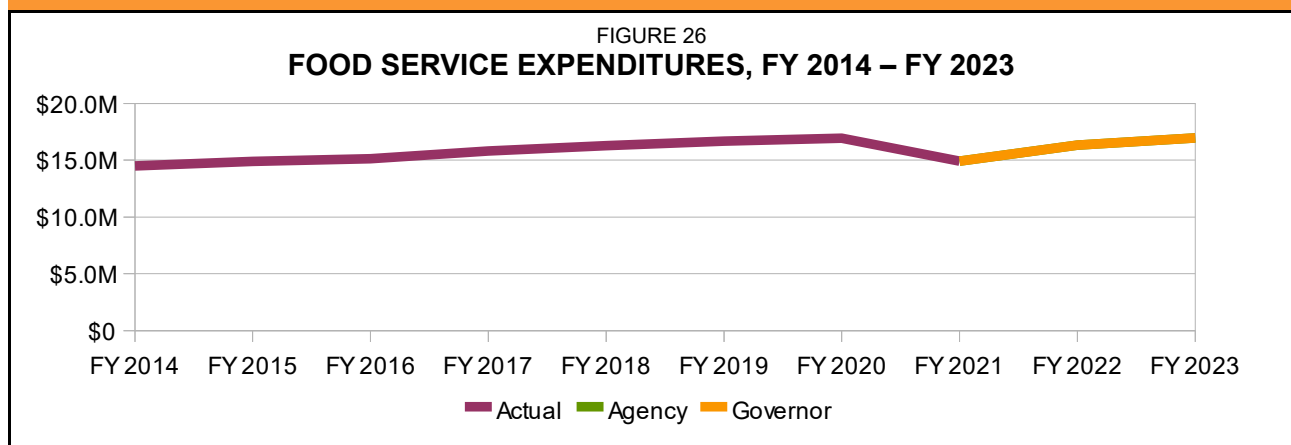
BUDGET ANALYSIS

The **agency** requests \$89.2 million, including \$86.8 million SGF, for the Inmate Health Care program for FY 2023. This is an all funds increase of \$3.1 million, and an SGF increase of \$1.4 million. The increase is primarily attributed to the agency's enhancement request of \$1.4 million for additional contracted medical staff to support an assisted living unit at the renovated East Unit at the Lansing Correctional Facility. The increase is also due to additional expenditures from special revenue funds to support growth in the existing systemwide medical services contract resulting from a 2.6

percent annual escalator fee. The contract is estimated at \$87.8 million for FY 2023.

The **Governor** recommends \$87.8 million, including \$85.5 million SGF, for the Inmate Health Care program for FY 2023. This is a decrease of \$1.4 million SGF below the FY 2023 request. The recommendation does not include \$1.4 million for the agency's enhancement request for additional medical staff at the assisted living unit at the Lansing Correctional Facility.

FOOD SERVICE



STATUTORY BASIS: • KSA 75-5201

PROGRAM GOALS: • Provide appetizing meals to the inmate population that meet nutritional and daily caloric intake requirements as well as special diets necessary to meet individual medical and religious needs.

The Food Service program includes expenditures for the provision of food services at adult correctional facilities. KDOC entered into a contract with Aramark Correctional Services, Inc., in July 1997. Aramark is responsible for all labor, food, supplies, and other materials required for the delivery of food services at all adult correctional facilities. The current contract with Aramark was amended in

FY 2022, which resulted in extension of the contract through FY 2032. The Kansas Juvenile Correctional Complex entered into a separate food service contract with Aramark in 2019 to accommodate the dietary needs of a juvenile population. Funding for this separate contract is noted in the Kansas Juvenile Justice Complex budget request. The contract is calculated based on average daily population (ADP).

FIGURE 27
FOOD SERVICE, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1.Number of Food Service Grievances Filed*	78	62	55	55	55
Output Measure:					
2.Number of Meals Served to Offenders (Millions)	10.1	9.8	10	10	10
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 15,103,689	\$ 14,570,134		\$ 15,376,246	\$ 15,376,246
Federal Funds	764,216	-		-	-
All Other Funds	1,061,274	354,970		943,578	1,593,502
TOTAL	\$ 16,929,179	\$ 14,925,104		\$ 16,319,824	\$ 16,969,748
Percentage Change:					
SGF	(9.4) %	(3.5) %		5.5 %	-- %
All Funds	1.6 %	(11.8) %		9.3 %	4.0 %
FTE Positions	--	--		--	--

*Performance measure not evaluated by the Office of Governor.

FY 2023 FOOD SERVICE CONTRACT - AGENCY ESTIMATE			
Facilities	9,101 ADP x 3 x 365 =	9,965,595 meals x \$1.668/meal	\$ 16,619,623
Monitor Meals		36,500 meals x 1.25/meal	45,625
Equipment Maintenance and Replacement Fund			300,000
<i>Subtotal - Aramark</i>			\$ 16,965,248
Dietician Services			4,500
TOTAL			\$ 16,969,748

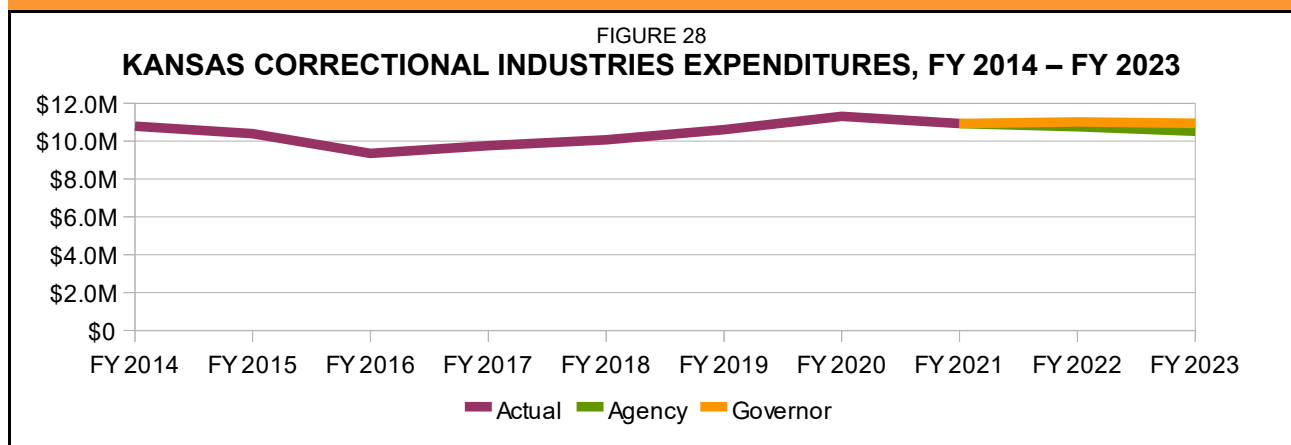
BUDGET ANALYSIS

The **agency** requests \$17.0 million SGF for the Food Service program for FY 2023. This is an increase of \$649,924 above the FY 2022 revised estimate. The increase is primarily attributable to the agency's enhancement request of \$1.6 million SGF to eliminate a transfer of the same amount from the KCI fund, which partially supports the systemwide food service contract. The KCI fund draws revenue from the sale of inmate-produced goods and was intended to support education programs. However, the agency notes that moneys from

the fund have been utilized for increasing food service expenditures in lieu of SGF moneys in recent years.

The **Governor** recommends \$17.0 million, including \$15.4 million SGF, for the Food Service program for FY 2023. The recommendation does not include the agency's enhancement request to offset a transfer from the KCI Fund with SGF moneys for the provision of food service.

KANSAS CORRECTIONAL INDUSTRIES



STATUTORY BASIS: • KSA 75-5273 through 75-5282

PROGRAM GOALS: • Provide inmates with training and work experience in the production of high-quality goods and services, while maintaining a financially sound condition.

The Kansas Correctional Industries (KCI) manufactures a variety of products at correctional facilities using inmate labor. These products are sold to government units or not-for-profit organizations. KCI provides work activities for 12.0 percent of the total inmate population. Approximately 90.0 percent of sales are to other state agencies, with the balance divided among other eligible organizations, state residents, and employees. Pursuant to KSA 75-5268, a portion of inmate earnings from private industry jobs may be collected by the agency to offset room and board costs. KCI is composed of the following subprograms.

ADMINISTRATION

This subprogram is responsible for the management and oversight of inmates, facilities, and product distribution of the goods made by KCI.

OTHER SUBPROGRAMS

KCI is composed of numerous divisions, including, but not limited to, service for private industries, metal products, signs and graphics, dental products, the farm division, textiles, canteen, sales and marketing, and warehouses.

FIGURE 29
KANSAS CORRECTIONAL INDUSTRIES, PERFORMANCE MEASURES

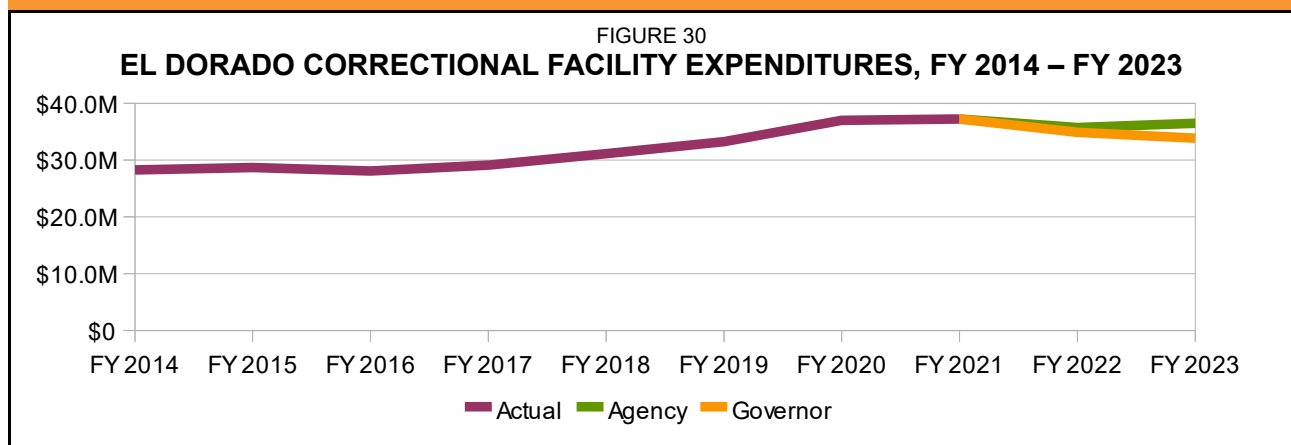
	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
There are no performance measures submitted for this program.					
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ -	\$ -		\$ -	\$ -
Federal Funds	7,173	-		-	-
All Other Funds	11,299,389	10,928,853		11,021,365	10,951,950
TOTAL	\$ 11,306,562	\$ 10,928,853		\$ 11,021,365	\$ 10,951,950
Percentage Change:					
SGF	-- %	-- %		-- %	-- %
All Funds	6.6 %	(3.3) %		0.8 %	(0.6) %
FTE Positions	60.0	61.0		61.0	57.0

BUDGET ANALYSIS

The **agency** requests \$10.5 million, all from special revenue funds, for the Kansas Correctional Industries program for FY 2023. This is an increase of \$373,164 above the FY 2022 revised estimate. The increase is primarily due to increased expenditures on manufacturing equipment (\$345,737).

The **Governor** recommends \$11.0 million, all from special revenue funds, for the Kansas Correctional Industries program for FY 2023. This is an increase of \$425,152 above the FY 2022 agency request. The recommendation includes an increase of \$424,152, all from special revenue funds, to continue the the 24/7 pay initiative.

EL DORADO CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5253, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The 1989 Legislature authorized construction of the El Dorado Correctional Facility (ECDF) at a cost of \$58.0 million as a result of a class action lawsuit challenging prison conditions in Kansas. Initially built with a capacity of 640 beds, a dormitory was added in 1995 and two additional cell houses were added in 2001. The total operating capacity of ECDF in FY 2022 is 1,832.

ECDF houses special management and maximum, medium, and minimum custody inmates in seven cell houses. Two cell houses are designated as Restrictive Housing units for inmates that cannot be maintained in the general population at any other correctional

facility. Once cell house is designated as the Mental Health unit and three cells houses are designated for the general population. The final cell house serves has the infirmary.

ECDF also operates the Reception and Diagnostic Unit (RDU), the State's central intake point for all male offenders committed to the custody of the Secretary of Corrections. Inmates are processed, evaluated, classified, and designated to be delivered to one of the State's correctional facilities. The evaluation provides psychological, social, academic, vocational, and substance abuse assessments for each inmates. When necessary, a more detailed psychiatric evaluation is performed.

FIGURE 31
EL DORADO CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover Rate- Uniformed*	39.9 %	34.0 %	33.4 %	34.0 %	34.0 %
2. Staff Turnover Rate- Non Uniformed*	15.8 %	18.9 %	17.1 %	18.9 %	18.9 %
Output Measure:					
3. Average Daily Population*	1,927	1,650	1,861	1,732	1,761
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 36,718,710	\$ 27,582,865		\$ 34,146,860	\$ 33,831,697
Federal Funds	101,168	8,869,983		-	-
All Other Funds	195,122	795,500		759,873	10,000
TOTAL	<u>\$ 37,015,000</u>	<u>\$ 37,248,348</u>		<u>\$ 34,906,733</u>	<u>\$ 33,841,697</u>
Percentage Change:					
SGF	11.8 %	(24.9) %		23.8 %	(0.9) %
All Funds	11.3 %	0.6 %		(6.3) %	(3.1) %
FTE Positions	486.0	486.0		486.0	486.0
*Performance measure not evaluated by the Office of Governor.					

BUDGET ANALYSIS

The **El Dorado Correctional Facility** requests a revised estimate of \$35.0 million, including \$35.0 million SGF, in FY 2022. This is an all funds increase of \$804,025, including an SGF increase of \$54,152, above the FY 2022 approved amount.

The SGF increase is primarily attributed to the agency's enhancement request of \$811,635 for overtime pay. Due to staff shortages, the agency shifted from 8-hour shifts to 12-hour shifts for 10 pay periods. The increase is partially offset by decreased expenditures for utilities, such as water and electricity, and the repair of equipment.

The agency's revised estimate includes capital improvement expenditures totaling \$675,269, all from special revenue funds, in FY 2022. Major projects include the purchase of razorwire, camera system upgrades, and replacement of an emergency generator.

The **Governor** recommends expenditures of \$34.9 million, including \$34.1 million SGF, for the El Dorado Correctional Facility in FY 2022. This is an SGF decrease of \$811,635 below the agency's revised estimate. The

recommendation does not include the agency's enhancement request for overtime pay.

The **El Dorado Correctional Facility** requests \$36.5 million, including \$36.5 million SGF, for FY 2023. This is an all funds increase of \$766,675, including an SGF increase of \$1.5 million, above the FY 2022 revised estimate.

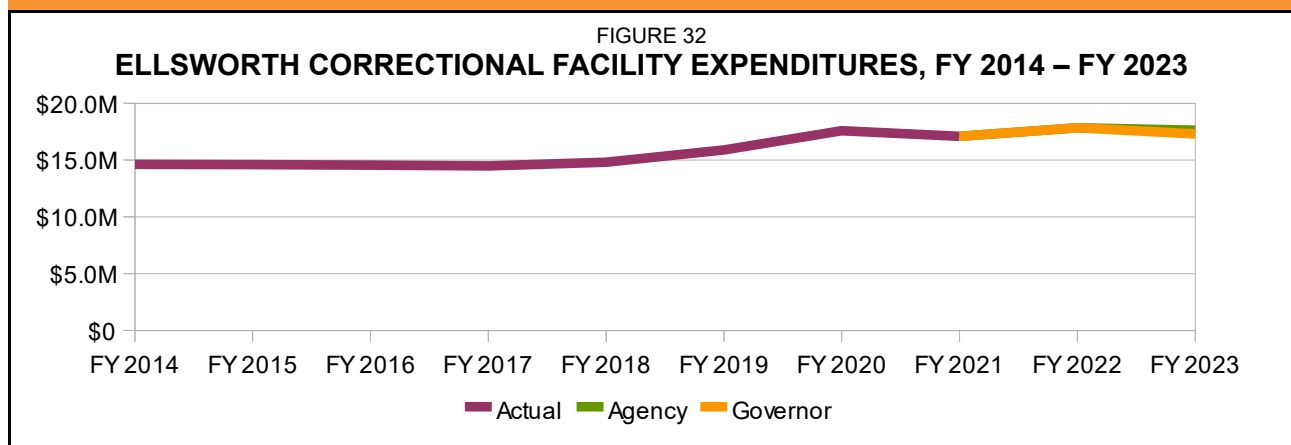
The SGF increase is primarily attributable to the agency's enhancement request of \$2.2 million for a shrinkage reduction, which would allow vacant positions to be filled. The increase is partially offset by decreased salaries and wages expenditures for overtime pay and employer contributions for fringe benefits, as well as expenditures for officer uniforms.

The agency's request includes a decrease of \$675,269, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$33.9 million, including \$33.8 million SGF, for the El Dorado Correctional Facility for FY 2023. This is an SGF decrease of \$2.6 million below the agency's FY 2023 request. The

recommendation does not include the agency's enhancement request for a shrinkage reduction. Further, the recommendation decreases expenditures by an additional \$427,982 due to unit drawdowns resulting from a lower prison population projection.

ELLSWORTH CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5253, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

Planning for the Ellsworth Correctional Facility (ECF) began in 1986 in response to the need for additional bed space due to a rapidly increasing inmate population. The first inmate was received in August 1988. Expansion projects were completed in 1995, which brought the total construction cost to \$19.7 million.

In July 2012, KDOC purchased the nearby former Saint Francis Boy's Home, which now serves as ECF's East Unit and provides 95 minimum-security beds.

The total operating capacity of ECF in FY 2022 is 899, which includes maximum-, medium-, and minimum-security level inmates.

FIGURE 33
ELLSWORTH CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover Rate- Uniformed*	25.5 %	31.1 %	26.9 %	25.0 %	25.0 %
2. Staff Turnover Rate- Non-Uniformed*	17.8 %	11.0 %	15.0 %	7.0 %	7.0 %
Output Measure:					
3. Average Daily Population*	901	825	880	897	909
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 17,064,288	\$ 13,052,802		\$ 17,448,176	\$ 17,611,173
Federal Funds	181,853	3,648,611		-	-
All Other Funds	334,641	384,335		395,230	8,500
TOTAL	\$ 17,580,782	\$ 17,085,748		\$ 17,843,406	\$ 17,619,673
Percentage Change:					
SGF	10.1 %	(23.5) %		33.7 %	0.9 %
All Funds	10.5 %	(2.8) %		4.4 %	(1.3) %
FTE Positions	234.0	234.0		234.0	234.0

*Performance measure not evaluated by the Office of Governor.

BUDGET ANALYSIS

The **Ellsworth Correctional Facility** requests a revised estimate of \$17.8 million, including \$17.4 million SGF, in FY 2022. This is an all funds increase of \$482,915, and an SGF increase of \$165,858, above the FY 2022 approved amount. The SGF increase is primarily attributable to increased salaries and wages expenditures for overtime, which is partially offset by decreased employer contributions to KPERS.

The agency's revised estimate includes capital improvement expenditures totaling \$379,377, all from special revenue funds, in FY 2022. Major projects include the replacement of boiler units, repair of a fire suppression sprinkler system, and security camera system upgrades.

The **Governor** concurs with the agency's revised estimate in FY 2022.

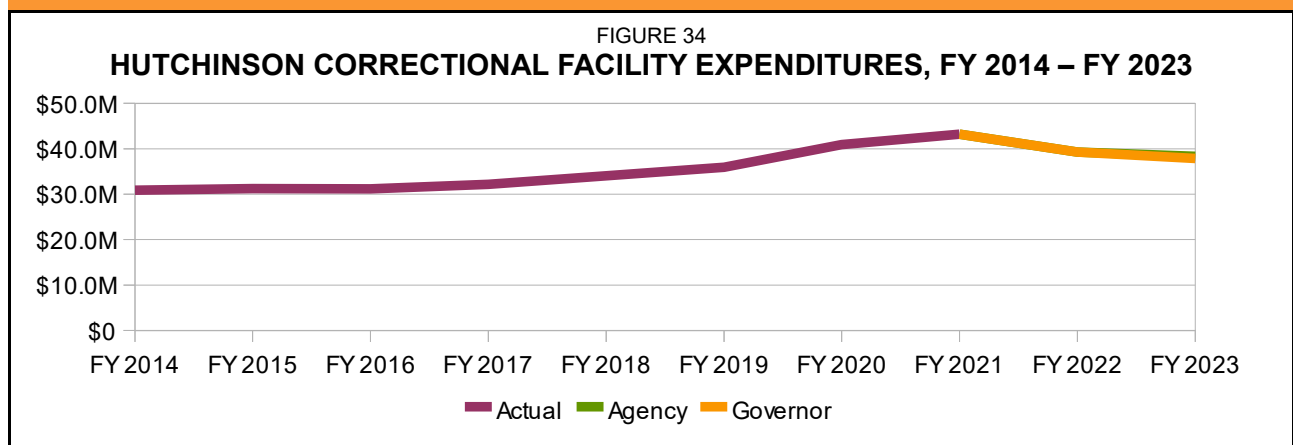
The **Ellsworth Correctional Facility** requests \$17.6 million, including \$17.6 million SGF, for FY 2023. This is an all funds decrease of \$223,733, and an SGF increase of \$162,977, from the FY 2022 revised estimate. The SGF increase is attributable to increased salaries

and wages expenditures, which primarily include the agency's enhancement request of \$111,104 for shrinkage reduction, which would allow additional vacant positions to be filled. Other increases include expenditures for employer contributions to group health insurance. The increase is partially offset by decreased contributions for unemployment insurance and KPERS.

The agency's request includes a decrease of \$379,377, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$17.3 million, including \$17.3 million SGF, for the Ellsworth Correctional Facility for FY 2023. This is an SGF decrease of \$314,194 below the agency's FY 2023 request. The recommendation does not include the agency's enhancement request for a shrinkage reduction. Further, the recommendation decreases expenditures by an additional \$203,090 due to unit drawdowns resulting from a lower prison population projection.

HUTCHINSON CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The Hutchinson Correctional Facility (HCF) dates back to 1885, when the Kansas Legislature appropriated \$1.0 million for the Kansas State Industrial Reformatory. The facility's first cell house was completed in 1898 and contained 200 single-occupancy cells, each 40 square feet in size.

Currently, HCF is multi-unit correctional facility housing male inmates at the minimum-, medium-, and maximum-security levels. The Central Unit houses maximum- and medium-custody inmates in the traditional walled and secure prison environment. The East Unit is a

medium-custody, fenced-in facility with several treatment programs, including the Industrial program, which provides a number of inmate jobs. The South Unit is a minimum-security facility providing community service to the City of Hutchinson and other eligible organizations. The purpose of the Work Release Unit, which opened in 1972 and is now contained in the South Unit, is to place inmates in a community job to facilitate their transitions from HCF to society.

The total operating capacity of Hutchinson in FY 2022 is 1,788 beds.

FIGURE 35
HUTCHINSON CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover Rate- Uniformed*	23.5 %	28.7 %	25.1 %	25.0 %	25.0 %
2. Staff Turnover Rate- Non Uniformed*	13.1 %	13.1 %	12.9 %	10.0 %	10.0 %
Output Measure:					
3. Average Daily Population*	1,662	1,759	1,765	1,944	1,944
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 39,360,110	\$ 41,698,709		\$ 38,030,090	\$ 37,688,373
Federal Funds	66,464	599,768		-	-
All Other Funds	1,461,446	912,963		1,220,429	161,394
TOTAL	<u>\$ 40,888,020</u>	<u>\$ 43,211,440</u>		<u>\$ 39,250,519</u>	<u>\$ 37,849,767</u>
Percentage Change:					
SGF	13.0 %	5.9 %		(8.8) %	(0.9) %
All Funds	13.9 %	5.7 %		(9.2) %	(3.6) %
FTE Positions	508.0	510.0		510.0	509.0

*Performance measure not evaluated by the Office of Governor.

BUDGET ANALYSIS

The **Hutchinson Correctional Facility** requests a revised estimate of \$39.3 million, including \$38.0 million SGF, in FY 2022. This is an all funds increase of \$1.5 million, including an SGF increase of \$412,431, above the FY 2022 approved amount. The SGF increase is attributable to increased expenditures for utilities, including natural gas, water and electricity, and building repair services. The increase is partially offset by decreased expenditures for overtime pay and holiday pay.

The agency's revised estimate includes capital improvement expenditures totaling \$1.1 million, all from special revenue funds, in FY 2022. Major projects include roofing of the East Unit, installation of a medical clinic stairway, and replacement of exit doors at cell houses.

The **Governor** concurs with the agency's revised estimate.

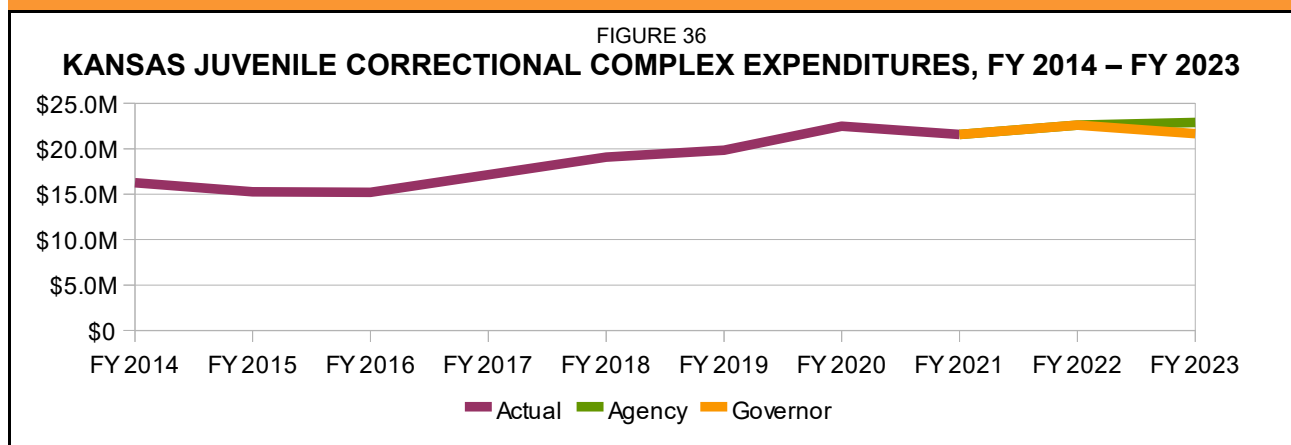
The **Hutchinson Correctional Facility** requests \$38.3 million, including \$38.2 million SGF, for FY 2023. This is an all funds decrease

of \$935,853, and an SGF increase of \$123,182, from the FY 2022 revised estimate. The SGF increase is primarily attributed to an increase in employer contributions to group health insurance and overtime pay. The increase is partially offset by decreased contributions for workers' compensation and KPERs.

The agency's request includes a decrease of \$1.1 million, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$37.8 million, including \$37.7 million SGF, for the Hutchinson Correctional Facility for FY 2023. This is an SGF decrease of \$464,899 below the agency's FY 2023 request. The recommendation includes a decrease in expenditures of \$464,899 due to unit drawdowns resulting from a lower prison population projection.

KANSAS JUVENILE CORRECTIONAL COMPLEX



STATUTORY BASIS: • KSA 75-7024, KSA 76-2101

PROGRAM GOALS:

- Maintain a high standard of professionalism in the provision of juvenile correctional services and programs so as to ensure a controlled, healthy, safe, and secure environment for the rehabilitation of offenders.
- Provide youth with the life and competency skills to function in society.

The state’s first institution for juvenile rehabilitation was established in Topeka. In 1879, the Legislature provided for the selection of a site for a “state reform school,” under the control of State Charitable Institutions. Subsequent name changes in 1901 and 1974 occurred, and the facility became known as the “Youth Center at Topeka” until the Juvenile Justice Authority assumed responsibility for operations in July 1997. Construction of the current Kansas Juvenile Correctional Complex (KJCC) on the site was completed in 2005. Vacated structures of the former facility remain on site.

Executive Reorganization Order No. 42, which became effective July 1, 2013, abolished the Juvenile Justice Authority and moved oversight of the facility to KDOC. In 2017, Larned Juvenile Correctional Facility closed, and all committed juvenile offenders in Kansas were moved to the KJCC.

The KJCC is the only juvenile correctional facility in Kansas and provides beds for the following individuals:

- Adjudicated juveniles committed as juvenile offenders;
- Extended jurisdiction juveniles;
- Youth committed to the Secretary of Corrections; and
- Juvenile felons between the ages of 10 and 23.

The KJCC facility is composed of five areas: the diagnostic/classification area, secure housing for male residents, secure housing for female residents, central programs areas, and administrative/support areas. All facility components are located within a secure perimeter fence, with the exception of administration and some general service areas. The facility is projected to operate 150 beds in FY 2022 and 160 beds in FY 2023.

FIGURE 37
KANSAS JUVENILE CORRECTIONAL COMPLEX, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover- Uniformed*	36.5 %	41.6 %	62.6 %	20.0 %	20.0 %
2. Staff Turnover- Non-Uniformed*	17.0 %	22.3 %	17.5 %	5.0 %	5.0 %
Output Measure:					
3. Average Daily Population*	162	135	154	140	140
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 20,539,308	\$ 20,649,896		\$ 21,042,345	\$ 21,154,592
Federal Funds	565,021	746,867		499,252	499,252
All Other Funds	1,376,352	183,745		1,044,874	8,275
TOTAL	\$ 22,480,681	\$ 21,580,508		\$ 22,586,471	\$ 21,662,119
Percentage Change:					
SGF	8.9 %	0.5 %		1.9 %	0.5 %
All Funds	13.4 %	(4.0) %		4.7 %	(4.1) %
FTE Positions	264.5	264.5		264.5	264.5

*Performance measure not evaluated by the Office of Governor.

BUDGET ANALYSIS

The **Kansas Juvenile Correctional Complex** requests a revised estimate of \$22.6 million, including \$21.0 million SGF, in FY 2022. This is an all funds increase of \$1.0 million and an SGF decrease of \$407,499, from the FY 2022 approved amount. The SGF decrease is attributable to decreased employer contributions to group health insurance and decreased expenditures for the food service contract. The decrease is partially offset by increased expenditures for overtime pay.

The agency's revised estimate includes capital improvement expenditures totaling \$1.0 million, all from special revenue funds, in FY 2022. Major projects include replacement of two HVAC chillers, replacement of an emergency campus generator, and parking lot resealing.

The **Governor** concurs with the agency's revised estimate in FY 2022.

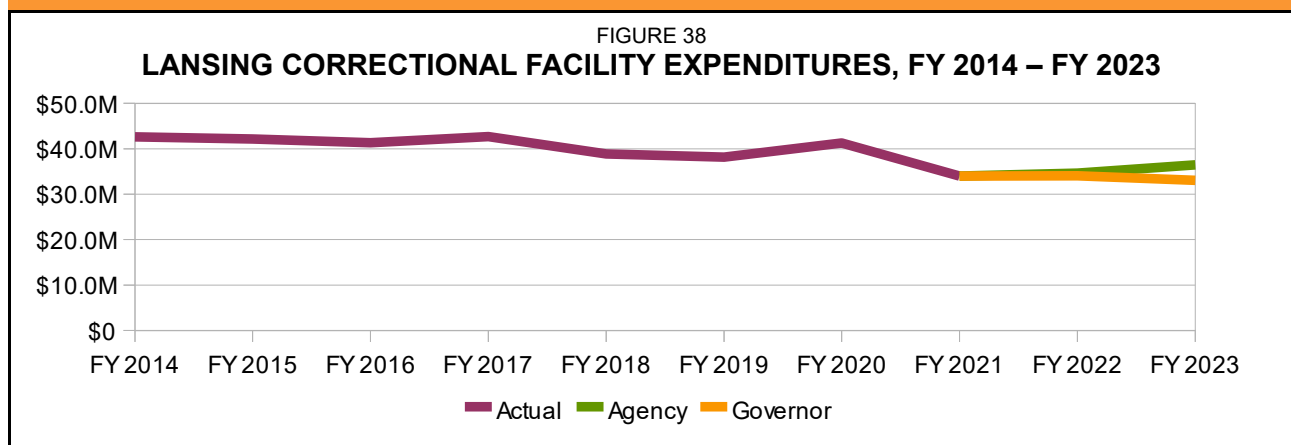
The **Kansas Juvenile Correctional Complex** requests \$22.9 million, including

\$22.4 million SGF, for FY 2023. This is an all funds increase of \$310,875, and an SGF increase of \$1.3 million, above the FY 2022 revised estimate. The SGF increase is attributable to the agency enhancement request of \$1.2 million for a shrinkage reduction, which would allow vacant positions to be filled.

The agency's request includes a decrease of \$1.0 million, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$21.2 million, including \$21.2 million SGF, for the Kansas Juvenile Correctional Complex for FY 2023. This is an SGF decrease of \$1.2 million below the agency's FY 2023 request. The recommendation does not include the agency's enhancement request for a shrinkage reduction.

LANSING CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The Lansing Correctional Facility (Lansing), authorized by the *Kansas Constitution* in 1859 as the Kansas State Penitentiary, is the state's largest facility for the incarceration and rehabilitation of male offenders at the minimum-, medium-, and maximum-custody levels. In 1990, the name of the facility was changed to Lansing Correctional Facility.

The 2017 Legislature authorized construction of new units at Lansing. Subsequently, the State Finance Council approved plans for a 20-year lease-purchase agreement totaling \$362.2 million for construction of a 1,920-bed medium/maximum security unit and a 512-bed minimum security unit. Construction was completed in FY 2020 and the transition of inmates to these new units was accelerated in response to the COVID-19 pandemic, as the

new units offered more individualized cells, modern air circulating systems, and more adequate medical facilities. Vacated structures from the old facility remain on site.

Lansing also houses on its grounds the headquarters of Kansas Correctional Industries, as well as many of the Kansas Correctional Industries manufacturing shops.

The 2020 Legislature appropriated funds to the KDOC Central Office for renovation of the former X-Unit building at Lansing into a 200-bed substance abuse treatment center. However, the project was delayed primarily due to the COVID-19 pandemic.

The total operating capacity of Lansing in FY 2022 is 2,432 beds.

FIGURE 39
LANSING CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover Rate- Uniformed*	22.9	27.1	23.9	33.3	24.7
2. Staff Turnover Rate- Non-Uniformed*	27.8	25.8	25.6	24.7	19.4
Output Measure:					
3. Average Daily Population*	1,762	1,762	1,816	2,142	2,142
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 38,978,570	\$ 23,390,896		\$ 33,176,563	\$ 32,854,096
Federal Funds	1,002,834	9,844,364		-	-
All Other Funds	1,263,014	766,688		841,613	225,000
TOTAL	<u>\$ 41,244,418</u>	<u>\$ 34,001,948</u>		<u>\$ 34,018,176</u>	<u>\$ 33,079,096</u>
Percentage Change:					
SGF	3.8 %	(40.0) %		41.8 %	(1.0) %
All Funds	8.1 %	(17.6) %		0.0 %	(2.8) %
FTE Positions	525.5	410.0		410.0	451.6
*Performance measure not evaluated by the Office of Governor.					

BUDGET ANALYSIS

The **Lansing Correctional Facility** requests a revised estimate of \$34.6 million, including \$33.8 million SGF, in FY 2022. This is an all funds increase of \$1.3 million, and an SGF increase of \$745,255, above the FY 2022 approved amount. This SGF increase is attributable to increased salaries and wages expenditures primarily due to the agency's supplemental request of \$619,485 for overtime pay. Due to security staff shortages, the agency shifted from 8-hour shifts to 12-hour shifts, which resulted in 48-hour work weeks for 11 pay periods. The increase is partially offset by decreased salaries and wages expenditures for regular pay and employer contributions for group health insurance.

The agency's revised estimate includes capital improvement expenditures totaling \$591,613, all from special revenue funds, in FY 2022. Major projects include the upgrades to HVAC systems in various buildings, replacement of locks in offices, and replacement of a waterline.

The **Governor** recommends expenditures of \$34.0 million, including \$33.2 million SGF, for the Lansing Correctional Facility in FY 2022. This is an SGF decrease of \$619,485 below the agency's FY 2022 revised estimate. The

recommendation does not include the agency's enhancement request for overtime pay.

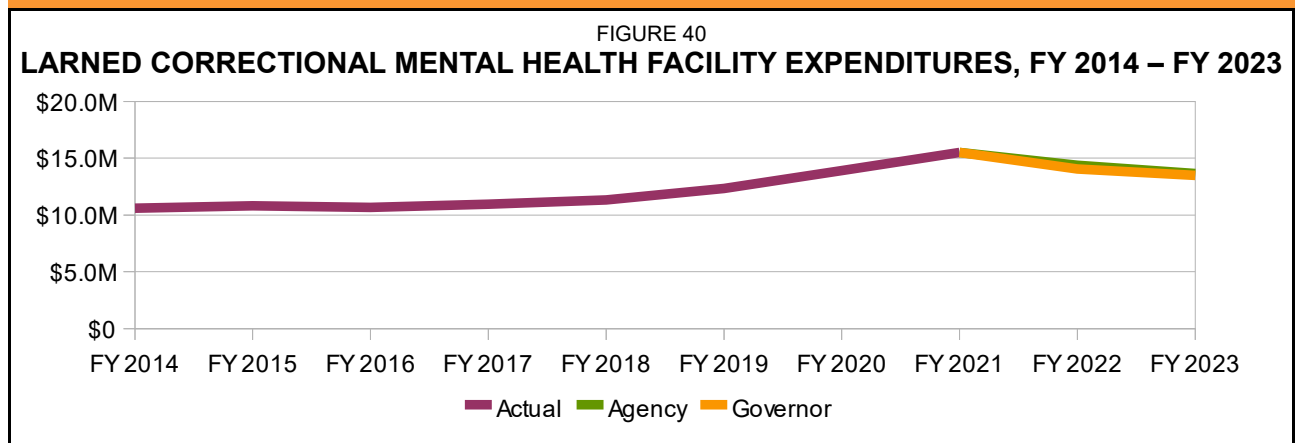
The **Lansing Correctional Facility** requests \$36.4 million, including \$36.2 million SGF, for FY 2023. This is an all funds increase of \$1.8 million, and an SGF increase of \$2.4 million, above the FY 2022 revised estimate. The increase is attributable to the agency's two enhancement requests totaling \$3.0 million SGF. The first enhancement request is \$2.6 million for operating expenditures for the newly established substance abuse treatment center in the renovated East Unit. This request includes the addition of 34.0 FTE positions, which includes correctional officers, unit team counselors, and administrative staff, and other operating expenditures. The second enhancement request is for \$385,077 for a shrinkage reduction, which allows vacant positions to be filled. The increase is partially offset by decreased employer contributions for unemployment insurance and KPERs.

The agency's request includes a decrease of \$591,613, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$32.9 million, including \$32.8 million SGF, for the Lansing Correctional Facility for FY 2023. This is an SGF decrease of \$3.4 million below the agency's FY 2023 request. The Governor recommends the agency's enhancement request, with modifications. The recommendation adds 41.6 FTE positions to the Lansing Correctional Facility, which is 7.6

FTE positions above the agency's request, and adds a total of \$9.6 million to the KDOC Central Office rather than the facility to be distributed for this purpose. Further, the recommendation decreases expenditures by an additional \$401,957 due to unit drawdowns resulting from a lower prison population projection.

LARNED CORRECTIONAL MENTAL HEALTH FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The Larned Correctional Mental Health Facility (LCMHF) was constructed in 1989 to bring the State into compliance with a U.S. District Court order that mandated meeting the long-term needs of mentally ill inmates. Currently, LCMHF is a 614-bed facility, with the Central Unit housing medium-security male offenders and the South Unit housing minimum-security offenders. Inmates assigned to the South Unit provide work details to support the facility and the nearby Larned State Hospital, and work private industry jobs in the community.

In recent years, all mental health treatment capabilities were moved to the El Dorado Correctional Facility; however, the Larned Correctional Mental Health Facility remains the statutory institutional name.

In FY 2021, the facility managed the temporary activation of the nearby former Larned Juvenile Correctional Facility as a COVID-19 intake isolation unit for adult male offenders.

The 2021 Legislature approved a measure to shift expenditures from the Larned State Hospital to KDOC for the provision of food service at LCMHF. This measure eliminated a long-standing agreement among the agencies by which the state hospital provided food preparation for LCMHF in exchange for inmate labor. Food service for the correctional facility is now provided on site as part of the KDOC systemwide food services contract with Aramark.

FIGURE 41
LARNED CORRECTIONAL MENTAL HEALTH FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover Rate- Uniformed*	24.2 %	31.8 %	26.3 %	18.0 %	18.0 %
2. Staff Turnover Rate- Non-Uniformed*	10.5 %	17.5 %	12.4 %	12.7 %	10.9 %
Output Measure:					
3. Average Daily Population*	595	609	594	575	593
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 13,698,979	\$ 10,315,782		\$ 13,599,226	\$ 13,479,391
Federal Funds	108,670	4,639,463		-	-
All Other Funds	85,527	537,598		453,321	-
TOTAL	<u>\$ 13,893,176</u>	<u>\$ 15,492,843</u>		<u>\$ 14,052,547</u>	<u>\$ 13,479,391</u>
Percentage Change:					
SGF	14.8 %	(24.7) %		31.8 %	(0.9) %
All Funds	12.7 %	11.5 %		(9.3) %	(4.1) %
FTE Positions	189.0	189.0		189.0	189.0

*Performance measure not evaluated by the Office of Governor.

BUDGET ANALYSIS

The **Larned Correctional Mental Health Facility** requests a revised estimate of \$14.4 million, including \$13.9 million SGF, in FY 2022. This is an all funds increase of \$892,680, and an SGF increase of \$439,359, above the FY 2022 revised estimate. The SGF increase is attributable to the agency's supplemental request of \$322,077 for a shrinkage reduction, which allows vacant positions to be filled. Other increases include expenditures for overtime pay.

The agency's revised estimate includes capital improvement expenditures totaling \$453,251, all from special revenue funds, in FY 2022. Major projects include security camera system upgrades, improvements to the former Larned Juvenile Correctional Facility, and general renovations.

The **Governor** recommends expenditures of \$14.1 million, including \$13.6 million SGF, for the Larned Correctional Mental Health Facility in FY 2022. This is an SGF decrease of \$322,077 below the agency's FY 2022 revised estimate. The recommendation does not

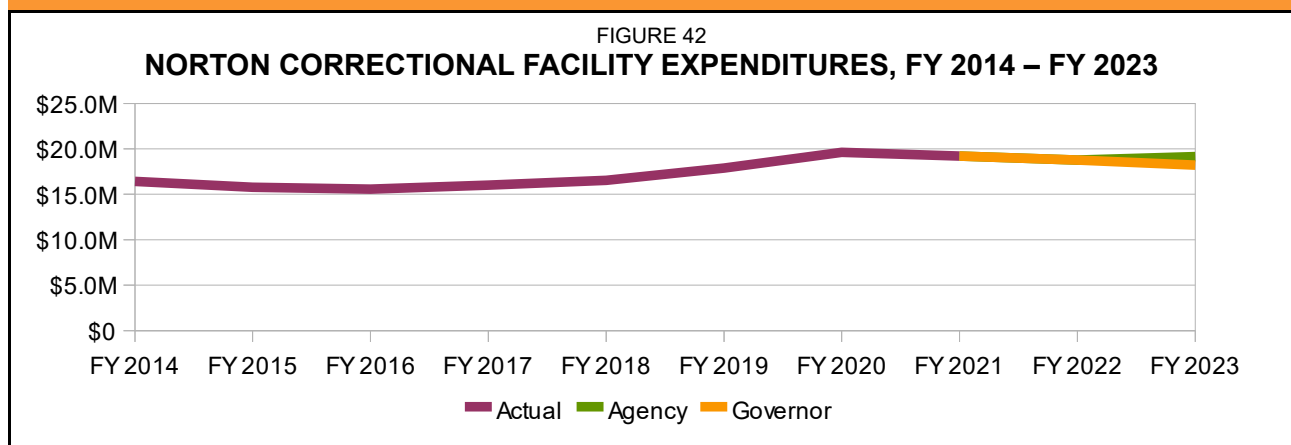
include the agency's supplemental request for a shrinkage reduction.

The **Larned Correctional Mental Health Facility** requests \$13.6 million, all SGF, for FY 2023. This is an all funds decrease of \$730,369 and an SGF decrease of \$277,148, below the FY 2022 revised estimate. The SGF decrease is attributable to decreased salaries and wages expenditures for fringe benefits.

The agency's request includes a decrease of \$453,251, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$13.5 million for the Larned Correctional Mental Health Facility for FY 2023. This is an SGF decrease of \$164,764 below the agency's FY 2023 request. The recommendation decreases expenditures by \$164,764 due to unit drawdowns resulting from a lower prison population projection.

NORTON CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The Norton Correctional Facility (NCF) opened in 1987. NCF houses male offenders in the converted former Norton State Hospital and at a smaller satellite facility in Stockton that was converted from a farm implement dealership building. NCF currently houses medium- and

minimum-security inmates, with a total operating capacity of 977 beds.

Kansas Correctional Industries (KCI) has partnered with four private industry employers in the region, where a total of 43 NCF offenders are employed.

FIGURE 43
NORTON CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Staff Turnover Rate- Uniformed*	26.0 %	22.4 %	22.1 %	23.0 %	23.0 %
2. Staff Turnover Rate- Non-Uniformed*	7.4 %	15.1 %	12.4 %	13.0 %	13.0 %
Output Measure:					
3. Average Daily Population*	965	821	920	853	853
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 19,179,676	\$ 18,474,691		\$ 18,132,791	\$ 18,002,787
Federal Funds	24,851	-		-	-
All Other Funds	404,503	744,749		624,283	190,055
TOTAL	\$ 19,609,030	\$ 19,219,440		\$ 18,757,074	\$ 18,192,842
Percentage Change:					
SGF	11.6 %	(3.7) %		(1.9) %	(0.7) %
All Funds	9.6 %	(2.0) %		(2.4) %	(3.0) %
FTE Positions	264.0	248.0		248.0	246.0

*Performance measure not evaluated by the Office of Governor.

BUDGET ANALYSIS

The Norton Correctional Facility requests a \$18.1 million SGF, in FY 2022. This is an all revised estimate of \$18.8 million, including funds decrease of \$419,971, and an SGF

decrease of \$854,199, below the FY 2022 approved amount. The SGF decrease is attributable to decreased salaries and wages expenditures due primarily to the decrease of 16.0 FTE positions. The decrease is partially offset by increased expenditures for building and equipment repair services.

The agency's revised estimate includes capital improvement expenditures totaling \$435,967, all from special revenue funds, in FY 2022. Major projects include lagoon expansion, replacement of HVAC systems, and installation of a water softener system.

The **Governor** concurs with the agency's revised estimate in FY 2022.

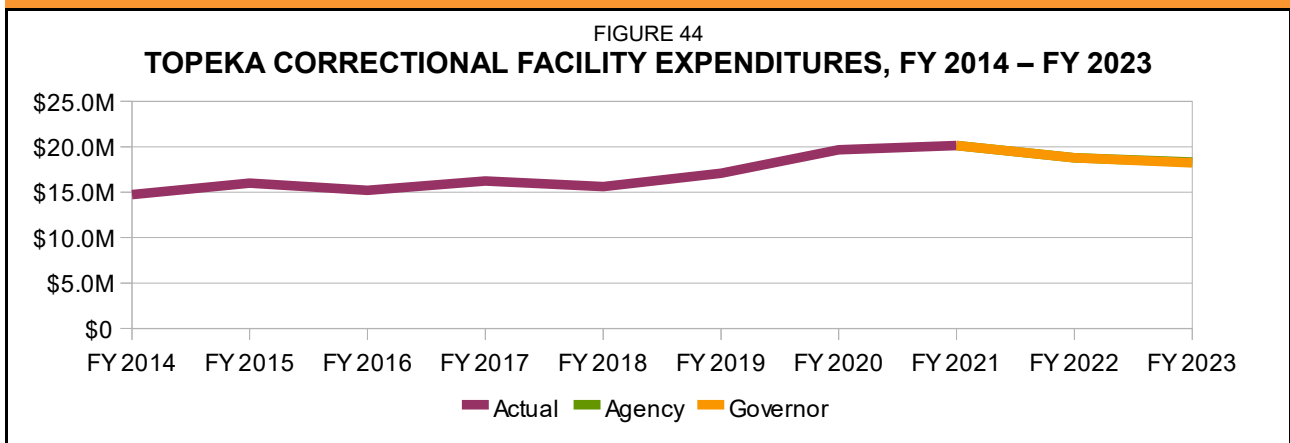
The **Norton Correctional Facility** requests \$19.1 million, including \$18.9 million SGF, in FY 2023. This is an all funds increase of \$376,129 and an SGF increase of \$810,257, above the the FY 2022 revised estimate. The SGF increase is attributed to the agency's enhancement request for a shrinkage reduction

of \$762,177, which allows vacant positions to be filled. The increase is partially offset by decreased employer contributions for fringe benefits, such as KPERS and unemployment insurance.

The agency's request includes a decrease of \$435,967, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$18.2 million, including \$18.0 million SGF, for the Norton Correctional Facility for FY 2023. This is an SGF decrease of \$940,361 below the agency's FY 2023 request. The recommendation does not include the agency's enhancement request for a shrinkage reduction. Further, the recommendation decreases expenditures by an additional \$178,184 due to unit drawdowns resulting from from a lower prison population projection.

TOPEKA CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The Topeka Correctional Facility (TCF) is the state's sole female correctional facility. TCF dates back to 1961, when the former Topeka Technical College was converted into the State Reception and Diagnostic Center (SRDC) with the primary function of evaluating male and female offenders sentenced to the Kansas State Penitentiary in Lansing and the Kansas State Industrial Reformatory in Hutchinson.

Until March 2001, the Reception and Diagnostic Unit (RDU), also located on the grounds, served as the state's central intake point for all male offenders committed to the custody of the Secretary of Corrections. The 1999 Legislature appropriated funds and

bonding authority of \$16.0 million for the construction of two housing units and the transfer of RDU functions for male offenders to the El Dorado Correctional Facility. This relocation resulted in the TCF offender population being all female.

TCF is currently composed of two housing compounds. The A-G Compound, formerly the Topeka Technical College, consists of six minimum/medium-security dormitories. The I-J Compound is a medium/maximum-security compound located on the grounds of what was previously the SRDC. The total operating capacity of TCF in FY 2022 is 932 beds.

FIGURE 45
TOPEKA CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Turnover Rate- Uniformed*	20.0 %	26.6 %	25.0 %	25.2 %	22.6 %
2. Turnover Rate- Non-Uniformed*	12.0 %	32.5 %	20.0 %	31.7 %	23.1 %
Output Measure:					
3. Average Daily Population*	887	750	852	741	742
Financing	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 19,131,687	\$ 19,264,250		\$ 17,900,929	\$ 17,767,757
Federal Funds	388,574	224,963		107,717	107,965
All Other Funds	156,659	647,728		764,921	248,904
TOTAL	<u>\$ 19,676,920</u>	<u>\$ 20,136,941</u>		<u>\$ 18,773,567</u>	<u>\$ 18,124,626</u>
Percentage Change:					
SGF	15.5 %	0.7 %		(7.1) %	(0.7) %
All Funds	15.3 %	2.3 %		(6.8) %	(3.5) %
FTE Positions	260.0	261.5		261.5	261.5
*Performance measure not evaluated by the Office of Governor.					

BUDGET ANALYSIS

The **Topeka Correctional Facility** requests a revised estimate of \$18.8 million, including \$17.9 million SGF, in FY 2022. This is an all funds increase of \$297,662, and an SGF decrease of \$223,213, from the FY 2022 approved amount. The SGF decrease is attributable to increased shrinkage, which results in additional positions held vacant. Other decreases include expenditures for group health insurance.

The agency's revised estimate includes capital improvement expenditures totaling \$516,180, all from special revenue funds, in FY 2022. Major projects include security camera system upgrades and replacement of a mechanical unit in the dining hall.

The **Governor** concurs with the agency revised estimate in FY 2022.

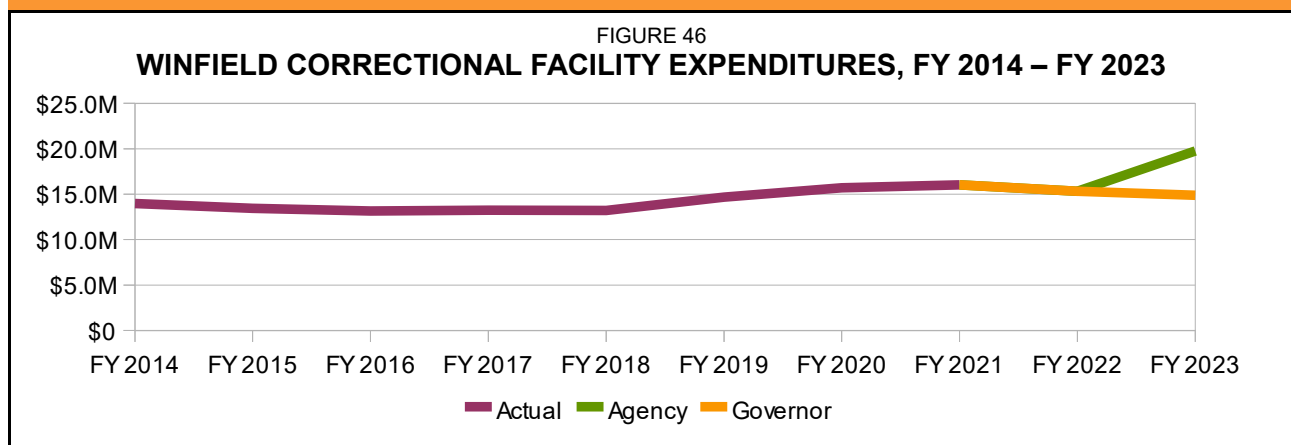
The **Topeka Correctional Facility** requests \$18.3 million, including \$18.0 million SGF, for

FY 2023. This is an all funds decrease of \$462,574, and an SGF increase of \$53,195, from the FY 2022 revised estimate. The SGF increase is attributable to a shrinkage reduction, which allows vacant positions to be filled. Other increases include employer contributions for group health insurance.

The agency's request includes a decrease of \$516,180, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$18.1 million, including \$17.8 million SGF, for the Topeka Correctional Facility for FY 2023. This is an SGF decrease of \$186,367 below the agency's FY 2023 request. The recommendation decreases expenditures by \$186,367 due to unit drawdowns resulting from from a lower prison population projection.

WINFIELD CORRECTIONAL FACILITY



STATUTORY BASIS: • KSA 75-5201, 75-5202, 75-5206, 75-5246, 75-5252, 75-5256, 75-52,122
PROGRAM GOALS: • Provide for the secure and humane confinement of offenders and for public safety.

The Winfield Correctional Facility (WCF), established in 1984, is a minimum-security facility for male offenders. During the 1995 Session, funds were appropriated to renovate two buildings formerly used by the Winfield State Hospital. In September 1996, management of the Wichita Work Release Facility and its budget were shifted from the KDOC Central Office to the WCF office. The work release facility's 254-bed capacity increased the total capacity for the combined operations to 886 beds.

WCF maintains an interagency relationship with the nearby Kansas Veterans' Home (KVH), which became operational in 2000. WCF provides grounds maintenance and security services, and funds utility expenses for KVH.

The 2020 Legislature appropriated funds to the KDOC Central Office for renovation of two vacated buildings at KVH into a 241-bed cognitive care and substance abuse treatment facility for inmates. However, the project was delayed primarily due to the COVID-19 pandemic.

FIGURE 47
WINFIELD CORRECTIONAL FACILITY, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Turnover Rate- Uniformed*	10.8 %	17.0 %	16.5 %	16.8 %	16.8 %
2. Turnover Rate- Non-Uniformed*	12.9 %	8.5 %	12.9 %	12.5 %	12.5 %
Output Measure:					
3. Average Daily Population*	577	577	646	755	772
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ 15,200,388	\$ 13,575,836		\$ 14,598,706	\$ 14,443,295
Federal Funds	246,547	1,773,354		-	-
All Other Funds	248,094	691,812		714,966	428,740
TOTAL	\$ 15,695,029	\$ 16,041,002		\$ 15,313,672	\$ 14,872,035
Percentage Change:					
SGF	7.7 %	(10.7) %		7.5 %	(1.1) %
All Funds	7.0 %	2.2 %		(4.5) %	(2.9) %
FTE Positions	201.0	201.0		201.0	263.5

*Performance measure is not evaluated by the Office of Governor.

PERFORMANCE AND BUDGET ANALYSIS

The **Winfield Correctional Facility** requests a revised estimate of \$15.3 million, including \$14.6 million SGF, in FY 2022. This is an all funds decrease of \$253,676, and an SGF decrease of \$498,600, below the FY 2022 approved amount. The SGF decrease is primarily attributable the temporary closure of operations at the Wichita Work Release Facility for a period of eight months.

The agency's revised estimate includes capital improvement expenditures totaling \$287,515, all from special revenue funds, in FY 2022. Major projects include replacement of boiler units, replacement of hydronic piping, and utility tunnel upgrades.

The **Governor** concurs with the agency revised estimate in FY 2022.

The **Winfield Correctional Facility** requests \$19.8 million, including \$19.3 million SGF, for FY 2023. This is an all funds increase of \$4.4 million, and an SGF increase of \$4.7 million, above the FY 2022 revised estimate. The SGF increase is attributable to the agency's enhancement request of \$4.7 million SGF for operating expenditures at a newly established assisted living unit and substance abuse treatment center for inmates in renovated

buildings at the adjacent Kansas Veterans' Home. The request includes the addition of 55.0 FTE positions, such as correctional officers, unit team counselors, and administrative staff, as well as other expenditures.

The agency's request includes a decrease of \$287,515, all from special revenue funds, for capital improvements for FY 2023. Such expenditures are retained at the KDOC Central Office in the budget year for planning purposes.

The **Governor** recommends expenditures of \$14.9 million, including \$14.4 million SGF, for the Winfield Correctional Facility for FY 2023. This is an SGF decrease of \$4.9 million below the agency's FY 2023 request. The Governor recommends the agency's enhancement request, with modifications. The recommendation adds 62.5 FTE positions to the Winfield Correctional Facility, which is 7.5 FTE positions above the agency's request, and adds a total of \$9.6 million to the KDOC Central Office rather than the facility to be distributed for this purpose. Further, the recommendation decreases expenditures by an additional \$199,020 due to unit drawdowns resulting from a lower prison population projection.

CAPITAL IMPROVEMENTS

The Kansas Department of Corrections is responsible for the upkeep of 8 adult correctional facilities, a juvenile facility, and other sites across the state. In addition to the State General Fund, three special revenue funds are utilized:

- The Correctional Institutions Build Fund (CIBF) is dedicated to the upkeep of adult correctional facilities. The fund is financed from a 10.0 percent annual transfer from the first \$50.0 million credited to the State Gaming Revenue Fund.

- The State Institutions Building Fund (SIBF) is financed from a one-half mill tax levy on real property. Funds may be used for juvenile correctional facilities.
- The Correctional Industries Fund derives revenue from the Kansas Correctional Industries (KCI) program, which includes the sale of inmate-manufactured products. Most of the revenue is intended for program needs; however, funds may also be expended for capital improvements of KCI facilities.

FIGURE 48
CAPITAL IMPROVEMENTS, FY 2021 – FY 2023

	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
KDOC Central Office:					
Rehabilitation and Repair	\$ 158,781	\$ 2,865,413	\$ 2,865,413	\$ 9,191,584	\$ 5,256,264
Lansing and Winfield Expansion Projects	577,256	12,703,806	12,703,806	-	-
Kansas Correctional Industries	1,776,214	3,180,108	3,180,108	3,039,935	3,039,935
<i>Subtotal–Central Office</i>	<u>\$ 2,512,251</u>	<u>\$ 18,749,327</u>	<u>\$ 18,749,327</u>	<u>\$ 12,231,519</u>	<u>\$ 8,296,199</u>
Facilities R&R:					
El Dorado CF	\$ 778,540	\$ 675,269	\$ 675,269	\$ -	\$ -
Ellsworth CF	265,962	379,377	379,377	-	-
Hutchinson CF	832,557	1,058,754	1,058,754	-	-
Kansas Juvenile CC	593,999	1,036,599	1,036,599	-	-
Lansing CF	585,565	591,613	591,613	-	-
Larned CMHF	539,312	453,251	453,251	-	-
Norton CF	554,076	435,967	435,967	-	-
Topeka CF	488,534	516,180	516,180	-	-
Winfield CF	865,881	287,515	287,515	-	-
<i>Subtotal–Facilities</i>	<u>\$ 5,504,426</u>	<u>\$ 5,434,525</u>	<u>\$ 5,434,525</u>	<u>\$ -</u>	<u>\$ -</u>
TOTAL	<u>\$ 8,016,677</u>	<u>\$ 24,183,852</u>	<u>\$ 24,183,852</u>	<u>\$ 12,231,519</u>	<u>\$ 8,296,199</u>
Financing:					
SGF	\$ 1,103,191	\$ 6,614,588	\$ 6,614,588	\$ -	\$ -
COVID-19 Federal Relief Funds	218,649	-	-	-	-
CIBF	4,734,878	6,843,502	6,843,502	8,527,320	4,592,000
SIBF	183,745	7,545,654	7,545,654	664,264	664,264
Correctional Industries	1,776,214	3,180,108	3,180,108	3,039,935	3,039,935
TOTAL	<u>\$ 8,016,677</u>	<u>\$ 24,183,852</u>	<u>\$ 24,183,852</u>	<u>\$ 12,231,519</u>	<u>\$ 8,296,199</u>

FY 2022 CAPITAL IMPROVEMENTS

The **agencies** request a revised estimate of \$24.2 million, including \$6.6 million SGF, for capital improvement expenditures throughout the KDOC System in FY 2022. This is an all funds increase of \$2.4 million, and an SGF decrease of \$1.9 million, from the FY 2022 approved amount.

KDOC CENTRAL OFFICE

The agency requests a revised estimate of \$18.7 million, including \$6.6 million SGF, for capital improvements expenditures in the KDOC Central Office in FY 2022.

This is an all funds decrease of \$3.1 million and an SGF decrease of \$1.9 million. The decrease is primarily due to the transfers totaling \$3.1 million CIBF and SIBF to correctional facilities for routine repair and rehabilitation.

The agency budgeted a total of \$12.7 million for expansion projects at the Lansing and Winfield correctional facilities. The Lansing project will renovate the former East Unit into a 200-bed substance abuse treatment center. The Winfield project will renovate two buildings at the adjacent Kansas Veterans' Home into a 241-bed assisted living unit and substance abuse treatment center. Total financing for the project includes \$6.1 million SIBF and \$6.6 million SGF, which reappropriated from FY 2021 due to a delay in construction.

Additionally, the agency is conducting a study of potentially repurposing KJCC and establishing three smaller regional juvenile facilities pursuant to provisions of 2021 SB 159.

CORRECTIONAL FACILITIES

The agencies request a revised estimate totaling \$5.4 million, including \$4.4 million CIBF and \$1.0 million SIBF, for capital improvements among the nine correctional facilities. Funds for capital improvements are typically appropriated to the KDOC Central Office in the budget year, then transferred to facilities in the current year based on need.

Therefore, all revised estimate expenditures are new. Major expenditures include:

- **EL DORADO CORRECTIONAL FACILITY.** The agency requests \$675,269 CIBF for capital improvements expenditures. Major projects include the purchase of razorwire (\$472,600), camera system upgrades (\$75,697), and replacement of an emergency generator (\$45,040).
- **ELLSWORTH CORRECTIONAL FACILITY.** The agency requests \$379,377 CIBF for capital improvement expenditures. Major projects include the replacement of boiler units (\$126,078), repair of a fire suppression sprinkler system (\$90,600), and security camera system upgrades (75,194).
- **HUTCHINSON CORRECTIONAL FACILITY.** The agency requests \$1.1 million CIBF for capital improvement expenditures. Major projects include reroofing of the East Unit (\$405,000), installation of a medical clinic stairway (\$170,664), and replacement of exit doors at cell houses (\$139,700).
- **KANSAS JUVENILE CORRECTIONAL COMPLEX.** The agency requests \$1.0 million SIBF for capital improvement expenditures. Major projects include replacement of two HVAC chillers (\$533,900), replacement of an emergency campus generator (\$345,320), and parking lot resealing (\$150,000).
- **LANSING CORRECTIONAL FACILITY.** The agency requests \$591,613 CIBF for capital improvement expenditures. Major projects include the upgrades to HVAC systems in various buildings (\$319,205), replacement of locks in offices (\$97,300), and replacement of a waterline (\$52,000).
- **LARNED CORRECTIONAL MENTAL HEALTH FACILITY.** The agency requests \$453,251 CIBF for capital improvement expenditures. Major projects include security camera system upgrades (\$395,372) and improvements

to the former Larned Juvenile Correctional Facility (\$28,549).

- **NORTON CORRECTIONAL FACILITY.** The agency requests \$435,967 CIBF for capital improvement expenditures. Major projects include lagoon expansion (\$126,597), replacement of HVAC systems (\$90,200), and installation of a water softener system (\$76,500).
- **TOPEKA CORRECTIONAL FACILITY.** The agency requests \$516,180 CIBF for capital improvement expenditures. Major projects include security camera

The **Governor** concurs with the agency's revised estimate for FY 2023.

system upgrades (\$457,965) and replacement of a mechanical unit in the dining hall (\$19,150).

- **WINFIELD CORRECTIONAL FACILITY.** The agency requests \$287,515 CIBF for capital improvement expenditures. Major projects include replacement of boiler units (\$282,490) and replacement of hydronic piping (\$2,943).

FY 2023 CAPITAL IMPROVEMENTS

The **agencies** request \$12.2 million, all from special revenue funds, for capital improvement expenditures throughout the KDOC System for FY 2023. This is a decrease of \$12.0 million below the FY 2022 revised estimate. The decrease is primarily attributed to the completion of expansion projects at the Lansing and Winfield correctional facilities in FY 2022. The decrease is partially offset by the agency's enhancement request of \$3.9 million CIBF to

The **Governor** recommends \$8.3 million, all from special revenue funds, for capital improvements expenditures for FY 2023. This is a decrease of \$3.9 million below the agencies' FY 2023 request. The decrease is due to the Governor not recommending the agency's

adjust the annual appropriation from the fund to a level that would account for inflation. Moneys from the CIBF are utilized for repair and rehabilitation of correctional facilities. The agency notes that the current annual appropriation of approximately \$5.0 million has been statutorily fixed since 1997; however, the cost of materials and services have grown. The agency requests a total appropriation of \$8.5 million CIBF for FY 2023, which would reflect a 2.5 percent inflation rate since 1997.

enhancement request to increase expenditures from the Correctional Institutions Building Fund to account for inflation.