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MEMORANDUM

To: Chairman DeGraaf and members of the House Financial Institutions Committee
From: Matt Sterling, Assistant Revisor of Statutes
Date: February 19, 2013
RE: House Bill 2294

HB 2294 would amend K.S.A. 17-12a302, 17-12a402, and 17-12a411 concerning the Kansas Uniform Securities Act. The statutes concern the filing of records, notice, registration, financial requirements, and record keeping for applicable securities, agents, and broker-dealers. The act makes several references to the federal securities act of 1933 that are no longer accurate due to amendments to the federal act. The bill would make technical changes to update the federal references in these statutes to refer to the appropriate section of the federal code.

Attachment 4
PIC 2-19-13