

HOUSE BILL No. 2418

By Joint Committee on Administrative Rules and Regulations

12-2

1 AN ACT concerning ~~the adult care home licensure act; pertaining to rules~~
2 ~~and regulations~~ **Kansas department for aging and disability services;**
3 **relating to adult care homes;** amending K.S.A. 2013 Supp. 39-923
4 **and 39-925 and repealing the existing ~~section~~ sections.**

5
6 *Be it enacted by the Legislature of the State of Kansas:*

7 **Section 1. K.S.A. 2013 Supp. 39-923 is hereby amended to read as**
8 **follows: 39-923. (a) As used in this act:**

9 (1) **"Adult care home" means any nursing facility, nursing facility**
10 **for mental health, intermediate care facility for people with intellectual**
11 **disability, assisted living facility, residential health care facility, home**
12 **plus, boarding care home and adult day care facility; all of which are**
13 **classifications of adult care homes and are required to be licensed by the**
14 **secretary of aging ~~{for aging and disability services}.~~**

15 (2) **"Nursing facility" means any place or facility operating 24**
16 **hours a day, seven days a week, caring for six or more individuals not**
17 **related within the third degree of relationship to the administrator or**
18 **owner by blood or marriage and who, due to functional impairments,**
19 **need skilled nursing care to compensate for activities of daily living**
20 **limitations.**

21 (3) **"Nursing facility for mental health" means any place or facility**
22 **operating 24 hours a day, seven days a week, caring for six or more**
23 **individuals not related within the third degree of relationship to the**
24 **administrator or owner by blood or marriage and who, due to functional**
25 **impairments, need skilled nursing care and special mental health**
26 **services to compensate for activities of daily living limitations.**

27 (4) **"Intermediate care facility for people with intellectual**
28 **disability" means any place or facility operating 24 hours a day, seven**
29 **days a week, caring for four or more individuals not related within the**
30 **third degree of relationship to the administrator or owner by blood or**
31 **marriage and who, due to functional impairments caused by intellectual**
32 **disability or related conditions, need services to compensate for activities**
33 **of daily living limitations.**

34 (5) **"Assisted living facility" means any place or facility caring for**

1 *six or more individuals not related within the third degree of*
2 *relationship to the administrator, operator or owner by blood or*
3 *marriage and who, by choice or due to functional impairments, may*
4 *need personal care and may need supervised nursing care to compensate*
5 *for activities of daily living limitations and in which the place or facility*
6 *includes apartments for residents and provides or coordinates a range of*
7 *services including personal care or supervised nursing care available 24*
8 *hours a day, seven days a week, for the support of resident*
9 *independence. The provision of skilled nursing procedures to a resident*
10 *in an assisted living facility is not prohibited by this act. Generally, the*
11 *skilled services provided in an assisted living facility shall be provided on*
12 *an intermittent or limited term basis, or if limited in scope, a regular*
13 *basis.*

14 (6) *"Residential health care facility" means any place or facility, or*
15 *a contiguous portion of a place or facility, caring for six or more*
16 *individuals not related within the third degree of relationship to the*
17 *administrator, operator or owner by blood or marriage and who, by*
18 *choice or due to functional impairments, may need personal care and*
19 *may need supervised nursing care to compensate for activities of daily*
20 *living limitations and in which the place or facility includes individual*
21 *living units and provides or coordinates personal care or supervised*
22 *nursing care available on a 24-hour, seven-days-a-week basis for the*
23 *support of resident independence. The provision of skilled nursing*
24 *procedures to a resident in a residential health care facility is not*
25 *prohibited by this act. Generally, the skilled services provided in a*
26 *residential health care facility shall be provided on an intermittent or*
27 *limited term basis, or if limited in scope, a regular basis.*

28 (7) *"Home plus" means any residence or facility caring for not*
29 *more than 12 individuals not related within the third degree of*
30 *relationship to the operator or owner by blood or marriage unless the*
31 *resident in need of care is approved for placement by the secretary of the*
32 *~~department of social and rehabilitation services~~ {for children and*
33 *families}, and who, due to functional impairment, needs personal care*
34 *and may need supervised nursing care to compensate for activities of*
35 *daily living limitations. The level of care provided to residents shall be*
36 *determined by preparation of the staff and rules and regulations*
37 *developed by the {Kansas} department on aging {for aging and disability*
38 *services}. An adult care home may convert a portion of one wing of the*
39 *facility to a not less than five-bed and not more than 12-bed home plus*
40 *facility provided that the home plus facility remains separate from the*
41 *adult care home, and each facility must remain contiguous. Any home*
42 *plus that provides care for more than eight individuals after the effective*
43 *date of this act shall adjust staffing personnel and resources as*

1 *necessary to meet residents' needs in order to maintain the current level*
2 *of nursing care standards. Personnel of any home plus who provide*
3 *services for residents with dementia shall be required to take annual*
4 *dementia care training.*

5 (8) *"Boarding care home" means any place or facility operating 24*
6 *hours a day, seven days a week, caring for not more than 10 individuals*
7 *not related within the third degree of relationship to the operator or*
8 *owner by blood or marriage and who, due to functional impairment,*
9 *need supervision of activities of daily living but who are ambulatory and*
10 *essentially capable of managing their own care and affairs.*

11 (9) *"Adult day care" means any place or facility operating less than*
12 *24 hours a day caring for individuals not related within the third degree*
13 *of relationship to the operator or owner by blood or marriage and who,*
14 *due to functional impairment, need supervision of or assistance with*
15 *activities of daily living.*

16 (10) *"Place or facility" means a building or any one or more*
17 *complete floors of a building, or any one or more complete wings of a*
18 *building, or any one or more complete wings and one or more complete*
19 *floors of a building, and the term "place or facility" may include*
20 *multiple buildings.*

21 (11) *"Skilled nursing care" means services performed by or under*
22 *the immediate supervision of a registered professional nurse and*
23 *additional licensed nursing personnel. Skilled nursing includes*
24 *administration of medications and treatments as prescribed by a licensed*
25 *physician or dentist; and other nursing functions which require*
26 *substantial nursing judgment and skill based on the knowledge and*
27 *application of scientific principles.*

28 (12) *"Supervised nursing care" means services provided by or*
29 *under the guidance of a licensed nurse with initial direction for nursing*
30 *procedures and periodic inspection of the actual act of accomplishing*
31 *the procedures; administration of medications and treatments as*
32 *prescribed by a licensed physician or dentist and assistance of residents*
33 *with the performance of activities of daily living.*

34 (13) *"Resident" means all individuals kept, cared for, treated,*
35 *boarded or otherwise accommodated in any adult care home.*

36 (14) *"Person" means any individual, firm, partnership,*
37 *corporation, company, association or joint-stock association, and the*
38 *legal successor thereof.*

39 (15) *"Operate an adult care home" means to own, lease, establish,*
40 *maintain, conduct the affairs of or manage an adult care home, except*
41 *that for the purposes of this definition the word "own" and the word*
42 *"lease" shall not include hospital districts, cities and counties which*
43 *hold title to an adult care home purchased or constructed through the*

1 *sale of bonds.*

2 (16) *"Licensing agency" means the secretary of aging {for aging*
3 *and disability services}.*

4 (17) *"Skilled nursing home" means a nursing facility.*

5 (18) *"Intermediate nursing care home" means a nursing facility.*

6 (19) *"Apartment" means a private unit which includes, but is not*
7 *limited to, a toilet room with bathing facilities, a kitchen, sleeping, living*
8 *and storage area and a lockable door.*

9 (20) *"Individual living unit" means a private unit which includes,*
10 *but is not limited to, a toilet room with bathing facilities, sleeping, living*
11 *and storage area and a lockable door.*

12 (21) *"Operator" means an individual registered pursuant to the*
13 *operator registration act, section 2 et seq., and amendments thereto, who*
14 *operates may be appointed by a licensee to have the authority and*
15 *responsibility to oversee an assisted living facility or residential health*
16 *care facility with fewer than 61 residents, a home plus or adult day care*
17 *facility and has completed a course approved by the secretary of health*
18 *and environment on principles of assisted living and has successfully*
19 *passed an examination approved by the secretary of health and*
20 *environment on principles of assisted living and such other requirements*
21 *as may be established by the secretary of health and environment by rules*
22 *and regulations.*

23 (22) *"Activities of daily living" means those personal, functional*
24 *activities required by an individual for continued well-being, including*
25 *but not limited to eating, nutrition, dressing, personal hygiene, mobility*
26 *and toileting.*

27 (23) *"Personal care" means care provided by staff to assist an*
28 *individual with, or to perform activities of daily living.*

29 (24) *"Functional impairment" means an individual has*
30 *experienced a decline in physical, mental and psychosocial well-being*
31 *and as a result, is unable to compensate for the effects of the decline.*

32 (25) *"Kitchen" means a food preparation area that includes a sink,*
33 *refrigerator and a microwave oven or stove.*

34 (26) *The term "intermediate personal care home" for purposes of*
35 *those individuals applying for or receiving veterans' benefits means*
36 *residential health care facility.*

37 (27) *"Paid nutrition assistant" means an individual who is paid to*
38 *feed residents of an adult care home, or who is used under an*
39 *arrangement with another agency or organization, who is trained by a*
40 *person meeting nurse aide instructor qualifications as prescribed by 42*
41 *C.F.R. § 483.152, 42 C.F.R. § 483.160 and paragraph (h) of 42 C.F.R. §*
42 *483.35, and who provides such assistance under the supervision of a*
43 *registered professional or licensed practical nurse.*

1 ***(28) "Medicaid program" means the Kansas program of medical***
2 ***assistance for which federal or state moneys, or any combination***
3 ***thereof, are expended, or any successor federal or state, or both, health***
4 ***insurance program or waiver granted thereunder.***

5 ***(29) "Licensee" means any person or persons acting jointly or***
6 ***severally who are licensed by the secretary for aging and disability***
7 ***services pursuant to the adult care home licensure act, K.S.A. 39-923 et***
8 ***seq., and amendments thereto.***

9 ***(b) The term "adult care home" shall not include institutions***
10 ***operated by federal or state governments, except institutions operated by***
11 ***the Kansas commission on veterans affairs, hospitals or institutions for***
12 ***the treatment and care of psychiatric patients, child care facilities,***
13 ***maternity centers, hotels, offices of physicians or hospices which are***
14 ***certified to participate in the medicare program under 42 code of federal***
15 ***regulations, chapter IV, section 418.1 et seq., and amendments thereto,***
16 ***and which provide services only to hospice patients.***

17 ***(c) Nursing facilities in existence on the effective date of this act***
18 ***changing licensure categories to become residential health care facilities***
19 ***shall be required to provide private bathing facilities in a minimum of***
20 ***20% of the individual living units.***

21 ***(d) Facilities licensed under the adult care home licensure act on***
22 ***the day immediately preceding the effective date of this act shall***
23 ***continue to be licensed facilities until the annual renewal date of such***
24 ***license and may renew such license in the appropriate licensure***
25 ***category under the adult care home licensure act subject to the payment***
26 ***of fees and other conditions and limitations of such act.***

27 ***(e) Nursing facilities with less than 60 beds converting a portion of***
28 ***the facility to residential health care shall have the option of licensing***
29 ***for residential health care for less than six individuals but not less than***
30 ***10% of the total bed count within a contiguous portion of the facility.***

31 ***(f) The licensing agency may by rule and regulation change the***
32 ***name of the different classes of homes when necessary to avoid***
33 ***confusion in terminology and the agency may further amend, substitute,***
34 ***change and in a manner consistent with the definitions established in***
35 ***this section, further define and identify the specific acts and services***
36 ***which shall fall within the respective categories of facilities so long as***
37 ***the above categories for adult care homes are used as guidelines to***
38 ***define and identify the specific acts.***

39 ***New Sec. 2. Sections 2 through 9, and amendments thereto, shall be***
40 ***known and may be cited as the operator registration act.***

41 ***New Sec. 3. As used in the operator registration act:***

42 ***(a) "Operator" means an individual registered pursuant to the***
43 ***operator registration act who may be appointed by a licensee to have***

1 *authority and responsibility to oversee an adult care home.*

2 *(b) "Secretary" means the secretary for aging and disability*
3 *services.*

4 *(c) "Department" means the Kansas department for aging and*
5 *disability services.*

6 *(d) "Adult care home" means an assisted living facility or*
7 *residential health care facility licensed for less than 61 residents, home*
8 *plus or adult day care as defined by K.S.A. 39-923, and amendments*
9 *thereto, or by the rules and regulations of the licensing agency adopted*
10 *pursuant to such section for which a license is required under article 9*
11 *of chapter 39 of the Kansas Statutes Annotated, and amendments*
12 *thereto.*

13 *(e) "Licensee" shall have the meaning ascribed to such term in*
14 *K.S.A. 39-923, and amendments thereto.*

15 *New Sec. 4. (a) On and after July 1, 2014, no person shall represent*
16 *that such person is an operator unless such person is registered under*
17 *the operator registration act as an operator. A violation of this*
18 *subsection is a class C misdemeanor.*

19 *(b) The secretary shall adopt by rules and regulations a system for*
20 *registering operators. Such rules and regulations shall include*
21 *qualifications for registration. Such rules and regulations shall require,*
22 *at a minimum, that the applicant:*

23 *(1) Be at least 21 years of age;*

24 *(2) (A) Possess a high school diploma or equivalent, with one year*
25 *relevant experience as determined by the secretary;*

26 *(B) possess an associate's degree in a relevant field as determined*
27 *by the secretary; or*

28 *(C) possess a baccalaureate degree;*

29 *(3) has successfully completed a course approved by the secretary*
30 *on principles of assisted living;*

31 *(4) has passed an examination approved by the secretary on*
32 *principles of assisted living and such other requirements as may be*
33 *established by the secretary by rules and regulations;*

34 *(5) has filed an application; and*

35 *(6) has paid the required application fee.*

36 *New Sec. 5. On and after July 1, 2014, no adult care home shall be*
37 *operated unless under the supervision of an operator who holds a valid*
38 *registration as an operator issued pursuant to the operator registration*
39 *act or an adult care home administrator who holds a valid license as a*
40 *licensed adult care home administrator pursuant to K.S.A. 65-3501 et*
41 *seq., and amendments thereto.*

42 *New Sec. 6. (a) Upon application and within two years of July 1,*
43 *2014, the secretary may waive the requirements of (b)(2) and (b)(6) of*

1 *section 4, and amendments thereto, and grant a registration to any*
2 *applicant so long as the applicant: (1) Has completed the operator*
3 *course prior to July 1, 2014, that was approved by the secretary; and (2)*
4 *has passed an examination prior to July 1, 2014, that was approved by*
5 *the secretary.*

6 *(b) A person who has completed the operator course approved by*
7 *the secretary and has passed an examination that was approved by the*
8 *secretary prior to July 1, 2014, and does not apply within two years of*
9 *July 1, 2014, shall be considered to have a registration that has lapsed*
10 *for failure to renew.*

11 *New Sec. 7. (a) Every individual who holds a valid registration as*
12 *an operator shall apply to the department for renewal of such*
13 *registration in accordance with rules and regulations adopted by the*
14 *secretary.*

15 *(b) Upon making an application for a renewal of registration,*
16 *such individual shall pay a renewal fee to be fixed by rules and*
17 *regulations and shall submit evidence satisfactory to the secretary that*
18 *during the period immediately preceding application for renewal the*
19 *applicant has completed continuing education requirements as provided*
20 *by the rules and regulations. Any individual who submits an application*
21 *for a renewal of registration within 30 days after the date of expiration*
22 *shall also pay a late renewal fee fixed by rules and regulations. Any*
23 *individual who submits an application for a renewal of registration after*
24 *the 30-day period following the date of expiration shall be considered as*
25 *having a registration that has lapsed for failure to renew and shall be*
26 *reissued a registration only after the individual has been reinstated*
27 *under subsection (d).*

28 *(c) The department shall issue a registration to an operator upon*
29 *receipt of an application for renewal of registration, the renewal fee and*
30 *the evidence required for approval.*

31 *(d) An operator who allows their registration to lapse by failing to*
32 *renew may be reinstated upon payment of the renewal fee, the*
33 *reinstatement fee and submission of evidence demonstrating satisfactory*
34 *completion of any applicable program or a course of study established*
35 *by the secretary for reinstatement of persons whose registrations have*
36 *lapsed for failure to renew. The secretary shall adopt rules and*
37 *regulations establishing appropriate requirements for reinstatement of*
38 *persons whose registrations have lapsed for failure to renew.*

39 *(e) The expiration date of registrations issued or renewed shall be*
40 *established by rules and regulations of the secretary. Subject to the*
41 *provisions of this subsection, each registration shall be renewable on a*
42 *biennial basis upon the filing of a renewal application prior to the*
43 *expiration of an existing registration and upon payment of the renewal*

1 *fee established pursuant to rules and regulations. To provide for a*
2 *system of biennial renewal of registrations, the secretary may provide by*
3 *rules and regulations that registrations issued or renewed for the first*
4 *time after July 1, 2014, may expire less than two years from the date of*
5 *issuance or renewal. In each case in which a registration is issued or*
6 *renewed for a period of time less than two years, the secretary shall*
7 *prorate to the nearest whole month the registration or renewal fee*
8 *established pursuant to rules and regulations. No proration shall be*
9 *made under this subsection on delinquent registration renewals.*

10 *New Sec. 8. All fees under the operator registration act shall be*
11 *established by rules and regulations of the secretary. The amounts*
12 *received for such fees shall be deposited in the state treasury in*
13 *accordance with K.S.A. 75-4215, and amendments thereto, and shall be*
14 *credited to the state licensure fee fund administered by the department*
15 *pursuant to K.S.A. 39-930, and amendments thereto.*

16 *New Sec. 9. (a) The secretary may deny, refuse to renew, suspend or*
17 *revoke a registration where the operator or applicant:*

18 *(1) Has obtained, or attempted to obtain, a registration by means of*
19 *fraud, misrepresentation or concealment of material facts;*

20 *(2) has a finding of abuse, neglect or exploitation against a resident*
21 *of an adult care home as defined in K.S.A. 39-1401, and amendments*
22 *thereto;*

23 *(3) has been convicted of a crime found by the secretary to have*
24 *direct bearing on whether the registrant or applicant can be entrusted to*
25 *serve the public in the position of an operator;*

26 *(4) has violated a lawful order or rule or regulation of the*
27 *secretary;*

28 *(5) had disciplinary action taken against such operator on a*
29 *professional or occupational healthcare credential issued by this state or*
30 *by another jurisdiction; or*

31 *(6) has violated any provisions of the operator registration act.*

32 *(b) Such denial, refusal to renew, suspension or revocation of a*
33 *registration may be ordered by the secretary after notice and hearing on*
34 *the matter in accordance with the provisions of the Kansas*
35 *administrative procedure act.*

36 *(c) A person whose registration has been revoked may apply to the*
37 *secretary for reinstatement. The secretary shall have discretion to accept*
38 *or reject an application for reinstatement and may hold a hearing to*
39 *consider such reinstatement. An applicant for reinstatement shall submit*
40 *an application for reinstatement and a reinstatement fee established by*
41 *the secretary and fulfill the requirements under subsection (d) of section*
42 *7, and amendments thereto.*

43 Section 10. *Sec. 10. K.S.A. 2013 Supp. 39-925 is hereby amended to*

1 read as follows: 39-925. (a) The administration of the adult care home
2 licensure act is hereby transferred from the secretary of health and
3 environment to the secretary ~~of aging~~ *for aging and disability services*,
4 except as otherwise provided by this act. On the effective date of this act,
5 the administration of the adult care home licensure act shall be under
6 authority of the secretary ~~of aging~~ *for aging and disability services* as the
7 licensing agency in conjunction with the state fire marshal, and shall have
8 the assistance of the county, city-county or multicounty health
9 departments, local fire and safety authorities and other agencies of
10 government in this state. The secretary ~~of aging~~ *for aging and disability*
11 *services* shall appoint an officer to administer the adult care home
12 licensure act and such officer shall be in the unclassified service under the
13 Kansas civil service act.

14 (b) The secretary ~~of aging~~ *for aging and disability services* shall be a
15 continuation of the secretary of health and environment as to the programs
16 transferred and shall be the successor in every way to the powers, duties
17 and functions of the secretary of health and environment for such
18 programs, except as otherwise provided by this act. On and after the
19 effective date of this act, for each of the programs transferred, every act
20 performed in the exercise of such powers, duties and functions by or under
21 the authority of the secretary ~~of aging~~ *for aging and disability services*
22 shall be deemed to have the same force and effect as if performed by the
23 secretary of health and environment in whom such powers were vested
24 prior to the effective date of this act.

25 (c) (1) No suit, action or other proceeding, judicial or administrative,
26 which pertains to any of the transferred adult care home survey,
27 certification and licensing programs, and reporting of abuse, neglect or
28 exploitation of adult care home residents, which is lawfully commenced,
29 or could have been commenced, by or against the secretary of health and
30 environment in such secretary's official capacity or in relation to the
31 discharge of such secretary's official duties, shall abate by reason of the
32 transfer of such programs. The secretary ~~of aging~~ *for aging and disability*
33 *services* shall be named or substituted as the defendant in place of the
34 secretary of health and environment in any suit, action or other proceeding
35 involving claims arising from facts or events first occurring either on or
36 before the effective date of this act or thereafter.

37 (2) No suit, action or other proceeding, judicial or administrative,
38 pertaining to the adult care home survey, certification and licensing
39 programs or to the reporting of abuse, neglect or exploitation of adult care
40 home residents which otherwise would have been dismissed or concluded
41 shall continue to exist by reason of any transfer under this act.

42 (3) No criminal action commenced or which could have been
43 commenced by the state shall abate by the taking effect of this act.

1 (4) Any final appeal decision of the department of health and
2 environment entered pursuant to K.S.A. 39-923 et seq., and amendments
3 thereto, K.S.A. 39-1401 et seq., and amendments thereto, or the Kansas
4 judicial review act, K.S.A. 77-601 et seq., and amendments thereto,
5 currently pertaining to adult care home certification, survey and licensing
6 or reporting of abuse, neglect or exploitation of adult care home residents,
7 transferred pursuant to this act shall be binding upon and applicable to the
8 secretary of ~~aging~~ *for aging and disability services* and the ~~department on~~
9 ~~aging~~ *Kansas department for aging and disability services*.

10 (5) All orders and directives under the adult care home licensure act
11 by the secretary of health and environment in existence immediately prior
12 to the effective date of the transfer of powers, duties and functions by this
13 act, shall continue in force and effect and shall be deemed to be duly
14 issued orders, and directives of the secretary of ~~aging~~ *for aging and*
15 *disability services*, until reissued, amended or nullified pursuant to law.

16 (d) ~~(1)~~ All rules and regulations of the department of health and
17 environment adopted pursuant to K.S.A. 39-923 et seq., and amendments
18 thereto, and in effect on the effective date of this act, which promote the
19 safe, proper and adequate treatment and care of individuals in adult care
20 homes, ~~except those specified in subsection (d)(2) of this section,~~ shall
21 continue to be effective and shall be deemed to be rules and regulations of
22 the secretary of ~~aging~~ *for aging and disability services*, until revised,
23 amended, revoked or nullified by the secretary of ~~aging~~ *for aging and*
24 *disability services*, or otherwise, pursuant to law.

25 (2) ~~The following rules and regulations of the department of health~~
26 ~~and environment adopted pursuant to K.S.A. 39-923 et seq., and~~
27 ~~amendments thereto, and in effect on the effective date of this act, shall~~
28 ~~remain the rules and regulations of the secretary of health and~~
29 ~~environment: K.A.R. 28-39-164 through 28-39-174.~~

30 (e) All contracts shall be made in the name of "secretary of ~~aging~~ *for*
31 *aging and disability services*" and in that name the secretary of ~~aging~~ *for*
32 *aging and disability services* may sue and be sued on such contracts. The
33 grant of authority under this subsection shall not be construed to be a
34 waiver of any rights retained by the state under the 11th amendment to the
35 United States constitution and shall be subject to and shall not supersede
36 the provisions of any appropriation act of this state.

37 ~~See 2. Sec. 11.~~ K.S.A. 2013 Supp. ~~39-925 is~~ **39-923 and 39-9-925**
38 **are** hereby repealed.

39 ~~Sec. 3. 12.~~ This act shall take effect and be in force from and after its
40 publication in the statute book.