

MINUTES OF THE SENATE FINANCIAL INSTITUTIONS AND INSURANCE COMMITTEE

The meeting was called to order by Chairman Ruth Teichman at 2:15 p.m., April 27, 2006, at the third floor rail.

All members were present.

Committee staff present:

Melissa Calderwood, Kansas Legislative Research Department
Terri Weber, Kansas Legislative Research Department
Bev Beam, Committee Secretary

Conferees appearing before the committee:

Others attending:

The Chair called a meeting at the rail to reconfirm the following appointees: John Cleland, Member, Pooled Money Investment Board, Appointment date April 20, 2006, Expiration date March 15, 2010; John Lehman, Member, Pooled Money Investment Board, Appointment date April 20, 2006, Expiration date March 15, 2010; Jane Schnellbacher, Member, State Banking Board, Appointment date April 20, 2006, Expiration date March 15, 2009; Joseph Smith, Member, State Banking Board, Appointment date April 20, 2006, Expiration Date March 15, 2009 and Mark Parman, Member, State Banking Board, Appointment date April 20, 2006, Expiration date March 15, 2009. (Attachment 1)

The Committee had previously studied the appointees' Information Summaries. The Chair asked for questions. Hearing none, Senator Brungardt moved to confirm all five appointees. Senator Barnett seconded the motion and it unanimously passed.

The meeting adjourned at 2:30 p.m.

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: John Cleland

Position: Member, Pooled Money Investment Board

Appointment Date: April 20, 2006

Expiration Date: March 15, 2010

Term Length: 4 years

Statutory Authority: K.S.A. 75-4221a

Party Affiliation: Republican

⇒ Statutory geographic representation
Requirements (*insert any that apply*)

Congressional District:

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

Not more than three members of the board shall be of the same political party.

⇒ Statutory industry or occupation requirements:

At least 10 years of direct work experience in the areas of finance, accounting or management of investments or shall have at least a baccalaureate degree and at least five years of direct work experience in the same areas.

Salary:

Predecessor: himself – reappointed

Board Composition Prior to Confirmation of New Appointee:

See Attached List

*Senate FI & I Committee
Attachment 1
April 27, 2006*

1-3

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Jenkins, Honorable Lynn 5940 SW Clarion Lane Topeka, KS 66610 Work 785-296-3171 Home 785-271-6585	Shawnee	R	2	52h	20s	3/30/2004		<input type="checkbox"/>
	Position: a member Succeeds: Tim Shallenburger Appointed By: Statutory Member Nominations: Statutory Remarks: State Treasurer Seat #: 005							
Lehman, Mr. John W. 423 E. 47 Highway Girard, KS 66743-9492 Fax (620) 724-6566 Cell (620) 724-3318 Work (620) 724-6111 Home (620) 724-4373 jwlehman@ckt.net	Crawford	D	2	2h	13s	6/28/2002	3/15/2006	<input checked="" type="checkbox"/>
	Position: a member Succeeds: himself -- reappointed Appointed By: Governor Nominations: Statutory Remarks: Public member Seat #: 004 [Details: Has served since 1999]							
Worner, Mr. Eric "Rick" J. 3300 West 50th Terrace Shawnee Mission, KS 66205 Work 816/932-7067 Home 913-432-9700 Fax 816-561-9537 Cell 913-481-3420 rickkc@msn.com	Johnson	D	3	25h	7s	10/12/2004	3/15/2008	<input checked="" type="checkbox"/>
	Position: a member Succeeds: William L. Yager Appointed By: Governor Nominations: Statutory Remarks: Public member Seat #: 003							

(for Appointments Office use only)

KBI Check: NA In Process Complete

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: POOLED MONEY INVESTMENT BOARD

Full Name (please include title and middle name): JOHN DAVID CLELAND

Home Address: 5034 WEST HILLS DR TOPEKA KANSAS SHAWNEE
(City, State, Zip) (County)

Business Address: RETIRED
(City, State, Zip) (County)

Business Name: SECURITY BENEFIT GROUP

Position Title: SR VICE PRES.

Home Phone: 785 372 3748 Business Phone: _____ Cell Phone: _____

Facsimile No: _____ E-Mail Address: _____

Driver's License No: _____ Social Security No: _____

Date of Birth: 5-1-36 Place of Birth: ATCHISON KS Kansas resident? YES

Registered Voter? YES Party Affiliation: REPUBLICAN

Congressional District: 2 Kansas Senate District: 18 Kansas Representative District: 56

Do you have the legal right to live and work in the United States? YES

Education: B.S. UNIV. OF KANSAS
MBA " " PENNSYLVANIA

Employment Experience: 35 YRS WITH SBCG - NOW RETIRED

Do you hold any professional licenses? If so, please provide numbers: NASD PRINCIPAL

What special skills could you bring to this position? _____

Previous government appointments: CHAIRMAN POOLED MONEY BOARD
(Please provide dates)

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

12. **Relationship to governmental employees.** Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details. No Yes _____
13. **Compensation.** During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No Yes _____
14. **Business relationships.** Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. NONE
15. **Transactions with officials.** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If, yes, please explain on a separate sheet. No Yes _____
16. **Spouse or other family members.** If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state. NO
17. **Lobbying activities.** Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state. NONE
18. **Regulated activities.** Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. NONE
19. **Other.** Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. NONE

30. **Firings.** During the past ten years, have you been fired from a job for any reason? Did you quit after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No Yes _____
31. **Alimony and child support.** Are you now, or have you ever been delinquent in the payment of alimony or child support? If yes, please provide details. No Yes _____
32. **Consumption of alcohol.** Are you currently abusing alcohol? No Yes _____
33. **Controlled substances.** Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No Yes _____
34. **Physical examination.** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? No _____ Yes
35. **Other.** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.

FINANCIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate sheet.)

36. **Delinquencies.** Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No Yes _____

REFERENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)

Name: _____ Relationship to you: _____

Telephone: _____

Name: _____ Relationship to you: _____

Telephone: _____

Name: _____ Relationship to you: _____

Telephone: _____

Name: _____ Relationship to you: _____

Telephone: _____



KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION: PLEASE TYPE OR PRINT

CLELAND JOHN D
Last Name First Name MI

BETTY
Spouse's Name

5034 WEST HILLS DR
Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

TOPEKA KS 66606
City, State, Zip Code

785 272 3748 N/A
Home Phone Number (include area code) Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for a State Agency;
6. Candidate for State Office.

POOLED MONEY INVESTMENT BOARD
Last Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

CHAIRMAN
Division if applicable (May use acronyms) Position

The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

	BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.	SECURITIES MUTUAL FUNDS	INVESTMENT COMPANIES	COMMON STOCK	N/A	ME
2.	BANK OF AMERICA	BANKING	"	"	SPOUSE
3.	CHEVRON TEXACO	ENERGY	"	"	"
4.	J M CORP	MFG.	"	"	"
5.	BURLINGTON NORTHERN	RAILROADS	"	"	"
6.					
7.					
8.					
9.					
10.					

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.			
2.			
3.			

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____
 If you have nothing to report in Section "E"1, check here ____

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	SECURITY BENEFIT	TOPEKA KS	FINANCIAL SERVICES
2.			

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
 If you have nothing to report in Section "E"2, check here

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
 If you have nothing to report in Section "F", check here ____

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	SECURITY MUTUAL FUNDS	CHAIRMAN	ME
2.	STATE OF KANSAS POOLED MONEY INVESTMENT	"	"
3.	TOPEKA SYMPHONY SOCIETY	PRES	SPOUSE
4.			
5.			
6.			
7.			
8.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. DECLARATION:

JOHN J. CLELAND declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

3-7-06
Date

John J. Cleland
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES _____

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 66612-1594.

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: John Lehman

Position: Member, Pooled Money Investment Board

Appointment Date: April 20, 2006

Expiration Date: March 15, 2010

Term Length: 4 years

Statutory Authority: K.S.A. 75-4221a

Party Affiliation: Democrat

⇒ Statutory geographic representation
Requirements (*insert any that apply*)

Congressional District:

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

Not more than three members of the board shall be of the same political party.

⇒ Statutory industry or occupation requirements:

At least 10 years of direct work experience in the areas of finance, accounting or management of investments or shall have at least a baccalaureate degree and at least five years of direct work experience in the same areas.

Salary:

Predecessor: himself – reappointed

Board Composition Prior to Confirmation of New Appointee:

See Attached List

Pooled Money Investment Board

1-12

Gov Appts: 4

Term Length: Four Years

Contact: Derl Treff

Total Appts: 6

Notes: Governor selects Chair. Gov appoints 4 with at least 10 years of direct work experience in the areas of finance, accounting or management of investments or shall have at least a baccalaureate degree and at least five years of direct work experience in the same areas. Other member is the State Treasurer

Director of Investments
900 SW Jackson, Room 209
Topeka, KS 66612-1220
Phone: 785/296-3372

Statute: KSA 75-4221a

Confirmation:

Gov Appt Counts Male/Female

1st--2nd--3rd--4th

R / D / U

Board Active

Party Ratio: 3:2

4 : 0

0 : 3 : 1 : 0

1 : 3 : 0

** Member fully assumed duties but awaits confirmation by the Full Senate

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Cleland, Mr. John D. 5034 SW West Hills Drive Topeka, KS 66606-2278 Work Home (785) 272-3748 Fax	Shawnee	R	2	56h	18s	6/28/2002	3/15/2006	<input checked="" type="checkbox"/>
<p style="text-align: center;">Position: a member Succeeds: himself -- reappointed Appointed By: Governor Nominations: Statutory Remarks: Public member Seat #: 002 [Details: Has served since 1999]</p>								
Dawson, Mr. Norman B. 1412 Jeanne Court Leavenworth, KS 66048 Home 913/772-6935 Cell 913-683-1558 nbdawson@sbcglobal.net	Leavenworth	D	2	41h	5s	11/9/2004	3/15/2008	<input checked="" type="checkbox"/>
<p style="text-align: center;">Position: a member Succeeds: himself -- reappointed Appointed By: Governor Nominations: Statutory Remarks: Public member Seat #: 005</p>								

1-13

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Jenkins, Honorable Lynn 5940 SW Clarion Lane Topeka, KS 66610 Work 785-296-3171 Home 785-271-6585	Shawnee	R	2	52h	20s	3/30/2004		<input type="checkbox"/>

Position: a member
Succeeds: Tim Shallenburger
Appointed By: Statutory Member
Nominations:

Statutory Remarks: State Treasurer

Seat #: 005

Lehman, Mr. John W.
423 E. 47 Highway
Girard, KS 66743-9492
Fax (620) 724-6566
Cell (620) 724-3318
Work (620) 724-6111
Home (620) 724-4373
jwlehman@ckt.net

Crawford	D	2	2h	13s	6/28/2002	3/15/2006	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: himself -- reappointed
Appointed By: Governor
Nominations:

Statutory Remarks: Public member

Seat #: 004 [Details: Has served since 1999]

Worner, Mr. Eric "Rick" J.
3300 West 50th Terrace
Shawnee Mission, KS 66205
Work 816/932-7067
Home 913-432-9700
Fax 816-561-9537
Cell 913-481-3420
rickkc@msn.com

Johnson	D	3	25h	7s	10/12/2004	3/15/2008	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: William L. Yager
Appointed By: Governor
Nominations:

Statutory Remarks: Public member

Seat #: 003

(for Appointments Office use only)

KBI Check: NA In Process Complete

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: Pooled Money Investment Board

Full Name (please include title and middle name): JOHN W. LEHMAN

Home Address: 423 E 47 Hwy Girard Ks 66743 Crawford
(City, State, Zip) (County)

Business Address: Po Box 6 Girard, Ks 66743 Crawford
(City, State, Zip) (County)

Business Name: FIRST NATIONAL BANK

Position Title: PRESIDENT / CEO

Home Phone: 620 724 4373 Business Phone: 620 724 6111 Cell Phone: 620 724 3318

Facsimile No: 620 724 6566 E-Mail Address: jwlehman@ckt.net

Driver's License No: _____ Social Security No: _____

Date of Birth: 4/28/54 Place of Birth: Pittsburg, Ks Kansas resident? YES

Registered Voter? YES Party Affiliation: DEMOCRAT

Congressional District: 2nd Kansas Senate District: 13th Kansas Representative District: 2nd

Do you have the legal right to live and work in the United States? Yes

Education: USD 248, Girard, High School, A.A. Ft Scott, CC
B.S. Agricultural Economics, Kansas State University

Employment Experience: Kansas Young Farmer Education, 1975-1978
1st Nat BK of Girard 1978-Present, Cattleman 1970-Present

Do you hold any professional licenses? If so, please provide numbers: No

What special skills could you bring to this position? Nearly 30 years banking
experience

Previous government appointments: 2 Previous Appt.'s to PMIB
(Please provide dates)

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

12. **Relationship to governmental employees.** Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details. No Yes _____
13. **Compensation.** During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No Yes _____
14. **Business relationships.** Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. NONE
15. **Transactions with officials.** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If, yes, please explain on a separate sheet. No Yes _____
16. **Spouse or other family members.** If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state. no
17. **Lobbying activities.** Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state. NONE
18. **Regulated activities.** Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. NONE
19. **Other.** Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. NONE

30. **Firings.** During the past ten years, have you been fired from a job for any reason? Did you quit after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No X Yes _____
31. **Alimony and child support.** Are you now, or have you ever been delinquent in the payment of alimony or child support? If yes, please provide details. No X Yes _____
32. **Consumption of alcohol.** Are you currently abusing alcohol? No X Yes _____
33. **Controlled substances.** Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No X Yes _____
34. **Physical examination.** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? No _____ Yes X
35. **Other.** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.

FINANCIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate sheet.)

36. **Delinquencies.** Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No X Yes _____

REFERENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)

Name: Jim Barone Relationship to you: Friend

Telephone: 785-296-7370

Name: Bob Grant Relationship to you: Friend

Telephone: 785-296-7650

Name: Chuck Stokes-KBA Relationship to you: Friend / Business

Telephone: 785-232-3444

Name: John Kovacic Relationship to you: Friend

Telephone: 620-724-6542

JOHN W. LEHMAN
COVER SHEET

2. Government Experience. I have served on numerous advisory boards and committees for the City of Girard, Extension Service and Crawford County. I currently serve on the Judicial Nominating Committee for Crawford County. I Chair the Economic Development Committee for the City of Girard. As previously stated, I have served on the Pooled Money Investment Board for approximately six (6) years.

4. Honors and Awards. I have received recognition for service to my church, community, school, profession and public.

5, Organization Affiliations. I have a passion for charities and causes of the American Indian and have supported countless charities, reservations and causes in that regard. I have served in various positions at Trinity Lutheran Church including Chairman and Board of Elders. I have previously and currently serve as our regional member of the Kansas Bankers Association Governing Council and Board of Directors. I have also served on several task forces and committees for the Kansas Bankers Association including strategic planning and public funds.

I have also served the American Bankers Association as a member of the Community Bankers Council representing Kansas. I currently serve as the Kansas appointee on the ABA Membership Council. I have also served on several task forces and committees including a tax issue task force and also have been on the program at the ABA National Community Bankers Conference.

I served as treasurer of the Crawford County Fair Association for many years. I have been treasurer and a board member of the USD #248 Educational Foundation for fifteen (15) years.



KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

LEHMAN

JOHN

W

Last Name

First Name

MI

LEHMAN

BETTY

J

Spouse's Name

423 E 47 Hwy.

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

GIRARD

KS

66743

City, State, Zip Code

620 724 4373

Home Phone Number (include area code)

620 724 6111

Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for a State Agency;
6. Candidate for State Office.

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Poole's Money Investment Board

Division if applicable (May use acronyms)

Board Member

Position

The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here _____

	BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WEOM
1.	Mid America Bancshares PO Box 6 Girard Ks 66743	Bank Holding Company	Stock	5.5%	JWL
2.	ETCO Specialty Products Girard Ks 66743	Commercial Special products Mfg.	Stock	10%	JWL
3.	Kansas Business Forums Girard Ks 66743	Business Forums Printing	Stock	75%	JWL
4.	John Lehman 423 E 47 Hwy Girard Ks 66743	Farm / Cattle	Sole owner	100%	JWL
5.					
6.					
7.					
8.					
9.					
10.					

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here X

	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.			
2.			
3.			

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____
 If you have nothing to report in Section "E"1, check here ____

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	1st Nat'l Bank	PO Box 6 Girard Ks	Bank
2.	SELF	423 E 47 Hwy Girard Ks	FARM / CATTLE

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
 If you have nothing to report in Section "E"2, check here

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
 If you have nothing to report in Section "F", check here ____

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	Mid America Bancshares PO Box 6 Girard Ks 66743	President & Board Member	JWL
2.	1st Nat'l Bank PO Box 6 Girard Ks 66743	President & Board Member	JWL
3.	ETCO Specialty Products Girard Ks	Board Member	JWC
4.	Kansas Business Forum Girard Ks	Board Member	JWL
5.	PMIB Topeka, Ks	Board Member	JWL
6.	USD 248 Educational Foundation Girard Ks	Board Member Sec. / TR.	JWL
7.	HD #1 Foundation Girard, Ks	Board Member Secretary	BIL
8.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. DECLARATION:

I, John W. Lehman, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

3/20/2006

Date

John W. Lehman
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES _____

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 66612-1594.

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: Jane Schnellbacher

Position: Member, State Banking Board

Appointment Date: April 20, 2006

Expiration Date: March 15, 2009

Term Length: 3 years

Statutory Authority: K.S.A. 74-3004

Party Affiliation: Democrat

⇒ Statutory geographic representation

Congressional District: Of the six banker members, the Governor shall appoint one from each Kansas congressional district and the remainder from the state at large.

Requirements (*insert any that apply*)

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

Not more than five members of the board shall be members of the same political party.

⇒ Statutory industry or occupation requirements:

Bankers with at least 5 years experiences in a state bank in Kansas and public members with no connection to banking.

Salary:

Predecessor: herself -- reappointed

Board Composition Prior to Confirmation of New Appointee:

See Attached List

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State Banking Board

Gov Appts: 9

Term Length: Three Years

Contact: Judi M. Stork, Deputy Commissioner

Total Appts: 9

Notes: Limit of 2 terms; 6 bankers at least 5 years experience in a state bank in Kansas: 1 banker from each CD; the rest at-large members.
3 public members -- no connection to banking. No nonbanker member shall concurrently serve as an officer or director in any state or national bank or trust company wherever located.

Office of the Bank Commissioner
700 SW Jackson, Suite 300
Topeka, KS 66603-3796
785/296-2266

Statute: KSA 74-3004

Confirmation:

Gov Appt Counts Male/Female 1st--2nd--3rd--4th R / D / U Board Active

Party Ratio: 5:4

8 : 1 2 : 2 : 3 : 2 2 : 5 : 2

** Member fully assumed duties but awaits confirmation by the Full Senate

	County	Affiliation	CD	H	S	Appointment Date	Expiration Date	Reapt
Bias, Mr. Andrew L. 3921 Pine Knot Ct. Wichita, KS 67208 Work 316-942-4848 Home 316-688-5378 Fax 316-942-0190 Cell 316-518-5242 abias@mennonitehousing.com	Sedgwick	D	4	84h	29s	6/30/2004	3/15/2007	<input checked="" type="checkbox"/>
		Position: a member						
		Succeeds: Jane A. Bateman						
		Appointed By: Governor						
		Nominations:						
		Statutory Remarks: Public Member; cannot serve as officer or director of any bank						
		Seat #: 005						
Downing, Mr. Michael R. 1503 Lakeview St. Ellis, KS 67637 Work 785-726-3113 Home 785-726-4531 Fax 785-726-3795 Cell 785-623-3645 mdowning@ellisstatebank.com	Ellis	I	1	110h	37s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>
		Position: a member						
		Succeeds: Michael D. Stevens						
		Appointed By: Governor						
		Nominations:						
		Statutory Remarks: First District Banker Member						
		Seat #: 009						

1-25

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Minor, Mr. Melvin 1338 NE 10th St. Stafford, KS 67578 Cell (620) 546-3328 Home (620) 234-5887 cdminor@southwind.net	Stafford	D	1	114h	33s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>

Position: a member
Succeeds: Dan R. Peterson
Appointed By: Governor
Nominations:

Statutory Remarks: Public Member; cannot serve as officer or director of any bank

Seat #: 008

O'Sullivan, Mr. James G. 12608 Cambridge Rd Shawnee Mission, KS 66209 Work 913-319-0351 Home 913-469-1075 Fax 913-319-0322 josullivan@midwesttrust.com	Johnson	U	3	28h	11s	6/30/2004	3/15/2007	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: Steve A. McSpadden
Appointed By: Governor
Nominations:

Statutory Remarks: At-Large Banker Member

Seat #: 003

Parman, Mr. Mark C. 10220 Catalina Overland Park, KS 66207 Work (913) 239-1564 Home 913-381-1891 Fax (913) 851-2014 Cell (913) 645-9047 mparman@solutionsbank.com	Johnson	D	3	20h	11s	7/1/2003	3/15/2006	<input checked="" type="checkbox"/>
--	---------	---	---	-----	-----	----------	-----------	-------------------------------------

Position: a member
Succeeds: Bruce B. Morgan
Appointed By: Governor
Nominations:

Statutory Remarks: Third District Banker Member

Seat #: 007

Rucker, Mr. Richard D. 1644 P Road P.O. Box 367 Eureka, KS 67045 Work 620/583-5516 Home 620/583-5316 Fax 620/583-5411 Dick@Fox-net.net	Greenwood	R	4	76h	16s	6/30/2004	3/15/2007	<input type="checkbox"/>
--	-----------	---	---	-----	-----	-----------	-----------	--------------------------

Position: a member
Succeeds: himself -- reappointed
Appointed By: Governor
Nominations:

Statutory Remarks: Fourth District Banker Member

Seat #: 004

1-26

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Schnellbacher, Mrs. Jane A. 2010 S.W. Bowman Court Topeka, KS 66604 Home 785-273-1909 otto66@webtv.net	Shawnee	D	2	55h	20s	10/27/2003	3/15/2006	<input checked="" type="checkbox"/>

Position: a member
Succeeds: H. Allan Caldwell
Appointed By: Governor
Nominations:
Statutory Remarks: Public Member; cannot serve as officer or director of any bank

Seat #: 006

Smith, Mr. Joseph A. 19600 Udall Road Erie, KS 66733 Fax (620) 449-2828 Cell (629) 820-1369 Work (620) 354-6435 Home (620) 754-3870	Neosho	D	2	8h	14s	9/17/2003	3/15/2006	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: Gary J. Cinotto
Appointed By: Governor
Nominations:
Statutory Remarks: Second District Banker Member

Seat #: 002

Winter, Jr., Mr. Winton A. 737 Indiana Lawrence, KS 66044 Work 785-842-4004 Home 785-843-4479 Fax 785-842-5842 Cell 785-218-9915 wwinter@epeoples.com	Douglas	R	3	44h	2s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>
--	---------	---	---	-----	----	------------	-----------	-------------------------------------

Position: a member
Succeeds: Nancy A. Taylor
Appointed By: Governor
Nominations:
Statutory Remarks: At-Large Banker Member
Seat #: 001

Resume

I was born on October 2, 1930 in Cincinnati, Ohio and lived there until September 1968 when our family moved to Kansas. All my schooling took place in Ohio. I attended Mt. Notre Dame Academy from Grade 2 - 12 and Our Lady of Cincinnati College for one year immediately after high school.

I was married November 1951 and had four children from that marriage. Other than working for a short time at a local bank until my first child was born, I was a stay at home Mom.

A year after my husband's transfer to Topeka, I took a part-time teller job at Capital City Bank and worked there 22 years, progressing to Vice President, Director of Marketing. During this time, I was the community face for the bank, serving on the Board of Topeka Chamber of Commerce. The Mayor of Topeka appointed me to the Board of the Metropolitan Topeka Airport Authority for three years, then reappointed me. I was also a member of American Business Women Area Chapter, serving as President one year and being named Woman Of The Year for the group. I also was an active member of the Sales and Marketing Executives, receiving the Distinguished Sales Award in 1989.

When I retired from the bank in 1990 to get married, I was managing the downtown branch of Capital City Bank.

I have been active in my church and was one of the original Eucharistic Ministers.

Since my retirement, I have stayed active in my church, Most Pure Heart of Mary during the fall, winter and spring, working one day a month with members of our church at Let's Help. I am also involved with Concerned Citizens of Topeka, serving as Vice-President. I have also been very involved with the Topeka Jayhawk Club, supporting the Williams Fund of Kansas University.

(for Appointments Office use only)
KBI Check: NA In Process Complete

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: KANSAS BANKING BOARD

Full Name (please include title and middle name): MRS JANE ANN S. SCHNELLBACHE

Home Address: 2010 SW Bowman Ct. Topeka KS 66604 Shawnee
(City, State, Zip) (County)

Business Address: N/A
(City, State, Zip) (County)

Business Name: _____

Position Title: _____

Home Phone: 785-273-1909 Business Phone: _____ Cell Phone: _____

Facsimile No: _____ E-Mail Address: OTTO66@WEBTV.NET

Driver's License No: _____ Social Security No: _____

Date of Birth: 10-2-30 Place of Birth: CINCINNATI OHIO Kansas resident? YES

Registered Voter? YES Party Affiliation: DEMOCRAT

Congressional District: 2 Kansas Senate District: 20 Kansas Representative District: 55

Do you have the legal right to live and work in the United States? YES

Education: 8 YRS. GRADE SCHOOL, 4 YRS. HIGH SCHOOL, 1 YR. COLLEGE

Employment Experience: 22 YEARS @ CAPITAL CITY BANK, TOPEKA
STARTING AS P/T TELLER - TO V.P. MARKETING, MGR. OF DOWNTOWN BAN

Do you hold any professional licenses? If so, please provide numbers: NO

What special skills could you bring to this position? OPEN-MINDED, HONEST, RELIABLE,
WORK WELL WITH OTHERS

Previous government appointments: METROPOLITAN TOPEKA AIRPORT AUTHORITY
(Please provide dates) MARCH 1985
MARCH 1990

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

12. **Relationship to governmental employees.** Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details. No ___ Yes SON-IN-LAW MICHAEL J. PURCELL
13. **Compensation.** During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No ___ Yes KANSAS BANKING BOARD
14. **Business relationships.** Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. NONE
15. **Transactions with officials.** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If, yes, please explain on a separate sheet. No Yes ___
16. **Spouse or other family members.** If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state. NONE
17. **Lobbying activities.** Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state. NONE
18. **Regulated activities.** Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. NONE
19. **Other.** Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. NONE

30. **Firings.** During the past ten years, have you been fired from a job for any reason? Did you quit after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No Yes
31. **Alimony and child support.** Are you now, or have you ever been delinquent in the payment of alimony or child support? If yes, please provide details. No Yes
32. **Consumption of alcohol.** Are you currently abusing alcohol? No Yes
33. **Controlled substances.** Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No Yes
34. **Physical examination.** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? No Yes
35. **Other.** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.

FINANCIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate sheet.)

36. **Delinquencies.** Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No Yes

REFERENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)

Name: LARRY TENOPIR Relationship to you: NONE / FRIEND + OUR ATTORNEY
 Telephone: 233-9467 (D)

Name: MARTHA PILAND Relationship to you: FRIEND / NONE
 Telephone: 232-4156 (D)

Name: JAN CRAIG Relationship to you: FRIEND / NONE
 Telephone: 291-2490 (D)

Name: BILL ROY M.D. Relationship to you: NONE / FRIEND
 Telephone: 273-6304 (H)

I was appointed to the Metropolitan Topeka Airport Authority Board by the Mayor of Topeka in March 1985 and served until 1998; was reappointed in 1988 and resigned in March 1990 because of time conflict with my job.

Sought seat on Topeka City Council District 6; was defeated.

Work at Let's Help with my Church; help to cook meals and serve needy. Can't remember when my husband and I started (it was after our marriage in 1991 until present).

Concerned Citizens For Topeka – A civic group concerned with working against hate and injustice: ~~1993 – present, Vice-President – 1998 (estimate) present (see attached).~~

Local issue – see attached.

I have written several letters to the "Topeka Capital Journal" and one to "Topeka Metro News" – I kept no copies.

I do not know what particular skills are required as I do not know what the job entails. But, I am open-minded, honest and able to dissent when necessary, able to work well with people.

Son – Senior Adult Probation Officer, Lincoln, Nebraska (Steven R. Jung).

Son-In-Law – Michael J. Purcell – State of Kansas.

Concerned Citizens is not active now.

*I am presently Treasurer of Catholics for Renewal.
(see brochure)*



KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

SCHNELLBACHER JANE (ANN) SCHNEIDER
 Last Name First Name MI

OTTO O. SCHNELLBACHER SR.
 Spouse's Name

2010 SW BOWMAN CT
 Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

TOPEKA KANSAS 66604
 City, State, Zip Code

785-273-1909
 Home Phone Number (include area code)

N/A
 Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for a State Agency;
6. Candidate for State Office.

KANSAS BANKING BOARD

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Division if applicable (May use acronyms)

Position

The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE .
If you have nothing to report in Section "E"1, check here .

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
If you have nothing to report in Section "E"2, check here .

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
If you have nothing to report in Section "F", check here .

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	CATHOLICS FOR RENEWAL	TREAS.	JANE
2.			
3.			
4.			
5.			
6.			
7.			
8.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here _____.

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.	AMERICAN UNITED LIFE INS. CO.	1 AMERICAN SQUARE	SPOUSE
2.	(RENEWAL SERVICE FEES)	INDIANAPOLIS, IND	
3.	INDIANAPOLIS LIFE INS. CO.	INDIANAPOLIS, IND	SPOUSE
4.	(SERVICE FEES)		
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. DECLARATION:

I, _____, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

March, 9, 2006
Date

Jane S. Schmittacher
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES _____

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 66612-1594.

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: Joseph Smith

Position: Member, State Banking Board

Appointment Date: April 20, 2006

Expiration Date: March 15, 2009

Term Length: 3 years

Statutory Authority: K.S.A. 74-3004

Party Affiliation: Democrat

⇒ Statutory geographic representation

Congressional District: Of the six banker members, the Governor shall appoint one from each Kansas congressional district and the remainder from the state at large.

Requirements (*insert any that apply*)

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

Not more than five members of the board shall be members of the same political party.

⇒ Statutory industry or occupation requirements:

Bankers with at least 5 years experiences in a state bank in Kansas and public members with no connection to banking.

Salary:

Predecessor: himself -- reappointed

Board Composition Prior to Confirmation of New Appointee:

See Attached List

State Banking Board

1-37

Gov Apprs: 9
Total Apprs: 9

Term Length:
Notes:

Three Years

Contact:

Limit of 2 terms: 6 bankers at least 5 years experience in a state bank in Kansas: 1 banker from each CD; the rest at-large members.
3 public members -- no connection to banking. No nonbanker member shall concurrently serve as an officer or director in any state or national bank or trust company wherever located.

Judi M. Stork, Deputy Commissioner
Office of the Bank Commissioner
700 SW Jackson, Suite 300
Topeka, KS 66603-3796
785/296-2266

Statute: KSA 74-3004
Party Ratio: 5:4

Confirmation:

Gov Appt Counts

Male/Female
8 : 1

1st--2nd--3rd--4th
2 : 2 : 3 : 2

R/D/U
2 : 5 : 2

Board Active

** Member fully assumed duties but awaits confirmation by the Full Senate

Country	Affiliation	CD	H	S	Appointment Date	Expiration Date	Reapt
Bias, Mr. Andrew L. 3921 Pine Knot Ct. Wichita, KS 67208 Work 316-942-4848 Home 316-688-5378 Fax 316-942-0190 Cell 316-518-5242 abias@mennonitehousing.com							<input checked="" type="checkbox"/>
Position: a member Succeeds: Jane A. Bateman Appointed By: Governor Nominations:							
Statutory Remarks: Public Member; cannot serve as officer or director of any bank							
Seat #: 005	Ellis	I	1	110h	37s	10/18/2005	3/15/2008 <input checked="" type="checkbox"/>
Position: a member Succeeds: Michael D. Stevens Appointed By: Governor Nominations:							
Statutory Remarks: First District Banker Member							
Seat #: 009							

mdowming@ellisstatebank.com

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	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Minor, Mr. Melvin 1338 NE 10th St. Stafford, KS 67578 Cell (620) 546-3328 Home (620) 234-5887 cdminor@southwind.net	Stafford	D	1	114h	33s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>

Position: a member
Succeeds: Dan R. Peterson
Appointed By: Governor
Nominations:

Statutory Remarks: Public Member; cannot serve as officer or director of any bank

Seat #: 008

O'Sullivan, Mr. James G. 12608 Cambridge Rd Shawnee Mission, KS 66209 Work 913-319-0351 Home 913-469-1075 Fax 913-319-0322 josullivan@midwesttrust.com	Johnson	U	3	28h	11s	6/30/2004	3/15/2007	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: Steve A. McSpadden
Appointed By: Governor
Nominations:

Statutory Remarks: At-Large Banker Member

Seat #: 003

Parman, Mr. Mark C. 10220 Catalina Overland Park, KS 66207 Work (913) 239-1564 Home 913-381-1891 Fax (913) 851-2014 Cell (913) 645-9047 mparman@solutionsbank.com	Johnson	D	3	20h	11s	7/1/2003	3/15/2006	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: Bruce B. Morgan
Appointed By: Governor
Nominations:

Statutory Remarks: Third District Banker Member

Seat #: 007

Rucker, Mr. Richard D. 1644 P Road P.O. Box 367 Eureka, KS 67045 Work 620/583-5516 Home 620/583-5316 Fax 620/583-5411 Dick@Fox-net.net	Greenwood	R	4	76h	16s	6/30/2004	3/15/2007	<input type="checkbox"/>
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Position: a member
Succeeds: himself -- reappointed
Appointed By: Governor
Nominations:

Statutory Remarks: Fourth District Banker Member

Seat #: 004

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	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Schnellbacher, Mrs. Jane A. 2010 S.W. Bowman Court Topeka, KS 66604 Home 785-273-1909 otto66@webtv.net	Shawnee	D	2	55h	20s	10/27/2003	3/15/2006	<input checked="" type="checkbox"/>

Position: a member

Succeeds: H. Allan Caldwell

Appointed By: Governor

Nominations:

Statutory Remarks: Public Member; cannot serve as officer or director of any bank

Seat #: 006

Smith, Mr. Joseph A.
19600 Udall Road
Erie, KS 66733
Fax (620) 449-2828
Cell (629) 820-1369
Work (620) 354-6435
Home (620) 754-3870

Neosho

D

2

8h

14s

9/17/2003

3/15/2006

Position: a member

Succeeds: Gary J. Cinotto

Appointed By: Governor

Nominations:

Statutory Remarks: Second District Banker Member

Seat #: 002

Winter, Jr., Mr. Winton A.
737 Indiana
Lawrence, KS 66044
Work 785-842-4004
Home 785-843-4479
Fax 785-842-5842
Cell 785-218-9915
wwinter@epeoples.com

Douglas

R

3

44h

2s

10/18/2005

3/15/2008

Position: a member

Succeeds: Nancy A. Taylor

Appointed By: Governor

Nominations:

Statutory Remarks: At-Large Banker Member

Seat #: 001

Joseph A. Smith

Age: 54
19600 Udall Road
Erie, Kansas 66733
Married: 3 sons, 1 daughter

Education

St. Paul High School, St. Paul, Kansas
Graduate, 1969
Labette County Community College, Parsons, Kansas
Associate Degree in Liberal Arts, 1971
Pittsburg State University, Pittsburg, Kansas
B.S. in Business Administration, 1973
Colorado School of Banking, University of Colorado
Sponsored by Colorado Bankers Association, 1974-1976
School of Agriculture, Kansas State University
Sponsored by Kansas Bankers Association, 1975

Experience

Garnett State Savings Bank, Garnett, Kansas
Senior Vice President, 2003-present
Farmers National Bank of Kansas, Walnut, Kansas
President and Director, June 1996-present
The Stark State Bank, Stark, Kansas: May 1973-June 1996
Executive Vice President and Director
Chetopa State Bank & Trust, Chetopa, Kansas: Mar 1982-Jan 1992
Vice President and Director
First State Bank of Thayer, Thayer, Kansas: Aug 1984-Jan 1992
Executive Vice President and Director
First Neodesha Bank, Neodesha, Kansas: Sept 1984-Jan 1992
President and Director
Bank of Commerce, Chanute, Kansas: May 1985-Jan 1992
Director

Personal and Civic Activities:

Member of the St. Francis Catholic Church, Member Kansas State Banking Board, Walnut Ruritan National Club, and Grant Community Club in Stark.

Past Memberships: Ruritan National Club in Stark, Neosho County 4-H Council, Community and Project Leader for local 4-H Club, Neodesha Industrial Commission, Neodesha Foundation, Parsons Babe Ruth Association, Thayer Booster Club, and the Wichita Catholic Diocesan Rural Crisis Council, and the St. Francis Parish Council.

Hobbies:

An avid sports fan, I have participated in competitive softball and basketball for the past thirty years, Also, I have been active in sponsoring and coaching youth programs for a similar period.

Brief Summary of Banking Career and Experiences

I began my career at Stark in May, 1973 as an Agriculture Representative working in all areas of the operation. This being a small bank, I received experience in lending, including consumer, commercial and agricultural loans. I was elected Executive Vice President and a Director in 1980.

In March of 1982, I accepted the responsibility of representing the holding company in management of the bank at Chetopa which included attending loan meetings, farm and commercial credit appraisals, and working with problem loans. Also, I have been active several times in the day to day operations of the bank during management changes.

The First State Bank of Thayer was purchased in August of 1984 from FDIC after its closing. Immediately, I assumed management of the operation along with reorganizing the bank's assets. This required extensive credit analyzing, appraisals, and many customer meetings regarding deposits and loans.

In September of 1984, the purchase of the First National Bank of Neodesha was finalized. I became President with immediate responsibilities of correcting weakness in loan management and operations. This bank was a new and different experience for me as it involved many industrial customers.

Currently, I am managing the Farmers National Bank of Kansas in Walnut, Kansas with branches in St. Paul and Hepler. I believe the most important element is my experience which has provided training at various levels of banking.

Other Experiences

I have been involved in a farming partnership dealing mainly with livestock for the past 35 years.

I have worked with real estate sales for approximately 25 years as a salesman and broker, now owning my own agency, Joe Smith Realty.

Although not real active at this time, I maintain insurance licenses for property and casualty, health and life.

References

*Eugene "Corky" Beachner
Beachner Companies
St. Paul, Kansas*

*Robert Hosack
Neosho County Democratic
Chairman
Chanute, Kansas*

*Robert Grant
State Representative
State Capitol Building
Topeka, Kansas*

*H. Miles Smith
HMS Enterprises, Inc.
Brazilton, Kansas*

*R. J. Breidenthal
First Community Bank
4400 Shawnee Mission Parkway
Fairway, Kansas*

*Herbert E. Iams
Kansas Bankers Association
Topeka, Kansas*

(for Appointments Office use only)

KBI Check: NA In Process Complete

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: STATE BANKING BOARD

Full Name (please include title and middle name): JOSEPH ALONZO SMITH, JR.

Home Address:

19600 Udall Road Erie, Kansas 66733 Neosho
(City, State, Zip) (County)

Business Address: Box 129 Walnut, Kansas 66780 Crawford
(City, State, Zip) (County)

Business Name: Farmers National Bank of Kansas

Position Title: President

Home Phone: 620-754-3870 Business Phone: 620-449-2800 Cell Phone: 629-820-1369

Facsimile No: 620-449-2828 E-Mail Address: _____

Driver's License No: _____ Social Security No: _____

Date of Birth: 4/2/51 Place of Birth: Parsons, Kansas Kansas resident? yes

Registered Voter? yes Party Affiliation: Democrat

Congressional District: 2nd Kansas Senate District: 14th Kansas Representative District: 8th

Do you have the legal right to live and work in the United States? yes

Education: BSBA - Kansas State College, Pittsburg, Kansas

Employment Experience: I have worked in banking since May, 1973.

Do you hold any professional licenses? If so, please provide numbers: KS REAL ESTATE BROKER #BR0001525
KS INSURANCE LICENSE #515507033-

What special skills could you bring to this position? I have been working in the banking field for thirty-three years. My experience includes all levels of banking operations. Also, I helped reopen two failed institutions, have opened two new branch facilities, and worked at eight different locations.

Previous government appointments: STATE BANKING BOARD
(Please provide dates)

EXPERIENCE AND AFFILIATIONS (Note: All yes answers require a detailed response. Attach a separate sheet if necessary.)

1. **Military Service:** List rank, date, and type of discharge from active service:
2. **Government Experience:** List on a separate sheet any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, or other part-time service or positions), with dates of service:
3. **Elective Public Office:** List on a separate sheet all elective public offices sought and/or held with dates of service:
4. **Honors and Awards.** List on a separate sheet all scholarships, fellowships, honorary degrees, honorary society memberships, and any other special recognitions for outstanding service or achievements:
5. **Organization Affiliations.** List on a separate sheet all local, state, and national civic, cultural, educational, charitable, or work-related organizations you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
6. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status, or veteran status? If yes, please describe: No Yes _____
7. **Issues.** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe. No Yes _____
8. **Submission of views.** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particular controversial issue other than in an official governmental capacity? If yes, please describe. No Yes _____
9. **Associations.** Have you ever had any association with any person, group, or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If yes, please describe. No Yes _____
10. **Opposition.** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If yes, please identify and explain the basis for the potential attack on a separate sheet. No Yes _____
11. **Miscellaneous.** List on a separate sheet any factors, other than the information provided above, which particularly qualify you or are relevant to the position to which you are seeking appointment? Include any special skills.

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

12. **Relationship to governmental employees.** Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details.
No Yes _____
13. **Compensation.** During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No Yes _____
14. **Business relationships.** Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. None
15. **Transactions with officials.** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If, yes, please explain on a separate sheet. No Yes _____
16. **Spouse or other family members.** If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state. NO
17. **Lobbying activities.** Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state. None
18. **Regulated activities.** Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. None
19. **Other.** Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. None

ETHICAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate sheet.)

20. **Citations.** Have you ever been cited for a breach of ethics for unprofessional conduct by, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If yes, please provide details.
No Yes _____
21. **Convictions.** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a minor traffic offense? (Minor traffic offenses do not include the Kansas offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If yes, please explain.
No Yes _____
22. **U.S. Military convictions.** Have you ever been convicted by any military court? If yes, please provide details. No Yes _____
23. **Imprisonment.** Have you ever been imprisoned, been on probation, or been on parole? If yes, please provide details. No Yes _____
24. **Agency proceedings: Civil Litigation.** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If yes, please provide details.
No Yes _____
25. **Agency proceedings and civil litigation of affiliates and family.** Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If yes, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)
No Yes _____
26. **Other litigation.** Other than the litigation described above, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If yes, please describe. Is anyone currently threatening to sue you or any business in which you are an officer, director, or partner? If yes, please describe. No Yes _____
27. **Drivers license.** Has your drivers license ever been suspended or revoked? If yes, please describe. No Yes _____
28. **Parking tickets.** Do you have outstanding parking tickets from any jurisdiction in Kansas that have remained unpaid for more than 60 days? If yes, please explain. No Yes _____
29. **Security clearance denial.** Have you ever been denied a military or other governmental clearance? If yes, please explain. No Yes _____

30. **Firings.** During the past ten years, have you been fired from a job for any reason? Did you quit after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No Yes _____
31. **Alimony and child support.** Are you now, or have you ever been delinquent in the payment of alimony or child support? If yes, please provide details. No Yes _____
32. **Consumption of alcohol.** Are you currently abusing alcohol? No Yes _____
33. **Controlled substances.** Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No Yes _____
34. **Physical examination.** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? No _____ Yes
35. **Other.** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.

FINANCIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate sheet.)

36. **Delinquencies.** Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No Yes _____

REFERENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)

Name: Eugene "Corky" Beachner Relationship to you: Friend

Telephone: 620-449-2286

Name: R. J. Breidenthal Relationship to you: Friend

Telephone: 913-384-3300

Name: Robert Grant Relationship to you: Friend

Telephone: 620-457-8496

Name: Robert Hosack Relationship to you: Friend

Telephone: 620-431-9547



KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

Smith	Joseph	A
Last Name	First Name	MI
Smith	Rebecca	S
Spouse's Name		
19600 Udall Road		
Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number		
Erie, Kansas 66733		
City, State, Zip Code		
620-754-3870	620-354-6435 & 449-2800	
Home Phone Number (include area code)	Business Phone Number (include area code)	

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

1. State Elected Official (Governor; Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for a State Agency;
6. Candidate for State Office.

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

STATE BANKING BOARD

MEMBER

Division if applicable (May use acronyms)

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here _____.

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1. RDJ FARM 19600 Udall Road, Erie, KS	farming operation	partner	33 1/3	self
2. JOE SMITH REALTY 19600 Udall Road, Erie, KS	real estate agency	broker	100%	self
3. HOME INSURANCE AGENCY Box 6, Walnut, KS	crop insurance	partner	50%	self
4.				
5.				
6.				
7.				
8.				
9.				
10.				

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here _____.

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.		
2.		
3.		

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____
 If you have nothing to report in Section "E"1, check here ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	FARMERS NATIONAL BANK OF KANSAS	Box 129, Walnut, KS	Banking
2.	JOE SMITH REALTY	19600 Udall Road, Erie, KS	Real Estate Sales

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
 If you have nothing to report in Section "E"2, check here ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
 If you have nothing to report in Section "F", check here ____.

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	FARMERS NATIONAL BANK OF KANSAS BOX 129, WALNUT, KANSAS 66780	PRESIDENT/DIRECTOR	SELF
2.	RDJ FARM 19600 UDALL ROAD, ERIE, KANSAS 66733	PARTNER	SELF
3.	HOME INSURNACE AGENCY BOX. 6, WALNUT, KANSAS 66780	PARTNER	SELF
4.	GARNETT STATE SAVINGS BANK BOX 329, GARNETT, KANSAS 66032	SR. V. P.	SELF
5.	JOE SMITH REALTY 19600 UDALL ROAD, ERIE, KANSAS 66733	BROKER	SELF
6.			
7.			
8.			

G. **RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here XX.

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. **DECLARATION:**

I, JOSEPH A. SMITH, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

March 20, 2006
Date

Joseph A. Smith
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES _____

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 66612-1594.

Senate Confirmation Information Summary
Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: Mark Parman

Position: Member, State Banking Board

Appointment Date: April 20, 2006

Expiration Date: March 15, 2009

Term Length: 3 years

Statutory Authority: K.S.A. 74-3004

Party Affiliation: Democrat

⇒ Statutory geographic representation

Congressional District: Of the six banker members, the Governor shall appoint one from each Kansas congressional district and the remainder from the state at large.

Requirements (*insert any that apply*)

County:

Size requirement (*if any*):

Other, specify:

⇒ Statutory party affiliation requirement:

Not more than five members of the board shall be members of the same political party.

⇒ Statutory industry or occupation requirements:

Bankers with at least 5 years experiences in a state bank in Kansas and public members with no connection to banking.

Salary:

Predecessor: himself -- reappointed

Board Composition Prior to Confirmation of New Appointee:

See Attached List

State Banking Board

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Gov Appts: 9
Total Appts: 9

Term Length: Three Years
Notes: Limit of 2 terms; 6 bankers at least 5 years experience in a state bank in Kansas: 1 banker from each CD; the rest at-large members.
3 public members -- no connection to banking. No nonbanker member shall concurrently serve as an officer or director in any state or national bank or trust company wherever located.

Contact: Judi M. Stork, Deputy Commissioner
Office of the Bank Commissioner
700 SW Jackson, Suite 300
Topeka, KS 66603-3796
785/296-2266

Statute: KSA 74-3004
Party Ratio: 5:4

Confirmation:

Gov Appt Counts Male/Female 1st--2nd--3rd--4th R/D/U **Board Active**

8 : 1 2 : 2 : 3 : 2 2 : 5 : 2

** Member fully assumed duties but awaits confirmation by the Full Senate

	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Bias, Mr. Andrew L. 3921 Pine Knot Ct. Wichita, KS 67208 Work 316-942-4848 Home 316-688-5378 Fax 316-942-0190 Cell 316-518-5242 abias@mennonitehousing.com	Sedgwick	D	4	84h	29s	6/30/2004	3/15/2007	<input checked="" type="checkbox"/>
Position: a member Succeeds: Jane A. Bateman Appointed By: Governor Nominations: Statutory Remarks: Public Member; cannot serve as officer or director of any bank Seat #: 005								
Downing, Mr. Michael R. 1503 Lakeview St. Ellis, KS 67637 Work 785-726-3113 Home 785-726-4531 Fax 785-726-3795 Cell 785-623-3645 mdowning@ellisstatebank.com	Ellis	I	1	110h	37s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>
Position: a member Succeeds: Michael D. Stevens Appointed By: Governor Nominations: Statutory Remarks: First District Banker Member Seat #: 009								

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	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Minor, Mr. Melvin 1338 NE 10th St. Stafford, KS 67578 Cell (620) 546-3328 Home (620) 234-5887 cdminor@southwind.net	Stafford	D	1	114h	33s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>
Position: a member Succeeds: Dan R. Peterson Appointed By: Governor Nominations: Statutory Remarks: Public Member; cannot serve as officer or director of any bank Seat #: 008								
O'Sullivan, Mr. James G. 12608 Cambridge Rd Shawnee Mission, KS 66209 Work 913-319-0351 Home 913-469-1075 Fax 913-319-0322 josullivan@midwesttrust.com	Johnson	U	3	28h	11s	6/30/2004	3/15/2007	<input checked="" type="checkbox"/>
Position: a member Succeeds: Steve A. McSpadden Appointed By: Governor Nominations: Statutory Remarks: At-Large Banker Member Seat #: 003								
Parman, Mr. Mark C. 10220 Catalina Overland Park, KS 66207 Work (913) 239-1564 Home 913-381-1891 Fax (913) 851-2014 Cell (913) 645-9047 mparman@solutionsbank.com	Johnson	D	3	20h	11s	7/1/2003	3/15/2006	<input checked="" type="checkbox"/>
Position: a member Succeeds: Bruce B. Morgan Appointed By: Governor Nominations: Statutory Remarks: Third District Banker Member Seat #: 007								
Rucker, Mr. Richard D. 1644 P Road P.O. Box 367 Eureka, KS 67045 Work 620/583-5516 Home 620/583-5316 Fax 620/583-5411 Dick@Fox-net.net	Greenwood	R	4	76h	16s	6/30/2004	3/15/2007	<input type="checkbox"/>
Position: a member Succeeds: himself -- reappointed Appointed By: Governor Nominations: Statutory Remarks: Fourth District Banker Member Seat #: 004								

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	<u>County</u>	<u>Affiliation</u>	<u>CD</u>	<u>H</u>	<u>S</u>	<u>Appointment Date</u>	<u>Expiration Date</u>	<u>Reapt</u>
Schnellbacher, Mrs. Jane A. 2010 S.W. Bowman Court Topeka, KS 66604 Home 785-273-1909 otto66@webtv.net	Shawnee	D	2	55h	20s	10/27/2003	3/15/2006	<input checked="" type="checkbox"/>

Position: a member
Succeeds: H. Allan Caldwell
Appointed By: Governor
Nominations:
Statutory Remarks: Public Member; cannot serve as officer or director of any bank
Seat #: 006

Smith, Mr. Joseph A. 19600 Udall Road Erie, KS 66733 Fax (620) 449-2828 Cell (629) 820-1369 Work (620) 354-6435 Home (620) 754-3870	Neosho	D	2	8h	14s	9/17/2003	3/15/2006	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: Gary J. Cinotto
Appointed By: Governor
Nominations:
Statutory Remarks: Second District Banker Member
Seat #: 002

Winter, Jr., Mr. Winton A. 737 Indiana Lawrence, KS 66044 Work 785-842-4004 Home 785-843-4479 Fax 785-842-5842 Cell 785-218-9915 wwinter@epeoples.com	Douglas	R	3	44h	2s	10/18/2005	3/15/2008	<input checked="" type="checkbox"/>
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Position: a member
Succeeds: Nancy A. Taylor
Appointed By: Governor
Nominations:
Statutory Remarks: At-Large Banker Member
Seat #: 001

Mark C. Parman

Experience

2004–Present **SolutionsBank** Overland Park, KS

President, Chief Executive Officer

- Joined the company in May of 2004 taking a significant ownership position in the bank. Brought in to implement a growth plan for the company with a short-term goal of reaching \$500 million in assets.
- Doubled assets and loans in less than two years and currently implementing a branch expansion strategy in the KC metropolitan area. Total assets are currently \$240 million.
- Implementing a commercial focus including the development of new products including cash management services, profitability model, mortgage lending.

1991–2004 **Hillcrest Bank** Overland Park, KS

Chairman, Chief Executive Officer

- Lead Bank in growing from \$100 million in assets to over \$1.1 billion.
- Responsible for three mergers and two acquisitions.
- 13 consecutive years of growth in total assets, total loans, deposits, capital and earnings.

1983–1991 **The Merchants Bank** Kansas City, MO

First Vice President

- Started and ran the Special Asset department and responsible for \$300 million in work our credits
- Responsible for a \$350 million commercial loan portfolio

1980–1983 **Mark Twain Bank** Kansas City, MO

Assistant to the President

1979-1980 **Patrons State Bank** Olathe, KS

1978-1979 **Kansas City Bank & Trust** Kansas City, MO

Education

1979-Rockhurst College, Avila College, UMKC, AIB

1976-1978 Odessa High School, Odessa, MO

Organizations

2003 Appointed by Governor of KS to serve on the KS Banking Board

Currently serve as Chairman, Kansas State Banking Board

1998-2002 Region One KBA Board of Directors

2000-2004 Member ABA Peer Group 10

Member Overland Park Rotary Club

Member-Hallbrook Country Club

Member-Rolling Hills Presbyterian Church

Married-Julie 20 years

Personal

Two sons-Tyler 17, Bryan 15

Two dogs-Charlie, Lucy

Hobbies: Golf, Fishing, Reading, Coaching little league sports

(for Appointments Office use only)

KBI Check: NA In Process Complete

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: STATE BANKING BOARD

Full Name (please include title and middle name): MARK CHARLES PARMAN

Home Address: 10220 CATALINA, OVERLAND PARK KS, 66207 JO.

Business Address: 7401 W. 135TH STREET, OVERLAND PARK KS 66223 JO.
(City, State, Zip) (County)

Business Name: SOLUTIONSBANK
(City, State, Zip) (County)

Position Title: PRESIDENT, CHIEF EXECUTIVE OFFICER

Home Phone: 913.381.1891 Business Phone: 913.239.1564 Cell Phone: 913.645.9047

Facsimile No: 913.851.2014 E-Mail Address: MPARMAN@SOLUTIONSBANK.COM

Driver's License No: _____ Social Security No: _____

Date of Birth: 5/25/60 Place of Birth: INDEPENDENCE KS Kansas resident? YES

Registered Voter? YES Party Affiliation: DEMOCRAT

Congressional District: 3 Kansas Senate District: 11 Kansas Representative District: 20

Do you have the legal right to live and work in the United States? YES

Education: ODESSA MO. High School 1978, 2 yrs COLLEGE, ROCKHURST COLLEGE, AVILA COLLEGE, JOCO COMMUNITY COLLEGE -

Employment Experience: SOLUTIONSBANK 2 yrs, HILLCREST BANK chairman CEO 13 yrs
7/91 to 5/04, MERCHANTSBANK Int'l.P., 12/83 to 7/91) MARK TWAIN BANK, PATRONS
STATE BANK, KANSAS CITY BANK & TRUST

Do you hold any professional licenses? If so, please provide numbers: NONE

What special skills could you bring to this position? 27 years BANKING - 15 as CEO

Previous government appointments: KS STATE BANKING BOARD 3/03 3/06
(Please provide dates) (This Position)

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

12. **Relationship to governmental employees.** Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details. No Yes _____
13. **Compensation.** During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No _____ Yes KS STATE BANKING BOARD THIS POSITION
14. **Business relationships.** Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. NONE
15. **Transactions with officials.** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If, yes, please explain on a separate sheet. No Yes _____
16. **Spouse or other family members.** If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state. No
17. **Lobbying activities.** Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state. BANKS I HAVE EMPLOYED BY HAVE BEEN MEMBERS OF KS BANKERS ASSOC. + AMERICAN BANKERS ASSOC.
18. **Regulated activities.** Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. SOLUTIONS BANK IS REGULATED BY THE KS BANKING COMMISSION, FDIC, FEDERAL RESERVE - OTHERWISE NONE.
19. **Other.** Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. NONE

30. **Firings.** During the past ten years, have you been fired from a job for any reason? Did you quit after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No Yes
31. **Alimony and child support.** Are you now, or have you ever been delinquent in the payment of alimony or child support? If yes, please provide details. No Yes
32. **Consumption of alcohol.** Are you currently abusing alcohol? No Yes
33. **Controlled substances.** Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No Yes
34. **Physical examination.** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? No Yes
35. **Other.** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.

FINANCIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate sheet.)

36. **Delinquencies.** Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No Yes

REFERENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)

Name: GEORGE COOLK Relationship to you: CHAIRMAN, SOLUTIONS BANK

Telephone: 913-239-1560

Name: ROBERT WHOLEY Relationship to you: FRIEND, HEARTLAND BANK
Bus. Assoc.

Telephone: 913-663-5656

Name: DAN STEPP Relationship to you: FRIEND, Bus. Assoc., GOLD CAPITAL

Telephone: 913 396 0310

Name: JOHN KELLER Relationship to you: ATTY, FRIEND, BROWN & DUNN

Telephone: 816 292 7043



KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

PARMAN

MARK

C

Last Name

First Name

MI

PARMAN

JULIE

M

Spouse's Name

10220 CATALINA

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

OVERLAND PARK, KS 66207

City, State, Zip Code

913.381.1891

Home Phone Number (include area code)

913.239.1564

Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
2. Appointed Member of a State Board, Council, Commission or Authority;
3. Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University;
5. General Counsel for a State Agency;
6. Candidate for State Office.

KANSAS BANKING BOARD

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

N/A

BOARD MEMBER

Division if applicable (May use acronyms)

Position

The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1. SOLUTIONS BANK - FIRST MEDICINE LODGE BANOSHARES	RS BANK	13,000 SH OF STOCK	100%	MARK & JULIE PARMAN
2. SOLUTIONS BANK 401 K PLAN	MUTUAL FUNDS (BARRING FUND) 401 K	401 K	100%	MARK PARMAN
3. FIDELITY FUNDS	MUTUAL FUNDS	MUTUAL FUNDS	100%	MARK & JULIE PARMAN
4. AMERICAN FUNDS 529 ACCT.	529 FOR SONS COLLEGE	MUTUAL FUNDS	100%	MARK & TULSA PARMAN
5. AMERICAN FUNDS 529 ACCT	529 FOR SONS COLLEGE	MUTUAL FUNDS	100%	MARK & BRYAN PARMAN
6.				
7.				
8.				
9.				
10.				

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.		
2.		
3.		

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____
 If you have nothing to report in Section "E"1, check here ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	SOLUTIONS BANK	7401 W. 135TH ST. OPAKS	BANK
2.			

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
 If you have nothing to report in Section "E"2, check here .

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
 If you have nothing to report in Section "F", check here ____.

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	SOLUTION BANK 7401 W. 135TH. OPAKS 66223	PRES. CEO	MARK PARMAN
2.	FIRST BANK MEDICINE LODGE BANSHGERS 7401 W. 135TH OPAKS 66223	SEC.	MARK PARMAN
3.	KANSAS STATE BANK BOARD 700 S.W. JACKSON SUITE 300 66603	CHAIRMAN OF BOARD	MARK PARMAN
4.			
5.			
6.			
7.			
8.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. DECLARATION:

I, MARK C. PARMAN, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

3-8-06

Date


Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES _____

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 66612-1594.