

MINUTES OF THE SENATE FEDERAL AND STATE AFFAIRS COMMITTEE

The meeting was called to order by Chairman Pete Brungardt at 1:00 p.m. on April 29, 2009, in Room 136-N of the Capitol.

All members were present except:  
Senator Steve Morris- excused

Committee staff present:  
Theresa Kiernan, Office of the Revisor of Statutes  
Dennis Hodgins, Kansas Legislative Research Department  
Connie Burns, Committee Assistant

Conferees appearing before the Committee:  
Jeffrey E. Lewis  
Colonel Alexander Duckworth, Kansas National Guard

Others attending:  
See attached list.

### **Confirmation Hearings**

Chairman Brungardt stating the committee was conducting two hearings on the Governors nominees for Brigadier General of the Kansas National Guard and a Kansas Human Rights Commission member; and noted that confirmation packets had been delivered to each committee member for their review and consideration on the confirmation hearings before the break.

The Chairman welcomed Colonel Alexander Duckworth to the podium for comments. Colonel Duckworth provided a brief biographical overview of his military experience and education. The Chairman called for questions regarding the confirmation. (Attachment 1)

The Chairman called for a motion on the confirmation.

Senator Ostmeyer made a motion to move to the full Senate the confirmation for appointment of Colonel Alexander Duckworth, to Brigadier General, Kansas National Guard; to serve at the pleasure of the Governor. Senator Reitz seconded the motion. The motion carried.

Kansas Human Rights Commission:

The Chairman welcomed Jeffrey E. Lewis to the podium for comments. (Attachment 2) Mr. Lewis would finish two years of a four year term which shall expire January 15, 2012. The Chairman called for questions regarding the confirmation.

The Chairman called for a motion on the confirmation.

Senator Faust-Goudeau made a motion to move to the full Senate the confirmation for appointment of Jeffrey E. Lewis, member of the Kansas Human Rights Commission, which expires January 15, 2012. Senator Reitz seconded the motion. The motion carried.

The next meeting is scheduled for April 30, 2009. The meeting was adjourned at 1:30 pm.

**SENATE FEDERAL AND STATE AFFAIRS COMMITTEE**

**GUEST LIST**

DATE 4-29-09

NAME	REPRESENTING
Brandon M. Hays	KTRC
Jeffrey Lewis	
Bill Miner	KHRC
Ruth Glover	KHRC
Berend Koops	Hein Law Firm

**Senate Confirmation Information Summary**  
*Prepared and Submitted by the Office of Governor Kathleen Sebelius*

**Appointee:** Col. Alexander Duckworth

**Position:** Brigadier General, Kansas National Guard

**Appointment Date:** March 5, 2009

**Expiration Date:** N/A

**Term Length:** N/A

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**Statutory Authority:** KSA 48-203

**Party Affiliation:**

⇒ Statutory geographic representation

Requirements (*insert any that apply*)

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

⇒ Statutory industry or occupation requirements:

**Salary:** NA

**Predecessor:**

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**Board Composition Prior to Confirmation of New Appointee:**

(NA)



KBI Check (Confirmation Only)
In Process Complete
Internal Use Only

APPOINTMENT QUESTIONNAIRE

Please return this questionnaire to the Appointment's Office by mail, email, or fax.
Appointment's Office, Statehouse Room 226-S, Topeka, KS 66612-1590
785-296-4052 \* Fax 785-368-8117 \* E-mail: boardsandcommissions@ks.gov

Board/Commission for which you are applying: The Adjutant General Dept.

Full Name (please include title and middle name): Alexander.e.Duckworth

Home Address: 204 NW Birch, Lee's Summit, MO, 64064 Jackson
(City, State, Zip, County)

Business Address: 3365 Blue Ridge Blvd, Indep. , MO, 64052 Jackson
(city, State, Zip, County)

Business Name: Hoover & Assoc

Position Title: Operations Manager

Home Phone: 816-254-6163 Business Phone: 816-254-6167 Cell Phone: 816-786-6165

Fax: Email: alexander.e.duckworth@us.army.mil

Driver's License No: Social Security No:

Date of Birth: 04/06/1960 Place of Birth: Tulsa, OKLA Kansas Resident?: No

Registered Voter?: Yes Party Affiliation: Republican

Congressional District: 7 Senate District: 07 Representative District: 07

Do you have the legal right to live and work in the United States?: Yes

Education:
BS Agriculture Oklahoma State Univ

Do you hold any professional licenses?: No
If YES, please provide numbers:

Please provide previous or current government appointments:
Adjutant General stragic planning committe

EXPERIENCE AND AFFILIATIONS

1. Military Service - Do you have any military experience?:
YES

If YES, please list branch, rank, dates and type of discharge from active service:
Kansas Army National Guard, Col, active

2. Government Experience - Do you have any government experience?:
NO

If YES, please list any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, or other part-time service or positions); include dates of service:



3. Elective Public Office - Have you ever held a public office?:

NO

If YES, please list all elective public offices sought and/or held with dates of service:

4. Honors and Awards - Have you received any honors or awards?:

NO

If YES, please list all scholarships, fellowships, honorary degrees, honorary society memberships, and any other special recognition for outstanding service or achievements:

Bronze Star

5. Organizations/Affiliations - Within the past ten years have you been associated with any local, state, and/or national civic, cultural, educational, charitable, or work-related organizations?:

NO

If YES, please list any position held in the organization and the dates of service:

6. Organization Restrictions - To the best of your knowledge, is any organization listed above restrictive on the basis of race, color, religion, sex, national origin, disability, marital status, or veteran status?:

NO

If YES, please explain:

7. Issues - Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue?:

NO

If YES, please explain:

8. Submission of Views - Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particular controversial issue other than in an official governmental capacity?:

NO

If YES, please explain:

9. Associations - Have you ever had any association with any person, group, or business venture that could be used, even unfairly, to impugn or attack your character and/or qualifications for the position to which you seek to be appointed?:

NO

If YES, please explain:

10. Opposition - Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment?:

NO

If YES, please identify and explain the basis for the potential attack:

11. Miscellaneous - Other than the information provided thus far, is there any other information you consider important to include?:

NO

If YES, please indicate:

### CONFLICTS OF INTEREST

12. Relationship to governmental employees - Are you or your spouse or other close family members related to any state governmental official or employee?:

NO

If YES, please indicate self/spouse/close family member, official/employee, and relationship:

13. Compensation/Transactions with officials - During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas and/or with any state government official(s)?:

NO

If YES, please indicate self/spouse/close family member, government official, financial transaction and date:

14. Business relationships - Have you had any business relationship, dealing, or financial transaction during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed?:

NO

If YES, please explain:

15. Spouse or other family members - Is the nature of employment for your spouse or other close family member related in any way to the position to which you want to be appointed?:

NO

If YES, please indicate the employer, the position, and the length of time it has been held:

16. Lobbying activities - During the past ten years, have you or your spouse engaged in any lobbying activities for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action? (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.):

NO

If YES, please explain:

17. Regulated activities - Do you, your spouse, or other close family member have any interest (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas?:

NO

If YES, please explain indicating self/spouse/close family member:

18. Other - Are you involved in any other matter that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position?:

NO

If YES, please explain:

## ETHICAL MATTERS

19. Citations - Have you ever been cited for a breach of ethics for unprofessional conduct by, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group?:

NO

If YES, please explain:

20. Convictions - Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a minor traffic offense? (Minor traffic offenses do not include the Kansas offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.):

NO

If YES, please explain:

21. U.S. Military convictions - Have you ever been convicted by any military court?:

NO

If YES, please explain:

22. Imprisonment - Have you ever been imprisoned, been on probation, or been on parole?:

NO

If YES, please explain:

23. Agency proceedings and Civil Litigation - Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment?:

NO

If YES, please explain:

24. Agency proceedings and civil litigation of affiliates and family - Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.):

NO

If YES, please explain:

25. Other litigation - Other than the litigation described above, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit?:

YES

If YES, please describe:

Mutiple Land Lord Complaints in Jackson Co, MO civil court. All related to our low income realestate company in Kansas City

Are you aware of any litigation which may be filed against you or any business in which you are an officer, director, or partner in the near future?:

NO

If YES, please describe:

26. Driver's license - Has your driver's license ever been suspended or revoked?:

NO

If YES, please explain:

27. Parking tickets - Do you have outstanding parking tickets from any jurisdiction in Kansas that have remained unpaid for more than 60 days?:

NO

If YES, please explain:

28. Security clearance denial - Have you ever been denied a military or other governmental clearance?:

NO

If YES, please explain:

29. Firings - During the last ten years, have you been fired from a job for any reason? Have you left a job after you were told you would be fired? Or have you left a job by mutual agreement?:

NO

If YES, please explain:

30. Alimony and child support - Are you now, or have you ever been delinquent in the payment of alimony or child support?:

NO

If YES, please explain:

31. Consumption of alcohol - Are you currently abusing alcohol?:

NO

If YES, please explain:

32. Controlled substances - Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance?:

NO

If YES, please explain:

33. Other - Is there any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment?:

NO

If YES, please explain:

34. Physical examination - If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?:

YES

### FINANCIAL MATTERS

35. Delinquencies - Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government):

NO

If YES, please explain:

### REFERENCES/SIGNIFICANT SUPPORTERS

Name: Steve Cox Phone #: 913-530-0237 Relationship to you: Friend

Name: Dane Heneger Phone #: 816-591-2886 Relationship to you: Friend

Name: Ron Frojd Phone #: 816-357-9714 Relationship to you: Friend

Name: Rick Hayes Phone #: 816-350-7720 Relationship to you: Friend

### RESUME

Resume on File: 2008121017035047906486res.doc

## AUTHORIZATION AND CERTIFICATION

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for non-consideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY CHECKING THE BOX BELOW.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

**By checking this box, I declare under penalty of perjury that I am the person named above, and the foregoing information is true and correct.**

Signed and generated on: 12/10/2008 5:03:50 PM

## BIOGRAPHICAL SUMMARY

11 November 2008

DUCKWORTH, Alexander E., 445-60-0859

Colonel (P), Field Artillery, Kansas Army National Guard

Date and Place of Birth: 6 April 1960, Tulsa, OK

Mandatory Removal Date: 3 May 2010

Home Address: 204 NW Birch Lee's Summit, MO 64064

Present Assignment: Deputy Commanding General, 35<sup>th</sup> Infantry Division, KSARNG

Civilian Occupation: Self Employed, Real Estate Management Co.

Enlisted Service: Over 2 years

Source and Date of Commission: OKARNG State OCS, 4 May 1980

Years of Active Commissioned Service: Over 26 years

Total Years of Service: Over 28 years

### Military Schools Attended:

Completed	Year
Basic Training/Advance Individual Training, Fort Sill	1978
Field Artillery Officer Basic Course, Fort Sill	1980
Field Artillery Cannon Officer Basic Course	1981
Nuclear, Biological, Chemical Officer Defense School, USAR School, Enid, OK	1982
Field Artillery Officer Advance Course, Fort Sill	1987
Small Arms Instructor/Range Operations, Camp Gruber	1987
Multiple Rocket Launcher System (MLRS) Cadre Course, Fort Sill, OK	1987
OKARNG, Unit Safety Course, Oklahoma City, OK	1990
Graduated Combined Arms Service Support School	1992
Total Quality Management Course	1995
Graduated Command General Staff Course	1995
MEL-1 Graduate, United States Army War College-DDE Carlisle Barracks, PA	2005
ANTI-Terrorism LV-2	2006

Civilian Education:

Degrees Received

4 Years, High School, Clinton, OK	Graduated	1978
4 Years, Oklahoma State University, Stillwater, OK	BS (Agriculture),	
2 Years, United States Army War College, Carlisle Barracks, PA	Masters of Strategic Studies	1983
		2005

Security Clearance: Top Secret

US Decorations/Badges:

- Bronze Star Medal
- Defense Meritorious Service Medal
- Meritorious Service Medal w/ 4 Oak Leaf Clusters
- Reserve Component Achievement Medal with 3 Oak Leaf Clusters
- National Defense Service Medal with Bronze Star
- Armed Forces Expeditionary Medal
- Southwest Asia Service Medal with 1 Campaign Star
- Iraq Campaign Medal
- Global War on Terrorism Service Medal
- Army Commendation Medal with 2 Oak Leaf Clusters
- Army Achievement Medal with 2 Oak Leaf Cluster
- Armed Forces Reserve Medal with M (Mobilized) and Numeral 2 Devices
- National Defense Service Medal with Bronze Star
- Armed Forces Expeditionary Medal
- Legion of Merit-LAANG
- Army Service Ribbon
- Honor Graduate 13B Basic/AIT

Chronological List of Appointments:

2LT	5 May 80
1LT	4 May 83
CPT	19 Nov 86
MAJ	28 Feb 93
LTC	15 Dec 97
COL	05 MAR 02

Chronological Record of Duty Assignments	From	To
ARNG-Not on Active Duty Enlisted Service	Apr 78	Apr 80
ARNG-Not on Active Duty Aerial Observer, HHB, 45th FA Bde	May 80	May 80
ARNG-Active Duty		

Field Artillery Officer Basic Course, Fort Sill	May 80	Jul 80
ARNG-Not on Active Duty		
Aerial Observer (Battery Executive Officer), HHB, 45th FA Bde	Aug 80	Oct 81
Fire Direction Officer, Btry B, 1-189 FA	Oct 81	Oct 83
Executive Officer, Btry B, 1-189 FA	Oct 83	Oct 85
Battalion Communications-Electronics Officer, HHB, 1-158 FA	Oct 85	Apr 86
Liaison Officer, HHB, 1-158 FA	Apr 86	Jun 86
Battery Commander, Btry C, 1-158 FA	Jun 86	Apr 88
Battery Commander, HHS, 1-158 MLRS	Apr 88	Sep 90
Battalion Fire Direction Officer, HHB, 1-127 FA	Oct 90	Sep 91
Battalion Fire Support Officer, HHB, 1-127 FA	Oct 91	Oct 92
Asst. Fire Support Coordinator, 35th Div. Arty.	Oct 92	Oct 93
S-3, HHB, 1-127 FA.	Oct 93	Oct 95
Asst. Fire Support Coordinator, 35th Div. Arty	Oct 95	Sep 96
Battalion Executive Officer, HHB, 2-130 FA	Oct 96	Aug 97
35 Division Artillery S-3,	Aug 97	Aug 99
Battalion Commander 2-130 FA (MLRS)	Sep 99	Sep 01
Director of Personnel JFHQ-KS	OCT 01	Aug 04
Commander 130 FA Bde KSANG.	Aug 04	Apr 06
ARNG-Active Duty		
Commander 130 FA Brigade, Taskforce Tornado, Multi National Division Baghdad, Operation Iraqi Freedom, Victory Base Complex, Baghdad, Iraq	Apr 06	Jun 07
ARNG-Not on Active Duty		



Commander 130 FA Bde KSANG

Jun 07 Dec07

Knowledge Management Advisor,  
(Special staff) 35 Inf Div KSANG

Dec 07 Oct 08

Deputy Commanding General,  
35<sup>th</sup> Infantry Division, KSARNG

Oct 08 Present

Military Memberships:

Kansas National Guard Association (Life Member)

National Guard Association of the United States

45th Infantry Division Association (Life Member)

United States Field Artillery Association

Ancient Order of St Barbara

Civilian Occupation Description: Inner-city, income challenged habitat provider, operations manager for family owned real estate company in Independence MO. Responsibilities include, rent collection, supervision of maintenance crews, trouble-shooting all types of mechanical and client problems, contract negotiations and other issues that come up in dealing with the public and governmental agencies.

Married (Kelly) with 3 children: Shelby Noel, Drake, Gunnar

STATE OF KANSAS



KANSAS GOVERNMENTAL ETHICS COMMISSION

ELECTRONIC STATEMENT OF SUBSTANTIAL INTERESTS FORM

**INSTRUCTIONS:** This statement must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

**A. IDENTIFICATION:**

Duckworth

Last Name

Alexander

First Name

E

MI

Kelly

Spouse's Name

204 Nw Birch

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Lees Summit, MO 64064

City, State, Zip Code

(816) 254-6163

Home Phone Number

(816) 254-6167

Business Phone Number

**B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:**

( check one or more of the following )

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
- 2. Appointed Member of a State Board, Council, Commission or Authority;
- 3. Appointed State Position is Subject to Senate Confirmation;
- 4. Employee of a State Agency or University;
- 5. General Counsel for a State Agency;
- 6. Candidate for State Office.
- 7. Other (Contractor / Member of Compact)

The Adjutant General Dept

List the Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Kansas Army National Guard

Deputy Commanding General

Division if applicable (May use acronyms)

Position

\* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional. \*

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C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

	BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.	Hoover & Assoc 3365 Blue Ridge Blvd, Indep, MO 64052	realstate	operations manager	50%	self

D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY
1.			

E. **RECEIPT OF COMPENSATION:** (Part 1) List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE

If you have nothing to report in Section "E"1, check here

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	Kansas Army National Guard	2600 Topeka Blvd, Topeka Ks	National Guard

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			

F. **OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here

BUSINESS NAME AND ADDRESS		POSITION HELD	HELD BY WHOM
1.			

**G. RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

NAME OF CLIENT / CUSTOMER		ADDRESS	RECEIVED BY
1.			

**H. DECLARATION:** I declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

Date Electronically Filed: 12/10/2008  
 Name of Person Making Statement: Alex Duckworth

**Senate Confirmation Information Summary**  
*Prepared and Submitted by the Office of Governor Kathleen Sebelius*

**Appointee:** Jeffrey E. Lewis

**Position:** Member, Kansas Human Rights Commission

**Appointment Date:** March 5, 2009

**Expiration Date:** January 15, 2012

**Term Length:** Four years

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**Statutory Authority:** K.S.A.44-1003

**Party Affiliation:** Democrat

⇒ Statutory geographic representation  
Requirements (*insert any that apply*)

Congressional District:

County:

Size requirement (*if any*):

Other, specify: Not more than four members shall belong to the same political party.

⇒ Statutory party affiliation requirement:

4:3

⇒ Statutory industry or occupation requirements:

Two members shall be representative of industry; two members shall be representative of labor; one member shall be a person authorized to practice law in this state; one member shall be a representative of the real estate industry; and one member shall be appointed at large.

**Salary:** NA

**Predecessor:** Errol Williams

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**Board Composition Prior to Confirmation of New Appointee:**

*(SEE ATTACHED LIST)*



**CONFIRMATION OVERSIGHT COMMITTEE**  
**APPOINTMENT QUESTIONNAIRE**

Full Name: Jeffrey Edward Lewis  
(please include title and middle name along with any names previously used)

Home Address: 4216 SW Clarion Lakes Drive 66610  
(Street Address) (City, State, Zip)

Driver's License Number: [REDACTED] Social Security Number: [REDACTED]

Position to which Appointed: Commissioner - Kansas Human Rights Commission

Appointing Authority: Governor

\* Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee use only)		
KBI Check: N/A	In-Process	Complete
DOR Check: N/A	In-Process	Complete

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "□" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Jeffrey Edward Lewis  
(please include title and middle name along with any names previously used)

Position to which Appointed: Commissioner - Kansas Human Rights Commission

Appointing Authority: Governor

Home Address: 4216 SW Clarion Lakes Drive Topeka, KS 66610  
(Street Address) (City, State, Zip)

Business Name: AT&T Kansas (AT&T Services, Inc.)

Business Address: 220 SE 6th Street, Room 515, Topeka, KS 66603  
(Street Address) (City, State, Zip)

Position Title: General Attorney

Home Phone: 785-478-1312 Business Phone: 785-276-8411 Cell Phone: 785-817-8972

Fax Number: 785-276-1948 E-Mail Address: jeffrey.e.lewis@att.com

Kansas resident?  Yes /  No Date of Birth: 11/13/1956 Place of Birth: Chicago, IL

Registered Voter? Yes Party Affiliation: Democrat

Congressional District: 2 Kansas Senate District: 20 Kansas Representative District: 54

Do you have the legal right to live and work in the United States?  Yes /  No

Please answer the following questions numbered 1 - 43. Each question **MUST BE ANSWERED ON THIS ORIGINAL FORM.** If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1. What is your educational background?  
See resume.
2. Describe your employment experience. Include any expertise related to the position to which you were appointed.  
See resume.



3. List any professional licenses that you have obtained and include the number for each license.  
Kansas Bar #23732, Illinois Bar #6198060; New York Bar #3491164
4. Why do you feel you are a good candidate for the position to which you have been appointed?  
My extensive legal and business background, as well as my commitment to human right makes me uniquely qualified and suited for this position.
5. What do you see as the purpose or mission of the role to which you have been appointed?  
To facilitate and foster the prevention of discrimination and ensuring equal opportunities for all Kansans.
6. **Military Service:** List rank, date and type of discharge from active service.  
None
7. **Government Experience:** List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.  
None U.S. Securities & Exchange Commission; Enforcement Attorney 1982-1984
8. **Elective Public Office:** List all elective public offices sought and/or held with dates of service.  
None
9. **Campaigns:** Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.  
No Yes 2008 - volunteer - Barack Obama  
2004 - volunteer - John Kerry
10. **Honors and Awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.  
None American Bar Association; Chicago Bar Association
11. **Organization Affiliations:** List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.  
None Economic Club of Chicago - 1998-present  
Chicago Council in Foreign Relations - 1996-2002
12. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.  
No Yes
13. **Issues:** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.  
No Yes
14. **Submission of Views:** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.  
No Yes
15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.  
No Yes



16. **Opposition:** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.  
 No  Yes
17. **Miscellaneous:** List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills.  
 None Volunteer - Holy Trinity Catholic Church - Food Kitchen 2002-2006
18. **Relationship to Governmental Employees:** Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details.  
 No  Yes
19. **Compensation:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.  
 No  Yes
20. **Business Relationships:** Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.  
 None
21. **Transactions with Officials:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.  
 No  Yes
22. **Spouse or Other Family Members:** If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.  
 No  Yes
23. **Lobbying Activities:** Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.  
 None Registered as a lobbyist for AT&T Kansas 2008 Session, 2009 Session
24. **Regulated Activities:** Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.  
 None AT&T stockholder and employee

25. **Other:** Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.  
None
26. **Conflict of Interest:** How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise?  
 I plan to recuse myself from any matter involving AT&T.
27. **Citations:** Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.  
No Yes
28. **Convictions:** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.  
No Yes
29. **U.S. Military Convictions:** Have you ever been convicted by any military court? If so, please provide details.  
No Yes
30. **Imprisonment:** Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.  
No Yes
31. **Agency Proceedings/Civil Litigation:** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.  
No Yes
32. **Agency Proceedings and Civil Litigation of Affiliates and Family:** a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.  
No Yes
- b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)  
No Yes

33. **Other Litigation:** a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.  
 No  Yes
- b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.  
 No  Yes
34. **Drivers License:** Has your driver's license ever been suspended or revoked? If so, please describe.  
 No  Yes
35. **Parking Tickets:** Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.  
 No  Yes
36. **Security Clearance Denial:** Have you ever been denied a military or other governmental clearance? If so, please explain.  
 No  Yes
37. **Firings:** a.) During the past ten years, have you been fired from a job for any reason? If so, please explain.  
 No  Yes
- b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain.  
 No  Yes
- c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.  
 No  Yes
38. **Alimony and Child Support:** Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain  
 No  Yes
39. **Consumption of Alcohol:** Have you ever or are you currently abusing alcohol? If so, please explain.  
 No  Yes
40. **Controlled Substances:** Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain.  
 No  Yes
41. **Physical Examination:** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?  
 No  Yes

42. **Governmental Delinquencies:** Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.  
 No  Yes
43. **Other:** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.  
 None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

### REFERENCES

Name: Dan Jacobsen Knows you how?: Client at AT&T

Address: c/o AT&T Kansas, 220 SE 6th Street, Room 500, Topeka, KS 66603  
(City, State, Zip)

Home Phone: 785-271-7707 Business Phone: 785-276-8201

Name: John C. Bottenberg Knows you how?: Consultant for AT&T

Address: 800 SW Jackson, Suite 914, Topeka, KS 66612  
(City, State, Zip)

Home Phone: 785-232-6751 Business Phone: 785-235-2324

Name: Debbie Vignatelli Knows you how?: Client at AT&T

Address: c/o AT&T Kansas, 220 SE 6th Street, Room 505, Topeka, KS 66603  
(City, State, Zip)

Home Phone: 785-272-9988 Business Phone: 785-276-8514

Name: Tom Beall Knows you how?: Friend

Address: Kansas Attorney General, 120 SW 10th Avenue, 2nd Floor, Topeka, KS 66612  
(City, State, Zip)

Home Phone: \_\_\_\_\_ Business Phone: 785-296-3467





at&t

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**Jeffrey E. Lewis**

General Attorney  
AT&T Kansas  
220 SE 6th Street  
Topeka, KS 66603

Office: 785.276.8411  
Fax: 785.276.1948  
Email: [jl2845@att.com](mailto:jl2845@att.com)

Mr. Lewis is the head of AT&T Kansas' Legal office in Kansas, where he supervises a team of AT&T attorneys and support personnel. The Kansas Legal team provides support to AT&T's Kansas business operations, primarily in the areas of regulatory, legislative affairs, and litigation, as well as legal issues related to AT&T's deployment of video and entertainment services. AT&T, one of the largest telecommunications companies in the world, is also the leading provider of voice (wireline and wireless), data, high-speed Internet access, and directory services in Kansas. He has spoken several times at AT&T's national Legal Conference. Prior to moving to Kansas in July 2007, Mr. Lewis was based in AT&T's headquarters offices in San Antonio where held various legal responsibilities, including support to the Regulatory Compliance, and Consumer and Business Marketing organizations.

Prior to joining AT&T in 2002, he was a partner at the firm of Gordon & Glickson LLC (a Chicago-based law firm specializing in information technology transactions), where his client representation included Ameritech Corporation—the Midwest-based telecommunications company later acquired by ATT predecessor SBC Communications. Prior to joining the law firm, he served as a Senior Vice President and Chief Legal Counsel (International Stock Trading Systems) at the Chicago Stock Exchange and an Enforcement Attorney at the U.S. Securities & Exchange Commission in New York. He began his legal career in New York as an Assistant District Attorney in the Manhattan District Attorney's office.

Mr. Lewis is the former Chair of the Information Technology Subcommittee of the Economic Club of Chicago, Board Member of the Chicago Council on Foreign Relations, and Chair of the International Committee of the Chicago Bar Association.

Mr. Lewis received his B.A. from Southern Illinois University-Carbondale; his J.D. from Rutgers University; and his LL.M. (in Corporation Law) from New York University.

Originally from Chicago, he currently resides in Topeka, Kansas with his wife Sarah and their 4 children (Alex (14), Brandon (11), and twins Lauren (7) and Olivia (7)).





Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION: PLEASE TYPE OR PRINT

Lewis Jeffrey E.
Last Name First Name MI
Lewis Sarah S.
Spouse's Name

4216 SW Clarion Lakes Drive
Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Topeka, KS 66610
City, State, Zip Code

785-478-1312 785-276-8411
Home Phone Number (include area code) Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education, or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for State Office;
6. Candidate for State Office;
7. Other (Contractor / Member of Compact).

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Kansas Human Rights Commission

Agency Division if applicable (May use acronyms) Position

\* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

9 5 4 0





**E. RECEIPT OF COMPENSATION:** List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

- 1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE \_\_\_\_.
- If you have nothing to report in Section "E"1, check here \_\_\_\_.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	AT&T	220 SE 6th Street, Rm 515 Topeka, KS 66603	Telecommunications
2.			

- 2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
- If you have nothing to report in Section "E"2, check here  X .

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

**F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here  X .

	BUSINESS NAME AND ADDRESS	POSITION HELD	HBLD BY WHOM
1.			
2.			
3.			
4.			
5.			
6.			
7.			
3.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here  X .

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. DECLARATION:

I, Jeffrey E. Lewis, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

12/12/04

Date

[Signature]

Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES \_\_\_\_\_

Return your completed statement to the Secretary of State, Elections Division, Memorial Hall, First Floor, 120 SW 10th, Topeka, Kansas 66612-1594.