

MINUTES OF THE SENATE COMMERCE COMMITTEE

The meeting was called to order by Chairman Karin Brownlee at 8:30 a.m. on March 3, 2010, in Room 548-S of the Capitol.

All members were present.

Committee staff present:

Ken Wilke, Office of the Revisor of Statutes
Reed Holwegner, Kansas Legislative Research Department
Kathie Sparks, Kansas Legislative Research Department
Marilyn Arnone, Committee Assistant

Conferees appearing before the Committee:

William R. Thornton, to be confirmed as Secretary of Commerce
Steven F. Warren, to be confirmed as Member, Kansas Technology Enterprise Corporation

Others attending:

See attached list.

Three confirmations are scheduled for hearing on this date. Chairperson Brownlee asked Mr. Thornton to be the first conferee and to make statements about his qualifications to be Secretary of Commerce and what his goals would be in that position.

Mr. Thornton is from Atchison, Kansas. He has a Juris Doctor degree from Washburn School of Law and has been a practicing attorney. He has received a number of honors and awards and currently serves on several boards of directors for Kansas organizations.

Mr. Thornton believes the time he has spent as Acting Secretary of Commerce has helped him prepare for the position of Secretary of Commerce. He had an opportunity to see what the Commerce Department is doing and evaluate what needs to be done for the future. He has taken the opportunity to visit with each individual employee of the Commerce Department and finds that the employees love their jobs, have a passion to serve, and are very competent in their jobs. He believes he has a good team to work with. One of the things he has done since being in the Department has been to work on individual strategies for the five divisions of the department and an overall strategy for the whole department. This positions Commerce to plan for good things to happen and not just react to things that do happen. He believes that things you plan to happen will happen. In strategic planning, he is working on what Commerce should be in three to five years from now. He is working especially on Workforce since that is the one area that helps with jobs. He also wants to make sure that the dollars spent are an investment and there will be a return on that investment. Mr. Thornton believes his own personal experiences in looking for jobs will be an asset for him and he appreciates what Kansans are going through in the job market and wants the Workforce Division to be helpful to people. Commerce is challenged to help people find jobs in the urban areas, but in western Kansas, the challenge is to find enough workers for the jobs available. Through the Pipeline and other programs, Commerce wants to encourage people to come back to Kansas and wants to market the communities where people are needed for jobs. Travel and tourism has a great return on investment; \$90 for every dollar spent. Tourism has an opportunity to show Kansas differently to make Kansas a destination place. Tourism is working with rural and small communities to promote their activities. Another challenge for Commerce is to identify potential businesses from around the world that could come to Kansas. All of these areas are part of an opportunity to develop strategies that the Commerce Department can capitalize on, and Mr. Thornton is excited to be a part of this.

Chairperson Brownlee said she appreciated that Mr. Thornton was planning for 3-5 years down the road although he didn't know what would happen even in the next year or two, it is good to have a long term perspective. She agrees with Mr. Thornton that there is a great team in Commerce because whenever she hears about organizations or companies recruited to come to Kansas, Kansas has quickly responded to these groups which has made a big difference.

Senator Reitz applauds the efforts to keep people returning to Kansas to work and agrees with the ideas to do more with tourism and to trumpet the people who are significant to Kansas. Mr. Thornton responded that is

CONTINUATION SHEET

Minutes of the Senate Commerce Committee at 8:30 a.m. on March 3, 2010, in Room 548-S of the Capitol.

why he thought it very important to strategize future plans - that the department would do what it can now under monetary restraints, but to be ready with bigger ideas for Kansas for the future when times are more generous.

Senator Schodorf remarked that Mr. Thornton really had a vision for Kansas and what we are and what we can be. She thought Mr. Thornton was a very fast learner because it was difficult coming in to the department cold and he has grasped everything and knows where he wants to go and she appreciates that very much. (Attachment 1)

Senator Schodorf moved that the committee recommend confirmation of William Thornton to be Secretary of Commerce and forward that recommendation to the Senate. Seconded by Senator Reitz and Senator Holland. Motion carried.

Chairperson welcomed Dr. Steven F. Warren who has been nominated as a Member of the Kansas Technology Corporation Board and ask him about his background, what he would like to accomplish on the board, what his goals would be, and how he might contribute to the reorganization of the board..

Mr. Warren is Vice Provost for Research and Graduate Studies at the University of Kansas. He has an extensive background in Human Ecology, mental health and developmental disabilities The economic development mission in his department is that students be put out into the workforce in the state of Kansas. Also his department has become aggressive in the last decade so their economic development issues are in terms of building businesses, starting new businesses, all kinds of ways to create a foundation for the future of the economy. Mr. Warren has been very involved in these things and he sees his work as very similar to the mission of KTEC. The KTEC board has been tested and there have been changes in the board, and he feels the KTEC board is now very engaged. From his observation, Kevin Carr is doing a remarkable job for KTEC in midst of a crisis. The board is trying to move forward in their economic mission with less money. That focuses the mind on what you can do to contribute. He believes his experiences in economic development at KU in the last few years will make him a strong member of the KTEC board.

Senator Lynn remarked she is very impressed with Dr. Warren's work with mental health and disabilities and would like to know how he will translate that work into economic development specifically to the workforce. Mr. Warren replied that work covers a lot of things, but part of it is to build industries in Kansas that, in the global world we are in, represents high technology, high paying jobs, the essence of creation. Senator Lynn is interested in how his background will help the world of young people and middle age people who have certain skill levels that might be applied. It is an important economic value that those individuals might bring to the table and she hopes that Dr. Warren could work with the KTEC board in making sure that population is represented and something of value could be produced for them in the workplace. Dr. Warren agrees with her concern. (Attachment 2)

Senator Lynn moved that Dr. Steven F. Warren be approved for confirmation to the KTEC board by this committee. Senator Emler seconded the motion. Motion passed.

Audrey Langworthy has been nominated for re-appointment to the Kansas Development Finance Authority Board. Mrs. Langworthy, although not required, was invited to appear before the committee but was not available. (Attachment 3)

Senator Emler moved that Audrey Langworthy be approved for confirmation to the KDFA Board. Motion seconded by Senator Wagle. Motion carried.

The next meeting is scheduled for March 4, 2010.

The meeting was adjourned at 09:20 a.m.

COMMERCE COMMITTEE GUEST LIST

DATE: 3-3-10

NAME	REPRESENTING
Jackson Lindsey	Hein Law
Jane Carter	KOSE
Stan Ahlenil	Kanso, Inc
Kevin Can	KTEC
STEVE WARDEN	University of Kansas
Caleb Asher	Kansas Dept of Commerce
Bob North	Commerce
TRICIA OLSON	Commerce
Melissa Gregory	Governor's Office
Susan Allen	Gov. Office
Dennis Phillips	KSCFF
Ed Redman	KSCFF
Gene Meyer	KANSAS Reporter

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: William R. Thornton

Position: Secretary of Commerce

Appointment Date: January 11, 2010

Expiration Date: N/A

Term Length: Pleasure of the Governor

Statutory Authority: K.S.A. 74-5002

Party Affiliation: Democrat

⇒ Statutory geographic representation
Requirements (*insert any that apply*)

Congressional District:

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

⇒ Statutory industry or occupation requirements:

Salary: \$103,000

Predecessor: David D. Kerr

Board Composition Prior to Confirmation of New Appointee:

N/A

Senate Commerce Committee
Date: March 3, 2010
Attachment # 1-1

WILLIAM R. THORNTON

SUMMARY OF QUALIFICATIONS

Results oriented attorney with outstanding background as Corporate Counsel, working with Board of Directors and Professional Leadership. Special skills in:

- Strategic Vision, Planning and Execution of Complex Litigation
- Pro-Active Problem Solving
- Deliver Value for Customers
- Extensive Experience with Employment and Labor Law
- Expertise in Negotiating and Drafting Corporate Agreements
- Special Skill to Facilitate Diverse Clients and Legal Teams
- "Win-Win" Leader and Team Member
- Experience in Due Diligence for Acquisitions and Sales of Businesses

PROFESSIONAL EXPERIENCE

MGP INGREDIENTS, INC., Atchison, Kansas

1994 - 2009

Vice President of Corporate Counsel and Quality Management

Direct Legal and Quality Divisions for \$400M food ingredient company with four facilities and 500 employees.

- Designed and Implemented Quality Management and Legal Divisions, including strategic planning for those divisions to achieve corporate goals.
- Lead team of outside attorneys in complex intellectual property litigation that resulted in multi-million dollar settlement for MGPI.
- Successfully developed and consistently meet budgets for both divisions.
- Implemented and managed training program for MGPI, that included designing a Continuous Quality Improvement course.
- Administrated Toll Manufacturing by outside companies when MGPI needed additional manufacturing capacity, which including resource allocation, customer service, service agreements, transportation, and scheduling.
- Developed a Corporate Regulatory Compliance manual and responsible for company meeting all food regulatory compliance, including international.
- Participated as legal advocate on both defendant's and plaintiff's side of issues.
- Developed and Implemented Contract Review Policy.
- Negotiated and drafted a majority of contracts and agreements, including supply, purchasing, due diligence for selling plants, leases and etc., that saved the company over \$500,000.
- Managed and hired outside counsel.

- Participated as a senior manager in developing strategic plan to advance MGPI's vision objectives since joining the company in 1994.
- Responsible for setting goals and objectives of QM and Legal divisions, including operating and capital budgets.
- Invited guest speaker to Washburn University School of Law to teach in areas of contracts and law school preparation.

Vice President of Quality Management

2000 - 2009

- Managed QM for four facilities.
- Implemented SPC and Data Tools for Process Improvement in three facilities.
- Added Toll Manufacturing to QM division responsibilities.

Corporate Director of Quality Management

1997 - 2000

- Managed QM for two facilities.
- Implemented in-testing by operators and reduced QA staff.
- Designed and implemented formal structure for QM division.

Corporate Director of Quality Improvement

1994 - 1997

- Designed and taught Continuous Quality Improvement Program.
- In house consultant for CQI.
- Designed and managed training program, including training coordinators.

DUNCAN – SENECA LAW OFFICES, CHTD., Atchison, KS.

1995 - 2005

Of Counsel

Represented clients in Employment, Contracts and Workers' Compensation legal issues for private law firm.

- Won a majority of cases with settlements, including monetary, return to jobs, seniority, and benefits.
- Earned the reputation as one of the top employment attorneys in NE Kansas, which resulted in many attorneys referring cases and clients to our firm.
- A ex EEOC and KHRC manager canvassed the state of Kansas to refer top Employment cases to our firm due to my reputation.
- Experience in EEO Employment hearings for federal employees.

HALLMARK CARDS, INC., Kansas City, MO.

1980 - 1994

Corporate Manager of Affirmative Action Planning

Manage affirmative action planning for social expression company with over ten manufacturing facilities and 20,000 employees.

- Managed AA programs for the company.
- Conducted all internal investigations for discrimination claims.
- Responsible for diversity education for all employees, including senior managers and hourly employees.
- Responsible to develop and execute strategic plan to fully utilize and acquire the top tier talent for company to position Hallmark to maintain superior position in social expression industry.

Continuous Improvement Strategist, Topeka, KS

- executed a strategy to improve the coordinated efforts of artists, writers, business units, purchasing, operations and retail that resulted in double digit growth in sales.
- Lead CI strategist for Operations Division that developed strategy that would improve Hallmark's ability to eliminate waste and add value for its customers, empower employees at every level of the organization to maximize their efforts, and implement JIT/EIP/TQM.
- Worked in every department of organization during BPR, including creative, business units, purchasing, operations and retail to acquire perspective and insights.
- Corporate recruiter at universities across the country, including Michigan State University, University of Kansas, and Prairie View A&M University.
- Designed and implemented focus factory for Christmas manufacturing for company.

Area Manager, Topeka, KS

- Managed 100-300 employees and 8-11 section managers in 5-12 Manufacturing departments.
- Managed warehouse, folding, packaging, card processing, die cut and other processing departments.
- Met weekly quota packaging quotas for two years which hadn't been accomplished for more than five years prior.
- Set and achieved goals and targets to meet plant objectives.
- Successfully developed and coached managers and employees who were working their first "real" jobs.

Section Manager, Topeka, KS

- Managed 20-30 employees in 4-6 Manufacturing departments.
- Developed and facilitated first and best Manufacturing Cell in Operations Division, an Employee-managed JIT process which utilized 360-type peer reviews.
- Worked one year as time study engineer.

HONORS AND AWARDS

WASHBURN UNIVERSITY SCHOOL OF LAW/ SPRING DISTINGUISHED ALUMNI IN RESIDENCE, Topeka, KS.	2009
LIFETIME ACHIEVEMENT AWARD – ATCHISON YMCA, Atchison, KS.	2006
CITIZEN OF THE YEAR – ATCHISON ROTARY, Atchison, KS.	2004
VOLUNTEER OF THE YEAR – ATCHISON YMCA, Atchison, KS.	2003
LEADERSHIP KANSAS, Topeka, KS	1995

CURRENT ORGANIZATIONS

KANSAS BOARD OF REGENTS, Topeka, KS 2007 - Present
Regent

Body that is responsible for all higher education in the State of Kansas.

- Governs the six state universities, including the University of Kansas, Kansas State University, Wichita State University, Fort Hays State University, Emporia State University and Pittsburg State University.
- Supervises and coordinates nineteen community colleges, six technical schools, and a municipal university.

- Chaired the CEO Assessment Committee that evaluated the performance and conducted annual reviews of the six universities presidents, chancellor and President/CEO of KBOR
- Appointed by Governor Kathleen Sebelius.
- Regents are responsible for a system that operates a multi-billion dollar budget.
- Regents develop and govern the strategy for the KBOR system.

KANSAS CHAMBER OF COMMERCE, Topeka, KS

2004 - Present

Board of Directors

Organization comprised of the top 100 business leaders in the state that advocate for the business community in Kansas.

- Past Chairman of the Board.
- Past Chairman of Transportation Committee
- Provided leadership to execute strategy that transformed the Kansas Chamber into the most powerful lobbying organization in the State of Kansas.
- Member of the Executive Committee since 2004.
- Served as interim President/CEO for several months.
- Mentored, managed and advised outstanding President/CEO, Lew Ebert.
- Served on two selection committees that hired President/CEOs.

ATCHISON HOSPITAL ASSOCIATION, Atchison, KS

2000 - Present

Board of Directors

Governance body for the hospital in Atchison, Kansas.

- Chairman of the Board of Directors.
- Mentor, manage and advise outstanding President/CEO, John Jacobson.
- Provided leadership to turn-around a hospital that was losing millions of dollars annually, providing inferior quality service, in-fighting by physicians, staff and employees, and deteriorating buildings into organization that generated a profit for last five years, dramatically improved quality of services, updated technology, upgraded personnel and improved team work and we are building a new hospital.
- Personally lead and organized search for current President/CEO.
- Served as interim President/CEO for several months.

MULTI CULTURAL SCHOLARS PROGRAM –
UNIVERSITY OF KANSAS, Lawrence, KS

2004 - Present

National Board of Advisors

Body that develops policies and strategies to promote and empower under-represented KU students to become top scholars.

- Programs participants usually achieve higher GPAs than other students in their colleges.
- Program started in the School of Business at KU and has expanded into ten colleges at the university.
- the six state universities, including the University of Kansas, Kansas State University, Wichita State University, Fort Hays State University, Emporia State University and Pittsburg State University.
- Supervises and coordinates nineteen community colleges, six technical schools, and a municipal university.
- Chaired the CEO Assessment Committee that evaluated the performance and conducted annual reviews of the six universities presidents, chancellor and President/CEO of KBOR.
- Appointed by Governor Kathleen Sebelius.
- Regents are responsible for a system that operates a multi-billion dollar budget.
- Regents develop and govern the strategy for the KBOR system.

EDUCATION

JD, Juris Doctor, May 1992
Washburn University School of Law, Topeka, KS

BA English Literature and Communications, May 1980
Benedictine College, Atchison, KS



CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I, William R. Thornton acknowledge that as part of the
(print name)

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature

William R. Thornton

Date

10/31/09

(for Committee use only)

KBI Check: N/A ___ In-Process ___ Complete ___
DOR Check: N/A ___ In-Process ___ Complete ___

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "□" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: William Robert Thornton
(please include title and middle name along with any names previously used)

Position to which Appointed: Secretary of Commerce

Appointing Authority: Governor Mark Parkinson

Home Address: 3852 Rooks Road Atchison Kansas 66002
(Street Address) (City, State, Zip)

Business Name: Werring Law Office LLC

Business Address: 101 S. 5th Street, Mezzanine Level, Atchison, KS 66002
(Street Address) (City, State, Zip)

Position Title: Of Counsel

Home Phone: 913-367-7254 Business Phone: 913-367-4069 Cell Phone: 913-367-6753

Fax Number: 913-367-5319 E-Mail Address: bthorn@journey.com

Kansas resident? Yes / No Date of Birth: 7/10/52 Place of Birth: Topeka, KS

Registered Voter? Yes Party Affiliation: Democrat

Congressional District: 2nd Kansas Senate District: 1 Kansas Representative District: 63

Do you have the legal right to live and work in the United States? Yes / No

Please answer the following questions numbered 1 – 43. Each question **MUST BE ANSWERED ON THIS ORIGINAL FORM.** If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1. What is your educational background? BA degree from Benedictine College and Juris Doctor from Washburn University School of Law
2. Describe your employment experience. Include any expertise related to the position to which you were appointed.
I have worked in corporations for more than as a manager for more than 28 years with my last job being a Vice President and Officer. I have been chairman of the board of the local Chamber of Commerce, KS. Chamber of Commerce and local hospital.

3. List any professional licenses that you have obtained and include the number for each license.
N/A
4. Why do you feel you are a good candidate for the position to which you have been appointed?
My combination of business and civic experience make me a strong match.
5. What do you see as the purpose or mission of the role to which you have been appointed?
To lead Commerce dept. to grow the KS economy
6. **Military Service:** List rank, date and type of discharge from active service.
None
7. **Government Experience:** List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
None KS Board of Regents 2007-2009, KS Mentors Leadership Council, KS Health Care IE Commission, KS LRTP-Advisory Comm. (2006)
8. **Elective Public Office:** List all elective public offices sought and/or held with dates of service.
None
9. **Campaigns:** Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.
No Yes
10. **Honors and Awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.
None Leadership KS 1995; Atchison Rotary-Citizen of the Year 2004; Atchison YMCA Lifetime Achievement and Volunteer of Year 2003
11. **Organization Affiliations:** List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
None KU-MultiCultural Scholars-Advisory Board; Shiloh Baptist-Trustee Board; Atchison Hospital-Board of Dir.; Citizens for Comm. Action
12. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.
No Yes
13. **Issues:** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
No Yes When our Hospital Board was sued by a physician who lost his privilege at the hospital by the local newspaper
14. **Submission of Views:** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.
No Yes
15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.
No Yes

16. **Opposition:** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.
No Yes
17. **Miscellaneous:** List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills. I have demonstrated the ability to lead organizations to
None successful results whether business or civic.
18. **Relationship to Governmental Employees:** Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details.
No Yes Not positively sure and if we are, I don't have a close relationship to them
19. **Compensation:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain. Board of Regents
No Yes
20. **Business Relationships:** Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
None
21. **Transactions with Officials:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.
No Yes
22. **Spouse or Other Family Members:** If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
No Yes
23. **Lobbying Activities:** Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state. In my role as Chair or as Executive Member of Board of
None Directors for KS Chamber of Commerce
24. **Regulated Activities:** Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
None

25. **Other:** Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.
None
26. **Conflict of Interest:** How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? Quickly disclose the conflict and resign if appropriate
27. **Citations:** Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
No Yes As an attorney I was named in two complaints by David Raye but both were dropped during initial investigations
28. **Convictions:** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.
No Yes
29. **U.S. Military Convictions:** Have you ever been convicted by any military court? If so, please provide details.
No Yes
30. **Imprisonment:** Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.
No Yes
31. **Agency Proceedings/Civil Litigation:** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
No Yes
32. **Agency Proceedings and Civil Litigation of Affiliates and Family:** a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
No Yes
- b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)
No Yes

33. **Other Litigation:** a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe. When a physician sued the Atchison hospital after No Yes his priviledges were lost; We won the lawsuit.
 b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.
No Yes
34. **Drivers License:** Has your driver's license ever been suspended or revoked? If so, please describe.
No Yes
35. **Parking Tickets:** Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.
No Yes
36. **Security Clearance Denial:** Have you ever been denied a military or other governmental clearance? If so, please explain.
No Yes
37. **Firings:** a.) During the past ten years, have you been fired from a job for any reason? If so, please explain.
No Yes
 b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain.
No Yes
 c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.
No Yes
38. **Alimony and Child Support:** Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain
No Yes
39. **Consumption of Alcohol:** Have you ever or are you currently abusing alcohol? If so, please explain.
No Yes
40. **Controlled Substances:** Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain.
No Yes
41. **Physical Examination:** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?
No Yes I took a drug test in the application process for this position, but do not have the results at this time.

42. **Governmental Delinquencies:** Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
 No Yes

43. **Other:** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.
 None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES

Name: John Jacobson Knows you how?: President/CEO of Atchison

Address: 1301 North Second Street, Atchison, KS 66002
(City, State, Zip)

Home Phone: 913-367-1268 Business Phone: 913-367-6691

Name: Tom Bell Knows you how?: Kansas Hospital Assn.

Address: 215 SE 8th Street Topeka, KS 66603
(City, State, Zip)

Home Phone: _____ Business Phone: 785-233-7436

Name: Christine Downey-Schmidt Knows you how?: KBOR

Address: 10320 North Wheat State Road Inman, KS 67546
(City, State, Zip)

Home Phone: 620-543-2628 Business Phone: _____

Name: Lowell C. Kruse Knows you how?: he was CEO of Heartland Hosp.

Address: 7300 SE 75th Road Saint Joseph, MO 64507-8073
(City, State, Zip)

Home Phone: 816-253-9137 Business Phone: _____

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature William R. Thornton

Date 10/31/09

STATE OF KANSAS



KANSAS GOVERNMENTAL ETHICS COMMISSION

ELECTRONIC STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS: This statement must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

Thornton
Last Name

William
First Name

R
MI

Rozetta

Spouse's Name

3853 Rooks Road

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Atchison, KS 66002

City, State, Zip Code

(913) 367-7254

Home Phone Number

(913) 360-5482

Business Phone Number

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for a State Agency;
6. Candidate for State Office.
7. Other (Contractor / Member of Compact)

Board of Regents, Kansas Bio Authority

List the Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Regent, Board Member

Division if applicable (May use acronyms)

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional. *

Four empty boxes for social security number digits

1-15

C. (SHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business at, including and used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

See attached list

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.				

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gift or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY
1.		

E. RECEIPT OF COMPENSATION: (Part 1) List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE

If you have nothing to report in Section "E"1, check here

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. MGP Ingredients, Inc	100 Commercial Street	Manufacturing

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. Perfection Plus	722 Commercial Street	Beauty Salon

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here

BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1. Atchison Hospital Association 1301 North 2nd Street, Atchison, KS 66002	Board member	self
2. Shiloh Baptist Church 1311 North 7th Atchison, KS 66002	Trustee Board	self
3. University of Kansas - Multicultural Scholars	Board member	self

	450 Jawhawk Blvd, Lawrence, KS 66045		
4.	Citizens for Community Action	Board member	self
	PO Box 511, Atchison, KS 66002		
5.	Kansas Chamber of Commerce	Board member	self
	835 SW Topeka Blvd., Topeka KS 66612		

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			

H. DECLARATION: I declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

Date Electronically Filed: 04/06/2009
 Name of Person Making Statement: William R. Thornton

**Attachment Sheet
to
Statement of Substantial Interest
(William Thornton)**

C. OWENSHIP INTERESTS:

AXA Advisors
219 North 6th Street
Atchison, KS

MGP Ingredients
100 Commercial Street
Atchison, KS

ING Retirement Plans
State of Kansas
Topeka, KS

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Mark Parkinson

Appointee: Steven F. Warren

Position: Member, Kansas Technology Enterprise Corporation

Term Length: Four years

Expiration Date: January 15, 2014

Statutory Authority: K.S.A. Supp 74-8101

Party Affiliation: Republican

⇒ Statutory geographic representation Requirements (*insert any that apply*)

Congressional District:

County:

Size requirement (*if any*):

Other, specify: Appropriate geographical representation.

⇒ Statutory party affiliation requirement:

N/A

⇒ Statutory industry or occupation requirements:

The four appointees from the public sector shall consist of one or more of the following: Senior administrators at Kansas educational institutions governed by the board of regents or engineers or scientists who have extensive experience in managing basic or applied scientific and technological research.

Salary: N/A

Predecessor: Richard Lariviere

Board Composition Prior to Confirmation of New Appointee:

(SEE ATTACHED LIST)

Senate Commerce Committee

Date: March 3, 2010

Attachment # 2-1

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Dallman, Dr. Bruce D. 602 Thomas Street Pittsburg, KS 66762 bdallman@pittstate.edu	Crawford	U	2	3h	13s	12/17/2008	1/15/2012	<input type="checkbox"/>

Position: a member
Succeeds: himself -- reappointment
Appointed By: Governor
Nominations:

Statutory Remarks: Regents Representative with a Specialty in Science and Technology
Seat #: 006

Elliott, Mr. Kyle L. 13913 W. 72nd Shawnee, KS 66062 kelliott@spencerfane.com	Johnson	R	3	17h	10s	9/12/2006	1/15/2009	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: John Payne
Appointed By: Governor
Nominations:

Statutory Remarks: Information Services
Seat #: 008

Gatewood, Representative Doug P.O. Box 306 Columbus, KS 66725 gatewood@house.state.ks.us	Cherokee	D	2	1h	14s	1/9/2007	1/15/2011	<input type="checkbox"/>
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Position: a member
Succeeds: himself -- reappointed
Appointed By: House Minority Leader
Nominations:

Statutory Remarks: House Minority Leader's Appointee, Legislative Member
Seat #: 015

Haggart, Mr. Ted 1701 Prestwick Drive Lawrence, KS 66047 Ted.Haggart@commercebank.com	Douglas	R	2	45h	2s	7/1/2003	1/15/2007	<input type="checkbox"/>
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Position: a member
Succeeds: himself -- reappointed
Appointed By: Governor
Nominations:

Statutory Remarks: Representative of private financial sector; Commercial Banking and technological industry experience
Seat #: 005

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
McDonald, Dr. J. David 3760 Rushwood Ct. Wichita, KS 67226 david.mcdonald@wichita.edu	Sedgwick	D	4	85h	30s	10/16/2007	1/15/2011	<input type="checkbox"/>

Position: a member
Succeeds: himself
Appointed By: Governor
Nominations:

Statutory Remarks: Senior administrator at a Regents' institution or a scientist or engineer that has experience in applied scientific and technological research

Seat #: 004 [Details: WSU]

McGinn, Senator Carolyn
11047 N. 87th West
Sedgwick, KS 67135

	R				31s	4/8/2009	<input type="checkbox"/>
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Position: a member
Succeeds: Nick Jordan
Appointed By: Senate President
Nominations:

Statutory Remarks: Senate President appointee

Seat #:

Murdock, Mr. Bob
1605 East 56th Avenue
Hutchinson, KS 67502

	Reno		1	104h	34s	9/5/2003	<input type="checkbox"/>
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Position: a member
Succeeds: Dennis Depenbush
Appointed By: Senate President
Nominations:

Statutory Remarks: Senate President's Appointee, Private sector member; representative of industries

Seat #: 018

O'Neal, The Honorable Michael R.
8 Windemere Court
Hutchinson, KS 67502

	Reno	R	1	104h	34s	6/4/2009	<input type="checkbox"/>
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Position:
Succeeds:
Appointed By: Speaker
Nominations:
Statutory Remarks:

Seat #:

2-4

Wilk, Representative Kenny
715 Cottonwood Drive
Leavenworth, KS 66043

<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Leavenworth	R	2	42h	5s			<input type="checkbox"/>

Position: a member

Succeeds: William G. Mason

Appointed By: Speaker of the House

Nominations:

Statutory Remarks: Speaker of the House Appointee, Legislative Member

Seat #: 014

Fellow, American Psychological Association, 1993
Fellow, American Association on Mental Retardation, 1992
Outstanding Faculty Member Award, Peabody College, Vanderbilt University, 1985
Honorary Coach, Vanderbilt University Women's Basketball Team, 1995, 1996
Delegation Leader, Mental Retardation and Developmental Disabilities Delegation to the People's Republic of China, September 7-18, 2001
President, American Association on Mental Retardation, 2001-2002
President, Intellectual and Developmental Disabilities Division 33, American Psychological Association, 2007-08
Chair, Biobehavioral and Behavioral Processes Study Section, National Institutes of Child Health and Human Development, 2006-07 (NIH study section member for 9 years)

Previous Administrative Experience

Interim Vice Provost for Research and Graduate Studies, University of Kansas, August, 2007 through February 2008
Director, Schiefelbusch Institute for Life Span Studies, University of Kansas, 2001-2008
Director, Kansas Intellectual and Developmental Disabilities Research Center, 2000-2008
Deputy Director, John F. Kennedy Center for Research on Human Development, Vanderbilt University, 1991-1999
Assistant to the Provost, Vanderbilt University, 1992-1993
Acting Director, John F. Kennedy Center for Research on Human Development, Vanderbilt University, 1990-91
Acting Director, Susan Gray School for Children, John F. Kennedy Center, Vanderbilt University, 1993, 1995-1996
Associate Director for Behavioral Research, John F. Kennedy Center, Vanderbilt University, 1987-1990
Director of Training, Bureau of Child Research, University of Kansas, 1981-1982
Acting Director, Kansas University Affiliated Programs, 1981-1982

Memberships and Affiliations

American Association for the Advancement of Science
American Psychological Association
American Association on Intellectual and Developmental Disabilities (previously the American Association on Mental Retardation)

American Association on Mental Retardation

President, American Association on Mental Retardation, 2001-2002 (Vice-President, 1999-2000; President-elect, 2000-2001; Past-President, 2002-2003)

Chair, Editorial Advisory Committee, American Association on Mental Retardation, 2003-present

Chair, Program Planning Committee, 2001 Annual Convention of the American Association on Mental Retardation

Chair, Nominations and Elections Committee, American Association on Mental Retardation, 2002-2003

Member, Litigation Committee, American Association on Mental Retardation, 2001-2003

Member, Executive Committee, American Association on Mental Retardation, 1999-2002

Member, Future Visions Committee, American Association on Mental Retardation, 1998-2000

Member, Board of Directors, American Association on Mental Retardation, 1996-1998

President, Communication Disorders Division, American Association on Mental Retardation, 1992-1995

Member, Ad-Hoc Committee on Bio-Ethics, American Association on Mental Retardation, 1996-1997

Member, Publications Committee, American Association on Mental Retardation, 1997-1998

Member, Conference Planning Committee, American Association on Mental Retardation, 1992-1995

Member, Legal and Social Affairs Committee, American Association on Mental Retardation, 1994-1996

Chairperson, Ad-hoc Committee on the Role of Communication in the Quality of Life for Persons with Developmental Disabilities, Communication Disorders Division, American Association on Mental Retardation, 1993-1994

Member, Editor Search Committee, American Journal on Mental Retardation, 1996-1997; 2006-07

Association Representative, Consortium on Language, Image, & Public Education (representing the American Association on Mental Retardation), 1999 – 2003

Member, Editor Search Committee, American Journal on Mental Retardation, 2006-2007

Other Boards and Committees

Member, Executive Committee, Council on Research Policy and Graduate Education, Association of Public and Land Grant Universities, 2009-present

Member, Board of Directors, Kansas Alliance for Biorefining and Bioenergy, Inc. (2008-

Society for the Scientific Study of Mental Deficiency, 1992

Judge, Society for the Advancement of Behavior Analysis Special Award, International Science and Engineering Fair, Nashville, 1992

Executive Board Member, Guardianship and Trust Corporation of the State of Tennessee, 1983-1985 (Vice President, 1984-1985)

President, Middle Tennessee Association for Persons with Severe Handicaps, 1983-1985

Executive Board Member, Middle Tennessee Association for Persons with Severe Handicaps, 1982-1994

Supplemental Information

Grant Reviewer

Member, Behavioral and Biobehavioral Processes Study Section, National Institute of Child Health and Human Development Initial Review Group, 2002-2007 (**Chair**, 2006-07)

Member, Human Development and Aging Study Section 3, Center for Scientific Review, National Institute of Health, Bethesda, Maryland, 1997-1999

Member, Biobehavioral and Behavioral Processes Study Section 6, Center for Scientific Review, National Institutes of Health, Bethesda, Maryland, 1999-2001

Ad-hoc Reviewer, National Institutes Health, Bethesda, Maryland, 1988, 1989, 1991, 1994, 2005, 2008, 2009

Office of Special Education Programs, U.S. Department of Education, 1987, 1988

March of Dimes Birth Defects Foundation, 1988

National Institute on Disability and Rehabilitation Research, Washington, DC, 1989

American Speech-Language-Hearing Foundation, 1996, 1997

The Joseph P. Kennedy, Jr. Foundation, 1996, 2002

University of Notre Dame Internal Grants Competition, 2008

The Wellcome Trust, London, UK, 2009

Autism Speaks, New York, NY, 2009

Grant Support

Investigator, Teaching Children to Generalize Newly Trained Language: A Socio-Ecological Approach (USOE #G0076-50586), R. L. Schiefelbusch, Principal Investigator, 1976-1979

Investigator, Kansas Research Institute for the Early Childhood Education of the Handicapped (USOE #300-77-0308), Judith LeBlanc & Edward L. Meyen, Co-Principal Investigators, 1977-1982

Investigator, Communication Research with Retarded Children (National Institute of Child Health and Human Development, HD 0087-13), Donald M. Baer, Principal Investigator, 1978-1980

Principal Investigator, Enhancing Prelinguistic Development: A Pilot Study (U. S. Department of Education, #HD023A10009), 1992-1993

Co-Principal Investigator, Eliciting Language Teaching Behavior in Parents and Professional Workers with Children with Disabilities (U.S. Department of Education, #HD023C20152), 1992-1993

Co-Principal Investigator, Generalized, Transactional, and Longitudinal Effects of Prelinguistic Communication Intervention (U. S. Department of Education, #HO23C20152), 1993-1998

Principal Investigator, Facilitating Mentally Retarded Children's Prelinguistic Development (National Institute of Child Health and Human Development, #RO1HD27594), 1993-1999

Investigator, Doctoral Leadership Training in Early Childhood Special Education (U.S. Department of Education), 1994-1999, 1999-2004

Principal Investigator, Facilitating Phonemic Awareness in Students with Severe Speech and Physical Impairments (U. S. Department of Education, #H023B40043: Student Research Grant to Kerrie Harwood), 1994-1995

Principal Investigator, Tennessee Developmental Disabilities Council Newsletter, Tennessee Department of Mental Health and Mental Retardation, 1995-1999

Principal Investigator, Tennessee Disability Referral and Information Service, Tennessee Developmental Disabilities Council, Tennessee Department of Mental Health and Mental Retardation, 1997-1999

Principal Investigator, John Merck Scholars II Program (The John Merck Fund, Boston, MA) 1996-1999

Co-Principal Investigator, Facilitating the transition to linguistic communication (National Institute of Child Health and Human Development, RO1HD34520), 1997-2001

Investigator, Enabling children to affect their grammatical development: Clinical Research Center on Language Intervention (National Institute on Deafness and Communication Disorders, P5ODCO3282-01), 1998-2003

Principal Investigator, Is prelinguistic communication intervention necessary? (U.S. Department of Education, H324C990040), 2000-2005

Principal Investigator, Kansas Mental Retardation Research Center Core Grant (National Institute of Child Health and Human Development, P30HD02528), 2000-2006; (Competitive renewal, 2006); 2006-2008.

Co-Principal Investigator, Predicting and Preventing Child Neglect and Abuse (The Robert Wood Johnson Foundation), 2000-2003

Co-Principal Investigator, Predicting and Preventing Neglect in Teen Mothers (The National Institute of Child Health and Human Development, R01 HD39456) 2001-2006

Co-Principal Investigator, Identifying Newborns with Fragile X: Planning Grant (The National Institute of Child Health and Human Development) 2003-2006

Principal Investigator of Project II: Maternal responsivity and the development of children with FXS. Research Center on Family Adaptation to Fragile X Syndrome. (The National Institute of

Analysis and Intervention in Developmental Disabilities, 1983-1987
Monographs of the Association for Persons with Severe Handicaps, 1986-1988
Journal of Special Education Technology, 1985-1988
Journal of Speech and Hearing Disorders, 1985-1990
Language, Speech, & Hearing Services in the Schools, 1989-1991

Guest Reviewer

Child Development
Developmental Psychology
International Journal of Language & Communication Disorders
American Journal of Mental Retardation
Journal of Consulting and Clinical Psychology
Applied Psycholinguistics
Education and Treatment of Children
Journal of Applied Behavior Analysis
Early Childhood Research Quarterly
Behavior Assessment
Infant Mental Health Journal
International Review of Research in Mental Retardation
Language, Speech and Hearing Services in the Schools
Journal of Speech and Hearing Research
Journal of Autism and Developmental Disorders
Augmentative and Alternative Communication
Exceptionality
Mental Retardation and Developmental Disabilities Research Reviews
Journal of Behavioral Education
School Psychology Review
University Park Press
Houghton-Mifflin Publishing Co.
Allyn and Bacon Publishing Co.
Macmillan Publishing Company
Paul H. Brookes Publishing Co.
West Educational Publishing
Simon and Schuster Education Group

Related Training and Professional Experience

Professor, Departments of Special Education and Psychology and Human Development, Peabody College, Vanderbilt University, 1991-1999 (Associate Professor, 1985-1991; Assistant Professor, 1982-1985)

Director, Mental Retardation Research Training Program, Peabody College, Vanderbilt University, 1988-1999

Senior Fellow and Investigator, John F. Kennedy Center for Research on Human Development, Vanderbilt University, 1982-1999

Research Assistant, Bureau of Child Research, University of Kansas, Lawrence, 1974-1976

Research Associate, Department of Special Education, University of Kansas, 1976-1977

Courtesy Assistant Professor, Department of Human Development, University of Kansas, 1977-1982

Research Associate, Bureau of Child Research, University of Kansas, 1977-1982

Visiting Scholar, Department of Speech and Hearing Science, University of Washington, Seattle, WA, Fall Quarter 1986

Visiting Professor, Department of Psychology, Federal University of Sao Carlos, Brazil, June, 1989. Sponsored by the Brazilian National Council for Scientific and Technological Development

Publications (Total: 148)

Books and Monographs Edited

1. Rogers-Warren, A. K., & Warren, S. F. (1977). Ecological perspectives in behavior analysis. Baltimore: University Park Press.
2. Warren, S. F., & Rogers-Warren, A. K. (Eds.), (1985). Teaching functional language: Generalization and maintenance of language skills. Austin: Pro-Ed.
3. Olswang, L. B., Thompson, C. K., Warren, S. F., Minghetti, N. (1990). Treatment efficacy research in communication disorders. Rockville, MD: American Speech-Language-Hearing Foundation.
4. Warren, S.F., & Abbeduto, L.R. (Eds.), (1992). American Journal on Mental Retardation: Special issue on the relation of language and communication development to mental retardation, 97 (2), 125-246.
5. Warren, S. F. & Reichle, J. (Eds.), (1992). Causes and effects in communication and language intervention. Baltimore: Brookes Publishing.
6. Gable, R. A., & Warren, S. F. (Eds.), (1993). Strategies for teaching students with mild to severe mental retardation. London: Kingsley. Co-published by Brookes Publishing, Baltimore.
7. Minghetti, N. J., Cooper, J. A., Goldstein, H., Olswang, L. B., & Warren, S. F. (Eds.)

- Generalization and maintenance of question-asking by severely retarded individuals. Journal of the Association of the Severely Handicapped, 6, 15-22.
11. Rogers-Warren, A., & Warren, S. F. (1981). Form and function in language learning and generalization. Analysis and Intervention in Development Disabilities, 1, 389-404.
 12. Warren, S. F., & Rogers-Warren, A. (1982). Language acquisition patterns in normal and handicapped children. Topics in Early Childhood Special Education, 2(2), 70-79.
 13. Warren, S. F., & Rogers-Warren, A. K. (1983). A longitudinal analysis of language generalization among adolescents with severely handicapping conditions. Journal of the Association of the Severely Handicapped, 8, 18-31.
 14. Warren, S. F., & Rogers-Warren, A. (1983). Because no one asked . . . Setting variables effecting the generalization of trained vocabulary within a residential institution. In K. Kernan, M. Begab, & R. Edgerton (Eds.), Environments and behavior: The adaptation of mentally retarded persons (pp. 257-282). Baltimore: University Park Press.
 15. Rogers-Warren, A., Warren, S. F., & Baer, D. M. (1983). Interactional basis of language learning. In K. Kernan, M. Begab, & R. Edgerton (Eds.), Environments and behavior: The adaptation of mentally retarded persons (pp. 239-266). Baltimore: University Park Press.
 16. Rogers-Warren, A., & Warren, S. F. (1983). Facilitating early language and social development: Parents as teachers. In E. M. Goetz & K. E. Allen (Eds.), Early childhood education: Special environmental and legal considerations (pp. 63-90). Rockville, MD: Aspen Systems Corp.
 17. Warren, S. F., McQuarter, R. J., & Rogers-Warren, A. (1984). The effects of mands and models on the speech of unresponsive language delayed preschool children. Journal of Speech and Hearing Disorders, 49, 42-52.
 18. Rogers-Warren, A. K., & Warren, S. F. (1984). The social basis of language and communication in severely handicapped preschoolers. Topics in Early-Childhood Special Education, 4, 57-73.
 19. Warren, S. F. (1985). Clinical strategies for the measurement of language generalization. In Warren, S. F. & Rogers-Warren, A. K. (Eds.), Teaching functional language (pp. 197-224). Austin, TX: Pro-Ed.
 20. Warren, S. F., & Rogers-Warren, A. K. (1985). Teaching functional language: An introduction. In Warren, S. F. & Rogers-Warren, A. K. (Eds.), Teaching functional language (pp. 3-24). Austin: Pro-Ed.
 21. Warren, S. F., Alpert, C. L., & Kaiser, A. P. (1986). An optimal learning environment for infants and toddlers with severe handicaps. Focus on Exceptional Children, 18(8), 1-11. Reprinted in E. L. Meyen, G. A. Vergason, R. J. Whelan (Eds.) (1988). Effective instructional strategies for exceptional children (pp. 139-156). Denver: Love Publishing Co.
 22. Warren, S. F., & Kaiser, A. P. (1986). Generalization of treatment effects by young language delayed children: A longitudinal analysis. Journal of Speech and Hearing Disorders, 51, 239-252.

36. Poulson, C. L., Nunes, L. R., & Warren, S. F. (1989). Imitation in infancy: A critical review. In H. W. Reese (Ed.), Advances in child development and behavior (Vol. 22, pp. 272-298). San Diego: Academic Press.
37. Warren, S. F. (1990). National needs and resources: A commentary. In A. P. Kaiser & C. M. McWhorter (Eds.), Preparing personnel to work with persons with severe disabilities (pp. 329-335). Baltimore: Brookes Publishing.
38. Warren, S. F., & Gazdag, G. A. (1990). Facilitating early language development with milieu intervention procedures. Journal of Early Intervention, 14, 62-86.
39. Jones, H. A., & Warren, S. F. (1991). Enhancing engagement in early language teaching. Teaching Exceptional Children, 23, 48-50.
40. Warren, S. F. (1991). Enhancing communication and language development with milieu teaching procedures. In E. Cipani (Ed.), A guide for developing language competence in preschool children with severe and moderate handicaps (pp. 68-93). Springfield, IL: Charles C. Thomas.
41. Warren S. F., & Reichle, J. (Eds.) (1992). The emerging field of communication and language intervention. In S. F. Warren & J. Reichle (Eds.), Causes and effects in communication and language intervention (pp. 1-8). Baltimore: Brookes.
42. Horn, E., Warren, S., & Reith, H. (1992). Group instruction of motor skills in young children using a micro computer-based intervention system. Journal of the Association for Persons with Severe Handicaps, 17 (3), 133-144.
43. Warren, S. F. (1992). Facilitating basic vocabulary acquisition with milieu teaching procedures. Journal of Early Intervention, 16 (3), 235-251.
44. Warren, S. F., & Abbeduto, L. (1992). The relation of communication and language development to mental retardation. American Journal on Mental Retardation, 97 (2), 125-130.
45. Michael, A. L., Klee, T., Bransford, J. D., & Warren, S. F. (1993). The transition from theory to therapy: Test of two instructional methods. Applied Cognitive Psychology, 7, 139-154.
46. Warren, S.F., Yoder, P. J., Gazdag, G. E., Kim, K., & Jones, H. A. (1993). Facilitating prelinguistic communication skills in young children with developmental delay. Journal of Speech and Hearing Research, 36, 83-97.
47. Bambara, L. M., & Warren, S. F. (1993). Massed trials revisited: Appropriate applications in functional skill training. In R. A. Gable, & S. F. Warren (Eds.), Strategies for teaching students with mild to severe mental retardation (pp. 165-190). London: Kingsley Publishers.
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In Review

Oller, D.K., Niyogi, P., Gray, S., Richards, J., Gilkerson, J., Xu, D., Yapanel, U., Warren, S.F. Identification of autism in early childhood by automated vocal analysis. (in review)

Other Scholarly Work

Warren, S. F. (1983). From intervention to promotion: A revolution in child psychology? Contemporary Psychology, 28(10), 791-794.

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Warren, S. F. (1992). Forward. In D. Bricker & J. Cripe, An activity-based approach to early intervention. Baltimore: Brookes Publishing.

Warren, S.F. (1998). Forward. In D. Bricker, An Activity-Based Approach to Early Intervention (second edition). Baltimore: Brookes Publishing Co.

Warren, S.F. (January – February, 2000). Mental Retardation: Curse, Characteristic, or Coin of the Realm? Kennedy Center News, 51, p. 3-4. Reprinted with permission in the newsletters of the American Association on Mental Retardation and Division 33 of the American

- 1982 Speech & Hearing Sciences Department, University of Washington, Seattle, WA
- 1983 Austin Public Schools, Austin, TX
- 1984 Annual Convention of the Association for Retarded Citizens of the United States, Nashville, TN
- 1985 Frank Porter Graham Child Development Center, University of North Carolina, Chapel Hill, NC
- 1985 The Venezuela Association for the Study of Speech & Language, Caracas, Venezuela
- 1985 University of South Florida, Tampa, FL
- 1985 New Jersey Symposium on Early Childhood Special Education, Woodbridge, NJ
- 1986 Seventh Annual Texas Tech University Pediatrics Primary Care Conference, Lubbock, TX
- 1986 Gulf Coast Conference on Early Intervention for Infants and Toddlers, Point Clear, AL
- 1986 Department of Speech & Hearing Sciences, University of Washington, Seattle, WA
- 1987 South Dakota State Speech, Language, & Hearing Association, Rapid City, SD
- 1988 Department of Communication Disorders, Northern Illinois University, DeKalb, IL
- 1988 Conference on "Strategies for the Future of Early Intervention," Hilton Head, SC
- 1988 Center for Human Development Early Intervention Summer Institute, University of Oregon, Eugene, OR
- 1988 Oregon Research Institute & Portland Public Schools, Portland, OR
- 1989 South Texas Conference on Service Delivery Models in Speech/Language Pathology, Corpus Christi, TX
- 1989 Tennessee Speech-Language-Hearing Association Annual Conference, Nashville, TN
- 1989 John F. Kennedy Center Internal Lecture Series, Nashville, TN
- 1989 Colorado Conference on Early Childhood Special Education, Denver, CO
- 1989 North Carolina Summer Institute on Early Childhood Special Education, Wilmington, NC
- 1989 Annual Convention of the American Association on Mental Retardation, Chicago, IL
- 1989 Campinas State University, Campinas, Brazil
- 1989 School of Education, State University of Rio de Janeiro, Brazil
- 1990 Department of Special Education, University of Illinois, Champaign, IL

- 1996 Third Bi-annual Symposium on Treatment Research, Treatment Research in Communication Disorder Special Interest Group, Rehabilitation Institute of Chicago, Chicago, IL
- 1996 The Tennessee Joint Conference on Disabilities, Nashville, TN
- 1996 The Fourth Annual Collaborative Conference on Young Children with Special Needs and Their Families, Nashville, TN
- 1996 Florida Association of Speech-Language Pathologists and Audiologists, Clearwater, FL
- 1996 Mental Retardation and Developmental Disabilities Conference, Philadelphia, PA
- 1996 The Waisman Center on Mental Retardation and Human Development Research Colloquia Series, Madison, WI
- 1997 International Conference on Mental Retardation: Genes, Brain, and Behavior. Institute for Basic Research in Developmental Disabilities, New York, NY
- 1997 Peabody College Alumni Board, Vanderbilt University, Nashville
- 1998 American Association on Mental Retardation Annual Convention, San Diego, CA
- 1999 Max Planck Institute for Psycholinguistics, Nijmegen, The Netherlands
- 1999 The Gatlinburg Conference on Research and Theory in Mental Retardation and Developmental Disabilities, Charleston, SC (Keynote)
- 1999 Critical Thinking about Critical Periods, an invited conference held at the Lansdowne Resort, Virginia. Sponsored by the National Center on Early Development and Learning.
- 1999 Department of Communication Disorders, University of Illinois, Urbana, IL
- 1999 Danforth Foundation Summer Policy Institute, St. Louis, MO
- 1999 Fragile X Research Group, Frank Porter Graham Child Development Center, University of North Carolina, Chapel Hill
- 1999 American Association on Mental Retardation Region II Annual Conference, Riverside, CA
- 2000 Fifth Symposium on Treatment Research, Treatment Research in Communication Disorders Special Interest Group, American Speech-Language-Hearing Association, Nashville, TN
- 2000 The Waisman Center Mental Retardation Research Training Lecture Series, University of Wisconsin, Madison, WI
- 2000 American Association on Mental Retardation Region II Annual Conference, Tulsa, OK
- 2000 South Carolina Association on Mental Retardation, Myrtle Beach, SC
- 2000 Southeastern Association on Mental Retardation Annual Conference, Durham,
- 2001 National Down Syndrome Society Conference, San Diego

A list of over 190 paper and poster presentations is available upon request.

University of Kansas Service

Provost Council, 2001- 2006
Research Development Fund Review Committee, 2001- 2003
Board of Directors, Merrill Advanced Studies Center, 2001- present
Board of Trustees and Executive Committee, Center for Research, Inc., 2002-2008
Life Sciences Task Force, Lawrence campus, 2001-2002
Life Sciences Executive Planning Committee, 2002
Life Sciences Council, 2003- 2005
North Central Association Accreditation Steering Committee, 2002-2005
University United Way Committee, 2003- present
City of Lawrence Life Sciences Planning Committee, 2003- 2004
Chair, Search Committee for the Vice-Provost for Research, 2003- 2004
Co-Chair, All University Neuroscience Institute Planning Committee, 2003-2004
Research Futures Committee, KU Center for Research, 2004-2006
Chair, Kansas Economic Growth Act Proposal Planning Committee, 2004-2005
Information Services Planning Council, 2005-2006
Member, Speech-Language Hearing Neuroscience Search Committee, 2005-2006
Member, Department of Molecular and Integrative Physiology Neuroscience Search Committee,
School of Medicine, 2005-2006
Member, Search Committee for Associate Vice-Provost for Research, 2006
Member, Applied Behavioral Science Search Committee, 2006-2007
Member, Neuroscience Advisory Group, 2006-present
Internal Advisory Committee, Heartland Institute for Clinical and Translational Research,
University of Kansas Medical Center, 2006-present
Co-Chair, Discovering and Innovating Task Force, Initiative 2015 – University of Kansas
Strategic Planning 2008-2015,
Member, Lawrence-Douglas County Bioscience Authority, 2008-present
Member, Lawrence Regional Technology Center Board of Directors, 2008-present

References Available Upon Request



CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I, STEVEN F. WARREN acknowledge that as part of the
(print name)

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature

St. F. Warren

Date

12-7-09

3. List any professional licenses that you have obtained and include the number for each license.
4. Why do you feel you are a good candidate for the position to which you have been appointed? *NONE* **I have all the appropriate background and experience.**
5. What do you see as the purpose or mission of the role to which you have been appointed? **TO SERVE THE KTEC BOARD AS AN ENGAGED AND WELL INFORMED MEMBER**
6. Military Service: List rank, date and type of discharge from active service.
 None
7. Government Experience: List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
 None **SEE ATTACHED VITA**
8. Elective Public Office: List all elective public offices sought and/or held with dates of service.
 None
9. Campaigns: Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.
 No Yes
10. Honors and Awards: List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.
 None **SEE ATTACHED VITA**
11. Organization Affiliations: List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
 None **SEE ATTACHED VITA**
12. Organization Restrictions: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.
 No Yes
13. Issues: Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
 No Yes
14. Submission of Views: Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.
 No Yes
15. Associations: Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.
 No Yes

25. Other: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.

None

26. Conflict of Interest: How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? *I would immediately RESIGN MYSELF FROM ANY ACTIONS OR ACTIVITIES RELATED IN ANY WAY TO SUCH CONFLICT.*

27. Citations: Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.

No Yes

28. Convictions: Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain. *I WAS ARRESTED IN DECEMBER, 1970*

No Yes *AT THE AGE OF 18 FOR SHOP LIFTING*

29. U.S. Military Convictions: Have you ever been convicted by any military court? If so, please provide details.

No Yes

30. Imprisonment: Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.

No Yes

31. Agency Proceedings/Civil Litigation: Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.

No Yes

32. Agency Proceedings and Civil Litigation of Affiliates and Family: a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.

No Yes

b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)

No Yes

42. Governmental Delinquencies: Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.

No Yes

43. Other: Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES

Name: JOE Spredlin Knows you how?: MENTOR / COLLEAGUE / FRIEND

Address: 3144 CAMPFIRE DR. LAWRENCE, KS 66049-2013
(City, State, Zip)

Home Phone: 785-749-4107 Business Phone: _____

Name: STEVE SCHAEDEN Knows you how?: COLLEAGUE / FRIEND

Address: 862 E. 900 ROAD LAWRENCE, KS 66047
(City, State, Zip)

Home Phone: 785-843-7747 Business Phone: _____

Name: DON BAILEY Knows you how?: COLLEAGUE / FRIEND

Address: 992 CLELAND DR. CHAPEL HILL, NC 27517
(City, State, Zip)

Home Phone: 919-933-0383 Business Phone: 919-541-6488

Name: MARY LEE HUMBERT Knows you how?: COLLEAGUE

Address: 1118 WEST HILLS PARKWAY LAWRENCE, KS 66044
(City, State, Zip)

Home Phone: 785-842-8323 Business Phone: 785-814-4904

STATE OF KANSAS



KANSAS GOVERNMENTAL ETHICS COMMISSION

ELECTRONIC STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS: This statement must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

Warren

Last Name

Steven

First Name

F

Mil

Eva M. Horn

Spouse's Name

4633 Muirfield Dr.

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Lawrence, KS 66047 - 1819

City, State, Zip Code

(785) 840-0812

Home Phone Number

(785) 864-7298

Business Phone Number

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
- 2. Appointed Member of a State Board, Council, Commission or Authority;
- 3. Appointed State Position is Subject to Senate Confirmation;
- 4. Employee of a State Agency or University;
- 5. General Counsel for a State Agency;
- 6. Candidate for State Office.
- 7. Other (Contractor / Member of Compact)

University of Kansas

List the Name of Agency, Board, University or Elected Position (You may use abbreviations but not initials)

Office of Vice Provost for Research and

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	University of Kansas	Lawrence, KS 66045	university

F. **OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.			

G. **RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			

H. **DECLARATION:** I declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

Date Electronically Filed: 05/02/2009
 Name of Person Making Statement: Steven F. Warren

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Mark Parkinson

Appointee: Audrey Langworthy

Position: Member, Kansas Development
Finance Authority

Term Length: Four years

Expiration Date: January 15, 2012

Statutory Authority: K.S.A. 74-8903

Party Affiliation: Republican

⇒ **Statutory geographic representation:**

Congressional District: N/A

⇒ **Requirements** (*insert any that apply*)

County: N/A

Size requirement (*if any*): _____

Other, specify: _____

⇒ **Statutory party affiliation** requirement:

Not more than 3 members of the board shall be members of the same political party.

⇒ **Statutory industry or occupation** requirements:

Three members shall be representative of the public-at large and hold no other public office or public employment.

Salary:

Predecessor: Herself - reappointed

Board Composition Prior to Confirmation of New Appointee:
(SEE ATTACHED LIST)

Senate Commerce Committee

Date: March 3, 2010

Attachment # 3-1

Kansas Development Finance Authority

Appts: 5
Total Appts: 5

Term Length: Four Years
Notes: Consists of 5 members. No fewer than 3 from general public.
Governor designates Chair & Vice-Chair.

Contact: Stephen R. Weatherford
Kansas Development Finance Authority
555 S. Kansas Avenue, Suite 202
Topeka, Kansas 66603
785/357-4445

Statute: KSA 74-8903
Party Ratio: 3:2

Confirmation:
Term Limit: Reg Board

Gov Appt Male/Female 1st--2nd--3rd--4th R / D / U **Board**
4 : 1 3 : 0 : 2 : 0 2 : 3 : 0

** Member fully assumed duties but awaits confirmation by the Full Senate

Langworthy, Audrey H.
alangwo622@aol.com 6324 Ash Street
Prairie Village, KS 66208

<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment</u>	<u>Expiration Date</u>	<u>Reapt</u>
Johnson	R	3	25h	7s	8/5/2005	1/15/2009	

Position: a member
Succeeds: herself--reappointed
Appointed By: Governor
Nominations

Statutory Public Member
Seat #: 002

Montgomery, Mr. John G.
jgm@dailyu.com PO Box 129
Junction City, KS 66441

Geary D 1 65h 22s 8/5/2005 1/15/2009

Position: a member
Succeeds: himself--reappointed
Appointed By: Governor
Nominations

Statutory Public Member
Seat #: 003

Reber, Mr. Brett A.
breber@bwisecounsel.com 320 S. Walnut
Mc Pherson, KS 67460

Mc Pherson D 1 73h 35s 2/15/2007 1/15/2011

Position: a member and Chair
Succeeds: himself-- reappointed
Appointed By: Governor
Nominations

Statutory Public Member
Seat #: 005

8-3

Schaller, Mr. Timothy C.
tim@kans.com Route 2, Box 6A
Larned, KS 67550

<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment</u>	<u>Expiration Date</u>	<u>Reapt</u>
Pawnee	R	1	114	37s	2/15/2007	1/15/2011	

Position: a member
Succeeds: himself -- reappointed
Appointed By: Governor
Nominations

Statutory Public Member

Seat #: 004

Douglas	D	3	44h	2s	8/5/2005	1/15/2009	
---------	---	---	-----	----	----------	-----------	--

Watkins, Mr. Daniel L.
dwatk97964@aol.com 643 Indiana
Lawrence, KS 66044

Position: a member and Vice-chair
Succeeds: himself -- reappointed
Appointed By: Governor
Nominations

Statutory Public Member

Seat #: 001

Audrey H. Langworthy
6324 Ash Street
Prairie Village, Kansas 66208
(913) 362-4067

PERSONAL

Married to Asher C. Langworthy, Jr., September 8, 1962.
Two married daughters, Five Grandchildren

EDUCATION

Harvard University, John F. Kennedy School of Government, 1989, Three-week program
for Senior Executives in State and Local Government.
Master's Degree, Educational Psychology and Guidance, University of Kansas, 1962.
Bachelor of Science Degree, University of Kansas, 1960.
Shawnee Mission (North) High School, 1956.

POLITICAL CAREER

Women's Political Caucus, Chair, Kansas PAC, 2006
Support Youth Soccer, YES on Question #1 Community Leadership Council, 2006
Precinct Committee Woman, Elected 2000, 2002, 2004, 2006, 2008
Republican National Convention, Philadelphia: Delegate and Member of Platform
Committee, 2000.
Kansas State Senate, 1984-2001, Republican.
Committee assignments: Assessment and Taxation, Chairman, 1992-2000; Education,
Vice Chairman, 1996-2000; Public Health and Welfare, Vice Chair and Member;
Joint Committee on State Tribal Relations, Member; Local Government
Vice Chair and Chair; Confirmations, Chair; Legislative Educational Planning
Committee, Chair; Judiciary Committee, Energy and Natural Resources
Committees.
National Conference of State Legislators: NCSL Executive Committee, 1997-2000;
Assembly on Federal Issues, Vice Chairman, 1996-97; Federal Budget and
Taxation Committee Chair, 1995-96; Southern Africa Task Force, 1990-96.
Council of State Governments: Annual Meeting Committee, 1999; Strategic Planning
Committee, 1998; Editorial Board, 1996-97.
Republican Politics: Governor's Grand Club, 1998 - 2002; GOP Club, 1992 -; Johnson
County Finance Committee, 1985-1992; Elephant Club, 1978-1992; 3rd District
Convention Delegate, 1988; Kansas Republican Party, 1984 -.
Prairie Village City Council: appointed 1981, elected 1982 and 1983; Chairman, Public
Safety Committee; Vice Chairman, Municipal Arts Committee; Member of the
Administrative/Legislative, Personnel Committees; Member, Prairie Village
Sister City Steering Committee, 1978-79

PROFESSIONAL CAREER

Teacher, Shawnee Mission School District, Nallwood Junior High School, 9th Grade Unified Studies, English and World Geography, 1963-1965.
Dean of Women's Staff, University of Kansas, Assistant Resident Director of Corbin Hall, 1960-1962.

HONORS

- 2005 Board of Directors' Leadership Scholarship in honor of Audrey Langworthy, Johnson County Community College Foundation
- 2005 Community Service Award, Junior League of Kansas City, Missouri
- 2004 Patron Award, Shawnee Mission Education Foundation
- 2004 Volunteer of the Year – Volunteer Center of Johnson County.
- 2000 The Junior League of Wyandotte and Johnson County Honorary Co-Chairman (with husband) of 50th Annual Cotillion.
- 2000 First Honorary Chair, The Genevieve Bryne Speaker Series, Greater Kansas City American Red Cross.
- 2000 Greater Kansas City Chamber of Commerce Recognition for Leadership and Service in the Kansas Senate.
- 2000 Greater Kansas City Women's Political Caucus Community Service Award.
- 2000 Republican Party Award of Appreciation.
- 1999 Honorary Co-Chair (with husband) for the Shawnee Mission Education Foundation Benefit – "Showtime '99" in May, 1999.
- 1999 MARC (Mid-America Regional Council) 1999 Regional Leadership Award.
- 1997 The University of Missouri-Kansas City Women's Council Graduate Assistance Fund named an Audrey Langworthy Award to support women working towards graduate degrees.
- 1996 The Greater Kansas City American Red Cross named an Audrey Langworthy Award for Outstanding Youth for youth who do volunteer work.
- 1996 Kansas City Spirit Award – to honor those in the community who demonstrate the Kansas City Spirit in their everyday lives.
- 1996 A Friend of Children in the Legislature presented by the Greater Kansas City Chapter of the National Association of Pediatric Nurse Association and Practitioners.
- 1995 Prairie Village Distinguished Public Service Award.
- 1995 Distinguished Public Service Award – in recognition of outstanding support for Human Services and improving the quality of life in Johnson County, Kansas, United Community Services of Johnson County.
- 1995 Outstanding Achievement in Historical Preservation 1st Annual Award, July 8, Alexander Majors Historical House.
- 1995 First Honorary Chair, Fund Raiser for Health Partnership of Johnson County.
- 1994 Intergovernmental Leadership Award, League of Kansas Municipalities.
- 1993 Red Cross Clara Barton Honor Award for Meritorious Volunteer Leadership.
- 1993 Consensus Achiever, awarded by Kansas City Consensus – for leadership in passage of the Bi-State Cultural District legislation.
- 1991 Hallmark Cards, Inc., Public Service Award for Outstanding Individual Achievement in the 74th Kansas Legislature.

HONORS (continued)

1991 Germany Today Program.
1986 Class, Leadership Kansas, Kansas State Chamber of Commerce.
1984 Confidence in Kansas Public Education Task Force, Friend of Education Award,
Local Government Official.
1983 Bernie Hoffman Community Service Award.

CURRENT COMMUNITY SERVICE

United Way of Greater Kansas City, 2007 -, Chair, 211 Committee
Junior League of Kansas City, Mo. Community Advisory Committee, 2006 -
Advancement Board, The University of Kansas Medical Center, The University of
Kansas Hospital, 2006 -
Muriel I. Kauffman Women's Heart Center Advisory Council at St. Luke's Mid America
Heart Institute, 2006 -
Governor Sebelius Task Force on Health Care Cost Containment, 2004 -
University of Kansas Edwards Campus Board of Advisors 2003 -
Kansas Development Finance Authority – Governor's Appointment 2003 - Senate
Confirmation, reappointed and confirmed, 2005.
Liberty Memorial Association Trustee 2003 –
Shawnee Mission Education Foundation Advisory Council, 2003 -
Maternal and Child Health Coalition Advisory Board, 2003.
Foundation on Aging Advisory Committee, 2002 -
Johnson County Community College, "Some Enchanted Evening" Committee 2002,
2003, 2004, 2005, 2006, 2007.
Children's Relief Association of Childrens Mercy Hospital 2002 -
Early Learning Leadership Board, 2002 –
St. Luke's Hospital Women's and Children's Committee of the Board 2003 -
St. Luke's South Hospital Board; Marketing and Community Outreach Committee, 2002-
Chair, Hospital Foundation Advisory Committee, 2004 -
Youth Volunteer Corps of America Board, 2001 – Co-Chair 20th Anniversary Campaign,
2006
Kansas State Historical Society Board, 2001 - ; Executive Search Committee,
Nominating Committee 2004 & 2005, Development Committee 2006, 2007
Kansas Department of Revenue – Kansas Sales Tax Simplification Committee, 1999-
Aquarium Board of Directors, 2000 -.
Community Foundation of Johnson County Board of Directors, 1999 – Marketing
Committee, Charitable Partners Committee
Metropolitan Organization to Counter Sexual Assault (MOSCA) Johnson County
Advisory Committee, 1999 –
Women's Leadership Conference of the Americas, 1997 –
United Community Services of Johnson County, Inc., Council of Advisors, 1995 –
Health Partnership of Johnson County Advisory Council, 1995 –

CURRENT COMMUNITY SERVICE (continued)

Arts and Humanities Association of Johnson County – Advisory Committee, 1993 –
Johnson County Community College Foundation Board, 1989 –
Coro Foundation Advisory Committee, 1989
Women’s Public Service Network, 1988 –
American Red Cross Board, Greater Kansas City Chapter, 1975 – Life member
Kappa Kappa Gamma Fraternity Alumni Member, 1960 –
University of Kansas Alumni Association Life Member, 1960 -

FORMER COMMUNITY SERVICE

Heart of America United Way, Board of Directors, 2000 -; Executive Committee, Chair,
Community Initiatives Committee, Strategic Planning Task Force, Board
Representative to Johnson County United Way, Executive Search Committee.
Reach Healthcare Foundation Advisory Board, 2004-07 – appointed by Senate President
Dave Kerr
Overland Park Chamber of Commerce, member, 2000–06; Overland Park Chamber
Foundation Board, 2001 – State Federal Affairs Task Force
Governor Sebelius Governor’s Education Policy Team, Cost & Efficiencies Committee,
2003.
Governor Sebelius “Best” Committee Infrastructure Team, 2002, 2003.
Shawnee Mission Medical Center Foundation Board of Directors, 1997 – 2002;
Center for Women’s Health Advisory Board; Foundation Membership Committee
University of Kansas 40th Class Reunion Committee Member, Class of 1960.
The Community Partners for Arts and Culture Task Force of the Greater Kansas City
Community Foundation and Affiliated Trusts – 1997 – 2000.
American Royal Student Ambassador Program Judge – 1989, 2001.
Greater KC Chamber of Commerce ATHENA Award Committee, 2001.
The Foundation on Aging, Board of Trustees, 1991 – 2000.
National Board of Governors, American Red Cross, 1987-1993.
Midwestern Field Office Advisory Council, American Red Cross, 1984-87. Chairman,
1986-87.
American Red Cross – Chairman, Greater Kansas City Chapter, 1983-84; Vice
Chairman, 1981-83; Chairman, Program Planning and Budgeting Committee,
1981; Board Member, 1975 to present.
Junior League of Kansas City, Missouri, Inc., - President, 1976-77; Corresponding
Secretary, 1974-75; Elected Junior League Midwest Area Council, 1977-78;
Various other Chairmanships and positions, 1965-1978.
Kansas Alliance for Mentally Ill Advisory Board, 1994-2000.
Kansas Wildscape Foundation Board, 1991-1999.
Kansas City Eye Bank – Board Member, 1977-1998; President, 1980-82; Chairman,
1983-1985.
Committee For Excellence in Shawnee Mission Schools, 1987-88, 1992, 1994, 2003-05

FORMER COMMUNITY SERVICE (continued)

Shawnee Mission School District International Studies Center Committee, 1989.
Child Care Action Campaign, National Advisory Panel, 1988-1996.
Mayor Richard Berkley's Task Force on AIDS, 1987-88; member of Legal, Legislative
and Insurance Subcommittee.
PTA – 1st Vice President, Shawnee Mission East High School, 1986; Indian Hills Junior
High School, 1982; Highlands Grade School, 1979, various Chairmanships and
positions, 1972-87.
United Way – Speaker's Bureau, 1980-86; Agency Relations Chairman, 1984-86.
Greater Kansas City United Community Services – Board Member, 1980-83; A-1
Council, Research and Development Committee, 1977-79.

Revised 8/23/07

Kansas
Senate

CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I, Audrey Hansen Langworthy acknowledge that as part of the
(print name)

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature Audrey S. Langworthy Date June 16, 2009

Form 08/08

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE APPOINTMENT QUESTIONNAIRE

Full Name: Audrey Hansen Langworthy
(please include title and middle name along with any names previously used)

Home Address: 6324 Ash St. Prairie Village, Ks 66208
(Street Address) (City, State, Zip)

Driver's License Number: _____ Social Security Number: _____

Position to which Appointed: Kansas Development Finance Authority

Appointing Authority: Gov.

* Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee use only)		
KBI Check: N/A	In-Process	Complete
DOR Check: N/A	In-Process	Complete

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "☐" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Audren Hansen Langworthy
(please include title and middle name along with any names previously used)

Position to which Appointed: Kansas Development Finance Authority

Appointing Authority: Governor

Home Address: 6324 Ash Street, Prairie Village, KS 66208
(Street Address) (City, State, Zip)

Business Name: _____

Business Address: _____
(Street Address) (City, State, Zip)

Position Title: _____

Home Phone: 913-362-4067 Business Phone: _____ Cell Phone: 816-797-9708

Fax Number: 913-722-0845 E-Mail Address: alangwo622@aol.com

Kansas resident? Yes / No Date of Birth: 4-1-38 Place of Birth: Grand Forks, ND

Registered Voter? Yes Party Affiliation: Republican

Congressional District: 3 Kansas Senate District: 7 Kansas Representative District: 25

Do you have the legal right to live and work in the United States? Yes / No

Please answer the following questions numbered 1 – 43. Each question **MUST BE ANSWERED ON THIS ORIGINAL FORM.** If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1. What is your educational background? See Resume Attached
2. Describe your employment experience. Include any expertise related to the position to which you were appointed. See Resume Attached

3. List any professional licenses that you have obtained and include the number for each license.
Teaching license acquired in 1962 (number not available)
4. Why do you feel you are a good candidate for the position to which you have been appointed?
My legislative experience, plus serving since 2003 on KDFA.
5. What do you see as the purpose or mission of the role to which you have been appointed?
To provide oversight for the transactions of KDFA and to review the yearly audit and annual report.
6. **Military Service:** List rank, date and type of discharge from active service.
 None
7. **Government Experience:** List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
 None See resume
8. **Elective Public Office:** List all elective public offices sought and/or held with dates of service.
 None See resume
9. **Campaigns:** Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.
 No Yes Rep. Jan Myers, Sen. Nancy Kassabaum Baker - early 1980's.
10. **Honors and Awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.
 None See resume
11. **Organization Affiliations:** List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
 None See resume
12. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.
 No Yes
13. **Issues:** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
 No Yes
14. **Submission of Views:** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.
 No Yes
15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.
 No Yes

16. **Opposition:** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.
No Yes
17. **Miscellaneous:** List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills.
None
18. **Relationship to Governmental Employees:** Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details.
No Yes
19. **Compensation:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.
No Yes
20. **Business Relationships:** Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
None
21. **Transactions with Officials:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.
No Yes
22. **Spouse or Other Family Members:** If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
No Yes
23. **Lobbying Activities:** Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
None
24. **Regulated Activities:** Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
None

25. **Other:** Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.
None
26. **Conflict of Interest:** How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise?
 Recuse myself from voting
27. **Citations:** Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
No Yes
28. **Convictions:** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.
No Yes
29. **U.S. Military Convictions:** Have you ever been convicted by any military court? If so, please provide details.
No Yes
30. **Imprisonment:** Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.
No Yes
31. **Agency Proceedings/Civil Litigation:** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
No Yes
32. **Agency Proceedings and Civil Litigation of Affiliates and Family:** a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
No Yes
- b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)
No Yes

33. **Other Litigation:** a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.
No Yes
- b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.
No Yes
34. **Drivers License:** Has your driver's license ever been suspended or revoked? If so, please describe.
No Yes
35. **Parking Tickets:** Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.
No Yes
36. **Security Clearance Denial:** Have you ever been denied a military or other governmental clearance? If so, please explain.
No Yes
37. **Firings:** a.) During the past ten years, have you been fired from a job for any reason? If so, please explain.
No Yes
- b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain.
No Yes
- c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.
No Yes
38. **Alimony and Child Support:** Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain.
No Yes
39. **Consumption of Alcohol:** Have you ever or are you currently abusing alcohol? If so, please explain.
No Yes
40. **Controlled Substances:** Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain.
No Yes
41. **Physical Examination:** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?
No Yes

42. **Governmental Delinquencies:** Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
 No Yes

43. **Other:** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.
 None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES

Name: Dick Bond, Senator Knows you how?: Served together in Senate, friend

Address: 9828Nall Overland Park, KS 66207
(City, State, Zip)

Home Phone: 913-648-5201 Business Phone: 913-319-0323

Name: Senator David Wysong Knows you how?: friends

Address: 6630 Indian Lane Mission Hills, KS 66208
(City, State, Zip)

Home Phone: 913-384-9700 Business Phone: 913-530-1608

Name: Rep. Terrie Huntington Knows you how?: Friend

Address: 6264 Glenfield Fairway, KS 66205
(City, State, Zip)

Home Phone: 913-677-3582 Business Phone: 913-558-3170

Name: Sen. Barbara Allen Knows you how?: friend

Address: 9851 Ash Drive Overland Park, KS 66207
(City, State, Zip)

Home Phone: 913-648-2704 Business Phone: 913-568-7544

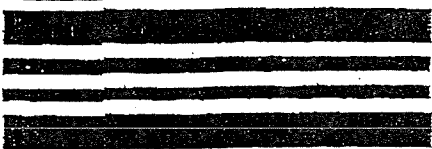
AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature *Rudney H. Langworthy*

Date *June 16, 2009*



STATE OF KANSAS



FILED
APR 13 2009
RON THORNBURGH
SECRETARY OF STATE

Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION: PLEASE TYPE OR PRINT

Langworthy Audrey H
Last Name First Name MI

Asher Langworthy
Spouse's Name

6324 Ash Street
Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Prariei Village, Kansas 66208-1369
City, State, Zip Code

913 362 4067 913 722 0100
Home Phone Number (include area code) Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education, or District Attorney);
- 2. Appointed Member of a State Board, Council, Commission or Authority;
- 3. Appointed State Position is Subject to Senate Confirmation;
- 4. Employee of a State Agency or University;
- 5. General Counsel for State Office;
- 6. Candidate for State Office;
- 7. Other (Contractor / Member of Compact).

KDFA

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Director

Agency Division if applicable (May use acronyms) Position
The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

9 9 2 2

Rev. 3/2006

OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here .

	BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.	Langworthy Cos Inc 6025 Marway Mission Ks 66202	Real estate	stock	27	spouse
2.	8 duplexes Johnson County Ks Overland Park, Shawnee	rental	own	100	self
3.	UMB Kansas City, Missouri	Bank	stock	less than 1	both
4.	Allstate PP PLC	Ins energy	stock "	" " " "	both "
5.	Chevron Corp Emerson Electric	" mfg	" "	" "	" "
6.	GE Royal Dutch Shell Great Plains Energy	" energy energy	" " "	" " "	" " "
7.	Hartford Fin Svc	INs	"	"	"
8.	Mellon Scana Corp	" mgt	" "	" "	" "
9.	Wachovia Wells Fargo	bank "	" "	" "	" "
10.					

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here .

	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.			
2.			
3.			

E. **RECEIPT OF COMPENSATION:** List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

- 1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____.
If you have nothing to report in Section "E"1, check here xx ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	Langworthy Cos Inc	6025 Martway Ste 111 Mission Ks	real estate
2.			

- 2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
If you have nothing to report in Section "E"2, check here ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

F. **OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
If you have nothing to report in Section "F", check here ____.

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	Langworthy Cos Inc	Director, officer	both
	St Luke's Hospital South	Director	self
2.			
3.			
4.			
5.			
6.			
7.			
8.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here .

	NAME OF CLIENT - CUSTOMER	ADDRESS	RECEIVED BY
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			

H. DECLARATION:

Audrey H Langworthy declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

4/1/09
Date

Audrey H. Langworthy
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES _____

Return your completed statement to the Secretary of State, Elections Division, Memorial Hall, First Floor, 120 SW 10th, Topeka, Kansas 66612-1594.