

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS.

The meeting was called to order by Chairperson Steve Morris at 10:40 a.m. on March 7, 2001 in Room 123-S of the Capitol.

All members were present except: All Present

Committee staff present:

Debra Hollon, Kansas Legislative Research Department  
Amory Lovin, Kansas Legislative Research Department  
Rae Anne Davis, Kansas Legislative Research Department  
Audrey Nogle, Kansas Legislative Research Department  
Amy Kramer, Kansas Legislative Research Department  
Tom Severn, Kansas Legislative Research Department  
Robert Waller, Kansas Legislative Research Department  
Paul West, Kansas Legislative Research Department  
Norman Furse, Revisor of Statutes  
Michael Corrigan, Assistant Revisor, Revisor of Statutes Office  
Julie Weber, Administrative Assistant to the Chairman  
Mary Shaw, Committee Secretary

Conferees appearing before the committee:

Representative David Huff  
Kevin Robertson, Executive Director, Kansas Dental Association  
Jerri Freed, Executive Director, Kansas Dental Board  
Diane Lindeman, Associate Director of Fiscal Affairs/Student Financial Aid, Kansas Board of Regents

Others attending: See attached guest list

Chairman Morris opened the public hearing on:

**Sub for HB 2129—Film commission, information technology council; mileage, expenses, other allowances**

Staff briefed the Committee on the bill.

Chairman Morris welcomed Representative David Huff who spoke in support of **Sub for HB 2129** (Attachment 1). Representative Huff explained that **Sub for HB 2129** would give persons who donate their time to serve on advisory commissions of Information Technology Executive Council and the Film Services Commission the opportunity to receive reimbursement for mileage, tolls and parking expenses. He noted that these two committees are the only commissions whose members do not get these expenses and the bill does not include reimbursement to commission members for food or lodging. Representative Huff offered an amendment that he asked the committee to consider. Committee questions and discussion followed.

Chairman Morris thanked Representative Huff for his presentation before the Committee. There being no further conferees, the Chairman closed the public hearing on **Sub for HB 2129**.

**Senator Feleciano moved, with a second by Senator Barone, to adopt the balloon amendment for Sub for HB 2129 offered by Representative Huff (Attachment 2). Motion carried by a voice vote.**

**Senator Feleciano moved, with a second by Senator Adkins, to pass Sub for HB 2129 favorably as amended. Motion carried by a roll call vote.**

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS on March 7, 2001 in Room 123- of the Capitol.

Subcommittee budget reports for:

**Fee Boards Subcommittee, FY 2001, FY 2002, FY 2003**

Senator Salmans reported that the following Fee Boards concur with the Governor's recommendations with adjustments as listed in the subcommittee budget reports:

**Abstractors' Board of Examiners (Attachment 3), Board of Accountancy (Attachment 4), Board of Barbering (Attachment 5), Board of Cosmetology (Attachment 6), Department of Credit Unions (Attachment 7), Board of Examiners in Optometry (Attachment 8), Board of Hearing Aid Examiners (Attachment 9), Board of Mortuary Arts (Attachment 10), Securities Commissioner (Attachment 11), Board of Technical Professions (Attachment 12), Board of Veterinary Medical Examiners (Attachment 13)**

**Behavioral Sciences Regulatory Board (Attachment 14)**

Senator Salmans reported that the subcommittee concurs with the Governor's recommendation for FY 2001 with adjustments and comments as listed in the Subcommittee budget report.

Senator Feleciano moved, with a second by Senator Salmans, to grant the agency the authority under the executive director's leadership to use the fee fund regarding FY 2001, Items 1 and 2. Motion carried by a voice vote.

Senator Salmans reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustment as listed in the Subcommittee budget report.

Senator Salmans reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with adjustment as listed in the Subcommittee budget report.

**Kansas Dental Board (Attachment 15)**

Senator Salmans reported that the subcommittee concurs with the Governor's recommendation for FY 2001.

Senator Salmans reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustments and notations as listed in the Subcommittee budget report.

Senator Salmans reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with adjustment as listed in the Subcommittee budget report.

**Board of Healing Arts (Attachment 16)**

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2001.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustments and notations as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with adjustments and notations as listed in the Subcommittee budget report.

Senator Kerr moved, with a second by Senator Salmans, to delete Item 2 in the FY 2003 budget report. Motion carried by a voice vote.

## CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS on March 7, 2001 in Room 123- of the Capitol.

### **Board of Nursing** (Attachment 17)

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2001.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustments as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with adjustment as listed in the Subcommittee budget report.

### **State Board of Pharmacy** (Attachment 18)

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2001.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustments as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with adjustment as listed in the Subcommittee budget report.

### **Real Estate Appraisal Board** (Attachment 19)

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2001 with comment as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with comment and exception as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with comment and exception as listed in the Subcommittee budget report.

### **Real Estate Commission** (Attachment 20)

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2001 with comment as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustment and comment as listed in the Subcommittee budget report.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with adjustment and comment as listed in the Subcommittee budget report.

Senator Salmans moved, with a second by Senator Barone, to adopt the Subcommittee budget report on the biennially budgeted agencies as amended. Motion carried by a voice vote.

### **Office of State Bank Commissioner** (Attachment 21)

Senator Barone reported that the subcommittee concurs with the Governor's recommendation for FY 2001.

Senator Barone reported that the subcommittee concurs with the Governor's recommendation for FY 2002 with adjustments and observations as listed in the Subcommittee budget report.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS on March 7, 2001 in Room 123- of the Capitol.

Senator Huelskamp reported that the subcommittee concurs with the Governor's recommendation for FY 2003 with exceptions as listed in the Subcommittee budget report.

Senator Barone moved, with a second by Senator Salmans, to adopt the Subcommittee budget report on the Office of State Bank Commissioner. Motion carried by a voice vote.

Information was distributed titled "Consumer and Mortgage Lending Division Facts and Issues 1999-2000" regarding the Office of the State Bank Commissioner (Attachment 22).

Chairman Morris opened the public hearing on:

**SB 333--State board of regents, agreements with certain dental students**

Staff briefed the committee on the bill.

Chairman Morris welcomed Kevin Robertson, Executive Director, Kansas Dental Association, who spoke in support of **SB 333** (Attachment 23). Mr. Robertson explained that **SB 333** would require students who entered dental school using seats contracted for by the State of Kansas to return to practice in Kansas for a period of time equal to the number of years they were enrolled. Mr. Robertson expressed two concerns of the Kansas Dental Association as follows:

- suggested that there be an exemption for UMKC students (or other dental students enrolled in school using Kansas guaranteed seats) who would go to another dental school for specialty training; and
- suggested that the provision that would allow a graduate's repayment or service obligation to be satisfied if they fail to get a dental license or are denied a license, section 4(b)(5), be eliminated.

Mr. Robertson provided copies of the Dental Program Applicant Data: Entering Classes 1977-2000 (Attachment 24). Committee questions and discussion followed.

Chairman Morris welcomed Jerri Freed, Executive Director, Kansas Dental Board, who spoke in support of **SB 333** (Attachment 25). Ms. Freed spoke regarding "dentally underserved" counties. She noted that **SB 333** is a positive step toward increasing the number of dentists in the state.

Chairman Morris welcomed Diane Lindeman, Associate Director of Fiscal Affairs/Student Financial Aid, Kansas Board of Regents, who presented information regarding **SB 333** (Attachment 26). Ms. Lindeman mentioned that **SB 333** mirrors the other service obligation scholarships that are currently administered by the Kansas Board of Regents and listed statistics regarding how these programs have been operating.

Chairman Morris thanked the conferees for their appearance before the committee. There being no further conferees, the Chairman closed the public hearing on **SB 333**.

Senator Barone moved, with a second by Senator Feleciano, to amend SB 333 to begin the process with the next fiscal year, FY 2002. Motion carried by a voice vote.

Senator Feleciano moved, with a second by Senator Adkins, to amend SB 333 to delete a provision providing service forgiveness to persons who fail to obtain or are denied a license and add a provision providing a service deferral for graduates who go on for specialty training after graduation. Motion carried by a voice vote.

Senator Adkins moved, with a second by Senator Jackson, to pass SB 333 favorably as amended. Motion carried by a roll call vote.

The meeting was adjourned at 11:50 a.m. The next meeting is scheduled for March 8, 2001.

SENATE WAYS AND MEANS COMMITTEE

GUEST LIST

DATE March 7, 2001

NAME	REPRESENTING
Aaron Dunkel	DoB
Melinda Gaul	DoB
Julie Thomas	DoB
Stephanie Buchanan	DoB
Jin Liu	DoB
Nick Han	Bo of Vet Examiners
Dawn Linden	KBOR
Mark Stafford	BOHA
Sherry C. Diehl	KREC
Chandra Golbeck	KBOR
DICK CARTER	KBOR
KEVIN FORBESON	As DENTAL ASSN
Julie Allen	Bottenberg & Assoc.
Jacquie Oakes	As. Auctioneers Assoc.
Beth Laws	KBOR
M. Davis	KBOR

Thank you Mr Chairman,

Several months ago, I was talking to a person who had recently been appointed to a volunteer advisory committee for the state of Kansas. I was surprised to find out, that although he donates his time and expertise to a state committee, he must pay for his own expense of getting to and from Topeka. Sub for HB 2129 would give persons who donate their time to serve on advisory commissions of Information Technology and the Film Commission, the opportunity to receive reimbursement for mileage, tolls, and parking expenses. These two committees are basically the only commissions who do not get these expenses. This bill does not include food or lodging expenses. When a person donates his time and energy to come to Topeka for volunteer meetings, the state should at least pay for some of their expenses.

Mr. Chairman I will stand for questions, but first there is an amendment I would like your committee to consider.

Representative David Huff

Senate Ways and Means  
3-7-01  
Attachment 1

\* ONLY 3-PERSONS ELIGIBLE FOR REIMBURSEMENT (17)

INFORMATION TECHNOLOGY  
EXECUTIVE COUNCIL MEMBERS ✓

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Mr. Donald Heiman, CITO ✓ Executive Branch 900 SW Jackson, Room 751-S Topeka, Kansas 66612-1275	Phone (785) 296-3463 FAX (785) 296-1168  E-Mail: <a href="mailto:don.heiman@state.ks.us">don.heiman@state.ks.us</a>
Ms. Jo Hunt, Vice President ✓ Information Technology Western Resources 818 South Kansas Avenue Topeka, Kansas 66601	Phone (785) 575-6326 FAX (785) 575-8292  E-Mail: <a href="mailto:jo_hunt@wstnres.com">jo_hunt@wstnres.com</a>
Mr. Robert Knapp, General Manager ✓ Information Network of Kansas 534 S. Kansas Avenue, Suite 1210 Topeka, Kansas 66603	Phone (785) 296-5275 FAX (785) 296-5563  E-Mail: <a href="mailto:robert@ink.org">robert@ink.org</a>

**INFORMATION TECHNOLOGY  
EXECUTIVE COUNCIL MEMBERS**

**Page 2**

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<p>* Mr. Ronald D. McCreight 5611 West 91<sup>st</sup> Street Overland Park, Kansas 66207-2130</p>	<p>Phone (913) 649-2249 FAX - NONE  E-Mail: <a href="mailto:ronmccreight@yahoo.com">ronmccreight@yahoo.com</a></p>
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## Substitute for HB 2129

**Sponsored by:** Representative Huff

**Final Action:** Pass as Amended

**Reporting Committee:** Ethics and Elections

**Date:** 2-5-01

**A Bill Concerning:** Reimbursing of mileage, tolls and parking for volunteers on certain commissions

**What Bill Does:** This bill will allow volunteer members of the Film Commission and the Information Technology Commission to be offered reimbursement for mileage, tolls and parking.

**Political Reality/Implications:** This bill help these volunteers re-coop some travel expenses.

**Committee Amendments:** Yes, A substitute bill.

**Possible Floor Amendments:** There was one!

**Proponents:** Natalie Haag-Governors Office  
Representative David Huff

**Opponents:** None

**Fiscal Note:** Very small and will be absorbed in agency's budget

shall serve *ex officio*.

2 (c) The secretary of administration shall serve as the chairperson of  
3 the council.

4 (d) The council shall hold meetings and hearings in the city of To-  
5 peka or at such other places as the council designates, on call of the  
6 chairperson or on request of four or more members.

7 (e) Members of the council may not appoint an individual to rep-  
8 resent them on the council and only members of the council may vote.

9 (f) Members of the council shall ~~not be eligible for receive~~ ~~[not be]~~  
10 ~~[eligible for]~~ ~~compensation, subsistence allowances, mileage or and other~~  
11 ~~expenses as provided~~ ~~[mileage, tolls and parking as provided]~~ in  
12 K.S.A. 75-3223, and amendments thereto, for attendance at any meeting  
13 of the council or any subcommittee meeting authorized by the council;  
14 ~~except that agencies may pay subsistence, mileage and other expenses to~~  
15 ~~their representatives on the council.~~

[receive]

16 Sec. 3. K.S.A. 2000 Supp. 74-9201 and 75-7202 are hereby repealed.

17 Sec. 4. This act shall take effect and be in force from and after its  
18 publication in the Kansas register.

Senate Ways and Means  
3-7-01  
Attachment 2

**2001 FEE BOARDS SUBCOMMITTEE**  
FY 2001, FY 2002, and FY 2003

**Concur**

Abstracters' Board of Examiners  
Board of Accountancy  
Board of Barbering  
Board of Cosmetology  
Department of Credit Unions  
Board of Examiners in Optometry  
Board of Hearing Aid Examiners  
Board of Mortuary Arts  
Securities Commissioner  
Board of Technical Professions  
Board of Veterinary Medical Examiners

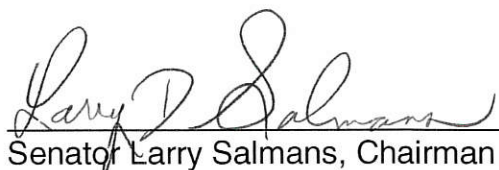
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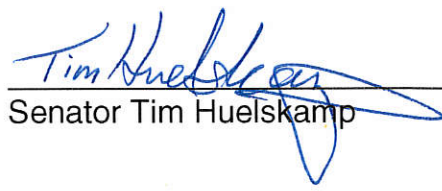
**Adjustments**

Behavioral Sciences Regulatory Board  
Kansas Dental Board  
Board of Healing Arts  
Board of Nursing  
Board of Pharmacy  
Real Estate Appraisal Board  
Real Estate Commission

  
\_\_\_\_\_  
Senator Jim Barone

  
\_\_\_\_\_  
Senator Dave Jackson

  
\_\_\_\_\_  
Senator Larry Salmans, Chairman

  
\_\_\_\_\_  
Senator Tim Huelskamp

Senate Ways and Means  
3-7-01  
Attachment 3

## Senate Subcommittee Report

**Agency:** Abstracters' Board of Examiners

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No.** 1581

**Budget Page No.** 481

<u>Expenditure Summary</u>	<u>Agency Estimate FY 01</u>	<u>Governor's Rec. FY 01</u>	<u>Subcommittee Adjustments</u>
State Operations			
Special Revenue Funds	\$ 19,867	\$ 19,867	\$ 0
FTE Positions	0.0	0.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>

### Agency Estimate/Governor's Recommendation

The FY 2001 estimate is for total expenditures of \$19,867, an increase of \$840 over the FY 2000 expenditures, and the same as the amount approved by the 2000 Legislature.

The Governor concurs with the agency estimate.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following comment:

1. As the Executive Secretary is located in Hugoton, the Subcommittee solicited the agency's participation in the budget review by telephone conference call.

### Fee Fund Analysis

The following table reflects the status of the Board's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Subcommittee Rec. FY 2001</u>
Beg. Balance	\$ 33,223	\$ 33,204	\$ 33,204	\$ 33,204
Proj. Receipts	19,008	19,000	19,000	19,000
Total Available	<u>\$ 52,231</u>	<u>\$ 52,204</u>	<u>\$ 52,204</u>	<u>\$ 52,204</u>
Less: Expenditures	19,027	19,867	19,867	19,867
Ending Balance	<u>\$ 33,204</u>	<u>\$ 32,337</u>	<u>\$ 32,337</u>	<u>\$ 32,337</u>
 End. Bal. as Percentage of Expenditures	 174.5%	 162.8%	 162.8%	 162.8%

## Senate Subcommittee Report

**Agency:** Abstracters' Board of Examiners

**Bill No.** ---

**Bill Sec.** ---

**Analyst:** Severn

**Analysis Pg. No.** 1581 **Budget Page No.** 481

<u>Expenditure Summary</u>	<u>Agency Request FY 03</u>	<u>Governor's Rec. FY 03</u>	<u>Subcommittee Adjustments *</u>
State Operations			
Special Revenue Funds	\$ 20,045	\$ 20,285	\$ (240)
FTE Positions	0.0	0.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
TOTAL	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>

\* The reduction of \$240 is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Request/Governor's Recommendation

The FY 2003 request is for total expenditures of \$20,045, an increase of \$61 over the FY 2002 request. The increase is entirely in salaries and wages and accommodates to higher fringe benefit costs.

The Governor concurs with the agency request and adds \$240 to support the Governor's recommended employee pay plan adjustment, all for an unclassified merit increase.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following exception:

1. Remove \$240 (special revenue funds) for the Governor's recommended employee pay plan adjustment. All of this \$240 is for an unclassified merit increase.

### Fee Fund Analysis

The following table reflects the status of the Board's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subcom. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Gov. Rec. FY2003</u>	<u>Subcom. Rec. FY 2003</u>
Beg. Balance	\$ 32,337	\$ 32,337	\$ 32,337	\$ 31,353	\$ 31,168	\$ 31,353
Proj. Receipts	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>
Total Available	\$ 51,337	\$ 51,337	\$ 51,337	\$ 50,353	\$ 50,168	\$ 51,337
Less: Expenditures	<u>19,984</u>	<u>19,984</u>	<u>19,984</u>	<u>20,045</u>	<u>20,285</u>	<u>20,045</u>
Ending Balance	<u>\$ 31,353</u>	<u>\$ 31,168</u>	<u>\$ 31,353</u>	<u>\$ 30,308</u>	<u>\$ 29,883</u>	<u>\$ 30,308</u>
End. Bal. as Percentage of Expenditures	156.9%	154.5%	156.9%	151.2%	147.3%	151.2%

## Senate Subcommittee Report

**Agency:** Abstracters' Board of Examiners

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1581**

**Budget Page No. 481**

<u>Expenditure Summary</u>	<u>Agency Request FY 02</u>	<u>Governor's Rec. FY 02</u>	<u>Subcommittee Adjustments</u>
State Operations			
Special Revenue Funds	\$ 19,984	\$ 20,169	\$ (185) *
FTE Positions	0.0	0.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
TOTAL	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>

\* The reduction of \$185 is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Request/Governor's Recommendation

The FY 2002 request is for total expenditures of \$19,984, an increase of \$117 over the FY 2001 estimate. The increase is entirely in salaries and wages and accommodates to higher fringe benefit costs.

The Governor concurs with the agency request and adds \$185 to support the recommended employee pay plan adjustment, all for an unclassified merit increase.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following exception:

1. Remove \$185 (special revenue funds) for the Governor's pay plan adjustment. All of this \$185 is for an unclassified merit increase.

### Fee Fund Analysis

The following table reflects the status of the Board's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Subcom. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subcom. Rec. FY 2002</u>
Beg. Balance	\$ 33,204	\$ 33,204	\$ 33,204	\$ 32,337	\$ 32,337	\$ 32,337
Proj. Receipts	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>
Total Available	\$ 52,204	\$ 52,204	\$ 52,204	\$ 51,337	\$ 51,337	\$ 51,337
Less: Expenditures	<u>19,867</u>	<u>19,867</u>	<u>19,867</u>	<u>19,984</u>	<u>20,169</u>	<u>19,984</u>
Ending Balance	<u>\$ 32,337</u>	<u>\$ 32,337</u>	<u>\$ 32,337</u>	<u>\$ 31,353</u>	<u>\$ 31,168</u>	<u>\$ 31,353</u>
End. Bal. as Percentage of Expenditures	162.8%	162.8%	162.8%	156.9%	154.5%	156.9%



## Subcommittee Report

**Agency:** Board of Accountancy

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No. 1590 Budget Page No. 483**

<u>Expenditure Summary</u>	<u>Agency Est. FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Subcommittee Adjustments</u>
<b>State Operations:</b>			
Special Revenue Fund	\$ 184,836	\$ 184,836	\$ 0
FTE Positions	3.0	3.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>3.0</u>	<u>3.0</u>	<u>0.0</u>

### Agency Est./Governor's Recommendation

The agency estimates \$184,836 for FY 2001 operating expenditures which is the approved expenditure limitation.

The Governor recommends \$184,836 for FY 2001 operating expenditures.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation.

### Fee Fund Analysis

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Estimate FY 2001</u>	<u>Subc. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Subc. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Subc. Rec. FY 2003</u>
Beginning Balance	\$ 76,024	\$ 88,183	\$ 88,183	\$ 120,837	\$ 120,837	\$ 162,547	\$ 163,999
Projected Receipts	211,440	266,190	212,952	272,550	218,040	278,650	222,920
20% State General Fund Transfer	(42,240)	(53,238)	0	(54,510)	0	(55,730)	0
Total Funds Available	\$ 245,224	\$ 301,135	\$ 301,135	\$ 338,877	\$ 338,877	\$ 385,467	\$ 386,919
Less: Expenditures*	(156,801)	(180,298)	(180,298)	(176,330)	(174,878)	(182,350)	(182,282)
Less: Non-expense Item	240	0	0	0	0	0	0
Ending Balance	<u>\$ 88,183</u>	<u>\$ 120,837</u>	<u>\$ 120,837</u>	<u>\$ 162,547</u>	<u>\$ 163,999</u>	<u>\$ 203,117</u>	<u>\$ 204,637</u>
Ending Balance as a Percentage of Expend.	56.2%	67.0%	67.0%	92.2%	93.7%	111.4%	112.3%

\* Excludes KSIP for all three fiscal years.  
33679(3/6/1(12:17PM))

*Senate Ways and Means  
3-7-01  
Attachment 4*

## Subcommittee Report

**Agency:** Board of Accountancy

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1590 **Budget Page No.** 483

Expenditure Summary	Agency Req. FY 02	Gov. Rec. FY 02	Subcommittee Adjustments*
State Operations:			
Special Revenue Fund	\$ 178,380	\$ 179,693	\$ (2,765)
FTE Positions	3.0	3.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

\* The entire reduction reflects removal of the Governor's pay plan adjustment.

### Agency Req./Governor's Recommendation

**The agency requests \$178,380** for FY 2002 operating expenditures, including:

- Salaries and Wages of \$110,364
- Contractual Services of \$63,244
- Commodities of \$2,722
- Capital Outlay of \$2,050.

**The Governor recommends \$179,693** for FY 2002 operating expenditures, including:

- Salaries and Wages of \$111,677
- Contractual Services of \$63,244
- Commodities of \$2,722
- Capital Outlay of \$2,050.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation with the following adjustment.

1. **Delete \$2,765** for removal of the Governor's Pay Plan Adjustment, including \$872 for a classified base salary increase, \$1,301 for an unclassified merit increase and \$592 for longevity bonus payments.

### Fee Fund Analysis

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Subc. Rec. FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 76,024	\$ 88,183	\$ 88,183	\$ 120,837	\$ 120,837	\$ 162,547	\$ 163,999
Projected Receipts	211,440	266,190	212,952	272,550	218,040	278,650	222,920
20% State General Fund Transfer	(42,240)	(53,238)	0	(54,510)	0	(55,730)	0
Total Funds Available	\$ 245,224	\$ 301,135	\$ 301,135	\$ 338,877	\$ 338,877	\$ 385,467	\$ 386,919
Less: Expenditures*	(156,801)	(180,298)	(180,298)	(176,330)	(174,878)	(182,350)	(182,282)
Less: Non-expense Item	240	0	0	0	0	0	0
Ending Balance	<u>\$ 88,183</u>	<u>\$ 120,837</u>	<u>\$ 120,837</u>	<u>\$ 162,547</u>	<u>\$ 163,999</u>	<u>\$ 203,117</u>	<u>\$ 204,637</u>
Ending Balance as a Percentage of Expend.	56.2%	67.0%	67.0%	92.2%	93.7%	111.4%	112.3%

\* Excludes KSIP for all three fiscal years.

## Subcommittee Report

**Agency:** Board of Accountancy

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1590

**Budget Page No.** 483

Expenditure Summary	Agency Req. FY 03	Gov. Rec. FY 03	Subcommittee Adjustments*
State Operations:			
Special Revenue Fund	\$ 184,400	\$ 187,915	\$ (3,583)
FTE Positions	3.0	3.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Req./Governor's Recommendation

**The agency estimates \$184,400** for FY 2003 operating expenditures, including:

- Salaries and Wages of \$114,611
- Contractual Services of \$64,842
- Commodities of \$2,897
- Capital Outlay of \$2,050.

**The Governor recommends \$187,915** for FY 2003 operating expenditures, including:

- Salaries and Wages of \$118,126
- Contractual Services of \$64,842
- Commodities of \$2,897
- Capital Outlay of \$2,050.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation with the following adjustment.

1. **Delete \$3,583** for removal of the Governor's Pay Plan Adjustment.

**Fee Fund Analysis**

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Subc. Rec. FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 76,024	\$ 88,183	\$ 88,183	\$ 120,837	\$ 120,837	\$ 162,547	\$ 163,999
Projected Receipts	211,440	266,190	212,952	272,550	218,040	278,650	222,920
20% State General Fund Transfer	(42,240)	(53,238)	0	(54,510)	0	(55,730)	0
Total Funds Available	\$ 245,224	\$ 301,135	\$ 301,135	\$ 338,877	\$ 338,877	\$ 385,467	\$ 386,919
Less: Expenditures*	(156,801)	(180,298)	(180,298)	(176,330)	(174,878)	(182,350)	(182,282)
Less: Non-expense Item	240	0	0	0	0	0	0
Ending Balance	<u>\$ 88,183</u>	<u>\$ 120,837</u>	<u>\$ 120,837</u>	<u>\$ 162,547</u>	<u>\$ 163,999</u>	<u>\$ 203,117</u>	<u>\$ 204,637</u>
Ending Balance as a Percentage of Expend.	56.2%	67.0%	67.0%	92.2%	93.7%	111.4%	112.3%

\* Excludes KSIP for all three fiscal years.

## Senate Subcommittee Report

**Agency:** Board of Barbering

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No.** 1616

**Budget Page No.** 487

Expenditure Summary	Agency Estimate FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
Barbering Fee Fund	\$ 119,012	\$ 122,006	\$ 0
FTE Positions	1.5	1.5	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>1.5</u>	<u>1.5</u>	<u>0.0</u>

### Agency Estimate/Governor's Recommendation

The agency's estimate for FY 2001 operating expenditures of \$119,012 is no change from the amount approved by the 2000 Legislature.

The Governor recommends FY 2001 operating expenditures of \$122,006, an increase of \$2,994 (2.5 percent) from the approved amount. The increase reflects a correction in the agency request for an increase in part-time employee health insurance and a decrease in the other unclassified position's pay, with corresponding changes in fringe benefits amounts.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation.

## Senate Subcommittee Report

**Agency:** Board of Barbering

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No.** 1616

**Budget Page No.** 487

Expenditure Summary	Agency Estimate FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments*
Barbering Fee Fund	\$ 129,928	\$ 127,066	\$ (1,480)
FTE Positions	1.5	1.5	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>1.5</b>	<b>1.5</b>	<b>0.0</b>

\* The entire adjustment reflects the removal of the Governor's pay plan.

### Agency Estimate/Governor's Recommendation

**The agency request for FY 2002** operating expenditures funding of \$129,928 is an increase of \$10,916 (9.2 percent) from the agency FY 2001 estimate.

**The Governor recommends** FY 2002 operating expenditures of \$127,066 an increase of \$5,060 (4.2 percent) from the FY 2001 recommendation and a decrease of \$2,862 (2.2 percent) from the agency FY 2002 request.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation, with the following adjustments:

1. Delete \$1,480 all funds for the removal of the Governor's pay plan adjustments.

## Senate Subcommittee Report

**Agency:** Board of Barbering

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No.** 1616

**Budget Page No.** 487

Expenditure Summary	Agency Estimate FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments*
Barbering Fee Fund	\$ 133,133	\$ 131,177	\$ (503)
FTE Positions	1.5	1.5	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>1.5</b>	<b>1.5</b>	<b>0.0</b>

\* The entire adjustment reflects the removal of the Governor's pay plan.

### Agency Estimate/Governor's Recommendation

**The agency request for FY 2003** operating expenditures funding of \$133,133 is an increase of \$3,205 (2.5 percent) from the agency FY 2002 estimate.

**The Governor recommends** FY 2003 operating expenditures of \$131,177 an increase of \$4,111 (3.2 percent) from the FY 2002 recommendation and a decrease of \$1,956 (1.5 percent) from the agency FY 2003 request.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation, with the following adjustments:

1. Delete \$503 all funds for the removal of the Governor's pay plan adjustments.



## Senate Subcommittee Report

**Agency:** Board of Cosmetology

**Bill No. –**

**Bill Sec. –**

**Analyst:** Nogle

**Analysis Pg. No.** 1650

**Budget Page No.** 491

Expenditure Summary	Agency Estimate FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
Cosmetology Fee Fund	\$ 710,772	\$ 710,772	\$ 0
FTE Positions	12.0	12.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>12.0</b>	<b>12.0</b>	<b>0.0</b>

### Agency Estimate/Governor's Recommendation

The agency's estimate for FY 2001 operating expenditures of \$710,772 is no change from the amount approved by the 2000 Legislature.

The Governor concurs with the agency estimate.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

## Senate Subcommittee Report

**Agency:** Board of Cosmetology

**Bill No. –**

**Bill Sec. –**

**Analyst:** Nogle

**Analysis Pg. No.** 1650

**Budget Page No.** 491

Expenditure Summary	Agency Request FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments*
Cosmetology Fee Fund	\$ 710,950	\$ 718,630	\$ (11,504)
FTE Positions	12.0	12.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>12.0</b>	<b>12.0</b>	<b>0.0</b>

\* The entire adjustment reflects removal of the Governor's pay plan.

### Agency Request/Governor's Recommendation

**The agency request for FY 2002** operating expenditures funding of \$710,950 is an increase of \$178 (0.0 percent) from the agency FY 2001 estimate.

**The Governor recommends FY 2002** operating expenditures of \$718,630, an increase of \$7,858 (1.1 percent) from the FY 2001 recommendation and an increase of \$7,680 (1.1 percent) from the agency's FY 2002 request.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation, with the following adjustments:

1. Delete \$11,504 all funds for removal of the Governor's pay plan adjustments.

## Senate Subcommittee Report

**Agency:** Board of Cosmetology

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No.** 1650

**Budget Page No.** 491

Expenditure Summary	Agency Request FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments*
Cosmetology Fee Fund	\$ 743,245	\$ 752,980	\$ (6,961)
FTE Positions	12.0	12.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>12.0</b>	<b>12.0</b>	<b>0.0</b>

\* The entire adjustment reflects the removal of the Governor's pay plan.

### Agency Request/Governor's Recommendation

**The agency request for FY 2003** operating expenditures funding of \$743,245 is an increase of \$32,295 (4.5 percent) from the agency FY 2002 estimate.

**The Governor recommends** FY 2003 operating expenditures of \$752,980, an increase of \$34,350 (4.8 percent) from the FY 2002 recommendation and an increase of \$9,735 (1.3 percent) from the agency's FY 2003 request.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation, with the following adjustments:

1. Delete \$6,961 all funds for the removal of the Governor's pay plan adjustments.

## Senate Subcommittee Report

**Agency:** Department of Credit Unions      **Bill No. --**      **Bill Sec. --**  
**Analyst:** Severn      **Analysis Pg. No. 1662**      **Budget Page No. 493**

Expenditure Summary	Agency Est. FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
State Operations			
Special Revenue Funds	\$ 781,655	\$ 781,655	0
FTE Positions	12.0	12.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>12.0</b>	<b>12.0</b>	<b>0.0</b>

### Agency Est./Governor's Recommendation

The agency estimate for FY 2001 expenditures is \$781,655, which is the amount approved by the 2000 Legislature, plus \$18,383 for employee bonuses.

The Governor concurs with the agency estimate for FY 2001 and recommends \$631,304 for salaries and wages, \$140,551 for contractual services, \$5,800 for commodities, and \$4,000 for capital outlay.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

*Senate Ways and Means*  
*3-7-01*  
*Attachment 7*

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY 2001</u>	<u>Subcommittee Rec. FY 2001</u>
Beg. Balance	\$ 215,726	\$ 259,440	\$ 259,440	\$ 259,440
Proj. Receipts	772,547	774,248	774,248	774,248
Transfer to KSIP	<u>\$ 14,534</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total Available	<u>\$ 973,739</u>	<u>\$ 1,033,688</u>	<u>\$ 1,033,688</u>	<u>\$ 1,033,688</u>
Less: Expenditures *	<u>714,299</u>	<u>763,272</u>	<u>763,272</u>	<u>763,272</u>
Ending Balance	<u>\$ 259,440</u>	<u>\$ 270,416</u>	<u>\$ 270,416</u>	<u>\$ 270,416</u>
End. Bal. as Percent of Expenditures	36.2%	35.4%	35.4%	35.4%

\* Includes KSIP expenditures

## Senate Subcommittee Report

**Agency:** Department of Credit Unions      **Bill No. --**      **Bill Sec. --**  
**Analyst:** Severn      **Analysis Pg. No. 1662**      **Budget Page No. 493**

Expenditure Summary	Agency Req. FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Funds	\$ 870,656	\$ 888,217	\$ (20,259)
FTE Positions	13.0	13.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>13.0</b>	<b>13.0</b>	<b>0.0</b>

\* The reduction is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Est./Governor's Recommendation

The agency request for FY 2002 expenditures is \$848,387, an increase of \$66,732 (8.5 percent) above the FY 2001 estimate. The request includes an enhancement of \$42,017 for an additional Financial Examiner III position to address agency performance and succession issues. The request also includes \$4,200 for moving expenses. The agency's lease expires June 30, 2002.

The Governor for FY 2002 expenditures recommends a total of \$861,352, an increase of \$79,697 (10.2 percent). The Governor recommends \$673,022 for salaries and wages, including \$20,259 for the recommended employee pay plan adjustment, \$177,830 for contractual services, \$6,500 for commodities, and \$4,000 for capital outlay. The Governor's recommendation includes the 1.0 FTE and funding for the Financial Examiner III and the requested moving expenses.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following exception:

1. Delete \$20,259 for the Governor's recommended employee pay plan adjustment.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Sub. Cmte. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Sub. Cmte. Rec. FY 2002</u>
Beg. Balance	\$ 259,440	\$ 259,440	\$ 259,440	\$ 270,416	\$ 270,416	\$ 270,416
Proj. Receipts	<u>774,248</u>	<u>774,248</u>	<u>774,248</u>	<u>833,334</u>	<u>833,334</u>	<u>833,334</u>
Total Available	<u>\$ 1,033,688</u>	<u>\$ 1,033,688</u>	<u>\$ 1,033,688</u>	<u>\$ 1,103,760</u>	<u>\$ 1,103,760</u>	<u>\$ 1,103,760</u>
Less: Expenditures	<u>763,272</u>	<u>763,272</u>	<u>763,272</u>	<u>848,387</u>	<u>861,352</u>	<u>841,093</u>
Ending Balance	<u>\$ 270,416</u>	<u>\$ 270,416</u>	<u>\$ 270,416</u>	<u>\$ 255,373</u>	<u>\$ 242,408</u>	<u>\$ 262,667</u>
Ending Bal. as Percent of Expenditures	35.4%	35.4%	35.4%	30.1%	28.1%	31.2%

## Senate Subcommittee Report

**Agency:** Department of Credit Unions      **Bill No. --**      **Bill Sec. --**  
**Analyst:** Severn      **Analysis Pg. No. 1662**      **Budget Page No. 493**

Expenditure Summary	Agency Req. FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Funds	\$ 870,656	\$ 888,217	\$ (25,009)
FTE Positions	13.0	13.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>13.0</b>	<b>13.0</b>	<b>0.0</b>

\* The reduction is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Request/Governor's Recommendation

The agency request for FY 2003 expenditures is \$870,656, an increase of \$22,269 (2.6 percent) above the FY 2002 request. The FY 2003 enhancement request of \$42,532 is for the additional Financial Examiner III position first requested in FY2002. The request also includes \$10,400 for increased rent. The agency's lease expires June 30, 2002.

The Governor recommends for FY 2003 expenditures a total of \$888,217, an increase of \$26,865 (3.1 percent). The Governor recommends \$686,477 for salaries and wages, including \$25,009 for the recommended employee pay plan adjustment, \$192,240 for contractual services, \$7,000 for commodities, and \$2,500 for capital outlay. The Governor's recommendation includes the 1.0 FTE and funding for the Financial Examiner III position and the increased rent.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following exception:

1. Delete \$25,009 for the Governor's recommended employee pay plan adjustment.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.



<u>Resource Estimate</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Sub. Cmte. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Gov. Rec. FY2003</u>	<u>Sub. Cmte. Rec. FY 2003</u>
Beg. Balance	\$ 270,416	\$ 270,416	\$ 270,416	\$ 255,373	\$ 242,408	\$ 262,667
Proj. Receipts	<u>833,334</u>	<u>833,334</u>	<u>833,334</u>	<u>875,644</u>	<u>875,644</u>	<u>875,644</u>
Total Available	<u>\$ 1,103,760</u>	<u>\$ 1,103,760</u>	<u>\$ 1,103,760</u>	<u>\$ 1,131,017</u>	<u>\$ 1,118,052</u>	<u>\$ 1,138,311</u>
Less: Expenditures	<u>848,387</u>	<u>861,352</u>	<u>841,093</u>	<u>870,656</u>	<u>888,217</u>	<u>863,208</u>
Ending Balance	<u>\$ 255,373</u>	<u>\$ 242,408</u>	<u>\$ 262,667</u>	<u>\$ 260,361</u>	<u>\$ 229,835</u>	<u>\$ 275,103</u>
Ending Bal. as Percent of Expenditures	30.1%	28.1%	31.2%	29.9%	25.9%	31.9%

## Senate Subcommittee Report

**Agency:** Board of Optometry Examiners **Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No.** 1719

**Budget Page No.** 507

Expenditure Summary	Agency Est. FY 01	Governor's Recommendation FY 01	Subcommittee Adjustments
Special Revenue Fund	\$ 68,250	\$ 74,510	\$ 0
FTE Positions	1.0	1.0	0.0
Unclassified Temp. Positions	1.0	1.0	0.0
<b>TOTAL</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>

### Agency Estimate/Governor's Recommendation

The agency estimate for FY 2001 expenditures is \$68,250 which is a decrease of 2.8 percent from the FY 2000 actual amount. Expenditures by major object are estimated as follows: \$27,590 for salaries and wages, \$35,520 for contractual services, \$1,140 for commodities, and \$4,000 for capital outlay.

The Governor's recommendation for FY 2002 expenditures is \$74,510 which is an increase of 6.1 percent from the FY 2000 actual amount. Expenditures by major object are recommended as follows: \$28,005 for salaries and wages, \$42,928 for contractual services, \$330 for commodities, and \$3,247 for capital outlay.

### Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation.

## Senate Subcommittee Report

**Agency:** Board of Optometry Examiners **Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No.** 1719

**Budget Page No.** 508

<u>Expenditure Summary</u>	<u>Agency Req. FY 02</u>	<u>Governor's Recommendation FY 02</u>	<u>Subcommittee Adjustments*</u>
Special Revenue Fund	\$ 66,064	\$ 83,855	\$ (643)
FTE Positions	1.0	1.0	0.0
Unclassified Temp. Positions	1.0	1.0	0.0
TOTAL	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>

\* The entire amount reflects deletion of the Governor's recommended pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request for FY 2002 expenditures is \$66,064 which is a decrease of \$2,186 or 3.2 percent from the FY 2001 estimate. Expenditures by major object are requested as follows: \$27,595 for salaries and wages, \$37,297 for contractual services, and \$1,172 for commodities.

The Governor's recommendation for FY 2002 expenditures is \$83,855 which is an increase of \$9,345 or 12.5 percent from the FY 2001 recommendation. Expenditures by major object are requested as follows: \$40,165 for salaries and wages, \$43,357 for contractual services, and \$333 for commodities.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustment:

1. Delete \$643 from the Governor's employee salary adjustment including \$176 for unclassified merit pool and \$467 for classified base increases.

## Senate Subcommittee Report

**Agency:** Board of Optometry Examiners **Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No. 1719**

**Budget Page No. 507**

Expenditure Summary	Agency Req. FY 03	Governor's Recommendation FY 03	Subcommittee Adjustments*
Special Revenue Fund	\$ 67,966	\$ 84,630	\$ (240)
FTE Positions	1.0	1.0	0.0
Unclassified Temp. Positions	1.0	1.0	0.0
TOTAL	2.0	2.0	0.0

\* The entire amount reflects deletion of the Governor's recommended pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request for FY 2003 expenditures is \$67,966 which is an increase of \$1,902 or 2.9 percent from the FY 2002 agency request. Expenditures by major object are requested as follows: \$27,597 for salaries and wages, \$39,163 for contractual services, and \$1,206 for commodities.

The Governor's recommendation for FY 2003 expenditures is \$84,630 which is an increase of \$775 or 0.9 percent for the FY 2002 Governor's recommendation. Expenditures by major object are recommended as follows: \$40,504 for salaries and wages, \$43,790 for contractual services, and \$336 for commodities.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustment:

1. Delete \$240 from the Governor's employee salary adjustments including funding for unclassified merit pool and classified base salary increases.

## Senate Subcommittee Report

**Agency:** Hearing Aid Board of Examiners

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1694 **Budget Page No.** 501

<u>Expenditure Summary</u>	<u>Agency Est. FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Subcommittee Adjustments</u>
State Operations:			
Special Revenue Fund	\$ 20,102	\$ 20,296	\$ 0
FTE Positions	0.4	0.4	0.0
Other Unclassified Positions	0.0	0.0	0.0
TOTAL	<u>0.4</u>	<u>0.4</u>	<u>0.0</u>

### Agency Est./Governor's Recommendation

The Board estimate for FY 2001 operating expenditures is \$20,102 which is a decrease of \$471 from the amount approved by the 2000 Legislature. The decrease is due to the remaining fee structure changes being implemented later than anticipated.

The Governor recommends \$20,296 for operating expenditures in FY 2001. This is a decrease of \$277 from the amount approved by the 2000 Legislature.

### Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation.

### Fee Fund Analysis

The 1999 Legislature passed HB 2214, which increased the caps on all fees charged by the Board. As a result renewal fees and late fees have been revised; other regulatory changes are in process and scheduled for the 2001 Legislative Session.

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Attachment 9

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Estimate FY 2001</u>	<u>Subc. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Subc. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Subc. Rec. FY 2003</u>
Beginning Balance	\$ 18,805	\$ 18,914	\$ 18,914	\$ 16,172	\$ 18,210	\$ 15,679	\$ 17,485
Projected Receipts	<u>16,050</u>	<u>17,360</u>	<u>19,592</u>	<u>20,408</u>	<u>20,408</u>	<u>20,408</u>	<u>20,408</u>
Total Available	\$ 34,855	\$ 36,274	\$ 38,506	\$ 36,580	\$ 38,618	\$ 36,087	\$ 37,893
Less: Expenditures	<u>15,941</u>	<u>20,102</u>	<u>20,296</u>	<u>20,901</u>	<u>21,133</u>	<u>21,526</u>	<u>21,768</u>
Ending Balance	<u>\$ 18,914</u>	<u>\$ 16,172</u>	<u>\$ 18,210</u>	<u>\$ 15,679</u>	<u>\$ 17,485</u>	<u>\$ 14,561</u>	<u>\$ 16,125</u>
Ending Balance as a Percentage of Expend.	118.6%	80.4%	89.7%	72.3%	82.7%	57.1%	74.1%

## Subcommittee Report

**Agency:** Hearing Aid Board of Examiners

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1694

**Budget Page No.** 501

<u>Expenditure Summary</u>	<u>Agency Req. FY 02</u>	<u>Gov. Rec. FY 02</u>	<u>Subcommittee Adjustments*</u>
State Operations:			
Special Revenue Fund	\$ 20,901	\$ 21,258	\$ (125)
FTE Positions	0.4	0.4	0.0
Other Unclassified Positions	0.0	0.0	0.0
TOTAL	<u>0.4</u>	<u>0.4</u>	<u>0.0</u>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Req./Governor's Recommendation

**The agency** requests \$20,901 for FY 2002 operating expenditures which is an increase of \$799 over the FY 2001 estimate. The request includes:

- \$15,291 for Salaries and Wages
- \$5,010 for Contractual Services
- \$600 for Commodities.

Also included are Enhancement requests of \$1,296 to increase the base salary of the Office Assistant position from \$8.06 to \$9.50 per hour and \$150 for travel expenditures to increase the number of meetings per year to five.

- **Absent requested FY 2002 enhancements**, the agency's request represents a decrease of \$647 (3.2 percent) from the FY 2001 estimate.

**The Governor** recommends operating expenditures for FY 2002 of \$21,258 which is an increase of \$962 over the FY 2001 recommendation and includes funding for the enhancements. The entire recommendation is to be funded through the **Board's fee fund**. The Governor recommendation includes:

- \$15,648 for Salaries and Wages
- \$5,010 for Contractual Services
- \$600 for Commodities.

### Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation with the following adjustment.

1. Delete \$125 for removal of the Governor's pay plan adjustments.

### Fee Fund Analysis

The 1999 Legislature passed HB 2214, which increased the caps on all fees charged by the Board. As a result renewal fees and late fees have been revised; other regulatory changes are in process and scheduled for the 2001 Legislative Session.

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Subc. Rec. FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 18,805	\$ 18,914	\$ 18,914	\$ 16,172	\$ 18,210	\$ 15,679	\$ 17,485
Projected Receipts	16,050	17,360	19,592	20,408	20,408	20,408	20,408
Total Available	\$ 34,855	\$ 36,274	\$ 38,506	\$ 36,580	\$ 38,618	\$ 36,087	\$ 37,893
Less: Expenditures	15,941	20,102	20,296	20,901	21,133	21,526	21,768
Ending Balance	<u>\$ 18,914</u>	<u>\$ 16,172</u>	<u>\$ 18,210</u>	<u>\$ 15,679</u>	<u>\$ 17,485</u>	<u>\$ 14,561</u>	<u>\$ 16,125</u>
Ending Balance as a Percentage of Expend.	118.6%	80.4%	89.7%	72.3%	82.7%	57.1%	74.1%



## Subcommittee Report

**Agency:** Board of Hearing Aid Examiners

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1694

**Budget Page No.** 501

<u>Expenditure Summary</u>	<u>Agency Req. FY 03</u>	<u>Gov. Rec. FY 03</u>	<u>Subcommittee Adjustments*</u>
State Operations:			
Special Revenue Fund	\$ 21,526	\$ 21,937	\$ (169)
FTE Positions	0.4	0.4	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>0.4</u>	<u>0.4</u>	<u>0.0</u>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Req./Governor's Recommendation

**The agency** requests \$21,526 for FY 2003 operating expenditures, this is an increase of \$625 over the FY 2002 request. The request includes:

- \$15,766 for Salaries and Wages
- \$5,160 for Contractual Services
- \$600 for Commodities.

Also included are Enhancement request of \$1,364 to increase the hourly wage of the Office Assistant from \$9.50 to \$10.00 and \$150 for travel expenditures to increase the number of meetings per year from five to six.

- **Absent requested FY 2003 enhancements**, the agency's request represents a decrease of \$889 (4.3 percent)

**The Governor recommends** \$21,937 for operating expenditures in FY 2003. This is an increase of \$679 (3.2 percent) over the FY 2002 recommendation and includes the enhancement request. Also included in the Governor's recommendation:

- \$16,177 for Salaries and Wages
- \$5,160 for Contractual Services
- \$600 for Commodities.

### Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation with the following adjustment.

1. Delete \$169 for removal of the Governor's pay plan adjustments.

### Fee Fund Analysis

The 1999 Legislature passed HB 2214, which increased the caps on all fees charged by the Board. As a result renewal fees and late fees have been revised; other regulatory changes are in process and scheduled for the 2001 Legislative Session.

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Subc. Rec. FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 18,805	\$ 18,914	\$ 18,914	\$ 16,172	\$ 18,210	\$ 15,679	\$ 17,485
Projected Receipts	<u>16,050</u>	<u>17,360</u>	<u>19,592</u>	<u>20,408</u>	<u>20,408</u>	<u>20,408</u>	<u>20,408</u>
Total Available	\$ 34,855	\$ 36,274	\$ 38,506	\$ 36,580	\$ 38,618	\$ 36,087	\$ 37,893
Less: Expenditures	<u>15,941</u>	<u>20,102</u>	<u>20,296</u>	<u>20,901</u>	<u>21,133</u>	<u>21,526</u>	<u>21,768</u>
Ending Balance	<u>\$ 18,914</u>	<u>\$ 16,172</u>	<u>\$ 18,210</u>	<u>\$ 15,679</u>	<u>\$ 17,485</u>	<u>\$ 14,561</u>	<u>\$ 16,125</u>
Ending Balance as a Percentage of Expend.	118.6%	80.4%	89.7%	72.3%	82.7%	57.1%	74.1%

**SENATE SUBCOMMITTEE REPORT**

**Agency:** Board of Mortuary Arts

**Bill No.** - -

**Bill Sec.** - -

**Analyst:** Waller

**Analysis Pg. No.** 1683 **Budget Page No.** 503

<u>Expenditure Summary</u>	<u>Agency Estimate FY 2001</u>	<u>Gov. Rec. FY 2001</u>	<u>Senate Subcommittee Adjustments</u>
State Operations:			
Special Revenue Funds	\$196,899	\$196,899	\$0
FTE Positions	3.0	3.0	0.0
Other Unclass.	0.0	0.0	0.0
<b>TOTAL</b>	<u><u>3.0</u></u>	<u><u>3.0</u></u>	<u><u>0.0</u></u>

**Agency Estimate/Governor's Recommendation**

The agency's estimated FY 2001 expenditure amount totals \$196,899. This is the same amount approved by the 2000 Legislature. Salaries and wages total \$139,839, with other operating expenses totaling \$57,060.

The Governor recommends an operating budget total of \$196,899. The expenditure total is the same as that approved by the 2000 Legislature. The Governor recommends salary and wages expenditures of \$140,846. This is \$1,007, or 0.7 percent, above the approved amount due to the shifting of \$1,007 from other operating expenses to salaries and wages. However, this does not change the total recommended expenditures.

**Senate Subcommittee Recommendation**

The Subcommittee concurs with the Governor's recommendation.

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Attachment 10*

The status of the agency's fee fund, based upon the recommendation of the Subcommittee is reflected in the table below.

	<u>Actual</u> <u>FY 2000</u>	<u>Agency</u> <u>Estimate</u> <u>FY 01</u>	<u>Senate</u> <u>Subcomm.</u> <u>Rec.</u>	<u>Agency</u> <u>Estimate</u> <u>FY 02</u>	<u>Senate</u> <u>Subcomm.</u> <u>Rec.</u>	<u>Agency</u> <u>Estimate</u> <u>FY 03</u>	<u>Senate</u> <u>Subcomm.</u> <u>Rec.</u>
Balance Forward	\$ 87,917	\$ 95,491	\$ 95,491	\$ 102,969	\$ 102,969	\$ 98,534	\$ 100,095
Net Receipts	191,299	204,377	204,377	199,014	199,014	204,377	204,377
Total Funds Available	<u>\$ 279,216</u>	<u>\$ 299,868</u>	<u>\$ 299,868</u>	<u>\$ 301,983</u>	<u>\$ 301,983</u>	<u>\$302,911</u>	<u>\$ 304,472</u>
Less: Expenditures	183,725	196,899	196,899	203,449	201,888	211,788	208,729
Discretionary Transfer Out	0	0	0	0	0	0	0
Ending Balance	<u><u>\$ 95,491</u></u>	<u><u>\$ 102,969</u></u>	<u><u>\$ 102,969</u></u>	<u><u>\$ 98,534</u></u>	<u><u>\$ 100,095</u></u>	<u><u>\$ 91,123</u></u>	<u><u>\$ 95,743</u></u>

**SENATE SUBCOMMITTEE REPORT**

**Agency:** Board of Mortuary Arts

**Bill No. - -**

**Bill Sec. - -**

**Analyst:** Waller

**Analysis Pg. No.** 1683

**Budget Page No.** 503

<u>Expenditure Summary</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY 2002</u>	<u>Senate Subcommittee Adjustments*</u>
State Operations:			
Special Revenue Funds	\$203,449	\$206,283	\$(4,395)
FTE Positions	3.0	3.0	0.0
Other Unclass.	0.0	0.0	0.0
<b>TOTAL</b>	<u><u>3.0</u></u>	<u><u>3.0</u></u>	<u><u>0.0</u></u>

\*The entire adjustment reflects deletion of the Governor's recommended employee pay plan adjustment.

**Agency Request/Governor's Recommendation**

The Board of Mortuary Arts FY 2002 request is \$203,449 which is \$6,550 or 3.3 percent greater than the FY 2001 estimate. Salaries and wages increase by \$3,403 (2.4 percent) from FY 2001 to FY 2002 to maintain the current level of services. Other operating expenses increase by \$3,147, or 5.5 percent. The change can be attributed to increases in communication and rental costs which were partially offset by a decrease in capital outlay expenditures.

The Governor recommends an FY 2002 operating budget total of \$206,283. This is an increase of \$9,384, or 4.8 percent, above the FY 2001 revised recommendation. Salaries and wages increase by \$5,230 or 3.7 percent with the inclusion of the Governor's recommend pay plan adjustments. Other operating expenses increase by \$4,154, or 7.4 percent. This can be attributed to increases in rental, service, and office supply expenses, which was partially offset by a reduction in capital outlay expenditures one time costs relating to the purchase of new computer equipment in FY 2001.

**Senate Subcommittee Recommendation**

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and observations:

1. Delete \$4,395 to remove the Governor's recommendation to fund longevity bonus payments (\$1,457); a 3.0 percent annualized base salary adjustment (\$1,476); and a 3.0 percent annualized unclassified merit pool (\$1,462) from individual agency budgets.

## Fee Fund Analysis

The status of the agency's fee fund, based upon the recommendation of the Subcommittee is reflected in the table below.

	Actual FY 2000	Agency Estimate FY 01	Senate Subcomm. Rec.	Agency Estimate FY 02	Senate Subcomm. Rec.	Agency Estimate FY 03	Senate Subcomm. Rec.
Balance Forward	\$ 87,917	\$ 95,491	\$ 95,491	\$ 102,969	\$ 102,969	\$ 98,534	\$ 100,095
Net Receipts	191,299	204,377	204,377	199,014	199,014	204,377	204,377
Total Funds Available	\$ 279,216	\$ 299,868	\$ 299,868	\$ 301,983	\$ 301,983	\$ 302,911	\$ 304,472
Less: Expenditures	183,725	196,899	196,899	203,449	201,888	211,788	208,729
Discretionary Transfer Out	0	0	0	0	0	0	0
Ending Balance	<u>\$ 95,491</u>	<u>\$ 102,969</u>	<u>\$ 102,969</u>	<u>\$ 98,534</u>	<u>\$ 100,095</u>	<u>\$ 91,123</u>	<u>\$ 95,743</u>

## SENATE SUBCOMMITTEE REPORT

**Agency:** Board of Mortuary Arts

**Bill No.** - -

**Bill Sec.** - -

**Analyst:** Waller

**Analysis Pg. No.** 1683

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<u>Expenditure Summary</u>	<u>Agency Request FY 2003</u>	<u>Gov. Rec. FY 2003</u>	<u>Senate Subcommittee Adjustments*</u>
State Operations:			
Special Revenue Funds	\$211,788	\$214,222	\$(5,493)
FTE Positions	3.0	3.0	0.0
Other Unclass.	0.0	0.0	0.0
<b>TOTAL</b>	<u><u>3.0</u></u>	<u><u>3.0</u></u>	<u><u>0.0</u></u>

\*The entire adjustment reflects deletion of the Governor's recommended employee pay plan adjustments.

### Agency Request/Governor's Recommendation

The Board of Mortuary Arts request for FY 2003 increases by \$8,339 (4.1 percent) above the FY 2002 amount of \$203,449. Salaries and wages increase by \$1,785, or 1.2 percent, to maintain the current level of services. Other operating expenses increase by \$6,554, or 10.9 percent, which can be attributed mostly to an increase in capital outlay expenditures to purchase additional computer and office-related equipment.

The Governor recommends an FY 2003 operating budget total of \$214,222. This is an increase of \$7,939 or 3.8 percent above the FY 2002 revised recommendation. Salaries and wages increase by \$2,785 or 1.9 percent with the inclusion of the Governor's pay plan adjustment. Other operating expenses increase by \$5,154 or 8.6 percent due to recommended purchase of computer equipment to assist the agency in conducting inspections.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and observations:

1. Delete \$5,493 to remove the Governor's recommendation to fund longevity bonus payments (\$1,551); a 3.0 percent annualized base salary adjustment (\$1,981); and a 3.0 percent annualized unclassified merit pool (\$1,961) from individual agency budgets.

### Fee Fund Analysis

The status of the agency's fee fund, based upon the recommendation of the Subcommittee is reflected in the table below.

	<u>Actual FY 2000</u>	<u>Agency Estimate FY 01</u>	<u>Senate Subcomm. Rec.</u>	<u>Agency Estimate FY 02</u>	<u>Senate Subcomm. Rec.</u>	<u>Agency Estimate FY 03</u>	<u>Senate Subcomm. Rec.</u>
Balance Forward	\$ 87,917	\$ 95,491	\$ 95,491	\$ 102,969	\$ 102,969	\$ 98,534	\$ 100,095
Net Receipts	<u>191,299</u>	<u>204,377</u>	<u>204,377</u>	<u>199,014</u>	<u>199,014</u>	<u>204,377</u>	<u>204,377</u>
Total Funds Available	\$ 279,216	\$ 299,868	\$ 299,868	\$ 301,983	\$ 301,983	\$ 302,911	\$ 304,472
Less: Expenditures	183,725	196,899	196,899	203,449	201,888	211,788	208,729
Discretionary Transfer Out	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$ 95,491</u>	<u>\$ 102,969</u>	<u>\$ 102,969</u>	<u>\$ 98,534</u>	<u>\$ 100,095</u>	<u>\$ 91,123</u>	<u>\$ 95,743</u>



## Senate Subcommittee Report

**Agency:** Office of the Securities Commissioner

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1764 Budget Page No. 515**

Expenditure Summary	Agency Est. FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
State Operations			
Special Revenue Funds	\$ 1,954,530	\$ 1,954,530	\$ 0
FTE Positions	27.8	27.8	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>27.8</b>	<b>27.8</b>	<b>27.8</b>

### Agency Est./Governor's Recommendation

The Securities Commissioner estimates expenditures of \$1,954,530 is a reduction of \$8,875 from the approved budget of 1,963,405. Salaries and wages are \$46,530 below the approved amount, most of which is accounted for by turnover savings. Other expenditures are increased, including an increase of \$10,000 for capital outlay. Besides these reportable expenditures, the agency also contributes \$20,000 annually to support "The Stock Market Game," an educational classroom resource. Because this is a nonreportable expenditure, it is not included in the total above.

The Governor concurs with the agency's estimate, including the nonreportable expenditures for "The Stock Market Game."

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

*Senate Ways and Means  
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<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Subcommittee Rec. FY 2001</u>
Beg. Balance	\$ 53,179	\$ 50,000	\$ 50,000	\$ 50,000
Proj. Receipts	8,517,113	8,758,367	8,758,367	8,758,367
Total Available	<u>\$ 8,570,292</u>	<u>\$ 8,808,367</u>	<u>\$ 8,808,367</u>	<u>\$ 8,808,367</u>
Less: Transfer to SGF	6,621,778	6,783,837	6,783,837	6,783,837
Less: Nonreportable	20,000	20,000	20,000	20,000
Less: Expenditures	1,878,514	1,954,530	1,954,530	1,954,530
Ending Balance	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>
End. Bal. as Percent of Expenditures	2.7%	2.6%	2.6%	2.6%

## Senate Subcommittee Report

**Agency:** Office of the Securities Commissioner **Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1764 Budget Page No. 515**

Expenditure Summary	Agency Req. FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments
State Operations			
Special Revenue Funds	\$ 1,988,702	\$ 2,021,086	\$ (41,676)
FTE Positions	27.8	27.8	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	27.8	27.8	0.0

### Agency Req./Governor's Recommendation

The Securities Commissioner's requested expenditures of \$1,988,702 is an increase of \$34,172 (1.7 percent) above the FY 2001 estimate. Salaries and wages constitute \$1,569,000 or 78.9 percent of the total request. Other operating expenses include an anticipated increase in rent. The agency did not request any enhancements.

The Governor recommends operating expenditures of \$2,021,086, an increase of \$66,566 (3.4 percent) above the FY 2001 recommendation. The recommendation for salaries and wages is \$1,601,384, or 79.2 percent of the total recommendation, and includes \$41,639 for the recommended employee pay plan adjustment. The Governor's recommendation includes the anticipated rent increase.

Besides the reportable expenditures, the agency also contributes \$20,000 annually to support "The Stock Market Game," an educational classroom resource. Because this is a nonreportable expenditure, it is not included in the total above. The Governor recommends this expenditure.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following exception:

1. Delete \$41,639 for the Governor's recommended employee pay plan adjustment.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Subc. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subc. Rec. FY 2002</u>
Beg. Balance	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000
Proj. Receipts	<u>8,758,367</u>	<u>8,758,367</u>	<u>8,758,367</u>	<u>8,886,285</u>	<u>8,886,285</u>	<u>8,886,285</u>
Total Available	<u>\$ 8,808,367</u>	<u>\$ 8,808,367</u>	<u>\$ 8,808,367</u>	<u>\$ 8,936,285</u>	<u>\$ 8,936,285</u>	<u>\$ 8,936,285</u>
Less: Transfer to SGF	6,783,837	6,783,837	6,783,837	6,877,583	6,845,199	6,886,875
Less: Nonreportable	20,000	20,000	20,000	20,000	20,000	20,000
Less: Expenditures	<u>1,954,530</u>	<u>1,954,530</u>	<u>1,954,530</u>	<u>1,988,702</u>	<u>2,021,086</u>	<u>1,979,410</u>
Ending Balance	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>
End. Bal. as Percent of Expenditures	2.6%	2.6%	2.6%	2.5%	2.5%	2.5%

## Senate Subcommittee Report

**Agency:** Office of the Securities Commissioner **Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1764 Budget Page No. 515**

Expenditure Summary	Agency Req. FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments
State Operations			
Special Revenue Funds	\$ 2,024,088	\$ 2,067,598	\$ (53,247)
FTE Positions	27.8	27.8	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	27.8	27.8	0.0

### Agency Req./Governor's Recommendation

The Securities Commissioner's requested FY 2003 expenditures of \$2,024,088 is an increase of \$35,386 (1.8 percent) above the FY 2002 request. Salaries and wages constitute \$1,588,696, or 78.5 percent of the total request. Other operating expenses include an anticipated increase in rent. The agency did not request any enhancements.

The Governor recommends FY 2003 operating expenditures of \$2,067,598, an increase of \$46,512 (2.3 percent) above the FY 2002 recommendation. The recommendation for salaries and wages is \$1,632,206, or 78.9 percent of the total recommendation, and includes \$53,247 for the recommended employee pay plan adjustment. The Governor's recommendation includes the anticipated rent increase.

Besides the reportable expenditures, the agency also contributes \$20,000 annually to support "The Stock Market Game," an educational classroom resource. Because this is a nonreportable expenditure, it is not included in the total above. The Governor recommends this expenditure.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following exception:

1. Delete \$53,247 for the Governor's recommended employee pay plan adjustment.

## Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subc. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Gov. Rec. FY2003</u>	<u>Subc. Rec. FY 2003</u>
Beg. Balance	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000
Proj. Receipts	<u>8,886,285</u>	<u>8,886,285</u>	<u>8,886,285</u>	<u>9,021,724</u>	<u>9,021,724</u>	<u>9,021,724</u>
Total Available	<u>\$ 8,936,285</u>	<u>\$ 8,936,285</u>	<u>\$ 8,936,285</u>	<u>\$ 9,071,724</u>	<u>\$ 9,071,724</u>	<u>\$ 9,071,724</u>
less: Transfer to SGF	6,877,583	6,845,199	6,886,875	6,977,636	6,934,126	6,987,373
less: Nonreportable	20,000	20,000	20,000	20,000	20,000	20,000
less: Expenditures	<u>1,988,702</u>	<u>2,021,086</u>	<u>1,979,410</u>	<u>2,024,088</u>	<u>2,067,598</u>	<u>2,014,351</u>
Ending Balance	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 50,000</u>
End. Bal. as Percent of Expenditures	2.5%	2.5%	2.5%	2.5%	2.4%	2.5%

**SENATE SUBCOMMITTEE REPORT**

**Agency:** Board of Technical Professions

**Bill No.** -----

**Bill Sec.** -----

**Analyst:** Chapman

**Analysis Pg. No.** 1776

**Budget Page No.** 517

<u>Expenditure Summary</u>	<u>Agency Est. FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Senate Subcommittee Adjustments</u>
State Operations:			
Special Revenue Fund	\$ 522,462	\$ 522,462	\$ 0
FTE Positions	6.0	6.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>6.0</u>	<u>6.0</u>	<u>0.0</u>

**Agency Est./Governor's Recommendation**

The agency estimates expenditures of \$522,462 in the current year, which is an increase of \$4,000 above the approved budget. This increase is to be funded through Kansas Savings Incentive Program monies and used for capital outlay expenditures.

The Governor concurs with the agency's request.

**Senate Subcommittee Recommendation**

The Subcommittee concurs with the Governor's recommendation, with the following notation:

1. The Subcommittee notes that the agency reports a successful inclusion of a new group of professionals, *i.e.*, geologists, totaling more than 500 new members and included within the 14,000 members it currently licenses. The Subcommittee commends the agency on their good work, and notes that it appears that the agency has been able to do this within resources budgeted for addition of the new licensed group.

*Senate Ways and Means  
3-7-01  
Attachment 12*

## Fee Fund Analysis

The status of the agency's fee fund, based upon the recommendation of the Subcommittee, is reflected in the table below.

Resource Estimate	Actual FY 2000	Gov. Rec. FY 2001	Senate Subcom. Rec. FY 2001	Gov. Rec. FY 2002	Senate Subcom. Rec. FY 2002	Gov. Rec. FY 2003	Senate Subcom. Rec. FY 2003
Beginning Balance	\$622,774	\$838,392	\$838,392	\$952,342	\$952,342	\$729,453	\$735,353
Projected Receipts	662,032	632,412	632,412	612,864	612,864	634,740	634,740
Total Available	<b>\$1,284,806</b>	<b>\$1,470,804</b>	<b>\$1,470,804</b>	<b>\$1,565,206</b>	<b>\$1,565,206</b>	<b>\$1,364,193</b>	<b>\$1,370,093</b>
Less: Expenditures	\$446,414	\$518,462	\$518,462	\$535,753	\$529,853	\$550,711	\$544,811
Transfer to KSIP	2,301	4,000	4,000	0	0	0	0
Transfer to Legal Contingency Reserve	0	0	0	(300,000)	(300,000)	0	0
Ending Balance	<b>\$838,392</b>	<b>\$952,342</b>	<b>\$952,342</b>	<b>\$729,453</b>	<b>\$735,353</b>	<b>\$813,482</b>	<b>\$825,282</b>
Ending Balance as a Percentage of Expenditures	187.8%	183.7%	183.7%	136.2%	138.8%	147.7%	151.5%



**SENATE SUBCOMMITTEE REPORT**

**Agency:** Board of Technical Professions

**Bill No. - -**

**Bill Sec. - -**

**Analyst:** Chapman

**Analysis Pg. No. 1776**

**Budget Page No. 517**

<u>Expenditure Summary</u>	<u>Agency Req. FY 02</u>	<u>Gov. Rec. FY 02</u>	<u>Senate Subcommittee Adjustments*</u>
State Operations:			
Special Revenue Fund	\$ 536,609	\$ 535,753	\$ (5,900)
FTE Positions	6.0	6.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>6.0</u>	<u>6.0</u>	<u>0.0</u>

\* The entire reduction is for the Governor's employee salary adjustment.

**Agency Request/Governor's Recommendation**

The agency's request for FY 2002 operating expenditures funding of \$536,609 is an increase of \$14,147 (2.7 percent) above the FY 2001 estimate. The agency requests from the Board of Technical Professions Fee Fund \$536,609, or 100 percent of the total FY 2002 operating request. The agency also requests \$260,390 in salaries and wages, or 48.5 percent of the total request for 6.0 FTE positions; this is an increase of \$7,303 (2.9 percent) above the FY 2001 estimate. The agency has requested no enhancements.

The Governor recommends operating expenditures for FY 2002 of \$535,753 which is an increase of \$13,291 (2.5 percent) above the FY 2001 recommendation. The recommendation includes the establishment of a special legal contingency reserve fund and a \$300,000 transfer from the main fee fund to this fund for litigation related to future major disasters. The Governor also recommends reducing biennial renewal fees from \$90 to \$70 from FY 2002 to FY 2005. Specifically, the Governor recommends \$535,753 or 100 percent of the total recommendation from the Board of Technical Professions Fee Fund. Also, the recommendation includes \$260,534, or 48.6 percent of the total recommendation for operating expenditures, for salaries and wages; this is an increase of \$9,140 (3.6 percent) above the FY 2001 recommendation.

**Senate Subcommittee Recommendation**

The Subcommittee concurs with the Governor's recommendation with the following notations and adjustments:

1. Delete \$5,900 in other funds for the Governor's recommended employee salary adjustment.

2. The Subcommittee notes the agency's request and the Governor's recommendation for a one-time transfer of \$300,000 in FY 2002 from the agency's fee fund to a special legal contingency reserve fund. The Subcommittee would raise the question of how many other fee boards have similar contingency funds for similar purposes. The Subcommittee further recommends that the balance of the \$300,000 contingency fund be reported in future years.

### Fee Fund Analysis

The status of the agency's fee fund, based upon the recommendation of the Subcommittee, is reflected in the table below.

Resource Estimate	Actual FY 2000	Gov. Rec. FY 2001	Senate Subcom. Rec. FY 2001	Gov. Rec. FY 2002	Senate Subcom. Rec. FY 2002	Gov. Rec. FY 2003	Senate Subcom. Rec. FY 2003
Beginning Balance	\$622,774	\$838,392	\$838,392	\$952,342	\$952,342	\$729,453	\$735,353
Projected Receipts	\$662,032	\$632,412	\$632,412	\$612,864	\$612,864	\$634,740	\$634,740
Total Available	<b>\$1,284,806</b>	<b>\$1,470,804</b>	<b>\$1,470,804</b>	<b>\$1,565,206</b>	<b>\$1,565,206</b>	<b>\$1,364,193</b>	<b>\$1,370,093</b>
Less: Expenditures	\$446,414	\$518,462	\$518,462	\$535,753	\$529,853	\$550,711	\$544,811
Transfer to KSIP	\$2,301	\$4,000	\$4,000	\$0	\$0	\$0	\$0
Transfer to Legal Contingency Reserve	0	0	0	(300,000)	(300,000)	0	0
Ending Balance	<b>\$838,392</b>	<b>\$952,342</b>	<b>\$952,342</b>	<b>\$729,453</b>	<b>\$735,353</b>	<b>\$813,482</b>	<b>\$825,282</b>
Ending Balance as a Percentage of Expenditures	187.8%	183.7%	183.7%	136.2%	138.8%	147.7%	151.5%

**SENATE SUBCOMMITTEE REPORT**

**Agency:** Board of Technical Professions

**Bill No. - -**

**Bill Sec. - -**

**Analyst:** Chapman

**Analysis Pg. No.** 1776

**Budget Page No.** 517

<u>Expenditure Summary</u>	<u>Agency Req. FY 03</u>	<u>Gov. Rec. FY 03</u>	<u>Senate Subcommittee Adjustments*</u>
State Operations:			
Special Revenue Fund	\$ 551,714	\$ 550,711	\$ (5,900)
FTE Positions	6.0	6.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>6.0</u>	<u>6.0</u>	<u>0.0</u>

\*The entire reduction is for the Governor's employee salary adjustment.

**Agency Request/Governor's Recommendation**

**The agency request** for FY 2003 operating expenditures funding of \$551,714 is an increase of \$15,105 (2.8 percent) above the FY 2002 estimate. The agency requests:

- **Board of Technical Professions Fee Fund**
  - \$551,714, or 100 percent of the total FY 2003 operating request
- **Salaries and Wages**
  - \$265,509, or 48.1 percent of the total request for 6.0 FTE positions
  - An increase of \$5,119 (2.0 percent) above the FY 2002 estimate

**The Governor** recommends operating expenditures for FY 2003 of \$550,711 which is an increase of \$14,958 (2.8 percent) above the FY 2002 recommendation. The Governor recommends:

- **Board of Technical Professions Fee Fund**
  - \$550,711, or 100.0 percent of the total recommendation for operating expenditures
- **Salaries and Wages**
  - \$266,006, or 48.3 percent of the total recommendation
  - An increase of \$5,472 (2.1 percent) above the FY 2002 recommendation
- **Other Operating Expenditures**
  - \$284,705, or 51.7 percent of the total recommendation
  - Increase of \$9,486 (3.4 percent) above the FY 2002 recommendation

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following notations and adjustments:

1. Delete \$5,900 in other funds for the Governor's recommended employee salary adjustment.

### Fee Fund Analysis

The status of the agency's fee fund, based upon the recommendation of the Subcommittee, is reflected in the table below.

Resource Estimate	Actual FY 2000	Gov. Rec. FY 2001	Senate Subcom. Rec. FY 2001	Gov. Rec. FY 2002	Senate Subcom. Rec. FY 2002	Gov. Rec. FY 2003	Senate Subcom. Rec. FY 2003
Beginning Balance	\$622,774	\$838,392	\$838,392	\$952,342	\$952,342	\$729,453	\$735,353
Projected Receipts	\$662,032	\$632,412	\$632,412	\$612,864	\$612,864	\$634,740	\$634,740
Total Available	<b>\$1,284,806</b>	<b>\$1,470,804</b>	<b>\$1,470,804</b>	<b>\$1,565,206</b>	<b>\$1,565,206</b>	<b>\$1,364,193</b>	<b>\$1,370,093</b>
Less: Expenditures	\$446,414	\$518,462	\$518,462	\$535,753	\$529,853	\$550,711	\$544,811
Transfer to KSIP	\$2,301	\$4,000	\$4,000	\$0	\$0	\$0	\$0
Transfer to Legal Contingency Reserve	0	0	0	(300,000)	(300,000)	0	0
Ending Balance	<b>\$838,392</b>	<b>\$952,342</b>	<b>\$952,342</b>	<b>\$729,453</b>	<b>\$735,353</b>	<b>\$813,482</b>	<b>\$825,282</b>
Ending Balance as a Percentage of Expenditures	187.8%	183.7%	183.7%	136.2%	138.8%	147.7%	151.5%

## Senate Subcommittee Report

**Agency:** Board of Veterinary Examiners    **Bill No. --**    **Bill Sec. --**

**Analyst:** Chapman    **Analysis Pg. No. 1787**    **Budget Page No. 519**

Expenditure Summary	Agency Est. FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
State Operations			
Special Revenue	\$ 230,431	\$ 229,065	\$ 0
FTE Positions	3.0	3.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

### Agency Est./Governor's Recommendation

**The agency requests \$230,431** for FY 2001 operating expenditures. This is an increase of \$1,366 from the approved FY 2001 budget. This is due to a technical adjustment to unclassified expenditures for salaries and wages. The request includes \$15,000 for technology equipment to be funded with fee funds, and \$4,000 in KSIP funds for salary bonuses.

**The Governor recommends \$229,065** for FY 2001 operating expenditures, which includes \$15,000 in KSIP funds to be used for technology equipment. The overall operating expenditures amount is the same as the approved FY 2001 budget.

### Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation, with the following notation and adjustment.

1. The Subcommittee notes the philosophical difference between the agency's request for using KSIP funds and the Governor's recommended usage. The agency feels that KSIP funds would best be used to reward employees with salary bonuses or professional development training (i.e., training seminars that increase employee skills and knowledge), rather than to purchase new computer equipment (which is recommended by the Governor). The agency believes that, because of the incentive nature of the funds, employees will benefit more by better work knowledge and habits than by a new computer. The agency requests that instead of using \$15,000 for technology equipment, the same amount be expended from the agency's fee fund, and only \$4,000 be expended from KSIP funds for salary bonuses and professional development training.

*Senate Ways and Means  
3-7-01  
Attachment 13*

### Fee Fund Analysis

The Board's main source of revenue is the Veterinary Licensing Fee Fund. The table below shows an estimate of Board expenditures and receipts through FY 2003.

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Senate Subcom. Rec. FY 2001	Agency Request FY 2002	Senate Subcom. Rec. FY 2002	Agency Request FY 2003	Senate Subcom. Rec. FY 2003
Beginning Balance	\$ 417,160	\$ 404,124	\$ 404,124	\$ 386,008	\$ 387,374	\$ 333,202	\$ 357,487
Projected Receipts	<u>212,315</u>	<u>212,315</u>	<u>212,315</u>	<u>212,315</u>	<u>212,315</u>	<u>212,315</u>	<u>212,315</u>
Total Available	\$ 629,475	\$ 616,439	\$ 616,439	\$ 598,323	\$ 599,689	\$ 545,517	\$ 569,802
Less: Expenditures	232,721	230,431	229,065	280,121	257,202	267,218	242,889
Transfer to KSIP	<u>7,370</u>	<u>0</u>	<u>0</u>	<u>15,000</u>	<u>15,000</u>	<u>0</u>	<u>0</u>
<b>Ending Balance</b>	<b><u>\$ 404,124</u></b>	<b><u>\$ 386,008</u></b>	<b><u>\$ 387,374</u></b>	<b><u>\$ 333,202</u></b>	<b><u>\$ 357,487</u></b>	<b><u>\$ 278,299</u></b>	<b><u>\$ 326,913</u></b>
Ending Balance as a Percentage of Expenditures	173.7%	167.5%	169.1%	118.9%	139.0%	104.1%	134.6%

## Senate Subcommittee Report

**Agency:** Board of Veterinary Examiners **Bill No. --**

**Bill Sec. --**

**Analyst:** Chapman

**Analysis Pg. No.** 1787

**Budget Page No.** 519

Expenditure Summary	Agency Req. FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments
State Operations			
Special Revenue	\$ 280,121	\$ 257,202	\$(2,924)*
FTE Positions	3.0	3.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

\* Includes a reduction of \$2,924 for the Governor's employee salary adjustment.

### Agency Req./Governor's Recommendation

**The agency requests \$280,121** for FY 2002 operating expenditures. This is an increase of \$49,690 (21.6 percent) from the revised FY 2001 request and is due to enhancement requests of \$26,000. The request includes:

- \$141,247 (50.4 percent of the total budget) for salaries and wages; and
- \$138,874 (49.6 percent of the total budget) for other operating expenditures.

**The Governor recommends \$257,202** for FY 2002 operating expenditures. This is an increase of \$28,137 (12.3 percent) from the FY 2001 recommendation and a decrease of \$22,919 from the agency's FY 2002 request. The Governor does not recommend the \$26,000 in enhancement requests. The recommendation includes:

- \$144,328 (56.1 percent of the total budget) for salaries and wages; and
- \$112,874 (43.9 percent of the total budget) for other operating expenditures.

## FY 2002 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Regular Inspections of Veterinary Premises	\$ 0	\$ 10,000	0.0	\$ 0	\$ 0	0.0
Attorney Services	0	16,000	0.0	0	0	0.0
<b>TOTAL</b>	<b>\$ 0</b>	<b>\$ 26,000</b>	<b>0.0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>0.0</b>

### Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation with the following adjustment:

1. **Delete \$2,924** based on the recommendation to delete funding for unclassified merit.

### Fee Fund Analysis

The Board's main source of revenue is the Veterinary Licensing Fee Fund. The table below shows an estimate of Board expenditures and receipts through FY 2003.

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Senate Subcom. Rec. FY 2001	Agency Request FY 2002	Senate Subcom. Rec. FY 2002	Agency Request FY 2003	Senate Subcom. Rec. FY 2003
Beginning Balance	\$417,160	\$404,124	\$404,124	\$387,374	\$387,374	\$357,487	\$360,411
Projected Receipts	\$212,315	\$212,315	\$212,315	\$212,315	\$212,315	\$212,315	\$212,315
Total Available	<b>\$629,475</b>	<b>\$616,439</b>	<b>\$616,439</b>	<b>\$599,689</b>	<b>\$599,689</b>	<b>\$569,802</b>	<b>\$572,726</b>
Less: Expenditures	\$232,721	\$229,065	\$229,065	\$257,202	\$254,278	\$242,889	\$238,967
Transfer to KSIP	\$7,370	\$0	\$0	\$15,000	\$15,000	\$0	\$0
Ending Balance	<b>\$404,124</b>	<b>\$387,374</b>	<b>\$387,374</b>	<b>\$357,487</b>	<b>\$360,411</b>	<b>\$326,913</b>	<b>\$333,759</b>
Ending Balance as a Percentage of Expenditures	173.7%	169.1%	169.1%	139.0%	141.7%	134.6%	139.7%



## Senate Subcommittee Report

**Agency:** Board of Veterinary Examiners **Bill No. --**

**Bill Sec. --**

**Analyst:** Chapman

**Analysis Pg. No.** 1787

**Budget Page No.** 519

Expenditure Summary	Agency Req. FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments
State Operations			
Special Revenue	\$ 267,218	\$ 242,889	\$(3,922)*
FTE Positions	3.0	3.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

\* Includes a reduction of \$3,922 for the Governor's employee salary adjustment.

### Agency Req./Governor's Recommendation

**The agency requests \$267,218** for FY 2003 operating expenditures. This is a decrease of \$12,903 (4.6 percent) from the agency's FY 2002 request and is due to the absence of capital outlay expenditures. The request includes: \$145,344 (54.4 percent of the total budget) for salaries and wages; and, \$121,874 (45.6 percent of the total budget) for other operating expenditures.

**The Governor recommends \$242,889** for FY 2003 operating expenditures. This is a decrease of \$14,313 (5.6 percent) from the FY 2002 recommendation, a decrease of \$24,329 from the agency's FY 2003 request and does not include the enhancement requests. The Governor recommends: \$147,015 (60.5 percent of the total budget) for salaries and wages; and, \$95,874 (39.5 percent of the total budget) for other operating expenditures.

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Regular Inspections of Veterinary Premises	\$ 0	\$ 10,000	0.0	\$ 0	\$ 0	0.0
Attorney Services	0	16,000	0.0	0	0	0.0
<b>TOTAL</b>	<b>\$ 0</b>	<b>\$ 26,000</b>	<b>0.0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>0.0</b>

### Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation with the following adjustment:

1. **Delete \$3,922** based on the recommendation to delete funding for unclassified merit.

### Fee Fund Analysis

The Board's main source of revenue is the Veterinary Licensing Fee Fund. The table below shows an estimate of Board expenditures and receipts through FY 2003.

<b>Resource Estimate</b>	<b>Actual FY 2000</b>	<b>Agency Estimate FY 2001</b>	<b>Senate Subcom. Rec. FY 2001</b>	<b>Agency Request FY 2002</b>	<b>Senate Subcom. Rec. FY 2002</b>	<b>Agency Request FY 2003</b>	<b>Senate Subcom. Rec. FY 2003</b>
Beginning Balance	\$417,160	\$404,124	\$404,124	\$387,374	\$387,374	\$357,487	\$360,411
Projected Receipts	\$212,315	\$212,315	\$212,315	\$212,315	\$212,315	\$212,315	\$212,315
Total Available	<b>\$629,475</b>	<b>\$616,439</b>	<b>\$616,439</b>	<b>\$599,689</b>	<b>\$599,689</b>	<b>\$569,802</b>	<b>\$572,726</b>
Less: Expenditures	\$232,721	\$229,065	\$229,065	\$257,202	\$254,278	\$242,889	\$238,967
Transfer to KSIP	\$7,370	\$0	\$0	\$15,000	\$15,000	\$0	\$0
Ending Balance	<b>\$404,124</b>	<b>\$387,374</b>	<b>\$387,374</b>	<b>\$357,487</b>	<b>\$360,411</b>	<b>\$326,913</b>	<b>\$333,759</b>
Ending Balance as a Percentage of Expenditures	173.7%	169.1%	169.1%	139.0%	141.7%	134.6%	139.7%

## Senate Subcommittee Report

**Agency:** Behavioral Sciences  
Regulatory Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Lovin

**Analysis Pg. No.** 1628

**Budget Page No.** 489

Expenditure Summary	Agency Estimate FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
State Operations			
Special Revenue Fund	\$ 432,990	\$ 431,462	\$ 0
FTE Positions	7.8	7.8	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>7.8</u>	<u>7.8</u>	<u>0.0</u>

### Agency Estimate/Governor's Recommendation

**The Board Estimates FY 2001** operating expenditures of \$432,990, this is an increase of \$1,849 over the amount approved by the 2000 Legislature. The agency had KSIP funds of \$8,662 which has been used for salary bonuses.

**The Governor recommends** \$431,462 for FY 2001 operating expenditures, this is an increase of \$321 over the approved expenditures.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments and comments.

1. Flag for Omnibus the agency's Supplemental appropriation request of \$8,168 for credit card transaction fees.
2. Flag for Omnibus the agency's Supplemental appropriation request of \$1,200 to cover the step increases, *i.e.*, the collapsing the first three steps of the pay matrix ordered by the Governor.
3. The Subcommittee notes the Behavioral Sciences Regulatory Board has made great strides with their Information Technology projects and accepting credit cards for payment of fees under Phyllis Gilmore's leadership.

Senate Ways and Means  
3-7-01  
Attachment 14

## Fee Fund Analysis

The following table tracks the Board's ending annual balance and fee fund expenditures.

Resource Estimate	Actual FY 2000	Agency* Estimate FY 2001	Subc. Rec.* FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 385,407	\$ 442,857	\$ 442,857	\$ 473,757	\$ 480,223	\$ 526,728	\$ 532,580
Projected Net Receipts	470,629	463,890	470,690	534,126	532,264	542,929	542,929
Total Available	\$ 856,036	\$ 906,747	\$ 913,547	\$ 1,007,883	\$ 1,012,487	\$ 1,069,657	\$ 1,075,509
Less: Expenditures	413,179	432,990	431,462	481,155	479,907	519,396	510,993
Transfers Out	0	0	1,862	0	0	0	0
Ending Balance	<u>\$ 442,857</u>	<u>\$ 473,757</u>	<u>\$ 480,223</u>	<u>\$ 526,728</u>	<u>\$ 532,580</u>	<u>\$ 550,261</u>	<u>\$ 564,516</u>
Ending Balance as a Percentage of Expend.	107.2%	109.4%	111.3%	109.5%	110.0%	105.9%	110.5%

\* Includes KSIP funds.

## Senate Subcommittee Report

**Agency:** Behavioral Sciences  
Regulatory Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Lovin

**Analysis Pg. No.** 1628

**Budget Page No.** 489

Expenditure Summary	Agency Request FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Fund	\$ 481,155	\$ 485,656	(5,749)
FTE Positions	8.0	8.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request \$481,155 for FY 2002 expenditures, this is an increase of \$48,165 over the FY 2001 estimate. The request includes:

- \$286,340 for salaries and wages
- \$173,635 for contractual services
- \$15,200 for commodities
- \$5,980 for capital outlay
- \$24,834 in enhancements, detailed below.

FY 2002 Enhancements							
Enhancement	Agency Request			Governor's Recommendation			
	SGF	All Funds	FTE	SGF	All Funds	FTE	
Increase Administrative Assistant to full-time	\$ 0	\$ 6,314	0.2	\$ 0	\$ 6,314	0.2	
Purchase Additional Office Equipment	0	5,980	0.0	0	5,980	0.0	
Travel Reimbursement-Committee Members	0	11,040	0.0	0	11,040	0.0	
Training	0	1,500	0.0	0	1,500	0.0	
<b>TOTAL</b>	<b>\$ 0</b>	<b>\$ 24,834</b>	<b>0.2</b>	<b>\$ 0</b>	<b>\$ 24,834</b>	<b>0.2</b>	

### Enhancement Detail

The Board requests \$24,834 from the Behavioral Sciences Regulatory Board Fee Fund for the following enhancements:

1. **\$6,314** for adding 0.2 FTE to the Administrative Assistant position to increase the position to full-time.
2. **\$5,980** to upgrade office equipment, this includes \$5,000 to be used for installing soundproofing in the Board conference room.
3. **\$11,040** to reimburse committee members mileage to attend the advisory committee meetings. The Board states that the funding is requested to improve attendance at the advisory committee meetings of members who live the farthest away.
4. **\$1,500** for the Public Service Administrator II to attend Certified Public Managers training.

The Governor recommends \$485,656 for FY 2002 operating expenditures, this is an increase of \$4,501 (0.9 percent) over the agency request and an increase of \$54,194 (12.6 percent) over the Governor's FY 2001 recommendation. The Governor recommends the requested enhancements.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation, with the following adjustment:

1. Delete \$5,749 for removal of the Governor's pay plan adjustments.

### Fee Fund Analysis

The following table tracks the Board's ending annual balance and fee fund expenditures.

Resource Estimate	Actual FY 2000	Agency* Estimate FY 2001	Subc. Rec.* FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 385,407	\$ 442,857	\$ 442,857	\$ 473,757	\$ 480,223	\$ 526,728	\$ 532,580
Projected Net Receipts	470,629	463,890	470,690	534,126	532,264	542,929	542,929
Total Available	\$ 856,036	\$ 906,747	\$ 913,547	\$ 1,007,883	\$ 1,012,487	\$ 1,069,657	\$ 1,075,509
Less: Expenditures	413,179	432,990	431,462	481,155	479,907	519,396	510,993
Transfers Out	0	0	1,862	0	0	0	0
Ending Balance	<u>\$ 442,857</u>	<u>\$ 473,757</u>	<u>\$ 480,223</u>	<u>\$ 526,728</u>	<u>\$ 532,580</u>	<u>\$ 550,261</u>	<u>\$ 564,516</u>
Ending Balance as a Percentage of Expend.	107.2%	109.4%	111.3%	109.5%	110.0%	105.9%	110.5%

\* Includes KSIP funds.

## Senate Subcommittee Report

**Agency:** Behavioral Sciences  
Regulatory Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Lovin

**Analysis Pg. No.** 1628

**Budget Page No.** 489

Expenditure Summary	Agency Request FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Fund	\$ 519,396	\$ 512,945	(1,952)
FTE Positions	8.0	8.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency requests **\$519,396** for FY 2003 expenditures, this is an increase of \$38,241 over the FY 2002 request and includes:

- \$295,556 for salaries and wages
- \$195,720 for contractual services
- \$16,520 for commodities
- \$29,471 for enhancements, detailed below.

<b>FY 2003 Enhancements</b>						
Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Increase Administrative Assistant to full-time	\$ 0	\$ 6,503	0.2	\$ 0	\$ 6,314	0.0
Upgrade Office Equipment	0	11,600	0.0	0	11,600	0.0
Travel Reimbursement-Committee Members	0	11,368	0.0	0	11,368	0.0
<b>TOTAL</b>	<b>\$ 0</b>	<b>\$ 29,471</b>	<b>0.2</b>	<b>\$ 0</b>	<b>\$ 29,282</b>	<b>0.0</b>

1. **\$6,503** for a continuance of the 0.2 FTE position requested in FY 2002, which increased the Administrative Assistant position to full-time.
2. **\$11,600** to upgrade four computers
3. **\$11,368** to reimburse committee members mileage to attend the advisory committee meetings, this is a continuance of the FY 2002 enhancement. The

Board states that is to improve attendance at the advisory committee meetings of members who live the farthest away.

The Governor recommends \$512,945 for FY 2003 operating expenditures. This is a decrease of \$6,451 (1.2 percent) from the agency request and an increase of \$27,289 (5.6 percent) over the Governor's FY 2002 recommendation and includes \$29,282 for the requested enhancements.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation, with the following adjustment:

1. Delete \$1,952 for removal of the Governor's pay plan adjustments.

### Fee Fund Analysis

The following table tracks the Board's ending annual balance and fee fund expenditures.

Resource Estimate	Actual FY 2000	Agency* Estimate FY 2001	Subc. Rec.* FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 385,407	\$ 442,857	\$ 442,857	\$ 473,757	\$ 480,223	\$ 526,728	\$ 532,580
Projected Net Receipts	470,629	463,890	470,690	534,126	532,264	542,929	542,929
Total Available	\$ 856,036	\$ 906,747	\$ 913,547	\$ 1,007,883	\$ 1,012,487	\$ 1,069,657	\$ 1,075,509
Less: Expenditures	413,179	432,990	431,462	481,155	479,907	519,396	510,993
Transfers Out	0	0	1,862	0	0	0	0
Ending Balance	<u>\$ 442,857</u>	<u>\$ 473,757</u>	<u>\$ 480,223</u>	<u>\$ 526,728</u>	<u>\$ 532,580</u>	<u>\$ 550,261</u>	<u>\$ 564,516</u>
Ending Balance as a Percentage of Expend.	107.2%	109.4%	111.3%	109.5%	110.0%	105.9%	110.5%

\* Includes KSIP funds.



## Senate Subcommittee Report

**Agency:** Kansas Dental Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No. 1673**

**Budget Page No. 495**

Expenditure Summary	Agency Est. FY 01	Governor's Recommendation FY 01	Subcommittee Adjustments
Special Revenue Fund	\$ 298,179	\$ 298,179	\$ 0
FTE Positions	2.0	2.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>

### Agency Estimate/Governor's Recommendation

The agency estimate for FY 2001 expenditures is \$298,179 which is an increase of \$36,416 or 13.9 percent from the FY 2000 actual amount. Expenditures by major object are estimated as follows: \$81,414 for salaries and wages, \$211,765 for contractual services, \$3,000 for commodities, and \$2,000 for capital outlay.

The Governor's recommendation for FY 2001 expenditures is \$298,179 which is an increase of \$36,416 or 13.9 percent from the FY 2000 actual amount. Expenditures by major object are estimated as follows: \$81,446 for salaries and wages, \$211,765 for contractual services, \$3,000 for commodities, and \$1,968 for capital outlay.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

## Senate Subcommittee Report

**Agency:** Kansas Dental Board

**Bill No. --**

**Bill Sec. –**

**Analyst:** Kramer

**Analysis Pg. No.** 1673

**Budget Page No.** 495

Expenditure Summary	Agency Request FY 02	Governor's Recommendation FY 02	Subcommittee Adjustments*
Special Revenue Fund	\$ 320,303	\$ 322,135	\$ (3,072)
FTE Positions	2.5	2.5	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>2.5</b>	<b>2.5</b>	<b>0.0</b>

\* The entire amount reflects deletion of the Governor's recommended pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request for FY 2002 expenditures is \$320,303 which is an increase of \$22,124 or 7.4 percent from the FY 2001 estimate. Expenditures by major object are requested as follows: \$104,963 for salaries and wages, \$211,840 for contractual services, \$3,000 for commodities, and \$500 for capital outlay.

The request includes an enhancement package of \$22,124 which includes \$14,934 for a salary adjustment for the Administrative Director and \$7,190 for the addition of 0.5 FTE for an Office Assistant I position.

The Governor's recommendation for FY 2002 expenditures is \$322,135 which is an increase of \$23,956 or 8.0 percent from the FY 2001 recommendation. Expenditures by major object are recommended as follows: \$106,795 for salaries and wages, \$211,840 for contractual services, \$3,000 for commodities, and \$500 for capital outlay.

The recommendation includes an enhancement package of \$22,185 which includes \$14,955 for a salary adjustment for the Administrative Director and \$7,230 for the addition of 0.5 FTE for an Office Assistant I position.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments and notations:

1. Delete \$3,072 for the Governor's employee salary adjustment including \$1,139 for longevity, \$1,287 for unclassified merit pool, and \$645 for classified base increases.

2. The Subcommittee notes a possible decline in the fee fund. If receipts and expenditures maintain current levels, the agency may have a significant reduction in available funds in FY 2004. The Subcommittee recognizes this as a concern for the agency.
3. The Subcommittee notes SB 160, and notes that failure to pass the bill may have a fiscal impact on the agency. SB 160 would raise the statutory limitation on all Dental Board fees by 25 percent. Even though the agency currently has no plans to increase fees, a fee increase would be possible if necessary.
4. The Subcommittee notes agency testimony concerning a goal to annually inspect dental offices. The Subcommittee recognizes that this may come at considerable expense to the agency and may not be the most effective use of agency personnel. The Subcommittee recommends that the agency review this practice to determine if it is necessary.

## Senate Subcommittee Report

**Agency:** Kansas Dental Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No.** 1673

**Budget Page No.** 495

Expenditure Summary	Agency Req. FY 03	Governor's Recommendation FY 03	Subcommittee Adjustments*
Special Revenue Fund	\$ 326,186	\$ 327,208	\$ (1,855)
FTE Positions	2.5	2.5	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>2.5</u>	<u>2.5</u>	<u>0.0</u>

\* The entire amount reflects deletion of the Governor's recommended pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request for FY 2003 expenditures includes \$326,186 which is an increase of \$5,883 or 1.8 percent from the FY 2002 request. Expenditures by major object are requested as follows: \$108,315 for salaries and wages, \$214,371 for contractual services, \$3,000 for commodities, and \$500 for capital outlay.

The request includes an enhancement package of \$23,832 which is a continuation of the FY 2002 enhancement package and includes \$16,427 for a salary adjustment for the Administrative Director and \$7,405 for the addition of 0.5 FTE for an Office Assistant I position.

The Governor's recommendation for FY 2003 expenditures includes \$327,208 which is an increase of \$5,073 or 1.6 percent from the FY 2002 request. Expenditures by major object are recommended as follows: \$109,337 for salaries and wages, \$214,371 for contractual services, \$3,000 for commodities, and \$500 for capital outlay.

The recommendation includes an enhancement package of \$22,548 which is a continuation of the FY 2002 enhancement package and includes \$14,992 for a salary adjustment for the Administrative Director and \$7,556 for the addition of 0.5 FTE for an Office Assistant I position.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustment:

1. Delete \$1,855 for the Governor's employee salary adjustments which includes expenditures for classified base salary increases, unclassified merit pool, and longevity.

# Senate Subcommittee Report

**Agency:** Board of Healing Arts

**Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No.** 1640

**Budget Page No.** 499

<u>Expenditure Summary</u>	<u>Agency Estimate FY 01</u>	<u>Governor's Recommendation FY 01</u>	<u>Subcommittee Adjustments</u>
Special Revenue Fund	\$ 1,919,279	\$ 1,919,279	\$ 0
FTE Positions	29.0	29.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>29.0</u>	<u>29.0</u>	<u>0.0</u>

## Agency Estimate/Governor's Recommendation

The agency estimate for FY 2001 expenditures is \$1,919,279 which is a decrease of \$58,285 or 2.9 percent from the FY 2000 actual amount. Expenditures by major object are estimated as follows: \$1,131,775 for salaries and wages, \$739,104 for contractual services, and \$48,400 for commodities.

The Governor's recommendation for FY 2001 expenditures is \$1,919,279 which is a decrease of \$58,285 or 2.9 percent from the FY 2000 actual amount. Expenditures by major object are estimated as follows: \$1,131,775 for salaries and wages, \$739,104 for contractual services, and \$48,400 for commodities.

## Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

## Senate Subcommittee Report

**Agency:** Board of Healing Arts

**Bill No. --**

**Bill Sec. –**

**Analyst:** Kramer

**Analysis Pg. No.** 1640

**Budget Page No.** 499

Expenditure Summary	Agency Request FY 02	Governor's Recommendation FY 02	Subcommittee Adjustments*
Special Revenue Fund	\$ 1,970,322	\$ 1,993,816	\$ (33,176)
FTE Positions	29.0	29.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>29.0</b>	<b>29.0</b>	<b>0.0</b>

\* The entire amount reflects deletion of the Governor's recommended pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request for FY 2002 expenditures is \$1,970,322 which is an increase of \$51,043 or 2.7 percent from the FY 2001 estimate. Expenditures by major object are requested as follows: \$1,144,322 for salaries and wages, \$756,600 for contractual services, \$54,400 for commodities, and \$15,000 for capital outlay.

The Governor's recommendation for FY 2002 expenditures is \$1,993,816 which is an increase of \$74,537 or 3.9 percent from the FY 2001 estimate. Expenditures by major object are recommended as follows: \$1,167,816 for salaries and wages, \$756,600 for contractual services, \$54,400 for commodities, and \$15,000 for capital outlay.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments and notations:

1. Delete \$33,176 for the Governor's employee salary adjustments including \$9,684 for longevity, \$7,793 for unclassified merit pool, and \$15,700 for classified base salary increases.
2. The Subcommittee recommends removing expenditures for the Impaired Provider Program from the expenditure limitation. The Impaired Provider Program is a confidential method for identification, treatment, after care, and monitoring of impaired individuals in nine of the professions regulated by the agency. The program is contracted to the eight medical associations representing these individuals.

3. The Subcommittee encourages consideration of HB 2121. This bill would allow the agency to give review committee members an hourly wage to review records investigated by the board. Currently, review committee members are only compensated for expenses and mileage. The Subcommittee feels this would bring fairness to the professionals serving on the review committees, and supports passage of this bill.

## Senate Subcommittee Report

**Agency:** Board of Healing Arts

**Bill No. --**

**Bill Sec. --**

**Analyst:** Kramer

**Analysis Pg. No.** 1640

**Budget Page No.** 499

Expenditure Summary	Agency Request FY 03	Governor's Recommendation FY 03	Subcommittee Adjustments*
Special Revenue Fund	\$ 2,038,367	\$ 2,046,175	\$ (17,911)
FTE Positions	29.0	29.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>29.0</b>	<b>29.0</b>	<b>0.0</b>

\* The entire amount reflects deletion of the Governor's recommended pay plan adjustments.

### Agency Request/Governor's Recommendation

The agency request for FY 2003 expenditures is \$2,038,367 which is an increase of \$68,045 or 3.5 percent from the FY 2002 request. Expenditures by major object are requested as follows: \$1,155,088 for salaries and wages, \$807,879 for contractual services, \$60,400 for commodities, and \$15,000 for capital outlay.

The Governor's recommendation for FY 2003 expenditures is \$2,046,175 which is an increase of \$52,359 or 2.6 percent from the FY 2002 recommendation. Expenditures by major object are recommended as follows: \$1,162,896 for salaries and wages, \$807,879 for contractual services, \$60,400 for commodities, and \$15,000 for capital outlay.

### Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments and notations:

1. Delete \$17,911 for the Governor's employee salary adjustments including funding for longevity, unclassified merit pool, and classified base salary increases.
2. The Subcommittee recognizes the reduction of \$4,920 in salaries and wages in the Governor's recommendation from FY 2002 to FY 2003. The Subcommittee notes that this was an error in the budget recommendation and anticipates a Governor's Budget Amendment correcting the figure.



## Senate Subcommittee Report

**Agency:** Board of Nursing

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No.** 1706

**Budget Page No.** 505

<u>Expenditure Summary</u>	<u>Agency Est. FY 01</u>	<u>Governor's Recommendation FY 01</u>	<u>Senate Subcommittee Adjustments</u>
Nursing Fee Fund	\$ 1,088,194	\$ 1,212,128	\$ 0
FTE Positions	16.5	22.0	0.0
Unclassified Temp. Positions	1.0	0.0	0.0
<b>TOTAL</b>	<u>17.5</u>	<u>22.0</u>	<u>0.0</u>

### Agency Est./Governor's Recommendation

The agency's estimate for FY 2001 operating expenditures of \$1,088,194 is no change from the amount approved by the 2000 Legislature.

The Governor recommends \$1,212,128 for FY 2001 operating expenditures, an increase of \$123,934 (11.4 percent) from the amount approved by the 2000 Legislature for 5.5 additional FTE positions in FY 2001. The 1.0 other unclassified position is reallocated to 1.0 FTE position, 2.5 FTE Office Assistant III positions, and 2.0 FTE Investigator RN III positions are added.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

## Senate Subcommittee Report

**Agency:** Board of Nursing

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No. 1706**

**Budget Page No. 515**

Expenditure Summary	Agency Req. FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments
Nursing Fee Fund	\$ 1,386,916	\$ 1,426,346	\$ (24,279)
FTE Positions	22.0	22.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>22.0</b>	<b>22.0</b>	<b>0.0</b>

### Agency Req./Governor's Recommendation

The **agency request for FY 2002** operating expenditures funding of \$1,386,916 is an increase of \$298,722 (27.5 percent) more than the agency FY 2001 estimate.

The Governor's recommended FY 2002 operating expenditures funding of \$1,426,346 is an increase of \$214,218 (17.7 percent) from the FY 2001 recommendation and a decrease of \$39,430 (2.9 percent) from the agency's FY 2002 request.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments:

1. Delete \$24,279 for removal of the Governor's pay plan adjustments.
2. The Subcommittee notes that the agency does not currently allow a grace period for nurses seeking to renew their licenses similar to that of the Board of Healing Arts.
3. The Subcommittee asks that the agency adjust its performance measure reporting to address the appropriate areas of concern.
4. The Subcommittee recommends review of this budget during the 2002 Legislative session to monitor the agency's progress in complying with the post audit. The Subcommittee hopes to see a more user friendly attitude from the agency.

5. The Subcommittee notes that under Executive Director Mary Blubaugh the agency has made great strides in improving service and is headed in the right direction. The Subcommittee commends her for her work.

## Senate Subcommittee Report

**Agency:** Board of Nursing

**Bill No. --**

**Bill Sec. --**

**Analyst:** Nogle

**Analysis Pg. No.** 1706

**Budget Page No.** 505

<u>Expenditure Summary</u>	<u>Agency Req. FY 03</u>	<u>Governor's Recommendation FY 03</u>	<u>Senate Subcommittee Adjustments</u>
Nursing Fee Fund	\$ 1,339,706	\$ 1,383,705	\$ (13,385)
FTE Positions	22.0	22.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>22.0</u>	<u>22.0</u>	<u>0.0</u>

### Agency Req./Governor's Recommendation

The agency request for FY 2003 operating expenditures funding of \$1,339,706 is a decrease of \$47,210 (3.4 percent) from the agency FY 2002 request.

The Governor's recommended FY 2003 operating expenditures funding of \$1,383,705 is a decrease of \$42,641 (3.0 percent) from the FY 2002 recommendation and an increase of \$43,999 (3.3 percent) from the agency's FY 2003 request.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustment:

1. Delete \$13,385 for removal of the Governor's pay plan adjustments.

## Senate Subcommittee Report

**Agency:** State Board of Pharmacy

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1729

**Budget Page No.** 509

<u>Expenditure Summary</u>	<u>Agency Est. FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Senate Subcommittee Adjustments</u>
State Operations:			
Special Revenue Fund	\$ 525,288	\$ 511,658	\$ 0
FTE Positions	6.0	6.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
TOTAL	<u>6.0</u>	<u>6.0</u>	<u>0.0</u>

### Agency Estimate/Governor's Recommendation

The Board of Pharmacy estimates current year expenditures of \$525,288 which is an increase of \$60 over the amount approved by the 2000 Legislature. The \$60 overage was a clerical error in the budget request and the agency is not asking for a supplemental appropriation.

The Governor recommends \$511,658 for operating expenditures in FY 2001. The recommendation is a decrease of \$13,570 (2.6 percent) from the amount approved by the 2000 Legislature. The decrease can be attributed to the addition of a 5.0 percent shrinkage rate for the agency's budget.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

### Fee Fund Analysis

The status of the agency's fee fund, reflecting the Subcommittee's recommendation, is shown in the following table.

*Senate Ways and Means  
3-7-01  
Attachment 18*

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Estimate FY 2001</u>	<u>Subc. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Subc. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Subc. Rec. FY 2003</u>
Beginning Balance	\$ 1,078,535	\$ 1,132,256	\$ 1,132,256	\$ 1,095,838	\$ 1,109,468	\$ 995,263	\$ 1,060,960
Projected Receipts	<u>551,075</u>	<u>488,870</u>	<u>488,870</u>	<u>498,250</u>	<u>498,250</u>	<u>508,100</u>	<u>508,100</u>
Total Available	\$ 1,629,610	\$ 1,621,126	\$ 1,621,126	\$ 1,594,088	\$ 1,607,718	\$ 1,503,363	\$ 1,569,060
Less: Expenditures	<u>497,354</u>	<u>525,288</u>	<u>511,658</u>	<u>598,825</u>	<u>546,758</u>	<u>614,375</u>	<u>556,807</u>
Ending Balance	<u>\$ 1,132,256</u>	<u>\$ 1,095,838</u>	<u>\$ 1,109,468</u>	<u>\$ 995,263</u>	<u>\$ 1,060,960</u>	<u>\$ 888,988</u>	<u>\$ 1,012,253</u>
Ending Balance as a Percentage of Expend.	227.7%	208.6%	216.8%	166.2%	194.0%	144.7%	181.8%

## Senate Subcommittee Report

**Agency:** State Board of Pharmacy

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1729

**Budget Page No.** 509

Expenditure Summary	Agency Req. FY 02	Gov. Rec. FY 02	Senate Subcommittee Adjustments*
State Operations:			
Special Revenue Fund	\$ 598,825	\$ 554,053	\$ (7,295)
FTE Positions	7.0	6.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>7.0</u>	<u>6.0</u>	<u>0.0</u>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Request/Governor's Recommendation

The Board requests \$598,825 for FY 2002 expenditures, all from the Board of Pharmacy Fee Fund. This is an increase of \$73,537 (14.0 percent) over the FY 2001 estimate. The increase is partially due to the enhancement request (detailed below) made by the Board of Pharmacy concerning an additional FTE position, employee pay grade modification, an increase in rental space needed, travel costs, additional office furniture, and a classified step increase.

FY 2002 Enhancements						
Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Public Service Administrator I	\$ 0	\$ 35,892	1.0	\$ 0	\$ 0	0.0
Rent Enhancement	0	2,950	0.0	0	0	0.0
Travel	0	3,520	0.0	0	0	0.0
Capital Outlay	0	7,500	0.0	0	0	0.0
Pay Grade Modification	0	0	0.0	0	0	0.0
<b>TOTAL</b>	<u>\$ 0</u>	<u>\$ 49,862</u>	<u>1.0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>0.0</u>

### Enhancement Request

- **Public Service Administrator I-1.0 FTE position.** This addition would review and rewrite the pharmacy regulations and assume responsibility for the budget.
- **Rent Enhancement.** According to the agency, more office space is needed. This enhancement would allow for the payment of more rent for a larger space.
- **Travel.** The agency holds five yearly meetings, four in Topeka and one in Lawrence. In order to boost attendance the agency would like to hold the meetings in different regions of the state.

- **Capital Outlay.** If the enhancement for additional office space is granted the agency will have additional costs for office furniture.
- **Pay Grade Modification.** The agency is requesting authorization for a pay grade modification for its inspectors. The pay grade requested is 33 and at a step equal to the inspector's current step. The inspectors are currently at pay grade 30, step 12. This enhancement has no financial impact for three years. **(Staff Note:** The agency has requested this pay modification before. The 1999 Legislature approved additional funding for the upgrade but the Division of Personnel Services declined to upgrade the job classification.)

**Absent the requested FY 2002 enhancement package** the agency's request represents an increase of \$23,674 (4.5 percent) over FY 2001 estimated expenditures.

**The Governor recommends \$554,053** for operating expenditures in FY 2002. This is an increase of \$42,395 (8.3 percent) over the Governor's FY 2001 recommendation. The Governor does not recommend the enhancements.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments.

1. Delete \$7,295 for removal of the Governor's pay plan adjustments.
2. The Subcommittee recommends reviewing this budget during the 2002 Session to reconsider the enhancement of the pay grade modification for inspectors. This will give the Executive Director time to get a salary survey completed by the Division of Personnel Services.

### Fee Fund Analysis

The status of the fee fund, reflecting the Subcommittee's recommendation, is shown in the following table.

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Subc. Rec. FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 1,078,535	\$ 1,132,256	\$ 1,132,256	\$ 1,095,838	\$ 1,109,468	\$ 995,263	\$ 1,060,960
Projected Receipts	551,075	488,870	488,870	498,250	498,250	508,100	508,100
Total Available	\$ 1,629,610	\$ 1,621,126	\$ 1,621,126	\$ 1,594,088	\$ 1,607,718	\$ 1,503,363	\$ 1,569,060
Less: Expenditures	497,354	525,288	511,658	598,825	546,758	614,375	556,807
Ending Balance	<u>\$ 1,132,256</u>	<u>\$ 1,095,838</u>	<u>\$ 1,109,468</u>	<u>\$ 995,263</u>	<u>\$ 1,060,960</u>	<u>\$ 888,988</u>	<u>\$ 1,012,253</u>
Ending Balance as a Percentage of Expend.	227.7%	208.6%	216.8%	166.2%	194.0%	144.7%	181.8%



## Senate Subcommittee Report

**Agency:** State Board of Pharmacy

**Bill No.**

**Bill Sec.**

**Analyst:** Lovin

**Analysis Pg. No.** 1729

**Budget Page No.** 509

Expenditure Summary	Agency Req. FY 03	Gov. Rec. FY 03	Senate Subcommittee Adjustments*
State Operations:			
Special Revenue Fund	\$ 614,375	\$ 566,462	\$ (9,655)
FTE Positions	7.0	6.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>7.0</u>	<u>6.0</u>	<u>0.0</u>

\* The entire reduction reflects removal of the Governor's pay plan adjustments.

### Agency Request/Governor's Recommendation

**The Board requests \$614,375**, including enhancements, for FY 2003 expenditures, all from the Board of Pharmacy Fee Fund. This is an increase of \$15,550 (2.6 percent) over the FY 2002 request. The increase is partially due to the enhancement request made by the Board. The Board included a classified base salary increase in salaries and wages.

- The entire requested FY 2003 enhancement would continue the enhancements requested for FY 2002.

**The Governor recommends \$566,462** for operating expenditures in FY 2003. This is an increase of \$12,409 (2.2 percent) over the FY 2002 recommendation. The Governor does not recommend the enhancements.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustment.

1. Delete \$9,655 for removal of the Governor's pay plan adjustment.

### Fee Fund Analysis

The status of the fee fund, reflecting the Subcommittee's recommendation, is shown in the following table.

Resource Estimate	Actual FY 2000	Agency Estimate FY 2001	Subc. Rec. FY 2001	Agency Request FY 2002	Subc. Rec. FY 2002	Agency Request FY 2003	Subc. Rec. FY 2003
Beginning Balance	\$ 1,078,535	\$ 1,132,256	\$ 1,132,256	\$ 1,095,838	\$ 1,109,468	\$ 995,263	\$ 1,060,960
Projected Receipts	<u>551,075</u>	<u>488,870</u>	<u>488,870</u>	<u>498,250</u>	<u>498,250</u>	<u>508,100</u>	<u>508,100</u>
Total Available	\$ 1,629,610	\$ 1,621,126	\$ 1,621,126	\$ 1,594,088	\$ 1,607,718	\$ 1,503,363	\$ 1,569,060
Less: Expenditures	<u>497,354</u>	<u>525,288</u>	<u>511,658</u>	<u>598,825</u>	<u>546,758</u>	<u>614,375</u>	<u>556,807</u>
Ending Balance	<u>\$ 1,132,256</u>	<u>\$ 1,095,838</u>	<u>\$ 1,109,468</u>	<u>\$ 995,263</u>	<u>\$ 1,060,960</u>	<u>\$ 888,988</u>	<u>\$ 1,012,253</u>
Ending Balance as a Percentage of Expend.	227.7%	208.6%	216.8%	166.2%	194.0%	144.7%	181.8%

## Senate Subcommittee Report

**Agency:** Real Estate Appraisal Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 511**

**Budget Page No. 1753**

<u>Expenditure Summary</u>	<u>Agency Estimate FY 01</u>	<u>Governor's Recommendation FY 01</u>	<u>Senate Subcommittee Adjustments</u>
State Operations			
Special Revenue Funds	\$ 243,685	\$ 236,177	\$ 0
FTE Positions	3.0	3.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>3.0</u>	<u>3.0</u>	<u>0.0</u>

### Agency Estimate/Governor's Recommendation

The agency's estimate for FY 2001 operating expenditures of \$243,685 is an increase of \$45,732 above the approved budget. The estimate includes an increase of \$20,370 in salaries and wages reflecting the establishment of a salary base adequate to fill the vacant investigator position, the reclassification of a Public Service Administrator to a Public Service Executive, and increasing the Director's salary to the level of professional appraisers in practice.

The Governor recommends FY 2001 operating expenditures of \$236,177, an increase of \$38,224 above the approved budget, and a reduction of \$7,508 from the agency estimate. The Governor's recommendation reduces the amount of the Director's increase, reduces estimated fringe benefits, and reduces contractual services. The Governor recommends \$162,837 for salaries and wages, \$70,640 for contractual services, and \$2,700 for commodities

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following comment:

1. The Subcommittee notes that the agency has experienced unusually high turnover.

*Senate Ways and Means  
3-7-01  
Attachment 19*

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Request FY 2001</u>	<u>Governor's Rec. FY2001</u>	<u>Subcommittee Rec. FY 2001</u>
Beg. Balance	\$ 303,833	\$ 334,146	\$ 334,146	\$ 334,146
Proj. Receipts	211,923	211,923	211,923	211,923
Total Available	\$ 515,756	\$ 546,069	\$ 546,069	\$ 546,069
Less: Expenditures	181,610	243,685	236,177	236,177
Ending Balance	<u>\$ 334,146</u>	<u>\$ 302,384</u>	<u>\$ 309,892</u>	<u>\$ 309,892</u>
End. Bal. as Percent of Expenditures	184.4%	124.1%	131.2%	131.2%

**Staff Note:** In FY 2000, expenditures include \$375 of nonreportable expenditures

## Senate Subcommittee Report

**Agency:** Real Estate Appraisal Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 511**

**Budget Page No. 1753**

Expenditure Summary	Agency Request FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Funds	\$ 249,982	\$ 246,154	\$ (3,902)
FTE Positions	3.0	3.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

\* The reduction is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Request/Governor's Recommendation

The agency's request for FY 2002 operating expenditures of \$249,982 is an increase of \$6,297 (2.6 percent) above the FY 2001 estimate.

The Governor recommends FY 2002 operating expenditures of \$246,154, an increase of \$9,977 (4.2 percent) above the FY 2001 recommendation, and a reduction of \$3,548 from the agency request. The Governor recommends \$168,269 for salaries and wages, \$74,685 for contractual services, and \$3,200 for commodities. The Governor adds \$3,902 for his recommended employee pay plan adjustment.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following comment and exception:

1. Delete \$3,902 for the Governor's recommended employee pay plan adjustment.
2. The Subcommittee notes that the agency has experienced unusually high turnover and wishes to flag the agency budget for review during the 2002 Session, even though it is a biennial budget.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Subcom. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subcom. Rec. FY 2002</u>
Beg. Balance	\$ 334,146	\$ 334,146	\$ 334,146	\$ 302,384	\$ 309,892	\$ 309,892
Proj. Receipts	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>
Total Available	\$ 546,069	\$ 546,069	\$ 546,069	\$ 514,307	\$ 521,815	\$ 521,815
Less: Expenditures	<u>243,685</u>	<u>236,177</u>	<u>236,177</u>	<u>249,982</u>	<u>246,154</u>	<u>242,252</u>
Ending Balance	<u>\$ 302,384</u>	<u>\$ 309,892</u>	<u>\$ 309,892</u>	<u>\$ 264,325</u>	<u>\$ 275,661</u>	<u>\$ 279,563</u>
Ending Bal. as Per- cent of Expenditures	124.1%	131.2%	131.2%	105.7%	112.0%	115.4%

## Senate Subcommittee Report

**Agency:** Real Estate Appraisal Board

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 511**

**Budget Page No. 1753**

Expenditure Summary	Agency Request FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Funds	\$ 257,652	\$ 254,104	\$ (5,094)
FTE Positions	3.0	3.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>3.0</u>	<u>3.0</u>	<u>0.0</u>

\* The reduction is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Request/Governor's Recommendation

The agency's request for FY 2003 operating expenditures of \$257,652 is an increase of \$7,670 (3.1 percent) above the FY 2002 request.

The Governor recommends FY 2003 operating expenditures of \$254,104, an increase of \$7,950 (3.2 percent) above the FY 2002 recommendation, and a reduction of \$3,548 from the agency request. The Governor recommends \$171,609 for salaries and wages, \$78,895 for contractual services, and \$3,600 for commodities. The Governor adds \$5,094 for his recommended employee pay plan adjustment.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following comment and exception:

1. Delete \$5,094 for the Governor's recommended employee pay plan adjustment.
2. The Subcommittee notes that the agency has experienced unusually high turnover and wishes to flag the agency budget for review during the 2002 Session, even though it is a biennial budget.

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subcom. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Gov. Rec. FY2003</u>	<u>Subcom. Rec. FY 2003</u>
Beg. Balance	\$ 302,384	\$ 309,892	\$ 309,892	\$ 264,325	\$ 275,661	\$ 279,563
Proj. Receipts	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>	<u>211,923</u>
Total Available	\$ 514,307	\$ 521,815	\$ 521,815	\$ 476,248	\$ 487,584	\$ 491,486
Less: Expenditures	<u>249,982</u>	<u>246,154</u>	<u>242,252</u>	<u>257,652</u>	<u>254,104</u>	<u>249,010</u>
Ending Balance	<u>\$ 264,325</u>	<u>\$ 275,661</u>	<u>\$ 279,563</u>	<u>\$ 218,596</u>	<u>\$ 233,480</u>	<u>\$ 242,476</u>
Ending Bal. as Per- cent of Expenditures	105.7%	112.0%	115.4%	84.6%	91.9%	97.4%



## Senate Subcommittee Report

**Agency:** Real Estate Commission

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No.** 1742

**Budget Page No.** 513

<u>Expenditure Summary</u>	<u>Agency Estimate FY 01</u>	<u>Governor's Recommendation FY 01</u>	<u>Senate Subcommittee Adjustments</u>
State Operations			
Special Revenue Funds	\$ 657,427	\$ 654,525	\$ 0
FTE Positions	13.0	13.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<u>13.0</u>	<u>13.0</u>	<u>0.0</u>

### Agency Estimate/Governor's Recommendation

The agency's estimate for FY 2001 operating expenditures of \$657,427 is a decrease of \$30,933 from the approved budget. Of approved FY 2001 expenditures of \$30,933 of KSIP funds, \$30,929 was expended in FY 2000. There were no KSIP expenditures budgeted.

The Governor's recommendation is a reduction of \$33,833 from the approved amount and a reduction of \$2,902 from the agency's estimate. The latter difference is largely to remove a salary increase budgeted in excess of the authorization. The Governor recommends \$467,870 for salaries and wages, \$144,636 for contractual services, \$4,000 for commodities, and \$38,019 for capital outlay.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the recommendation of the Governor with the following comment:

1. The Subcommittee notes that a new director has been approved effective March, 2001.

*Senate Ways and Means  
3-7-01  
Attachment 20*

### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Request FY 2001</u>	<u>Governor's Rec. FY2001</u>	<u>Subcommittee Rec. FY 2001</u>
Beg. Balance	\$ 571,539	\$ 651,631	\$ 651,631	\$ 651,631
Proj. Receipts	719,007	589,640	589,640	589,640
Total Available	<u>\$ 1,290,546</u>	<u>\$ 1,241,271</u>	<u>\$ 1,241,271</u>	<u>\$ 1,241,271</u>
Less: Expenditures	638,915	657,427	654,525	654,525
Ending Balance	<u>\$ 651,631</u>	<u>\$ 583,844</u>	<u>\$ 586,746</u>	<u>\$ 586,746</u>
End. Bal. as Percent of Expenditures	102.0%	88.8%	89.6%	89.6%

## Senate Subcommittee Report

**Agency:** Real Estate Commission

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No.** 1742

**Budget Page No.** 513

<u>Expenditure Summary</u>	<u>Agency Request FY 02</u>	<u>Governor's Recommendation FY 02</u>	<u>Senate Subcommittee Adjustments</u>
State Operations			
Special Revenue Funds	\$ 670,738	\$ 648,459	\$ (13,490)
FTE Positions	13.0	13.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
TOTAL	<u>13.0</u>	<u>13.0</u>	<u>0.0</u>

### Agency Request/Governor's Recommendation

The agency's request for FY 2002 operating expenditures of \$670,738 is an increase of \$13,311 (2.0 percent) above the FY 2001 estimate. The request includes an enhancement of \$27,500 for moving expenses and increased rent.

The Governor's recommendation for FY 2002 operating expenditures of \$648,459 is a decrease of \$6,066 (0.9 percent) from the FY 2001 recommendation. The recommendation excludes a salary increase budgeted in excess of the authorization, and the Governor did not recommend the enhancement for moving expenses and increased rent. The Governor recommends \$492,989 for salaries and wages, including \$13,490 for the recommended employee pay plan adjustment, \$144,470 for contractual services, \$4,000 for commodities, and \$7,000 for capital outlay.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the recommendation of the Governor with the following adjustment and comment:

1. Delete \$13,490 for the Governor's recommended employee pay plan adjustment.
2. The Subcommittee notes that a new director has been approved effective March, 2001, and wishes to flag this budget for review during the 2002 Session, even though it is a biennial budget.

## Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2001</u>	<u>Gov. Rec. FY2001</u>	<u>Subcom. Rec. FY 2001</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subcom. Rec. FY 2002</u>
Beg. Balance	\$ 651,631	\$ 651,631	\$ 651,631	\$ 583,844	\$ 586,746	\$ 586,746
Proj. Receipts	589,640	589,640	589,640	681,600	681,600	681,600
Total Available	\$ 1,241,271	\$ 1,241,271	\$ 1,241,271	\$ 1,265,444	\$1,268,346	\$ 1,268,346
Less: Expenditures	657,427	654,525	654,525	670,738	648,549	635,058
Ending Balance	<u>\$ 583,844</u>	<u>\$ 586,746</u>	<u>\$ 586,746</u>	<u>\$ 594,706</u>	<u>\$ 619,887</u>	<u>\$ 633,288</u>
End. Bal. as Percent of Expenditures	88.8%	89.6%	89.6%	88.7%	95.6%	99.7%

## Senate Subcommittee Report

**Agency:** Real Estate Commission

**Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No.** 1742

**Budget Page No.** 513

Expenditure Summary	Agency Request FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments
State Operations			
Special Revenue Funds	\$ 669,882	\$ 668,570	\$ (17,054)
FTE Positions	13.0	13.0	0.0
Other Unclassified Positions	0.0	0.0	0.0
<b>TOTAL</b>	<b>13.0</b>	<b>13.0</b>	<b>0.0</b>

\* The reduction is entirely for the Governor's recommended employee pay plan adjustment.

### Agency Request/Governor's Recommendation

The agency's request for FY 2003 operating expenditures of \$668,882 is a decrease of \$856 (0.1 percent) below the FY 2002 request. The request includes an enhancement of \$10,200 for increased rent.

The Governor's recommendation for FY 2003 operating expenditures of \$668,570 is an increase of \$20,111 (3.1 percent) above the FY 2002 recommendation. The recommendation excludes a salary increase budgeted in excess of the authorization, and the Governor does not recommend the enhancement for increased rent. The total recommendation includes \$17,054 for the recommended employee pay plan adjustment. The Governor recommends \$504,850 for salaries and wages, \$151,720 for contractual services, \$5,000 for commodities, and \$7,000 for capital outlay.

### Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the recommendation of the Governor with the following adjustment and comment:

1. Delete \$17,054 for the Governor's recommended employee pay plan adjustment.
2. The Subcommittee notes that a new director has been approved effective March, 2001, and wishes to flag this budget for review during the 2002 Session, even though it is a biennial budget.

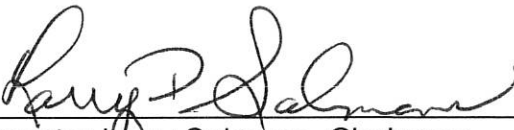
## Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

<u>Resource Estimate</u>	<u>Agency Request FY 2002</u>	<u>Gov. Rec. FY2002</u>	<u>Subcom. Rec. FY 2002</u>	<u>Agency Request FY 2003</u>	<u>Gov. Rec. FY2003</u>	<u>Subcom. Rec. FY 2003</u>
Beg. Balance	\$ 583,844	\$ 586,746	\$ 586,746	\$ 594,706	\$ 619,887	\$ 633,288
Proj. Receipts	<u>681,600</u>	<u>681,600</u>	<u>681,600</u>	<u>584,100</u>	<u>584,100</u>	<u>584,100</u>
Total Available	\$ <u>1,265,444</u>	\$ <u>1,268,346</u>	\$ <u>1,268,346</u>	\$ <u>1,178,806</u>	\$ <u>1,203,987</u>	\$ <u>1,217,388</u>
Less: Expenditures	<u>670,738</u>	<u>648,549</u>	<u>635,058</u>	<u>669,882</u>	<u>668,570</u>	<u>671,516</u>
Ending Balance	\$ <u><u>594,706</u></u>	\$ <u><u>619,887</u></u>	\$ <u><u>633,288</u></u>	\$ <u><u>508,924</u></u>	\$ <u><u>535,417</u></u>	\$ <u><u>545,872</u></u>
Ending Bal. as Per- cent of Expenditures	88.7%	95.6%	99.7%	76.0%	80.1%	81.3%

**2001 FEE BOARDS SUBCOMMITTEE**  
FY 2001, FY 2002, and FY 2003

Office of State Bank Commissioner



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Senator Larry Salmans, Chairman

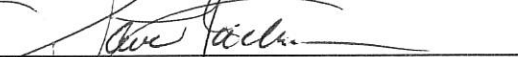


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Senator Jim Barone

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Senator Tim Huelskamp



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Senator Dave Jackson

Senate Ways and Means  
3-7-01  
Attachment 21

## Senate Subcommittee Report

**Agency:** Office of State Bank Commissioner **Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1600**

**Budget Page No. 485**

Expenditure Summary	Agency Est. FY 01	Governor's Recommendation FY 01	Senate Subcommittee Adjustments
State Operations			
Special Revenue Funds	\$ 4,506,982	\$ 4,446,656	\$ 0
FTE Positions	77.0	77.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	77.0	77.0	0.0

### Agency Est./Governor's Recommendation

The agency's estimate for FY 2001 operating expenses of \$4,506,982 is the same as the approved budget. The estimate includes the \$25,000 annual grant to Housing and Credit Counseling, Inc., for its services to low-income consumers, and the \$70,000 annual grant to the Kansas Council on Economic Education for programs for primary and secondary teachers of consumer economics. As the latter grant is expended by the receiving agency, it is treated as a nonreportable expenditure and excluded from the figures above.

The Governor recommends \$4,446,656 in FY 2001 for operating expenses, a decrease of \$60,326 from the agency estimate. The reductions were to correct the calculation of fringe benefits. The Governor recommends \$3,713,084 for salaries and wages, \$659,270 for contractual services, \$30,260 for commodities, and \$19,042 for capital outlay. The Governor also recommends \$25,000 for other assistance for the grant to Housing and Credit Counseling, Inc., and the \$70,000 nonreportable grant to the Kansas Council on Economic Education.

### Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor.



### Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation. It excludes expenditures from the Regulation/Investigation Fund.

<u>Resource Estimate</u>	<u>Actual FY 2000</u>	<u>Agency Request FY 2001</u>	<u>Governor's Rec. FY2001</u>	<u>Subcommittee Rec. FY 2001</u>
Beg. Balance	\$ 1,286,306	\$ 2,681,696	\$ 2,681,696	\$ 2,681,696
Proj. Receipts	5,369,922	4,870,485	4,870,485	4,870,485
Total Available	<u>\$ 6,656,228</u>	<u>\$ 7,552,181</u>	<u>\$ 7,552,181</u>	<u>\$ 7,552,181</u>
Less: Expenditures	\$ 3,904,532	\$ 4,506,982	\$ 4,446,656	\$ 4,446,656
Less: Nonreportable Exp.	70,000	154,000	154,000	154,000
Ending Balance	<u>\$ 2,681,696</u>	<u>\$ 2,891,199</u>	<u>\$ 2,951,525</u>	<u>\$ 2,951,525</u>
Ending Balance as Percent of Expenditures	68.7%	64.1%	66.4%	66.4%

## Senate Subcommittee Report

**Agency:** Office of State Bank Commissioner **Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1600**

**Budget Page No. 485**

Expenditure Summary	Agency Req. FY 02	Governor's Recommendation FY 02	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Funds	\$ 5,357,175	\$ 5,169,273	\$ 106,147
FTE Positions	85.0	81.0	4.0
Unclassified Temp. Positions	1.0	1.0	0.0
TOTAL	86.0	82.0	4.0

\* The adjustment includes the removal of \$105,349 for the Governor's recommended employee pay plan adjustment, offset by the addition of \$211,496.

### Agency Est./Governor's Recommendation

The agency's request for FY 2002 operating expenses of \$5,357,174 is an increase of \$850,192 (18.9 percent) above the FY 2001 estimate. As part of its current services request, the agency requests an other unclassified public service administrator for the administration program. The request includes three enhancements. The first is for \$422,993 for 8.0 new FTE for the Division of Consumer and Mortgage Lending. The second enhancement request is for \$125,692 to eliminate the shrinkage adjustment for the Division of Banking. The third enhancement request is for an unspecified amount for salary enhancements for financial examiners, pending the results of a salary survey. The request includes no KSIP expenditures. Included is the \$25,000 annual grant to Housing and Credit Counseling, Inc., for its services to low-income consumers, and the \$70,000 annual nonreportable grant to the Kansas Council on Economic Education for programs for primary and secondary teachers of consumer economics.

The Governor recommends \$5,169,273 in FY 2002 for operating expenses, a decrease of \$217,166 from the agency request, and an increase of \$722,617 from the FY 2001 recommendation. The Governor recommends 4.0 FTE and \$211,497 for the Division of Consumer and Mortgage Lending, and \$100,488 to remove the shrinkage adjustment for the Bank Examination program. A total of \$101,430 is shifted from the agency's fee fund to KSIP. The recommendation includes the other unclassified public service administrator for the administration program, but does not include any amounts to reflect the salary study. The recommended employee pay plan adjustment is \$105,349. The Governor recommends \$4,289,378 for salaries and wages, \$714,665 for contractual services, \$38,800 for commodities, and \$101,430 for capital outlay. The Governor also recommends \$25,000 for other assistance for the grant to Housing and Credit Counseling, Inc., and the \$70,000 nonreportable grant to the Kansas Council on Economic Education.

## Senate Subcommittee Recommendation

The Subcommittee concurs with the recommendation of the Governor with the following adjustments and observations:

1. Delete \$105,349 for the Governor's recommended employee pay plan adjustment
2. Add 4.0 FTE and \$211,496 to complete the enhancement requested for the Division of Consumer and Mortgage Lending. The agency stated that its Consumer and Mortgage Lending program is in a state of failure and that in order to establish a 24 month audit cycle for regulated lenders, all of the requested positions would be required. The agency stated that federal law requires retention of certain financial records only for 24 months, and that once those records are destroyed, the practical audit trail is lost. The agency also stated its intention to recruit and begin training the new hires as soon as possible, and stated the belief that it could adequately train all eight persons.
3. The Subcommittee notes that the Governor apparently intended to remove shrinkage for all of the Division of Banking, but that the recommended budget included only the amount for the Bank Examination program. The Subcommittee anticipates a Governor's Budget Amendment to add approximately \$25,200 in FY 2002 to remove shrinkage for the remainder of the Division.

## Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

Resource Estimate	Agency Request FY 2001	Gov. Rec. FY2001	Subc. Rec. FY 2001	Agency Request FY 2002	Gov. Rec. FY2002	Subc. Rec. FY 2002
Beg. Balance	\$ 2,681,696	\$ 2,681,696	\$ 2,681,696	\$ 2,891,199	\$ 2,951,525	\$ 2,951,525
Proj. Receipts	4,870,485	4,870,485	4,870,485	4,916,000	4,916,000	4,916,000
Total Available	<u>\$ 7,551,277</u>	<u>\$ 7,551,277</u>	<u>\$ 7,551,277</u>	<u>\$ 7,807,199</u>	<u>\$ 7,867,525</u>	<u>\$ 7,867,525</u>
Less: Expenditures	4,506,982	4,446,656	4,446,656	5,357,175	5,169,273	5,275,420
Less: Nonreportable	154,000	154,000	154,000	150,000	150,000	150,000
Ending Balance	<u>\$ 2,891,199</u>	<u>\$ 2,951,525</u>	<u>\$ 2,951,525</u>	<u>\$ 2,300,024</u>	<u>\$ 2,548,252</u>	<u>\$ 2,442,105</u>
Ending Bal. as Percent of Expenditures	64.1%	66.4%	66.4%	42.9%	49.3%	46.3%

## Senate Subcommittee Report

**Agency:** Office of State Bank Commissioner **Bill No. --**

**Bill Sec. --**

**Analyst:** Severn

**Analysis Pg. No. 1600**

**Budget Page No. 485**

Expenditure Summary	Agency Req. FY 03	Governor's Recommendation FY 03	Senate Subcommittee Adjustments*
State Operations			
Special Revenue Funds	\$ 5,516,570	\$ 5,299,404	\$ 84,807
FTE Positions	85.0	81.0	4.0
Unclassified Temp. Positions	1.0	1.0	0.0
<b>TOTAL</b>	<b>86.0</b>	<b>82.0</b>	<b>4.0</b>

\* The adjustment includes the removal of \$116,027 for the Governor's recommended employee pay plan adjustment, offset by the addition of \$200,834.

### Agency Request/Governor's Recommendation

The agency's request for FY 2002 operating expenses of \$5,516,570 is an increase of \$159,396 (3.0 percent) above the FY 2002 request. As part of its current services request, the agency requests an other unclassified public service administrator for the administration program. The request includes three enhancements. The first is for \$401,668 for the 8.0 new FTE for the Division of Consumer and Mortgage Lending. The second enhancement request is for \$130,126 to eliminate the shrinkage adjustment for the Division of Banking. The third enhancement request is for an unspecified amount for salary enhancements for financial examiners, pending the results of a salary survey. The request includes no KSIP expenditures. Included is the \$25,000 annual grant to Housing and Credit Counseling, Inc., for its services to low-income consumers, and the \$70,000 nonreportable grant to the Kansas Council on Economic Education.

The Governor recommends \$5,299,404 in FY 2003 for operating expenses, a decrease of \$217,166 from the agency request, and an increase of \$130,131 (2.5 percent) from the FY 2002 recommendation. The Governor recommends 4.0 FTE and \$200,834 for the Division of Consumer and Mortgage Lending, and \$103,691 to remove the shrinkage adjustment for the Bank Examination program. The recommendation includes the other unclassified public service administrator for the administration program. The recommended budget does not include any amounts to reflect the salary study. A total of \$93,810 is shifted from the agency's fee fund to KSIP. The recommended employee pay plan adjustment is \$116,027. The Governor recommends \$4,403,339 for salaries and wages, \$736,125 for contractual services, \$39,100 for commodities, and \$95,830 for capital outlay. The Governor also recommends \$25,000 for other assistance for the grant to Housing and Credit Counseling, Inc., and the \$70,000 nonreportable grant to the Kansas Council on Economic Education.

## Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the recommendation of the Governor with the following exceptions:

1. Delete \$116,027 for the Governor's recommended employee pay plan adjustment
2. Add 4.0 FTE and \$200,834 to complete the enhancement requested for the Division of Consumer and Mortgage Lending. The agency stated that its Consumer and Mortgage Lending program is in a state of failure and that in order to establish a 24 month audit cycle for regulated lenders, all of the requested positions would be required.
3. The Subcommittee notes that the Governor apparently intended to remove shrinkage for all of the Division of Banking, but that the recommended budget included only the amount for the Bank Examination program. The Subcommittee anticipates a Governor's Budget Amendment to add approximately \$26,400 in FY 2003 to remove shrinkage for the remainder of the Division.

## Fee Fund Analysis

The following table reflects the status of the agency's fee fund under the Subcommittee's recommendation.

Resource Estimate	Agency Request FY 2002	Gov. Rec. FY2002	Subc. Rec. FY 2002	Agency Request FY 2003	Gov. Rec. FY2003	Subc. Rec. FY 2003
Beg. Balance	\$ 2,891,199	\$ 2,951,525	\$ 2,951,525	\$ 2,300,024	\$ 2,548,252	\$ 2,442,105
Proj. Receipts	4,916,000	4,916,000	4,916,000	4,993,500	4,993,500	4,993,500
Total Available	<u>\$ 7,807,199</u>	<u>\$ 7,867,525</u>	<u>\$ 7,867,525</u>	<u>\$ 7,293,524</u>	<u>\$ 7,541,752</u>	<u>\$ 7,435,605</u>
Less: Expenditures	5,357,175	5,169,273	5,275,420	5,516,570	5,299,404	5,384,211
Less: Nonreportable	150,000	150,000	150,000	150,000	150,000	150,000
Ending Balance	<u>\$ 2,300,024</u>	<u>\$ 2,548,252</u>	<u>\$ 2,442,105</u>	<u>\$ 1,626,954</u>	<u>\$ 2,092,348</u>	<u>\$ 1,901,394</u>
Ending Bal. as Percent of Expenditures	42.9%	49.3%	46.3%	29.4%	39.5%	35.3%

**CONSUMER AND MORTGAGE LENDING DIVISION  
FACTS AND ISSUES 1999-2000**

- The Consumer and Mortgage Lending Division currently licenses **647** companies with a total of **1,247** locations. **Only eight percent of the companies were examined in year 2000.**
- Minimal out-of-state examinations have been conducted due to insufficient personnel, even though 64 percent of companies licensed by the Consumer and Mortgage Lending Division are located out-of-state.
- In 2000, the Division examined a sampling of 55 loans from **11 out-of-state** companies. In that sampling, we discovered illegal contract terms, fees, and charges totaling \$172,514.
- In 1999 and 2000, as a result of examinations of **in-state** companies, the Consumer and Mortgage Lending Division required refunds to Kansas consumers totaling \$141,536.
- In 1999 and 2000, the Consumer and Mortgage Lending Division received **250** written complaints and **1,181** telephone complaints/inquiries from consumers.
- **Since 1999, the Consumer and Mortgage Lending Division has saved Kansas consumers \$519,104.**

**Sample of Complaints**

- Consumer #1** - Consumer Mortgage Lending Division required the lender to reduce the rate from 11.85% to 8.74%, reducing monthly payments by **\$83.20**. The company also generated a refund for past interest charges by reducing the principal balance by **\$5,734.72**. This investigation saved the consumer **\$29,948.40 over the life of the loan.**
- Consumer #2** - Consumer contacted the Consumer Mortgage Lending Division with concerns about a prepayment penalty imposed on their loan during a refinancing arrangement. An investigation discovered contradictory clauses in the consumer's contract regarding prepayment penalties. As a result of this investigation, the contracted prepayment penalty was eliminated, saving the consumer about **\$3,000**. **Approximately 400 Kansas consumers had loans containing this same clause; they will also benefit from the elimination of potential prepayment penalties totaling approximately \$1,200,000. Our investigation of this issue is continuing.**
- Consumer #3** - Consumer obtained loan from finance company and also purchased disability insurance from the lender. The consumer became disabled, activating the disability insurance clause of his loan. The lender repeatedly solicited the consumer to refinance the loan and borrow additional funds. In doing so, the consumer's disability insurance was terminated. The complaint is still under investigation.
- Consumer #4** - Consumer financed purchase of mobile home. Five years after purchase, consumer's **spouse became terminally ill** resulting in substantial monthly medical and prescription costs, which their health insurance would not cover. Due to the financial constraints of the situation, the consumer requested a three-month forbearance on loan payments until the spouse's social security benefits would take effect. The lender denied the request and encouraged consumer to "have a garage sale" to raise funds. On lender's suggestion, the **consumer vacated the home on November 22, 2000, in order to sell it.** The lender notified consumer that the home is in excellent shape and a buyer was found and agreed to pay the balance of the loan. **Consumer signed and faxed documents to the lender from the local grocer.** In January, 2001, lender notified consumer that the mobile home was in terrible shape (possibly due to vandalism while in lender's control) and that **the consumer still owed the balance of the loan; \$22,000.**

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**Office of the State Bank Commissioner**

**Consumer & Mortgage Lending - Issues for FY2001**

**Complaints for Fiscal Year 2001**

through 2/28/01 ( 8 months)

Number of Written Complaints

Banks	23
Consumer and Mortgage Lenders	<u>48</u>
<b>Total</b>	<b>71</b>

Number of Phone Calls of Inquiry and/or Complaints

Banks	148
Consumer and Mortgage Lenders	<u>473</u>
<b>Total</b>	<b>621</b>

Note: Calls received on the Consumer Hotline year to date are 542



KANSAS DENTAL ASSOCIATION

March 7, 2001

To: Senate Committee on Ways and Means

From: Kevin J. Robertson, CAE  
Executive Director

Re: Hearing on SB 333 – Dental Seats

**Senator Morris and members of the Committee I am Kevin Robertson, executive director of the Kansas Dental Association which represents about 80% of Kansas' practicing dentists. I am here today to testify in support of SB 333, which would require students who entered dental school using seats guaranteed by the state Kansas to return to practice in Kansas for a period of time equal to the number of years they were enrolled.**

**Like other bills the Senate Committee on Ways and Means has heard this year, the KDA believes SB 333 is essential to increasing the number of dentists practicing in Kansas. I can also tell you that the House heard testimony on SB 213 (requiring the Board of Regents to negotiate for more dental seats) and they are also interested in this bill. In fact, the House committee is holding action on SB 213 until the Senate passes SB 333.**

As we have discussed repeatedly, the number of dentists in Kansas, particularly in rural Kansas is decreasing. This is largely due to four factors: the total number of dentists being trained nationwide has decreased, the number of dental school slots available for Kansas residents is not replacing retiring dentists, the location of dental schools are largely in urban centers, and student debt continues to increase. .

The number of dentists being trained in the U.S. has decreased dramatically over the past 20 years due to the closing of a number of dental schools and the reduction of dental school class sizes. In fact, the number of dental school graduates decreased by 27.2% from a high of 5,550 in 1981 to 4,041 in 1998. The large number of dental school graduates during the 1970s was largely the result of federal money provided to dental schools to increase the dentist population. Following the withdrawal of the federal money and an over abundance of dentists throughout the 1980s, many schools drastically reduced their class sizes. In our area, the UMKC School of Dentistry reduced its class size from 160 to 78 before increasing to its current 84 in 1999. In addition, the UM St. Louis School of Dentistry closed its doors. Persons having graduated from these larger dental school classes are now reaching retirement age.

The small number of dentists under the age 35 is particularly troubling as Kansas attempts to replace its retiring dentists. There are currently 1235 dentist actively practicing in Kansas. According to figures provided by the Kansas Dental Board, the number of practicing dentists in Kansas is decreasing at a rate of about 1-2% per year. There is concern that the number of practicing dentists will soon decline even more rapidly as the larger enrollment dental school classes reach retirement age and are not replaced at the same rate by incoming dentists. This is of even greater concern in rural areas, as the average age of rural dentists appears to be greater than that of the larger populated areas.

In Kansas, the Board of Regents and the Missouri Coordinating Board for Higher Education have entered into an agreement whereby the UMKC School of Dentistry accepts a total of 80 Kansas

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Topeka, Kansas 66604-2398  
Phone: 785-272-7360  
Fax: 785-272-2301

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students in their dental, dental specialty, and dental hygiene programs. Each school year, UMKC enrolls about 52-55 Kansas residents in the four-year doctor of dental surgery (DDS) program and dental specialty training programs. The balance is enrolled in the dental hygiene program. Tuition and fees at UMKC totals around \$80,000 for four years of education. This compares to around \$96,000 for the School of Dentistry at Creighton University in Omaha, and \$104,000 for out-of-state tuition at the University of Nebraska, School of Dentistry.

By comparison, Arkansas, a state with similar population and no dental school has agreements with for students at seven area dental schools.

UMKC is considering increasing its dental class size from 84 to 96 or 120 as a result of pressure from the Missouri Dental Association and its Missouri alumni, however, many issues regarding funding must be worked out by the Missouri Coordinating Board for Higher Education before final approval is given to move forward. If an increase is approved, most of those new seats will go to Missouri students. In the meantime, the KDA has been exploring the possibility of finding additional openings for Kansas students with Creighton University in Omaha and the University of Nebraska-Lincoln. The success of such discussions depend largely on the Kansas Board of Regents and the State's willingness to provide additional funding to purchase these dental school seats.

***We know all the Kansas students graduating from UMKC are not returning to Kansas. UMKC reports that 69 Kansas students have graduated from the dental school with DDS degrees over the past five years. Of those, 48 (69%) are currently practicing dentistry in Kansas, only 15 (22%) are located in a county other than Johnson, Sedgwick, Wyandotte, Shawnee, or Douglas. According to the most recent estimated census for Kansas 56% of the state's population falls outside these counties.***

***The KDA does have two concerns/questions about the bill. First, there does not seem to be an exemption for a UMKC student (or other dental student enrolled in school using Kansas guaranteed seats) who would go to another dental school for specialty training. Second, we do not agree with the provision in section 4(b)(5) that would allow a graduates obligation to be satisfied if they fail to get a license or are denied a license and ask that this provision be deleted.***

Thank you for your time. I'll be happy to answer any questions you may have.

**DENTAL PROGRAM APPLICANT DATA: ENTERING CLASSES 1977 - 2000**

<b>ENTERING CLASS YEAR</b>	<b>STATE</b>	<b>APPLICANTS</b>	<b>INTERVIEW INVITATION</b>	<b>INTERVIEW</b>	<b>ACCEPTED</b>	<b>ENROLLED</b>	<b>TOTAL ENROLLED</b>
<b>1980</b>	MO	209	N/A	N/A	N/A	108	108 (68%)
	KS	70	N/A	N/A	N/A	34	34 (21%)
	AR	27	N/A	N/A	N/A	6	6
	NM	33	N/A	N/A	N/A	10	10
	HI	24	N/A	N/A	N/A	2	2
	OTH	390	N/A	N/A	N/A	0	0
	<b>TOTAL</b>	<b>753</b>	<b>N/A</b>	<b>N/A</b>	<b>188</b>	<b>160</b>	<b>160</b>
<b>1979</b>	MO	214	N/A	N/A	N/A	102	102 (64%)
	KS	89	N/A	N/A	N/A	40	40 (25%)
	AR	44	N/A	N/A	N/A	7	7
	NM	36	N/A	N/A	N/A	9	9
	HI	22	N/A	N/A	N/A	2	2
	OTH	388	N/A	N/A	N/A	0	0
	<b>TOTAL</b>	<b>793</b>	<b>N/A</b>	<b>N/A</b>	<b>215</b>	<b>160</b>	<b>160</b>
<b>1978</b>	MO	242	N/A	N/A	N/A	105	105 (66%)
	KS	125	N/A	N/A	N/A	35	35 (22%)
	AR	46	N/A	N/A	N/A	11	11
	NM	47	N/A	N/A	N/A	6	6
	HI	17	N/A	N/A	N/A	3	3
	OTH	301	N/A	N/A	N/A	0	0
	<b>TOTAL</b>	<b>778</b>	<b>N/A</b>	<b>N/A</b>	<b>209</b>	<b>160</b>	<b>160</b>
<b>1977</b>	MO	N/A	N/A	N/A	N/A	109	109 (68%)
	KS	N/A	N/A	N/A	N/A	34	34 (21%)
	AR	N/A	N/A	N/A	N/A	9	9
	NM	N/A	N/A	N/A	N/A	6	6
	HI	N/A	N/A	N/A	N/A	1	1
	OTH	N/A	N/A	N/A	N/A	1	1
	<b>TOTAL</b>	<b>699</b>	<b>N/A</b>	<b>N/A</b>	<b>194</b>	<b>160</b>	<b>160</b>

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**DENTAL PROGRAM APPLICANT DATA: ENTERING CLASSES 1977 - 2000**

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<b>ENTERING CLASS YEAR</b>	<b>STATE</b>	<b>APPLICANTS</b>	<b>INTERVIEW INVITATION</b>	<b>INTERVIEW</b>	<b>ACCEPTED</b>	<b>ENROLLED</b>	<b>TOTAL ENROLLED</b>
<b>1984</b>	MO	110	N/A	N/A	73	58	58 (49%)
	KS	60	N/A	N/A	46	38	
	AR	38	N/A	N/A	12	6	6 (32%)
	NM	13	N/A	N/A	11	5	5
	HI	30	N/A	N/A	4	3	3
	OTH	298	N/A	N/A	30	9	9
	<b>TOTAL</b>	<b>549</b>	<b>N/A</b>	<b>N/A</b>	<b>176</b>	<b>119</b>	<b>119</b>
<b>1983</b>	MO	103	N/A	N/A	N/A	60	60 (50%)
	KS	65	N/A	N/A	N/A	37	37 (31%)
	AR	37	N/A	N/A	N/A	9	9
	NM	10	N/A	N/A	N/A	6	6
	HI	32	N/A	N/A	N/A	4	4
	OTH	341	N/A	N/A	N/A	4	4
	<b>TOTAL</b>	<b>588</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>120</b>	<b>120</b>
<b>1982</b>	MO	114	N/A	N/A	81	70	70 (58%)
	KS	42	N/A	N/A	35	30	30 (25%)
	AR	20	N/A	N/A	5	5	5
	NM	12	N/A	N/A	6	6	6
	HI	20	N/A	N/A	4	4	4
	OTH	416	N/A	N/A	7	5	5
	<b>TOTAL</b>	<b>624</b>	<b>N/A</b>	<b>N/A</b>	<b>138</b>	<b>120</b>	<b>120</b>
<b>1981</b>	MO	167	N/A	N/A	103	97	97 (62%)
	KS	51	N/A	N/A	35	32	32 (21%)
	AR	26	N/A	N/A	12	4	4
	NM	15	N/A	N/A	12	6	6
	HI	20	N/A	N/A	5	3	3
	OTH	460	N/A	N/A	21	14	14
	<b>TOTAL</b>	<b>739</b>	<b>N/A</b>	<b>N/A</b>	<b>187</b>	<b>156</b>	<b>156</b>

## DENTAL PROGRAM APPLICANT DATA: ENTERING CLASSES 1977 - 2000

ENTERING CLASS YEAR	STATE	APPLICANTS	INTERVIEW INVITATION	INTERVIEW	ACCEPTED	ENROLLED	TOTAL ENROLLED
1988	MO	62	47	41	38	30	36 (44%)
	KS	35	29	26	24	15	16 (20%)
	AR	15	11	3	3	1	1
	NM	9	9	6	5	2	2
	HI	13	13	8	7	5	5
	OTH	360	221	79	66	20	21
	TOTAL	494	330	163	143	73	81
1987	MO	75	70	67	47	47	47 (45%)
	KS	41	35	30	29	18	18 (17%)
	AR	23	22	13	12	3	3
	NM	11	10	6	4	3	3
	HI	14	14	8	9	6	6
	OTH	324	248	103	81	27	27
	TOTAL	483	399	227	192	104	104
1986	MO	78	N/A	N/A	60	48	48 (46%)
	KS	43	N/A	N/A	33	21	21 (20%)
	AR	27	N/A	N/A	15	8	8
	NM	14	N/A	N/A	10	4	4
	HI	16	N/A	N/A	5	2	2
	OTH	262	N/A	N/A	64	21	21
	TOTAL	440	329	183	187	104	104
1985	MO	97	N/A	N/A	71	50	50 (47%)
	KS	50	N/A	N/A	43	30	30 (28%)
	AR	39	N/A	N/A	24	9	9
	NM	16	N/A	N/A	12	4	4
	HI	21	N/A	N/A	6	2	2
	OTH	250	N/A	N/A	59	11	11
	TOTAL	473	N/A	N/A	215	106	106

DENTAL PROGRAM APPLICANT DATA: ENTERING CLASSES 1977 - 2000

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ENTERING CLASS YEAR	STATE	APPLICANTS	INTERVIEW INVITATION	INTERVIEW	ACCEPTED	ENROLLED	TOTAL ENROLLED
1992	MO	56	43	38	34	22	31 (39%)
	KS	38	29	25	23	15	
	AR	14	10	5	5	3	3
	NM	16	14	11	9	2	3
	HI	10	9	7	6	3	3
	OTH	430	173	56	46	16	24
	TOTAL	564	278	142	123	61	80
1991	MO	51	45	42	37	27	37 (47%)
	KS	45	37	33	28	13	16 (20%)
	AR	16	11	8	3	1	1
	NM	7	6	3	3	1	1
	HI	6	4	0	0	0	0
	OTH	373	199	59	50	15	24
	TOTAL	505	302	145	122	56	79
1990	MO	51	38	36	27	21	34 (44%)
	KS	26	17	17	17	11	13 (17%)
	AR	18	16	9	9	5	5
	NM	7	3	2	3	2	2
	HI	4	2	0	0	0	0
	OTH	307	176	56	52	21	23
	TOTAL	413	252	120	108	60	77
1989	MO	52	39	34	32	24	31 (46%)
	KS	28	22	19	19	12	13 (19%)
	AR	14	8	4	3	3	3
	NM	11	11	7	6	2	2
	HI	13	12	4	5	3	3
	OTH	326	157	56	45	14	16
	TOTAL	444	249	124	111	58	68

DENTAL PROGRAM APPLICANT DATA: ENTERING CLASSES 1977 - 2000

ENTERING CLASS YEAR	STATE	APPLICANTS	INTERVIEW INVITATION	INTERVIEW	ACCEPTED	ENROLLED	TOTAL ENROLLED
1996	MO	112	82	71	43	30	38 (48%)
	KS	68	50	42	17	11	15 (19%)
	AR	27	16	8	5	3	3
	NM	16	12	6	6	2	2
	HI	13	10	2	4	3	3
	OTH	783	33	20	15	9	19
	TOTAL	1019	203	149	90	58	80
1995	MO	100	84	64	49	35	37 (46%)
	KS	64	57	47	21	11	12 (15%)
	AR	26	18	11	5	2	2
	NM	14	11	8	6	2	3
	HI	12	7	4	4	3	4
	OTH	651	28	19	11	6	22
	TOTAL	867	205	153	96	59	80
1994	MO	46	45	38	33	22	27 (34%)
	KS	55	39	37	27	16	17 (21%)
	AR	21	11	10	5	1	1
	NM	13	12	8	5	2	2
	HI	13	9	4	3	2	2
	OTH	578	29	23	21	9	31
	TOTAL	726	145	120	96	52	80
1993	MO	57	49	36	32	24	30 (38%)
	KS	39	33	26	25	15	16 (20%)
	AR	10	7	4	3	0	0
	NM	10	7	4	6	3	3
	HI	7	3	2	2	1	1
	OTH	581	321	68	54	18	29
	TOTAL	704	420	140	122	61	79

**DENTAL PROGRAM APPLICANT DATA: ENTERING CLASSES 1977 - 2000**

24-6

<b>ENTERING CLASS YEAR</b>	<b>STATE</b>	<b>APPLICANTS</b>	<b>INTERVIEW INVITATION</b>	<b>INTERVIEW</b>	<b>ACCEPTED</b>	<b>ENROLLED</b>	<b>TOTAL ENROLLED</b>
<b>2000</b>	MO	121	98	90	57	43*	48** (56%)
	KS	51	41	38	19	9*	11** (13%)
	AR	30	18	12	5	2*	2**
	NM	27	22	20	8	3*	3**
	HI	17	10	10	6	4*	7**
	OTH	695	50	30	11	3*	15**
	<b>TOTAL</b>	<b>941</b>	<b>239</b>	<b>200</b>	<b>106</b>	<b>64*</b>	<b>86**</b>
<b>1999</b>	MO	116	88	84	50	34	42 (53%)
	KS	52	43	41	19	11	13 (16%)
	AR	36	22	15	5	2	2
	NM	27	18	18	8	3	3
	HI	15	12	12	5	1	2
	OTH	834	48	33	12	8	18
	<b>TOTAL</b>	<b>1080</b>	<b>231</b>	<b>203</b>	<b>99</b>	<b>59</b>	<b>80</b>
<b>1998</b>	MO	115	96	89	50	34	41 (51%)
	KS	65	60	55	22	13	14 (18%)
	AR	39	30	22	6	3	3
	NM	19	14	13	7	2	2
	HI	19	14	4	3	2	2
	OTH	926	48	33	12	8	18
	<b>TOTAL</b>	<b>1183</b>	<b>254</b>	<b>211</b>	<b>99</b>	<b>58</b>	<b>80</b>
<b>1997</b>	MO	125	106	92	45	34	42 (53%)
	KS	78	62	54	20	10	13 (16%)
	AR	33	23	12	5	2	3
	NM	19	14	12	4	2	3
	HI	20	16	6	6	2	2
	OTH	916	42	25	12	5	17
	<b>TOTAL</b>	<b>1191</b>	<b>263</b>	<b>201</b>	<b>92</b>	<b>55</b>	<b>80</b>

\* Entering DDS class as of 3/2000

\*\* Estimated total enrollment based on BA/DDS-2 students anticipated to be promoted to Year 3



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**KANSAS DENTAL BOARD**

**Legislative Testimony – Senate Bill 333**

Jerri A. Freed, Executive Director

March 7, 2001

Senate Bill 333 has the support of the Kansas Dental Board. Dental workforce data collected during the license renewal process indicate that seventeen counties in our state do not have a practicing dentist. Many more counties are designated as “dentally underserved”. Under the provisions of Senate Bill 333, dental students that are guaranteed admission and continued enrollment at an accredited school of dentistry will be required to engage in the full-time practice of dentistry in Kansas. The Board welcomes this effort to recruit Kansas residents to practice dentistry in our state.

The number of dentists is decreasing. Since January 1999 there has been a 3% decrease in the number of dentists actively practicing in Kansas. Of even greater concern is the age of the dental workforce. By our latest count, there are 139 dentists in 40 counties that are 65 years of age or older. There are more than 300 dentists that are 55 – 64 years of age. As these practitioners retire, the shortage of dentists in Kansas will be a statewide concern.

The Kansas Dental Board has taken great strides towards universal acceptance of dental school graduates. The board accepts passing clinical scores from all regional dental testing organizations, as well as any other organization of one or more state boards formed for the purpose of conducting a standard clinical exam. We have opened the doors for qualified dentists to practice in Kansas. Senate Bill 333 is a positive step toward increasing the number of dentists in our state.

*Senate Ways and Means  
3-7-01  
Attachment 25*



Statement to the Senate Committee on Ways and Means

SB 333

Diane Lindeman  
Associate Director of Fiscal Affairs/Student Financial Aid  
Kansas Board of Regents

March 7, 2001

Good morning, Chairman Morris, and members of the Committee. My name is Diane Lindeman and I am the Associate Director of Fiscal Affairs/Student Financial Aid, with the Kansas Board of Regents. I am pleased to be able to provide information regarding SB 333. This bill mirrors the other service obligation scholarships that are currently administered by the Kansas Board of Regents (i.e. Osteopathic, Optometry, Teachers, and Nursing programs).

I thought it might be helpful for you to have some statistics on how those programs have been operating. The most recent statistical data that we have available is for 1999-2000. The following chart provides statistics on the number of students in school and percentage of those in service, or non-service.

<b>PROGRAM</b>	<b>IN-SCHOOL</b>	<b>IN-SERVICE*</b>	<b>NON-SERVICE**</b>
<b>Osteopathic</b>	57 students	47%	53%
<b>Optometry</b>	40 students	78%	22%
<b>Teacher</b>	115 students	82%	18%
<b>Nursing</b>	125 students	89%	11%

\*In-service refers to students who are practicing in Kansas, or their obligation has been met.

\*\*Non-service refers to students who are repaying the scholarship, are in deferment, have withdrawn, are in bankruptcy or default, or are deceased. (The only program in which there is anyone in bankruptcy or default is the Osteopathic program and that percentage is 2%.)

Thank you for the opportunity to provide this information for you this morning. I am available to answer any questions that you may have.

Senate Ways and Means  
3-7-01  
Attachment 26