

MINUTES OF THE SENATE WAYS & MEANS COMMITTEE.

The meeting was called to order by Chairperson Dave Kerr at 11:00 a.m. on March 2, 2000 in Room 123-S of the Capitol.

All members were present except:

Committee staff present: Alan Conroy, Chief Fiscal Analyst, KLRD
Rae Anne Davis, KS Legislative Research Department
Debra Hollon, KS Legislative Research Department
Norman Furse, Revisor of Statutes
Michael Corrigan, Asst. Revisor of Statutes
Judy Bromich, Administrative Assistant to the Chairman
Ronda Miller, Committee Secretary

Conferees appearing before the committee:

Others attending: See attached list

It was moved by Senator Morris and seconded by Senator Ranson that bill draft 9rs 2385 be introduced as requested by the Governor's office. The motion carried on a voice vote.

Copies of Senate Substitute for **HB 2476** were distributed to members. The Chairman stated that the Committee would vote on this bill on March 3, 2000.

Senator Jordan reviewed the FY 2001 subcommittee report on the Department of Corrections (Attachment 1). Chairman Kerr told members that, in his opinion, the budget for the Department of Corrections is the number one budget problem remaining for FY 2001. He expressed concern that the budget is cut short enough that the Legislature is making recommendations in the short term to generate savings that are not good for the long term. He told members that the Corrections subcommittee had shared these concerns with him and, in order to keep the funding and additional space issues alive, the Capital Improvements subcommittee would continue to explore options to improve the situation with the Department of Corrections. Senator Jordan added that the subcommittee had concerns about the visitors' center, work crews, etc., but believed that community corrections and the programs within the prisons had to be viewed as the highest funding priorities.

Senator Petty expressed concern about who would be responsible for compiling performance data on community corrections programs.

Members discussed the potential delay of the transfer of the RDU from Topeka to El Dorado (item 6). It was noted that the transfer date is dependent on the coordination of construction projects that are proposed at El Dorado and the renovation of the cell house in Topeka that is currently used as an RDU. In answer to a question, it was stated that the 12 weeks of staff training at El Dorado is not one of the reasons for the delayed transfer.

In answer to Senator Petty, Secretary Simmons, Department of Corrections, stated that \$12.9 million of the federal crime bill money is in the bank and the Governor has proposed using it for adult prison expansion and juvenile maximum security expansion. He stated that if the money is used for those purposes, none would be available for use in the community. In answer to a question, he replied that the money could be used in the community as long as it leads to an expansion of available space for housing violent offenders. It was noted by Chairman Kerr that the Capital Improvements subcommittee will look at the possibility of building new facilities with bonds and using the federal crime bill monies for day reporting.

CONTINUATION SHEET

SENATE WAYS & MEANS COMMITTEE MINUTES

In answer to a question, Sec. Simmons stated that residential centers are funded under the Governor's recommendations.

Senator Jordan noted that the FY 2001 subcommittee reports for the **El Dorado Correctional Facility, the Hutchinson Correctional Facility, the Norton Correctional Facility, and the Topeka Correctional Facility** address the Governor's pay plan, the Governor's Budget Amendment 1 to maintain American Correctional Association accreditation, and a graph illustrating daily population of inmates and staffing levels at the institutions (Attachment 2).

Senator Downey expressed some concern that Kansas might be lagging behind in figuring out how to use federal monies for services prior to incarceration. Senator Salisbury concurred and stated that a lot of prevention money goes into the Juvenile Justice Authority budget.

Senator Salisbury reviewed the FY 2001 subcommittee reports on the **Ellsworth Correctional Facility, the Lansing Correctional Facility, the Larned Correctional Mental Health Facility and the Winfield Correctional Facility** (Attachment 3)

Senator Salmans noted that there had been a court order that correctional facilities should not treat the mentally ill and expressed concern that perhaps this policy was being violated at the Larned Facility. Secretary Simmons stated that while no one can be placed at the State Hospital, both the general population of inmates and the population of inmates with mental illness have grown. He agreed that the Department is probably doing more at corrections facilities than they should, but it has to be done somewhere. He stated that without an influx of monies for hospital placements, he does not know what else can be done. Senator Salisbury commented that the growing population of mentally ill inmates is not an exclusive situation at Larned Correctional Facility.

There was discussion about the possibility of a private facility as reported in an Iola news article. Chairman Kerr stated that the Capital Improvements subcommittee has plans to invite private prison proponents, but at the moment, their focus is on trying to find a balance of programs to reduce the demands for bed space and smaller projects that will provide additional bed space quickly. Members discussed some of the benefits and disadvantages associated with private prisons.

The Chairman asked that the Committee approve the subcommittee reports but reiterated that the issues of concern need to be addressed within the next 5 to 6 weeks. He commented that it is his belief that this budget more than any other illustrates that the state is truly short of money.

It was moved by Senator Jordan and seconded by Senator Salisbury that the FY 2001 subcommittee reports on the Department of Corrections, the Topeka Correctional Facility, Hutchinson Correctional Facility, Norton Correctional Facility, El Dorado Correctional Facility, Larned Correctional Mental Health Facility, Winfield Correctional Facility, Lansing Correctional Facility, and the Ellsworth Correctional Facility be approved. The motion carried on a voice vote.

Chairman Kerr requested that Secretary Simmons convey the Committee's appreciation for Mr. Ewell's contributions to Kansas Correctional Industries over the past 23 years and wish him well in his retirement.

The meeting was adjourned at 12:05 p.m. The next meeting will be March 3, 2000.

Budget Committee Report

Agency: Department of Corrections

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 833

Budget Page No. 129

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01	Budget Committee Adjustments
All Funds:			
State Operations	\$ 82,761,034	\$ 74,376,322	\$ 0
Aid to Local Units	24,397,159	16,082,145	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 107,158,193</u>	<u>\$ 90,458,467</u>	<u>\$ 0</u>
Capital Improvements	29,431,026	11,884,010	0
TOTAL	<u><u>\$ 136,589,219</u></u>	<u><u>\$ 102,342,477</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 69,203,365	\$ 60,969,820	\$ 0
Aid to Local Units	24,397,159	16,082,145	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 93,600,524</u>	<u>\$ 77,051,965</u>	<u>\$ 0</u>
Capital Improvements	23,297,016	5,750,000	0
TOTAL	<u><u>\$ 116,897,540</u></u>	<u><u>\$ 82,801,965</u></u>	<u><u>\$ 0</u></u>
Other Funds:			
State Operations	\$ 13,557,669	\$ 13,406,502	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 13,557,669</u>	<u>\$ 13,406,502</u>	<u>\$ 0</u>
Capital Improvements	6,134,010	6,134,010	0
TOTAL	<u><u>\$ 19,691,679</u></u>	<u><u>\$ 19,540,512</u></u>	<u><u>\$ 0</u></u>
FTE Positions	318.0	313.0	0.0
Unclassified Temp. Positions	13.0	14.0	0.0
TOTAL	<u><u>331.0</u></u>	<u><u>327.0</u></u>	<u><u>0.0</u></u>

Agency Request/Governor's Recommendation

Department of Corrections FY 2001 operating expenditures request totals \$107,158,193 (including \$93,600,524 SGF), an increase of \$14,935,258 (16.2 percent) over the agency's revised FY 2000 estimate.

Senate Ways and Means Committee

Date *March 2, 2000*

Attachment # *1*

- Includes \$14,071,080 for salaries and wages for 318.0 FTE
- Includes full funding of longevity (\$111,640), merit increases (\$34,661), and an averaged 2.7 percent shrinkage rate
- Includes request for 5.0 new FTE—2.0 parole officers and 3.0 in the Correctional Industries program. Enhancement requests are addressed individually below
- **Staff Note:** The agency asserts it will no longer taking shrinkage for salaries and wages funded by grants

Agency Requested Enhancements for FY 2001

- Request totals \$13,211,243 including \$831,328 in capital improvement requests, all but \$138,330 from SGF.
- Absent the Enhancement request, the budget request represents an increase of \$2,555,343 or 2.8 percent

Governor's Recommendation

The Governor recommends FY 2001 operating expenditures of \$90,458,467, (\$77,051,965 SGF)

- A reduction of \$16.7 million from the agency's request and a \$922,098 (or 0.1 percent) reduction from the FY 2000 recommendation
- \$13,686,846 for salaries and wages
- \$49,912,661 for contractual services
- \$5,742,340 for commodities
- \$1,283,475 capital outlay
- \$3,751,000 for debt service principal
- \$11,884,010 for capital improvements
- \$16,082,145 for aid to local units

Budget Committee Recommendation

The Budget Committee concurs with the Governor's recommendations, with the following adjustments and recommendations:

1. Add a proviso directing the agency to fund the operations of the visitors' centers at the state correctional facilities. Funding of \$250,303 from the Inmate Benefits Fund will be shifted from the facility budgets and used for the centers. The Budget Committee believes the visitors centers provide a valuable and useful population management tool as well as to help maintain inmate families.

2. The Budget Committee notes the Governor recommended reductions in the Community Corrections grants in FY 2001. The agency will receive \$478,444 less (a 3.9 percent reduction) than they received in FY 2000 for community corrections grants. The Budget Committee expresses concern that reductions in community corrections' services have a direct impact in the negative on the prison population. Community corrections is a critical point at which intensive supervised probation can divert offenders from prison.
3. The Budget Committee expresses deep dismay at the Governor's regressive budget recommendations for the Department of Corrections and the correctional facilities. The budget results in the elimination of \$554,157 and 16.5 FTE for community work crews and the removal of \$419,442 and 12.0 FTE utilized to maintain American Correctional Association accreditation for our prisons. Additional reductions will occur in programs for offenders which have been reduced by \$2,685,312 (20.1 percent reduction) from the amount in FY 2000.

The Budget Committee notes the agency is making the best of managing a growing population inside the facilities, but the Governor's budget demonstrates no steps forward or effort to address systemic issues. With a relatively flat level of staffing and limited abilities to enhance compensation, and with resistance to seeking alternatives to incarceration, the Budget Committee wonders how long the agency will be able to fulfill its mission of ensuring public safety with less.

Systemwide Issues

4. The Budget Committee restores inmate work detail funding and positions at each correctional facility, totaling 16.5 FTE and \$553,914. Each correctional facility budget will be adjusted accordingly. Funding for the positions will come from El Dorado Correctional Facility budget for Reception and Diagnostic Unit transitional costs (\$553,914 SGF) in the Spring of 2001. The Budget Committee believes the inmate work details provide a valuable and cost-effective benefit to Kansas communities as well as hard work for inmates.
5. Delete bonding authority and funding from the El Dorado Correctional Facility and Topeka Correctional Facility budgets for capital improvements expansion, pending review by the Joint Committee on State Building Construction and consideration at Omnibus. The Budget Committee believes a number of activities must take place before \$17.3 million is spent for prison expansion at El Dorado and Topeka. The agency and Legislature should explore a number of issues and alternatives, including the establishment of day reporting centers, expansion of the Wichita Work

Release program, and expansion of the therapeutic community programs, as well as any other options for lower-security level offenders.

The day reporting and Wichita Work Release program options would include additional FTE and operating costs. The day reporting centers would be established in Topeka, Kansas City, and Wichita and serve an average daily population of 315. Day reporting is a community-based program to target conditional violators who would otherwise be placed in a residential center or returned to prison. Annual operating costs for the three centers is estimated at \$4.5 million and requiring 76.0 new FTE. Wichita Work Release expansion would be accomplished through remodeling and reconfiguration to produce 70 additional beds for \$230,134 in construction costs and additional operating costs of \$326,838 and 7.0 FTE.

6. The Budget Committee reviewed the agency's request for salary and retirement enhancements. The agency requested \$6,084,410 in salary and retirement enhancements to recruit and retain correctional staff. The agency signed a memorandum of agreement with the American Federation of State, County and Municipal Employees regarding both wages and retirement asserting they would request the funding. The enhancements include a one pay-grade increase for correctional officers (approximately a 5.0 percent increase) and a 6.0 percent annual bonus. The retirement enhancement would transfer all correctional KPERS members to Kansas Police and Fire retirement, based on future service. The KP&F would cost the same as correctional KPERS in FY 2001 and 2002, but when the new contribution rate takes effect in 2003, the cost would increase \$900,000 per year.

The Budget Committee believes compensation enhancements are valuable means to address many of the issues confronting the correctional system, including high turnover rates and overtime costs. Staffing problems are a threat to public safety and the Budget Committee notes the agency's continued efforts to address the issues.

House Committee

The House Committee concurs with the following recommendation.

1. The Committee recommends community corrections funding be reviewed during Omnibus.

Senate Subcommittee Report

Agency: Department of Corrections

Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 833

Budget Page No. 129

<u>Expenditure Summary</u>	<u>Agency Request FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 82,761,034	\$ 74,376,322	\$ (293,137)
Aid to Local Units	24,397,159	16,082,145	750,000
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 107,158,193</u>	<u>\$ 90,458,467</u>	<u>\$ 456,863</u>
Capital Improvements	29,431,026	11,884,010	0
TOTAL	<u><u>\$ 136,589,219</u></u>	<u><u>\$ 102,342,477</u></u>	<u><u>\$ 456,863</u></u>
State General Fund:			
State Operations	\$ 69,203,365	\$ 60,969,820	\$ (220,563)
Aid to Local Units	24,397,159	16,082,145	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 93,600,524</u>	<u>\$ 77,051,965</u>	<u>\$ (220,563)</u>
Capital Improvements	23,297,016	5,750,000	0
TOTAL	<u><u>\$ 116,897,540</u></u>	<u><u>\$ 82,801,965</u></u>	<u><u>\$ (220,563)</u></u>
Other Funds:			
State Operations	\$ 13,557,669	\$ 13,406,502	\$ (72,574)
Aid to Local Units	0	0	750,000
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 13,557,669</u>	<u>\$ 13,406,502</u>	<u>\$ 677,426</u>
Capital Improvements	6,134,010	6,134,010	0
TOTAL	<u><u>\$ 19,691,679</u></u>	<u><u>\$ 19,540,512</u></u>	<u><u>\$ 677,426</u></u>
FTE Positions	318.0	313.0	0.0
Unclassified Temp. Positions	13.0	14.0	0.0
TOTAL	<u><u>331.0</u></u>	<u><u>327.0</u></u>	<u><u>0.0</u></u>

* Includes deletion of the Governor's pay plan (\$293,137). Absent the pay plan reduction, the recommendation is \$750,000 all funds, over the Governor.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments.

1. Delete \$293,137 (\$220,563 SGF) to remove the Governor's pay plan, including classified step movement (\$133,728), longevity bonus payments (\$122,332), and unclassified merit (\$37,077).
2. Add \$750,000 from the Kansas Correctional Industries Fund to community corrections conditional violator grants. The Governor's recommendation for community corrections eliminated the three-year old conditional violator grant program, as well as the two-year old mental health and substance abuse grant, in addition to a reduction in the base grant amounts awarded to local community corrections programs. Community corrections programs are funded at \$1.7 million below the agency's current services level and \$478,444 (3.9 percent) below the level for the current year.

The Subcommittee recognizes high risk offenders will require additional prison beds, but community corrections programs target a specific, lower risk population and provide an option to reduce the rate of prison growth. One community corrections program reported their grant funded program saved 60 prison beds per year. The addition of \$750,000 for community corrections will only restore in part the community corrections programs, and the Subcommittee, therefore, recommends community corrections funding be reviewed at Omnibus, with the understanding the community programs must be balanced against the needs of the agency to safely operate the correctional facilities. Additionally, the agency should begin compiling performance data on the conditional violator grant program, as well as the community corrections programs, so that future funding can be tied to measurable performance standards.

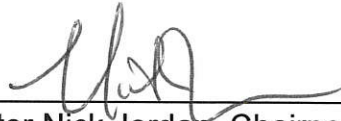
3. The Subcommittee reviewed the Governor's decision to reduce 16.0 FTE and funding of \$554,386 for inmate work details. The Subcommittee believes inmate work details provide a valuable service to communities and provide hard work for inmates. At the same time, the agency reports the Governor's reduction amounts to a reduction of approximately 150,000 hours, while 900,000 hours of inmate work will not be impacted. Local units of government have the ability to provide supervisory staff for inmate crews after training by the agency. Local units of government should be encouraged to provide positions to supervise inmate work crews. New and innovative sources of funding should be explored. For example, the City of Topeka uses a federal Community Development Block Grant to fund inmate supervision work crews engaged in community revitalization projects.

4. The Subcommittee commends Lenny Ewell, Director of Kansas Correctional Industries. Mr. Ewell will retire in March 2000 after serving in his current position for 23 years. Kansas Correctional Industries is a model prison employment and manufacturing and private sector development division due in great measure to Mr. Ewell's long-term leadership. Members of the Subcommittee have toured various industries and support their efforts. The program employs 512 inmates in traditional industries and 433 inmates with private sector employers. The \$10.9 million dollar program provides good products, jobs for inmates, and meaningful skills which contribute to lowering the recidivism rates and benefit the entire prison system.
5. Regarding the correctional facilities, the Subcommittee reviewed the agency's request for \$6,084,410 in salary and benefit enhancements to recruit and retain correctional officer staff. The enhancements included a one pay-grade increase for correctional officers (approximately 5.0 percent) and a 6.0 percent annual bonus. The retirement enhancement transferred all correctional KPERs members to Kansas Police and Fire retirement. The Legislative Division of Post Audit reviewed correctional salaries during the summer of 1999 and reported on average, KDOC correctional officers salaries were 11 to 15 percent below competitors, including local law enforcement, and surrounding states.
6. The Subcommittee notes the transfer of the reception and diagnostic unit (RDU) from Topeka to El Dorado may be delayed due to increased bed space demands. The new housing units constructed to transfer the RDU are scheduled for completion in the Spring of 2001, but the agency reports they are reviewing the potential delay of the transition until any additional beds approved during the 2000 Session would become available. Regular population inmates would be housed in the new RDU units for nine months until the transition would occur. The Subcommittee also notes the agency reports no current RDU staff have reported they will be moving to El Dorado and the agency will be required approximately twelve weeks of training for new positions.
7. The Subcommittee concurs with the Governor's Budget Amendment 1 restores positions at the correctional facilities to maintain American Correctional Association accreditation. The Governor restored the position he had previously deleted, but does not restore funding for the position. The correctional facilities will be required to find funding internally to maintain accreditation.
8. The Subcommittee notes the Governor did not fund the operations of the Visitors' Centers at the state correctional facilities, which are operated by the not-for-profit Outside Connections, Inc. Visitors' Centers provide a number of services to inmate families and facilitate family stability for


inmates. The agency requested \$250,303 from the Inmate Benefits Fund for the program.

9. At each of the correctional facilities, the Subcommittee commends the wardens for the professionalism and management skills as the demands of growing prison populations and the challenges of staffing make their jobs more difficult.
10. The Subcommittee notes systemwide the correctional facilities are receiving less funding (a \$1,343,671 or 29.5 percent reduction) for academic and vocational education in FY 2001. The therapeutic community program at Lansing Correctional Facility has also been eliminated to obtain SGF savings of \$1,062,074. The Lansing program completed the fourth and final year of partial federal funding and FY 2001 was the first year requiring full state support. The Department of Corrections reports funding for education or the therapeutic community program are highest priorities if additional funding becomes available. The agency reports they are reviewing the potential to use an additional \$400,000 to \$500,000 from the Inmate Benefits Funds for the programs as well as other sources. The agency's performance data suggest educational programs are a valuable tool to provide offenders and reduce recidivism. The Subcommittee is deeply concerned about funding and strongly supports the agency's request for additional funds. The Subcommittee will review the funding situation at Omnibus.
11. The Subcommittee reviewed with the Kansas Sentencing Commission a number of alternatives to address the increasing prison population. The Subcommittee recommends a topic for interim study to review the impact of legislative changes to the Sentencing Guidelines over the last five years. The current composition and status of the inmate population is the result of legislative action since guidelines were implemented in July 1993. The Legislature should undertake a comprehensive review of guidelines to determine what, if any adjustments, might warrant attention.

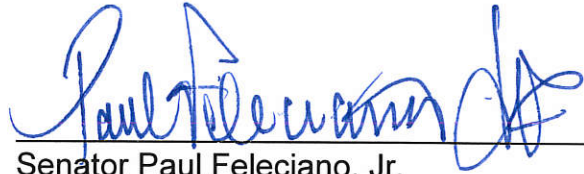
The Subcommittee's review of alternatives to address prison population concludes that the Legislature review alternatives to incarceration as well as building new facilities. All possible options should be reviewed, including construction and operation cost comparisons for various bed space projects, privatization, community-based solutions, and contracting for prison bed space. The Ways and Means Subcommittee on Capital Improvements is currently reviewing the agency's prison expansion request, but this Subcommittee's review of the issues acknowledges the agency's real need for medium and maximum custody beds in the immediate future. The alternative sanction and community-based options alone will not address the public safety demands that require additional high security space.



Senator Nick Jordan, Chairperson



Senator Barbara Lawrence



Senator Paul Feleciano, Jr.

Budget Committee Report

Agency: El Dorado Correctional Facility Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 891 Budget Page No. 165

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01	Budget Committee Adjustments
All Funds:			
State Operations	\$ 19,131,713	\$ 17,371,784	\$ (553,914)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 19,131,713	\$ 17,371,784	\$ (553,914)
Capital Improvements	0	7,323,133	0
TOTAL	\$ 19,131,713	\$ 24,694,917	\$ (553,914)
State General Fund:			
State Operations	\$ 19,050,283	\$ 17,290,354	\$ (553,914)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 19,050,283	\$ 17,290,354	\$ (553,914)
Capital Improvements	0	0	0
TOTAL	\$ 19,050,283	\$ 17,290,354	\$ (553,914)
Other Funds:			
State Operations	\$ 81,430	\$ 81,430	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 81,430	\$ 81,430	\$ 0
Capital Improvements	0	7,323,133	0
TOTAL	\$ 81,430	\$ 7,404,563	0
FTE Positions	460.5	391.5	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	460.5	391.5	0.0

Agency Request/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$19,131,713, an increase of \$2,649,291, or 16.1 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$56,049), merit increases, and a 5.0 shrinkage rate

Senate Ways and Means Committee

Date *March 2, 2000*

Attachment # *2*

- Includes \$712,751 for overtime
- 74.5 new FTE positions, all but 1.0 (a new computer position enhancement) is related to the new reception and diagnostic unit discussed below.
- Absent requested enhancements, the agency's request represents an increase of \$1,046,409, or 6.3 percent over the FY request

Reception and Diagnostic Unit Transfer

The current services budget contains a great number of adjustments related to the transfer of the reception and diagnostic unit functions from Topeka Correctional Facility. The transfer will require the addition of 73.5 FTE positions and salaries and operating costs for a partial year since the new units and evaluation facilities will not be up and running until the Spring of 2001. Salaries and wages, operating costs, as well as costs related to transferring approximately 220 male offenders to the facility are all in the budget for a partial year cost. When possible, each cost is noted related to the potential annualized cost.

Most significantly, the FY 2001 budget includes the addition of 73.5 FTE, 67.0 security officers and 6.5 FTE professional staff. Partial year costs for the new FTE (January or April through June 2001) is \$558,677. Annualized in FY 2002, the personnel related cost increase may be approximately \$1,410,936.

Agency Requested Enhancements for FY 2001

- Request totals \$1,602,882 and includes 1.0 FTE
- All funding from SGF

Governor Recommendation

The Governor recommends FY 2001 expenditures of \$17,372,784 (\$17,290,354 State General Fund), an increase of \$964,984 or 5.9 percent over the FY 2000 recommendation.

1. \$14,478,007 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
 - \$1,909,617 for contractual services
2. \$929,660 for commodities
3. \$54,500 for capital outlay
4. Expansion related items for Correctional Diagnostic Unit transfer
 - Add 5.5 FTE positions and salaries of professional staff
 - Add funding for a partial year of \$458,841 (SGF) for 67.0 FTE positions in the Security program, but not increase of FTE position limitation
 - The Governor intends for the agency to have funding for the positions as they become necessary, but the Secretary can shift the positions from Topeka Correctional Facility to El Dorado

Budget Committee Recommendation

The Budget Committee concurs, with the following adjustments:

1. Delete bonding authority and federal funding from the El Dorado Correctional Facility budget for capital improvements expansion, pending review by the Joint Committee on State Building Construction and consideration at Omnibus. The Budget Committee believes a number of activities must take place before \$17.3 million is spent for prison expansion at El Dorado and Topeka. The agency and Legislature should explore a number of issues and alternatives, including the establishment of day reporting centers and expansion of the Wichita Work Release program, expansion of the therapeutic community programs, as well as any other options for lower-security level offenders.
2. Delete \$553,914 SGF for transitional costs and duplication of operations during transition of the reception and diagnostic unit in the late spring of 2001. The Budget Committee deletes the transitional funding and shifts it to cover the costs of reestablishing the inmate work details at the correctional facilities. The funding includes partial year funding for salaries and wages of \$454,136 and 6.5 FTE and \$99,778. The 6.5 FTE will remain with the agency. The Budget Committee shifts the funds because the Secretary of Corrections has the authority to shift funding and FTE between the correctional facilities during the transition of the reception and diagnostic unit. The Governor and the Legislature will have an opportunity to revisit the issue during the 2001 Session.
3. The Budget Committee commends the agency for receiving the Kansas Army National Guard 1999 award for "Outstanding Employer Support." The Army National Guard honors one organization each year for their support of employees participating in the Armed Forces Reserves.

House Committee Recommendation

Concur.

Subcommittee Report

Agency: El Dorado Correctional Facility Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 891 Budget Page No. 165

<u>Expenditure Summary</u>	<u>Agency Request FY 01</u>	<u>Gov. Rec. FY 01*</u>	<u>Subcommittee Adjustments**</u>
All Funds:			
State Operations	\$ 19,131,713	\$ 17,371,784	\$ (258,759)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 19,131,713</u>	<u>\$ 17,371,784</u>	<u>\$ (258,759)</u>
Capital Improvements	0	7,323,133	0
TOTAL	<u><u>\$ 19,131,713</u></u>	<u><u>\$ 24,694,917</u></u>	<u><u>\$ (258,759)</u></u>
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Capital Improvements	0	0	0
TOTAL	<u><u>\$ 19,050,283</u></u>	<u><u>\$ 17,290,354</u></u>	<u><u>\$ (258,759)</u></u>
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Capital Improvements	0	7,323,133	0
TOTAL	<u><u>\$ 81,430</u></u>	<u><u>\$ 7,404,563</u></u>	<u><u>0</u></u>
FTE Positions	460.5	392.5	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>460.5</u></u>	<u><u>392.5</u></u>	<u><u>0.0</u></u>

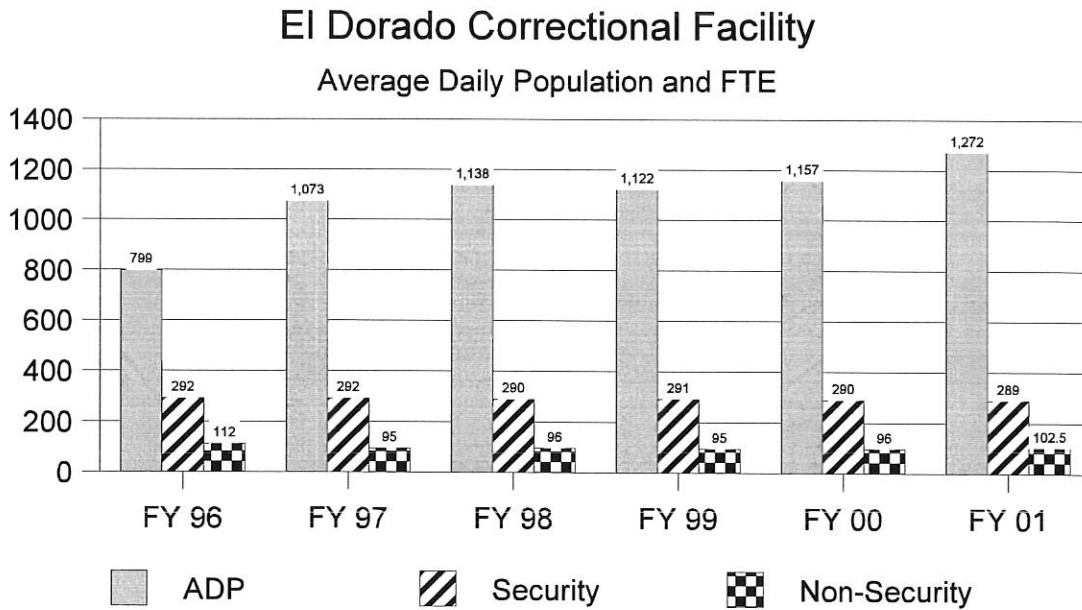
* Includes GBA No. 1.

** Includes reduction of the Governor's pay plan (\$258,759). Absent the pay plan reduction, the recommendation concurs with the Governor.

Subcommittee Recommendation

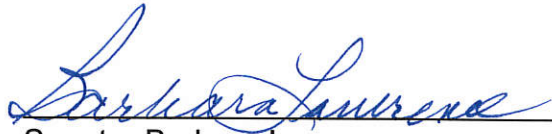
The Subcommittee concurs with the Governor's recommendation with the following adjustments.

1. Delete \$258,759 SGF to remove the Governor's pay plan, including classified step movement (\$197,992) and longevity bonus payments (\$60,767).
2. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 1.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the position he had previously deleted, but does not restore funding for the position.
3. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

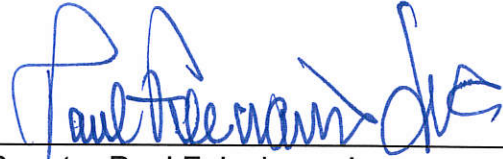




Senator Nick Jordan, Chairperson



Senator Barbara Lawrence



Senator Paul Feleciano, Jr.

Budget Committee Report

Agency: Hutchinson Correctional Facility **Bill No.** --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 910 **Budget Page No.** 259

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01	Budget Committee Adjustments
All Funds:			
State Operations	\$ 25,283,648	\$ 23,357,514	\$ 37,550
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 25,283,648</u>	<u>\$ 23,357,514</u>	<u>\$ 37,550</u>
Capital Improvements	543,546	0	0
TOTAL	<u><u>\$ 25,827,194</u></u>	<u><u>\$ 23,357,514</u></u>	<u><u>\$ 37,550</u></u>
State General Fund:			
State Operations	\$ 24,922,671	\$ 22,996,537	\$ 37,550
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 24,922,671</u>	<u>\$ 22,996,537</u>	<u>\$ 37,550</u>
Capital Improvements	543,546	0	0
TOTAL	<u><u>\$ 25,466,217</u></u>	<u><u>\$ 22,996,537</u></u>	<u><u>\$ 37,550</u></u>
Other Funds:			
State Operations	\$ 360,977	\$ 360,977	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 360,977</u>	<u>\$ 360,977</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 360,977</u></u>	<u><u>\$ 360,977</u></u>	<u><u>\$ 0</u></u>
FTE Positions	518.0	509.5	1.0
Unclassified Temp. Positions	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>
TOTAL	<u><u>520.0</u></u>	<u><u>511.5</u></u>	<u><u>1.0</u></u>

Agency Request/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$25,283,648 (\$24,922,671 SGF), an increase of \$2,446,996, or 10.7 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$162,320), merit increases, and a 4.5 percent shrinkage rate
- Includes \$861,528 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$711,571 or 3.1 percent over the FY 2000 request

Agency Requested Enhancements for FY 2001

- Request totals \$2,278,971
- All funding from SGF

Governor Recommendation

The Governor recommends FY 2001 expenditures totaling \$23,357,514 and \$22,996,537 SGF, an increase of \$619,876 or 2.7 percent over the FY 2000 recommendation.

- \$19,775,954 for salaries and wages, including increase in shrinkage rates
- \$1,795,178 for contractual services
- \$1,649,655 for commodities
- \$136,727 for capital outlay
- Includes an SGF reduction of \$136,727 for capital outlay
- Deletion of 1.5 FTE positions and \$63,432 to eliminate accreditation
- Delete 1.0 FTE position and \$37,550 SGF to eliminate inmate work crew
- Add 3.0 FTE position and \$79,475 to staff south unit

Budget Committee Recommendation

The Budget Committee concurs, with the following adjustment:

1. Add \$37,550 SGF and 1.0 FTE to reestablish inmate work details. Funds are being shifted from other facilities to cover the costs.

House Committee Recommendation

Concur

Subcommittee Report

Agency: Hutchinson Correctional Facility Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 910 Budget Page No. 259

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds:			
State Operations	\$ 25,283,648	\$ 23,357,514	\$ (393,325)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 25,283,648</u>	<u>\$ 23,357,514</u>	<u>\$ (393,325)</u>
Capital Improvements	543,546	0	0
TOTAL	<u><u>\$ 25,827,194</u></u>	<u><u>\$ 23,357,514</u></u>	<u><u>\$ (393,325)</u></u>
State General Fund:			
State Operations	\$ 24,922,671	\$ 22,996,537	\$ (389,166)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 24,922,671</u>	<u>\$ 22,996,537</u>	<u>\$ (389,166)</u>
Capital Improvements	543,546	0	0
TOTAL	<u><u>\$ 25,466,217</u></u>	<u><u>\$ 22,996,537</u></u>	<u><u>\$ (389,166)</u></u>
Other Funds:			
State Operations	\$ 360,977	\$ 360,977	\$ (4,159)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 360,977</u>	<u>\$ 360,977</u>	<u>\$ (4,159)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 360,977</u></u>	<u><u>\$ 360,977</u></u>	<u><u>\$ (4,159)</u></u>
FTE Positions	518.0	510.5	0.0
Unclassified Temp. Positions	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>
TOTAL	<u><u>520.0</u></u>	<u><u>512.5</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1.

** Includes deletion of the Governor's pay plan (\$393,325). Absent the deletion, the recommendation concurs with the Governor.

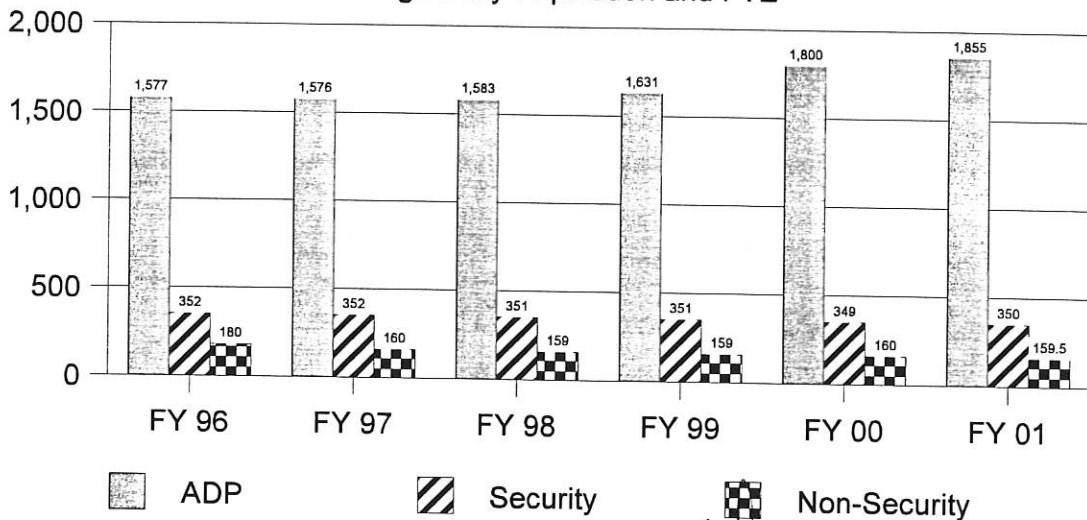
Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation with the following adjustments:

1. Delete \$393,325 (\$389,166 SGF) to remove the Governor's pay plan, including classified step movement (\$217,398), longevity bonus payments (\$174,695), and unclassified merit (\$1,232).
2. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 1.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the position he had previously deleted, but does not restore funding for the position.
3. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

Hutchinson Correctional Facility

Average Daily Population and FTE



Nick Jordan

 Senator Nick Jordan

Barbara Lawrence

 Senator Barbara Lawrence

Paul Feleciano, Jr.

 Senator Paul Feleciano, Jr.

Budget Report

Agency: Norton Correctional Facility **Bill No.**

Bill Sec.

Analyst: Little

Analysis Pg. No. 960

Budget Page No. 345

Expenditure Summary	Agency Req. FY 01	Gov. Rec. FY 01	Budget Adjustments
All Funds			
State Operations	\$ 12,545,127	\$ 11,287,695	\$ 230,703
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 12,545,127	\$ 11,287,695	\$ 230,703
Capital Improvements	621,817	0	0
TOTAL	\$ 13,166,944	\$ 11,287,695	\$ 230,703
State General Fund			
State Operations	\$ 12,535,127	\$ 11,277,695	\$ 230,703
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 12,535,127	\$ 11,277,695	\$ 230,703
Capital Improvements	621,817	0	0
TOTAL	\$ 13,156,944	\$ 11,277,695	\$ 230,703
Other Funds			
State Operations	\$ 10,000	\$ 10,000	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 10,000	\$ 10,000	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 10,000	\$ 10,000	\$ 0
FTE Positions	268.0	257.0	7.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	268.0	257.0	7.0

Agency Req./Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$12,545,127 an increase of \$1,157,244, or 10.2 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$70,360), \$135,966 merit increases, and a 5.0 shrinkage rate
- Includes \$144,102 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$307,539, or 2.7 percent over the FY 2000 request

Agency Requested Enhancements for FY 2001

- Request totals \$849,705
 - a. All funding from SGF
 - b. Includes request for 2.0 FTE

Governor's Recommendation

The Governor recommends FY 2001 expenditures of \$11,287,695 (\$11,277,695 SGF), a reduction of \$53,850, or 0.5 percent below the FY 2000 recommendation.

2. \$9,375,581 for salaries and wages, including increases in shrinkage rates, and base salary adjustment.
 - \$1,125,085 for contractual services
 - \$713,225 for commodities
3. \$73,804 for capital outlay
4. Includes deletion of 7.0 FTE and \$230,946 to eliminate inmate work details.
5. Delete 2.0 FTE and \$66,150 to eliminate accreditation staffing.

Budget Committee Recommendation

The Budget Committee concurs, with the following adjustment:

1. Add \$230,703 SGF and 7.0 FTE to reestablish inmate work details. Funds are being shifted from other facilities to cover the costs.

House Committee Recommendation

Concur

Subcommittee Report

Agency: Norton Correctional Facility Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 960

Budget Page No. 345

Expenditure Summary	Agency Req. FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds			
State Operations	\$ 12,545,127	\$ 11,287,695	\$ (211,288)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 12,545,127</u>	<u>\$ 11,287,695</u>	<u>\$ (211,288)</u>
Capital Improvements	621,817	0	0
TOTAL	<u><u>\$ 13,166,944</u></u>	<u><u>\$ 11,287,695</u></u>	<u><u>\$ (211,288)</u></u>
State General Fund			
State Operations	\$ 12,535,127	\$ 11,277,695	\$ (211,288)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 12,535,127</u>	<u>\$ 11,277,695</u>	<u>\$ (211,288)</u>
Capital Improvements	621,817	0	0
TOTAL	<u><u>\$ 13,156,944</u></u>	<u><u>\$ 11,277,695</u></u>	<u><u>\$ (211,288)</u></u>
Other Funds			
State Operations	\$ 10,000	\$ 10,000	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 10,000</u>	<u>\$ 10,000</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 10,000</u></u>	<u><u>\$ 10,000</u></u>	<u><u>\$ 0</u></u>
FTE Positions	268.0	258.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>268.0</u></u>	<u><u>258.0</u></u>	<u><u>0.0</u></u>

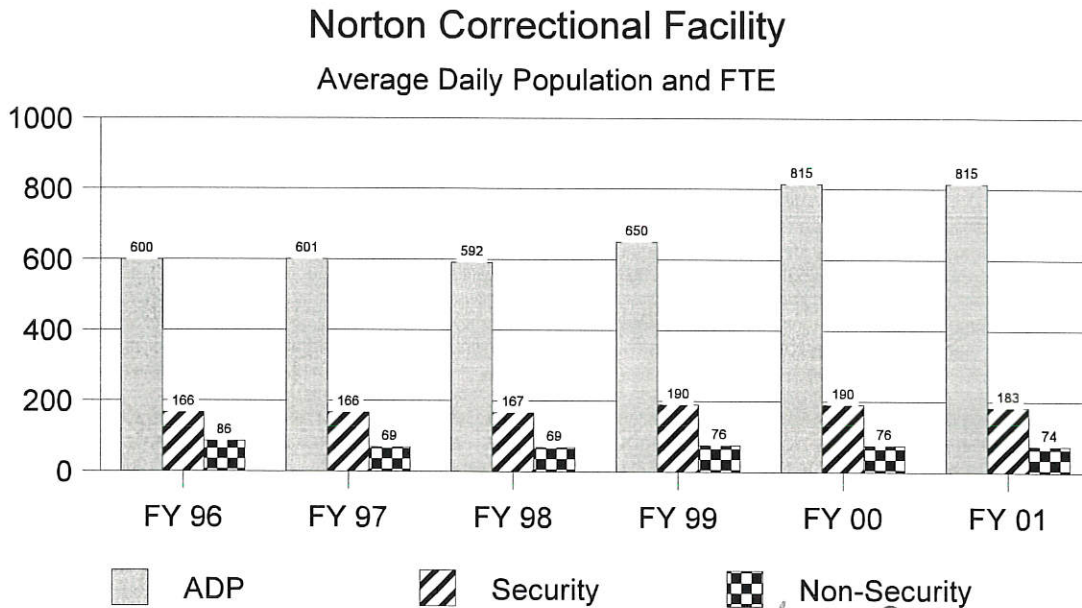
* Includes GBA No. 1.

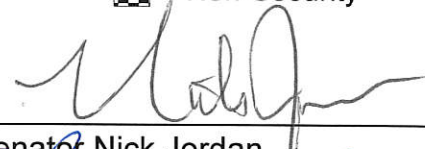
** Includes deletion of pay plan (\$211,288). Absent the pay plan reduction, the recommendation concurs with the Governors.

Senate Subcommittee Recommendation


The Subcommittee concurs with the Governor's recommendation with the following adjustments:

1. Delete \$211,288 SGF to remove the Governor's pay plan, including classified step movement (\$135,966) and longevity bonus payments (\$75,322).
2. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 2.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the positions he had previously deleted, but does not restore funding for the positions.
3. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

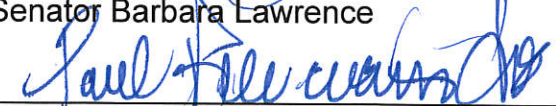




Senator Nick Jordan



Senator Barbara Lawrence



Senator Paul Feleciano, Jr.

Budget Committee Report

Agency: Topeka Correctional Facility Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 977

Budget Page No. 433

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01	Budget Committee Adjustments
All Funds:			
State Operations	\$ 15,213,932	\$ 13,803,645	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 15,213,932</u>	<u>\$ 13,803,645</u>	<u>\$ 0</u>
Capital Improvements	2,220,775	0	0
TOTAL	<u><u>\$ 17,434,707</u></u>	<u><u>\$ 13,803,645</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 15,068,570	\$ 13,658,283	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 15,068,570</u>	<u>\$ 13,658,283</u>	<u>\$ 0</u>
Capital Improvements	2,220,775	0	0
TOTAL	<u><u>\$ 17,289,345</u></u>	<u><u>\$ 13,658,283</u></u>	<u><u>\$ 0</u></u>
Other Funds:			
State Operations	\$ 145,362	\$ 145,362	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 145,362</u>	<u>\$ 145,362</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 145,362</u></u>	<u><u>\$ 145,362</u></u>	<u><u>\$ 0</u></u>
FTE Positions	305.0	304.0	0.0
Unclassified Temp. Positions	3.0	3.0	0.0
TOTAL	<u><u>308.0</u></u>	<u><u>307.0</u></u>	<u><u>0.0</u></u>

Agency Request/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$15,213,932 an increase of \$1,744,423, or 13.0 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$116,480), merit increases, and a 4.5 shrinkage rate

- Includes \$312,001 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$456,133, or 3.9 percent over the FY 2000 request

Agency Requested Enhancements for FY 2001

- Request totals \$1,288,290
- All funding from State General Fund

Governor's Recommendation

The Governor recommends FY 2001 expenditures of \$13,803,645 (\$13,658,283 SGF), an increase of \$395,365 or 2.9 percent over the FY 2000 recommendation.

- \$11,609,607 for salaries and wages
- \$1,277,387 for contractual services
- \$875,984 for commodities
- \$40,667 for capital outlay
- Recommends the requested position reclassification for the family reintegration position.
- Recommends deletion of 1.0 FTE and accreditation.

Budget Committee Recommendation

The Budget Committee concurs, with the following adjustments:

1. The Budget Committee believes the agency should pursue the warden's idea of establishing a broad-based working group to review the unique needs of female inmates. A working group of corrections officials and representatives from other agencies should meet to review what the state is currently doing for female inmates and how the correctional system can improve in the future.
2. Delete bonding authority for capital improvement projects pending review by the Joint Committee on State Building Construction and consideration at Omnibus.

House Committee Recommendation

Concur

Subcommittee Report

Agency: Topeka Correctional Facility Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 977

Budget Page No. 433

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds:			
State Operations	\$ 15,213,932	\$ 13,803,645	\$ (272,385)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 15,213,932</u>	<u>\$ 13,803,645</u>	<u>\$ (272,385)</u>
Capital Improvements	2,220,775	0	0
TOTAL	<u><u>\$ 17,434,707</u></u>	<u><u>\$ 13,803,645</u></u>	<u><u>\$ (272,385)</u></u>
State General Fund:			
State Operations	\$ 15,068,570	\$ 13,658,283	\$ (268,703)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 15,068,570</u>	<u>\$ 13,658,283</u>	<u>\$ (268,703)</u>
Capital Improvements	2,220,775	0	0
TOTAL	<u><u>\$ 17,289,345</u></u>	<u><u>\$ 13,658,283</u></u>	<u><u>\$ (268,703)</u></u>
Other Funds:			
State Operations	\$ 145,362	\$ 145,362	\$ (3,682)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 145,362</u>	<u>\$ 145,362</u>	<u>\$ (3,682)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 145,362</u></u>	<u><u>\$ 145,362</u></u>	<u><u>\$ (3,682)</u></u>
FTE Positions	305.0	305.0	0.0
Unclassified Temp. Positions	<u>3.0</u>	<u>3.0</u>	<u>0.0</u>
TOTAL	<u><u>308.0</u></u>	<u><u>308.0</u></u>	<u><u>0.0</u></u>

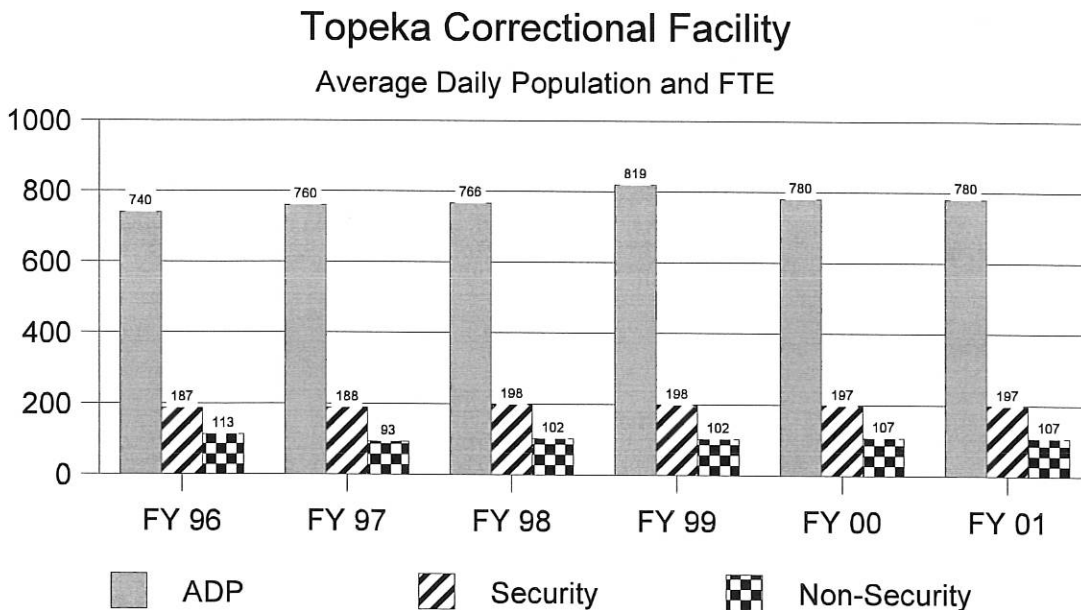
* Includes GBA No. 1.

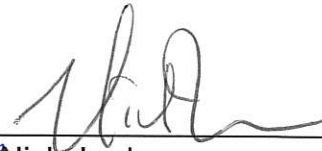
** Includes deletion of pay plan (\$272,385). Absent the pay plan reduction, the recommendation concurs with the Governor.

Senate Subcommittee Recommendation

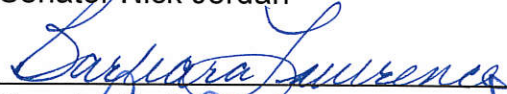
The Subcommittee concurs with the Governor's recommendation with the following adjustments:

1. Delete \$272,385 (\$268,703 SGF) to remove the Governor's pay plan, including classified step movement (\$268,703), longevity bonus payments (\$125,360), and unclassified merit (\$4,331).
2. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 1.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the position he had previously deleted, but does not restore funding for the position.
3. The Subcommittee notes the agency reports a 19.0 percent turnover rate in the Security program. The warden reports the number will increase as the closure date for the reception and diagnostic unit in the Spring of 2001 draws near.
4. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing total. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

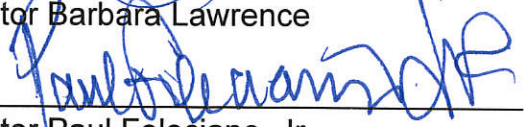




Senator Nick Jordan



Senator Barbara Lawrence



Senator Paul Feleciano, Jr.

Budget Committee Report

Agency: Ellsworth Correctional Facility **Bill No. --**

Bill Sec. --

Analyst: Little

Analysis Pg. No. 875 Budget Page No. 167

<u>Expenditure Summary</u>	<u>Agency Request FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Budget Committee Adjustments</u>
All Funds			
State Operations	\$ 9,044,517	\$ 8,267,199	\$ 74,055
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 9,044,517</u>	<u>\$ 8,267,199</u>	<u>\$ 74,055</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,044,517</u></u>	<u><u>\$ 8,267,199</u></u>	<u><u>\$ 74,055</u></u>
State General Fund			
State Operations	\$ 8,999,146	\$ 8,221,828	\$ 74,055
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 8,999,146</u>	<u>\$ 8,221,828</u>	<u>\$ 74,055</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 8,999,146</u></u>	<u><u>\$ 8,221,828</u></u>	<u><u>\$ 74,055</u></u>
Other Funds			
State Operations	\$ 45,371	\$ 45,371	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 45,371</u>	<u>\$ 45,371</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 45,371</u></u>	<u><u>\$ 45,371</u></u>	<u><u>\$ 0</u></u>
FTE Positions			
FTE Positions	189.5	180.5	2.0
Unclassified Temp. Positions	<u>1.0</u>	<u>1.0</u>	<u>0.0</u>
TOTAL	<u><u>190.5</u></u>	<u><u>181.5</u></u>	<u><u>2.0</u></u>

Agency Request/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$9,044,517 an increase of \$790,260, or 9.6 percent over the estimated FY 2000 operating expenditure amount.

Senate Ways and Means Committee

Date *March 2, 2000*

Attachment #

3

- Includes full funding of longevity (\$49,280), merit increases, and a 4.6 shrinkage rate.
- Includes \$84,975 for overtime.
- Absent requested enhancements, the agency's request represents a reduction of \$214,141, or 2.6 percent over the FY 2000 request.

Agency Requested Enhancements for FY 2001

- Request totals \$576,381
- All funding from SGF

Governor Recommendation

The Governor recommends FY 2001 expenditures of \$8,267,199 (\$8,221,828 SGF), an increase of \$84,793 or 1.0 percent over the FY 2000 recommendation.

- 6,772,610 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$853,653 for contractual services
- \$604,436 for commodities
- \$36,500 for capital outlay
- Delete 4.0 FTE to obtain SGF savings
- \$74,055 SGF and 2.0 FTE work crew supervisors
- \$70,804 SGF and 2.0 FTE accreditation positions

Budget Committee Recommendation

The Budget Committee concurs with the Governor's recommendations with the following adjustment:

1. Add \$74,055 SGF and 2.0 FTE to reestablish inmate work details. Funds are being shifted from other facilities to cover the costs.

House Committee Recommendation

Concur.

Subcommittee Report

Agency: Ellsworth Correctional Facility Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 875

Budget Page No.167

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds			
State Operations	\$ 9,044,517	\$ 8,267,199	\$ (160,637)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 9,044,517</u>	<u>\$ 8,267,199</u>	<u>\$ (160,637)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,044,517</u></u>	<u><u>\$ 8,267,199</u></u>	<u><u>\$ (160,637)</u></u>
State General Fund			
State Operations	\$ 8,999,146	\$ 8,221,828	\$ (159,757)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 8,999,146</u>	<u>\$ 8,221,828</u>	<u>\$ (159,757)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 8,999,146</u></u>	<u><u>\$ 8,221,828</u></u>	<u><u>\$ (159,757)</u></u>
Other Funds			
State Operations	\$ 45,371	\$ 45,371	\$ (880)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 45,371</u>	<u>\$ 45,371</u>	<u>\$ (880)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 45,371</u></u>	<u><u>\$ 45,371</u></u>	<u><u>\$ (880)</u></u>
FTE Positions	189.5	181.5	0.0
Unclassified Temp. Positions	<u>1.0</u>	<u>1.0</u>	<u>0.0</u>
TOTAL	<u><u>190.5</u></u>	<u><u>182.5</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1.

** Includes deletion of pay plan (\$160,637). Absent the pay plan reduction, the recommendation concurs with the Governor.

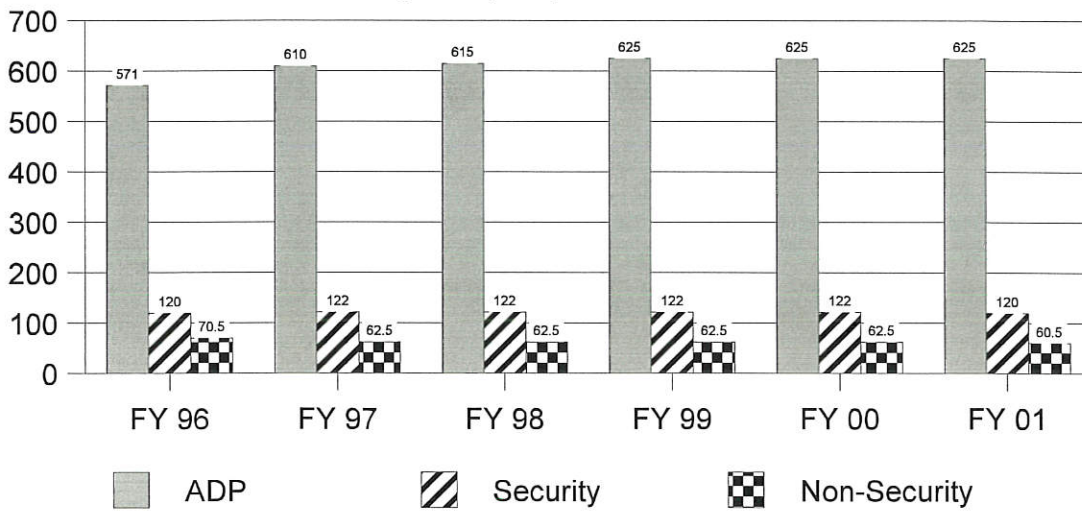
Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments and comments.

1. Delete \$160,637 (\$159,757 SGF) to remove the Governor's pay plan, including classified step movement (\$106,363), longevity bonus payments (\$52,981), and unclassified merit (\$1,293).
2. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 1.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the position he had previously deleted, but does not restore funding for the position.
3. The Subcommittee notes the agency will lose 2.0 FTE in FY 2001 in the Governor's budget recommendation to obtain state general fund savings. The positions supervise inmate work crews within the state park system of the Department of Wildlife and Parks, and it is the facility's understanding that Wildlife and Parks does not have the budget to assume the responsibility. A projected total of 15,000 hours and \$77,250 in cost benefits (based on the federal minimum wage) will be lost when the positions are abolished. The agency is encouraged to explore funding options with local units of government which may be able to fund positions to supervise inmate work crews. Additionally, the facility has begun to experience a shortage of minimum custody inmates available for work crews. Due to increased prison population, and because the facility has a perimeter fence, the facility has been reducing the number minimum custody inmates and housing more medium custody inmates.
4. The Subcommittee compliments the activities of Century Manufacturing which employs 35 inmates at the facility. When current construction is completed on additional industries space, funded in part by Century Manufacturing, 45 additional jobs will be added within the year.
5. The Subcommittee notes systemwide the correctional facilities receive less funding (a \$1,343,671 or 29.5 percent reduction) for academic and vocational education in FY 2001. Funding has been reduced for the contracted educational programs and the agency is reviewing the distribution of educational services to determine specific reductions. The agency will lose the services of a Visitors Center operated by Outside Connections, Inc., which is not funded in the Governor's budget. The Department of Corrections reports that funding for education is a higher priority if additional funding becomes available.
6. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly

constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

Ellsworth Correctional Facility Average Daily Population and FTE



Alicia Salisbury

Senator Alicia Salisbury

Stephen Morris

Senator Stephen Morris

Mark Gilstrap

Senator Mark Gilstrap

Budget Committee Report

Agency: Lansing Correctional Facility **Bill No.** --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 927 **Budget Page No.** 323

<u>Expenditure Summary</u>	<u>Agency Request FY 01</u>	<u>Gov. Rec. FY 01</u>	<u>Budget Committee Adjustments</u>
All Funds:			
State Operations	\$ 33,941,545	\$ 31,044,098	\$ 178,691
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 33,941,545</u>	<u>\$ 31,044,098</u>	<u>\$ 178,691</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 33,941,545</u></u>	<u><u>\$ 31,044,098</u></u>	<u><u>\$ 178,691</u></u>
State General Fund:			
State Operations	\$ 33,791,545	\$ 30,894,098	\$ 178,691
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 33,791,545</u>	<u>\$ 30,894,098</u>	<u>\$ 178,691</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 33,791,545</u></u>	<u><u>\$ 30,894,098</u></u>	<u><u>\$ 178,691</u></u>
Other Funds:			
State Operations	\$ 150,000	\$ 150,000	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 150,000</u>	<u>\$ 150,000</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 150,000</u></u>	<u><u>\$ 150,000</u></u>	<u><u>\$ 0</u></u>
FTE Positions	721.0	699.5	5.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>721.0</u></u>	<u><u>699.5</u></u>	<u><u>5.0</u></u>

Agency Request/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$33,941,545 an increase of \$3,200,795, or 10.4 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$235,260), merit increases, and a 4.5 shrinkage rate
- Includes \$758,365 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$820,500, or 2.7 percent over the FY 2000 request

Agency Requested Enhancements for FY 2001

- Request totals \$2,380,295
- All funding from SGF

Governor's Recommendation

The Governor recommends FY 2001 expenditures of \$31,044,098 (\$30,894,098 SGF), an increase of \$705,801 or 2.3 percent over the FY 2000 recommendation.

- \$26,209,656 for salaries and wages, including shrinkage rates
- \$2,138,401 for contractual services
- \$2,496,041 for commodities
- \$200,000 for capital outlay
- Delete 7.5 FTE positions and \$277,462 SGF
 - 2.5 FTE positions for accreditation
 - 5.0 FTE positions to supervise inmate work crews

Budget Committee Recommendation

The Budget Committee concurs, with the following adjustment:

1. Add \$178,691 SGF and 5.0 FTE to reestablish inmate work details. Funds are being shifted from other facilities to cover the costs.

House Committee Recommendation

Concur

Subcommittee Report

Agency: Lansing Correctional Facility **Bill No.** 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 927 **Budget Page No.** 323

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds:			
State Operations	\$ 33,941,545	\$ 31,044,098	\$ (675,011)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 33,941,545	\$ 31,044,098	\$ (675,011)
Capital Improvements	0	0	0
TOTAL	\$ 33,941,545	\$ 31,044,098	\$ (675,011)
State General Fund:			
State Operations	\$ 33,791,545	\$ 30,894,098	\$ (675,011)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 33,791,545	\$ 30,894,098	\$ (675,011)
Capital Improvements	0	0	0
TOTAL	\$ 33,791,545	\$ 30,894,098	\$ (675,011)
Other Funds:			
State Operations	\$ 150,000	\$ 150,000	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 150,000	\$ 150,000	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 150,000	\$ 150,000	\$ 0
FTE Positions	721.0	702.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	721.0	702.0	0.0

* Includes GBA No. 1.

** Includes pay plan reduction (\$675,011). Absent the pay plan, the recommendation concurs with the Governor.

Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendation, with the following adjustments and comments:

1. Delete \$675,011 all SGF to remove the Governor's pay plan, including classified step movement (\$420,635), longevity bonus payments (\$253,174), and unclassified merit (\$1,202).
2. The Subcommittee notes the Therapeutic Community program, an intensive substance abuse treatment program for 100 inmates at the facility, will cease operations at the end of FY 2001. The Therapeutic Community program has been in operation for four years and FY 2000 marks the conclusion of federal Byrne Grant funds availability for the project. During FY 2001, the state was to become responsible for the entire cost of the project, \$1,036,210. The agency reports if additional funding becomes available, the Therapeutic Community program will be the first program restored. The agency provides output measures for the program regarding utilization and completion rates, but they need to document outcomes for this four year program if they want funding or expansion of the program.
3. The Subcommittee notes Osawatomie Correctional Facility will lose 5.0 FTE in FY 2001 in the Governor's budget recommendation. The positions are being eliminated to obtain state general fund savings. A total of 4.0 of the 5.0 FTE are used by the Department of Wildlife and Parks to supervise inmate work crews within the state park system, and it is the facility's understanding that Wildlife and Parks does not have the budget to assume the responsibility. A projected total of 41,600 hours and \$214,240 in cost benefits (based on the federal minimum wage) will be lost when the positions are abolished. The agency is encouraged to explore new funding options with local units of government which may be able to fund positions to supervise inmate work crews.
4. The Subcommittee supports the practice of providing work opportunities for inmates and notes that 82.8 percent of the offenders, 1,987, have work assignments. A total of 6.8 percent (164 offenders) are currently awaiting work assignments and many of them are in maximum custody, where appropriate work assignments are limited.
5. The Subcommittee supports the Kansas Correctional Industries programs at the facilities and notes with approval the expansion of Impact Design, a private company manufacturing embroidered items, at the facility. A new industries building is almost complete which will be occupied by Impact Design and add to the 135 inmates already employed by Impact Design. Additionally, VW, Inc., has begun employing 5 maximum custody inmates in heater insulator assembly.

6. The Subcommittee notes systemwide the correctional facilities receive less funding (a \$1,343,671 or 29.5 percent reduction) for academic and vocational education in FY 2001. Funding has been reduced for the contracted educational programs and the agency is reviewing the distribution of educational services to determine specific reductions. Along with the loss of the Therapeutic Community program, the agency reports educational programs are a high priority if additional funds become available.
7. The Subcommittee notes the agency experienced a significant fire in the fall of 1999 at the Central unit. The facility lost a total of 21,846 square feet used for vocational training, maintenance, and correctional industries space used by Heart's Design and the correctional furniture program. The fire was started in faulty heater wiring, The Subcommittee was informed that fire safety inspections are conducted on a regular schedule. Rehabilitation of the space has begun and \$400,000 has already been spent. Additional repair costs are estimated at \$1.1 million. The agency reported evaluating a number of methods to obtain funds to reconstruct the building, as well as to replace equipment and lost inventory. Heart's Design, the private company, is recovering their losses from their insurance. The Subcommittee notes that inmates who work in private industries pay the Correctional Industry Fund room and board, which in turn, is being used to make fire repairs. Additionally, inmate labor will be used as much as possible in the reconstruction.
8. The Subcommittee notes the agency's identification of a growing number of offenders in the system with serious mental illnesses. The agency provided the following data to bolster their case:

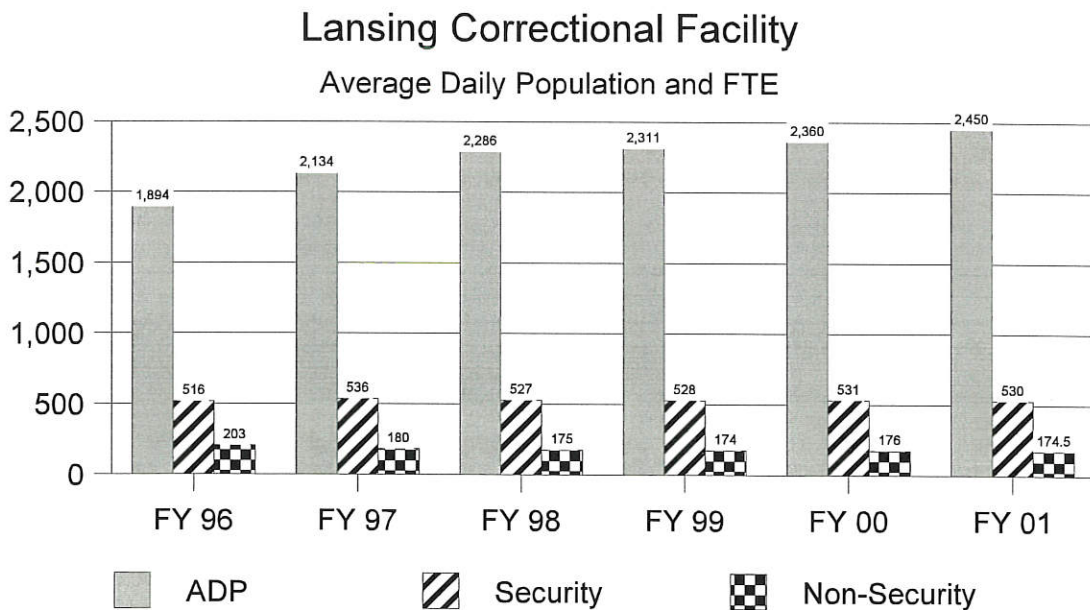
	<u>FY 1998</u>	<u>FY 1999</u>
Crises Level Contacts	398	651
Therapeutic Restraints	2	15
Inmates on Psychotropic Medications	217	248

The Subcommittee believes this situation warrants careful attention at the facilities, particularly given the capacity and population at the Larned facility.

9. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 2.5 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the positions he had previously deleted, but does not restore funding for the positions.
10. The Subcommittee notes the overtime costs at the facility in FY 2001 budgeted at \$653,345. Overtime costs are a reflection of staffing issues,

in particular turnover rates and the challenge of recruiting staff in a favorable job market. The Subcommittee reviewed the agency's enhancement request totaling \$6,084,410 to increase correctional officer salaries and benefits. The Department of Corrections signed a memorandum of agreement with one labor union agreeing to request the funding, which they did. The Governor did not fund the request. The 1999 Legislature added approximately \$900,000 to fund salary increases for entry-level correctional officers. The FY 2001 request would fund increases for longer term correctional officers.

- 11. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.



Alicia Salisbury

Senator Alicia Salisbury

Stephen R Morris

Senator Stephen Morris

Mark Gilstrap

Senator Mark Gilstrap

Budget Committee Report

Agency: Larned Correctional Mental Health Facility

Bill No.

Bill Sec.

Analyst: Little

Analysis Pg. No. 944 Budget Page No. 325

Expenditure Summary	Agency Req FY 01	Gov. Rec. FY 01	Budget Adjustments
All Funds			
State Operations	\$ 7,428,285	\$ 6,928,837	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 7,428,285</u>	<u>\$ 6,928,837</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,428,285</u></u>	<u><u>\$ 6,928,837</u></u>	<u><u>\$ 0</u></u>
State General Fund			
State Operations	\$ 7,424,026	\$ 6,924,578	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 7,424,026</u>	<u>\$ 6,924,578</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,424,026</u></u>	<u><u>\$ 6,924,578</u></u>	<u><u>\$ 0</u></u>
Other Funds			
State Operations	\$ 4,259	\$ 4,259	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 4,259</u>	<u>\$ 4,259</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 4,259</u></u>	<u><u>\$ 4,259</u></u>	<u><u>\$ 0</u></u>
FTE Positions	175.0	174.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>175.0</u></u>	<u><u>174.0</u></u>	<u><u>0.0</u></u>

Agency Req/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$7,428,285 an increase of \$614,255 or 9.0 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$29,040), merit increases, and a 5.8 shrinkage rate

- Includes \$124,410 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$208,201, or 3.0 percent over the FY 2000 request

Agency Requested Enhancements for FY 2001

- Request totals \$402,502
- All funding from SGF

Governor's Recommendation

The Governor recommends FY 2001 expenditures of \$6,928,837 (\$6,924,578 SGF), a reduction of \$145,777, or 2.1 percent over the FY 2000 recommendation.

- \$6,114,690 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$413,100 for contractual services
- \$354,840 for commodities
- \$46,207 for capital outlay
- Delete one-half capital outlay (\$46,208) and 1.0 FTE position and funding (\$42,339) to eliminate accreditation.

Budget Committee Recommendation

The Budget Committee concurs with the Governor's recommendation.

House Committee Recommendation

Concur

Subcommittee Report

Agency: Larned Correctional Mental Health Facility

Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 944 Budget Page No. 325

Expenditure Summary	Agency Req FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds			
State Operations	\$ 7,428,285	\$ 6,928,837	\$ (124,922)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 7,428,285</u>	<u>\$ 6,928,837</u>	<u>\$ (124,922)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,428,285</u></u>	<u><u>\$ 6,928,837</u></u>	<u><u>\$ (124,922)</u></u>
State General Fund			
State Operations	\$ 7,424,026	\$ 6,924,578	\$ (124,922)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 7,424,026</u>	<u>\$ 6,924,578</u>	<u>\$ (124,922)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,424,026</u></u>	<u><u>\$ 6,924,578</u></u>	<u><u>\$ (124,922)</u></u>
Other Funds			
State Operations	\$ 4,259	\$ 4,259	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 4,259</u>	<u>\$ 4,259</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 4,259</u></u>	<u><u>\$ 4,259</u></u>	<u><u>\$ 0</u></u>
FTE Positions	175.0	175.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>175.0</u></u>	<u><u>175.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1.

** Includes deletion of pay plan (\$124,922). Absent the pay plan, the recommendation concurs with the Governor.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following adjustments and comments:

1. Delete \$124,922 SGF to remove the Governor's pay plan, including classified step movement (\$91,291) and longevity bonus payments (\$33,631).
2. The agency reports increasing numbers of inmates with mental illness, as well as an increasing severity of mental illness. The Subcommittee encourages the Department of Social and Rehabilitation Services Subcommittee to review plans to transition the sexual predator unit out of the correctional facility. The sexual predator unit occupies thirty of the unit's 150 beds and they are needed now for inmates. Some measurable manifestations of the increasing severity of the population are the increase in inmate on staff assaults growing from 35 to 41 between FY 1998 and 1999 and increased disciplinary reports growing from 862 to 1,190.

More offenders are entering the adult correctional system who bring with them a long history of substance abuse, mental illness, and homelessness. Many have worked their way through various state entities as juveniles, local jail inmates, and consumers of community mental health services. They have been through services, are on medications, and eventually upon conviction for a crime, become the responsibility of the state. Equally important, virtually all of the them will return to live in Kansas communities.

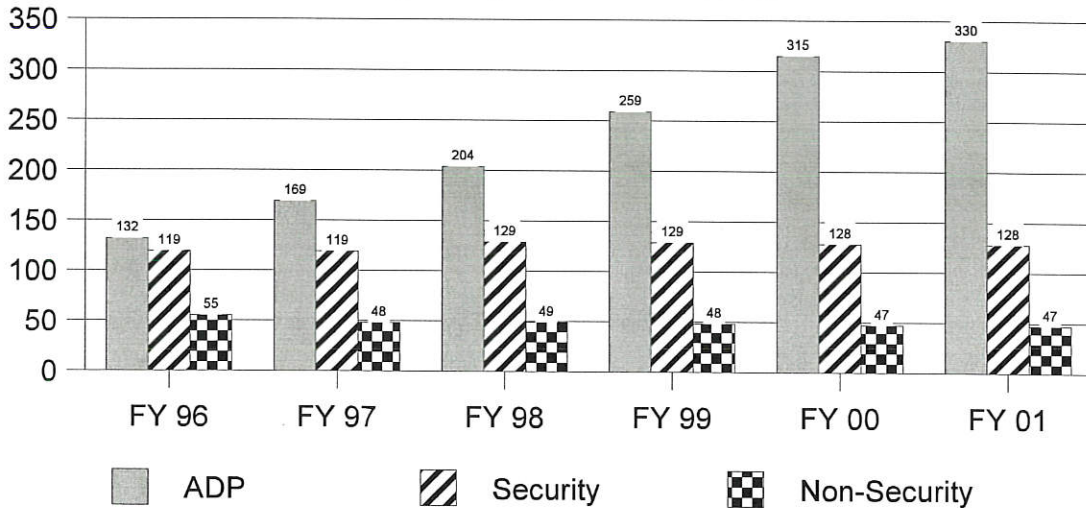
3. The Subcommittee reviewed the facility's programing options for inmates and notes all education and substance abuse contracted slots are full, and waiting lists exist.
4. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 1.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the position he had previously deleted, but does not restore funding for the position.
5. The agency provides a limited amount of community work crews and, therefore, will not experience a reduction in inmate work detail supervision positions.
6. Staffing has always been a challenge for the facility, particularly given the nature of the mental health population which tends to intimidate and discourage new staff. The agency reports a non-security staff turnover rate of 8.0 percent in FY 1998 and 16.0 percent in FY 1999. Security staff turnover was 30.0 percent in FY 1998 and 25.0 percent in 1999. The agency reports they have taken steps to reduce turnover, including Legislative approved pay increases last session, a new video screening

program for new hires, and lowering of the minimum test scores for potential employees. As a result, the agency reports its current turnover rate is 2.7 percent and the Governor's budgeted turnover rate of 5.8 percent is too high.

- The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

Lansing Correctional Mental Health Facility

Average Daily Population and FTE



Alicia Salisbury

Senator Alicia Salisbury

Steph R Morris

Senator Stephen Morris

Mark Gilstrap

Senator Mark Gilstrap

Budget Committee Report

Agency: Winfield Correctional Facility **Bill No.** --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 997

Budget Page No. 499

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01	Budget Committee Adjustments
All Funds:			
State Operations	\$ 10,064,399	\$ 9,126,288	\$ 32,915
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 10,064,399</u>	<u>\$ 9,126,288</u>	<u>\$ 32,915</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 10,064,399</u></u>	<u><u>\$ 9,126,288</u></u>	<u><u>\$ 32,915</u></u>
State General Fund:			
State Operations	\$ 9,938,662	\$ 9,000,551	\$ 32,915
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 9,938,662</u>	<u>\$ 9,000,551</u>	<u>\$ 32,915</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,938,662</u></u>	<u><u>\$ 9,000,551</u></u>	<u><u>\$ 32,915</u></u>
Other Funds:			
State Operations	\$ 125,737	\$ 125,737	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 125,737</u>	<u>\$ 125,737</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 125,737</u></u>	<u><u>\$ 125,737</u></u>	<u><u>\$ 0</u></u>
FTE Positions	208.0	199.0	1.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>208.0</u></u>	<u><u>199.0</u></u>	<u><u>1.0</u></u>

Agency Request/Governor's Recommendation

Agency FY 2001 operating expenditures request totals \$10,064,399 an increase of \$1,074,220, or 11.9 percent over the estimated FY 2000 operating expenditure amount.

- Includes full funding of longevity (\$59,740), merit increases, and a 5.0 shrinkage rate

- Includes \$101,000 for overtime
- 1.0 FTE lost due to retirement reduction and requested enhancements adding 7.0 FTE
- Absent requested enhancements, the agency's request represents an increase of \$286,073, or 3.2 percent over the FY 2000 request

Agency Requested Enhancements for FY 2001

- Request totals \$788,153
- All funding from SGF
- **Staff Note:** The agency's budget request includes enhancements totaling \$788,153, but the budget includes funding for only \$409,151 State General Fund, \$379,002 less than required to implement requested enhancements. Additionally, the agency requested \$788,153 in all programs, but program subtotals only add to \$788,144.

Governor's Recommendation

The Governor recommends FY 2001 expenditures of \$9,126,288 (\$9,000,551 SGF), an increase of \$183,109 or 2.0 percent over the FY 2000 recommendation.

- \$7,131,269 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$1,299,508 for contractual services
- \$644,511 for commodities
- \$51,000 for capital outlay
- The Governor eliminated one-half of the requested capital outlay and 2.0 FTE and funding for the positions
 - 2.0 FTE include a work detail supervisor and accreditation position and associated costs of \$86,701.

Budget Committee Recommendation

The Budget Committee concurs, with the following adjustment:

1. Add \$32,915 SGF and 1.0 FTE to reestablish inmate work details. Funds are being shifted from other facilities to cover the costs.

House Committee Recommendation

Concur

Subcommittee Report

Agency: Winfield Correctional Facility Bill No. 639

Bill Sec. 51

Analyst: Little

Analysis Pg. No. 997

Budget Page No. 499

Expenditure Summary	Agency Request FY 01	Gov. Rec. FY 01*	Subcommittee Adjustments**
All Funds:			
State Operations	\$ 10,064,399	\$ 9,126,288	\$ (182,553)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 10,064,399</u>	<u>\$ 9,126,288</u>	<u>\$ (182,553)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 10,064,399</u></u>	<u><u>\$ 9,126,288</u></u>	<u><u>\$ (182,553)</u></u>
State General Fund:			
State Operations	\$ 9,938,662	\$ 9,000,551	\$ (181,475)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 9,938,662</u>	<u>\$ 9,000,551</u>	<u>\$ (181,475)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,938,662</u></u>	<u><u>\$ 9,000,551</u></u>	<u><u>\$ (181,475)</u></u>
Other Funds:			
State Operations	\$ 125,737	\$ 125,737	\$ (1,078)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 125,737</u>	<u>\$ 125,737</u>	<u>\$ (1,078)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 125,737</u></u>	<u><u>\$ 125,737</u></u>	<u><u>\$ (1,078)</u></u>
FTE Positions	208.0	200.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>208.0</u></u>	<u><u>200.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1.

** Includes deletion of pay plan (\$182,553). Absent the pay plan reduction, the recommendation concurs with the Governor.

Senate Subcommittee Recommendation

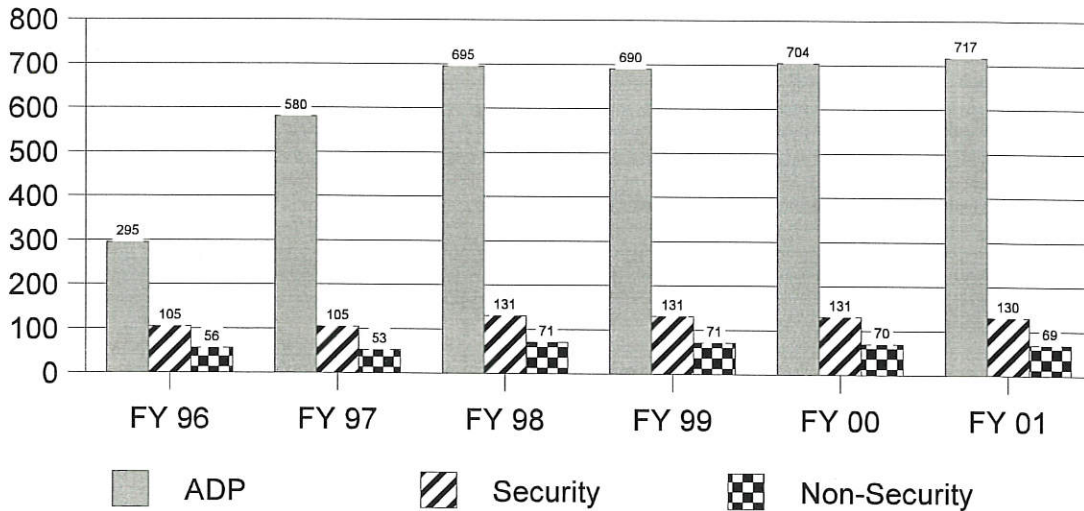
The Subcommittee concurs with the Governor's recommendation, with the following adjustments and comments:

1. Delete \$182,553 (\$181,475 SGF) to remove the Governor's pay plan, including classified step movement (\$118,574) and longevity bonus payments (63,979).
2. The Subcommittee concurs with the Governor's Budget Amendment 1 which restores 1.0 FTE to maintain American Correctional Association accreditation at the facility. The Governor restores the position he had previously deleted, but does not restore funding for the position.
3. The Subcommittee notes systemwide the correctional facilities are receive less funding (a \$1,343,671 or 29.5 percent reduction) for academic and vocational education in FY 2001. Funding has been reduced for the contracted educational programs and the agency is reviewing the distribution of educational services to determine specific reductions. The agency will continue the Therapeutic Community program begun in FY 2000, which unlike the Lansing facility Therapeutic Community, is funded 100 percent through a federal Residential Substance Abuse Treatment grant.
4. Despite significant budget changes, the agency intends to begin implementation of the Innerchange program. Innerchange is a faith-based substance abuse treatment program operated by Innerchange Freedom Initiative, a subsidiary of Prison Fellowship from Texas. The program is funded in FY 2001 with \$200,000 from the Inmate Benefits Fund and private donations and will eventually house up to 158 inmates.
5. The agency reports they are experiencing an increasingly high turnover in their inmate population, in part due to increasing numbers of conditional violators who are in for only 90 to 180 days. The increasing inmate turnover rate produces problems for staff required to handle the paperwork and file reviews. The facility is also the state's largest minimum custody facility. The population has been within ten inmates of maximum capacity and the combination of high inmate turnover emphasizes the challenges of operating safely a correctional facility.
6. The Subcommittee notes the agency will lose 1.0 FTE in FY 2001 in the Governor's budget recommendation. The position, which supervises inmate work crews who perform work for communities and other local entities, is being eliminated to obtain state general fund savings. The agency is encouraged to explore with local units of government options to fund locally positions to supervise inmate work crews.

7. The Subcommittee's review of the budget included the increasing costs of maintaining the older facility as well as costs associated with buildings occupied from the former Winfield State Hospital. The facility has been retrofitted and adapted to handle inmates and demonstrates few of the efficiencies found in newer facilities.
8. The Subcommittee supports the agency's work release program operated in Wichita for 198 offenders, including 10 females, who are nearing the end of their sentence and work in the community while preparing to return to society. The work activities of the inmates contribute to the cost of running the program and the benefits of the program include, in FY 1999, \$372,445 in room and board payments forwarded to the State General Fund; \$230,409 dollars in court costs, restitution, and dependent support; and an average savings account upon release of \$3,548 per offender.
9. The following graph is included to convey the agency's ability to handle a growing average daily population of inmates while maintaining a fairly constant staffing level. The three columns reflect the average daily population (ADP), the security staff, and non-security staff at the facility since FY 1996.

Winfield Correctional Facility

Average Daily Population and FTE



Alicia Salisbury

Senator Alicia Salisbury

Stephen R. Morris

Senator Stephen Morris

Mark Gilstrap

Senator Mark Gilstrap