

Approved: 3/12/99
Date

MINUTES OF THE SENATE WAYS AND MEANS.

The meeting was called to order by Chairperson Dave Kerr at 11:00 a.m. on March 4, 1999 in Room 123-S of the Capitol.

All members were present except:

Committee staff present: Alan Conroy, Legislative Research Department
Debra Hollon, Legislative Research Department
Rae Anne Davis, Legislative Research Department
Norman Furse, Revisor of Statutes
Michael Corrigan, Revisor of Statutes
Judy Bromich, Administrative Assistant
Ann Deitcher, Committee Secretary

Conferees appearing before the committee:

Others attending: See attached list.

Senator Lawrence read from the Subcommittee Report on the Department of Corrections. (Attach. 1).

The Subcommittee concurred with Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendation with the adjustments and comments listed in items 1 through 8 on pages 1-12 and 1-13.

In regard to item 4, Senator Petty said she felt there was some urgency in getting rid of the Topeka State Hospital property in that there is over a half million dollars being spent on what is essentially in mothballs. She is also concerned with being able to market the property while it's in use by Corrections. She also felt that the prisoners being held there were of a different profile. And she cited the day care center nearby. Her suggestion was to put this item into Omnibus.

Secretary Charles Simmons of the Department of Corrections said that in the event of a sale of the property, they would be able to move the prisoners.

Chairman Kerr asked the Secretary what would happen with Topeka State Hospital if the Subcommittee concurred with the Governor in item 4 of the report.

The Secretary said that they would, assuming the Senate and House concur, convert a part of the Hospital to a work release facility, funded through the last 2 months of Fiscal Year 1999 and all of Fiscal Year 2000. He said it wouldn't change the character of the facility, it's still 111-bed minimum security facility. They are not adding any inmates or making any capital improvements, but they are adding 15 staff, primarily for transportation of inmates to and from community work projects. It is not a significant change.

Senator Ranson asked Secretary Simmons about the \$1.1 million of SGF for the Alternative Sanction Program.

The Secretary said there was about \$1.5 million combined federal and state funds for this program. There was \$550 thousand federal money and the balance was from the State General Fund. That money has not been expended and the Governor did not include this amount in his Fiscal Year 2000 Budget.

Senator Ranson said she wondered why the Subcommittees go through the procedures and make recommendations if it doesn't occur.

Secretary Simmons said they did the female boot camp, they submitted an alternative plan for the transition center, they tried to do the intermediate planning centers with the provisos that said they would be done by Community Corrections Programs and none of the three programs in the state were interested in establishing an intermediate sanction center.

Chairman said the Committee would like more information on that item because it was one that had broad support. He asked if the language was so rigid that they couldn't find another way to go about it.

The Secretary said the Revisor said that they would be established by a Community Corrections Program. The money was appropriated to the Department of Corrections to award out the grant to Community Corrections Programs. They issued an RFP, asked all 30 programs to submit proposals and got zero proposals from Community Corrections. They surveyed them as to why they didn't submit a proposal and the predominant reason was that they were too busy dealing with juvenile issues to be involved with establishing this. The second reason was they had a concern about including a potential parole violator in the intermediate sanction center, which is part of the plan within the proviso. The third reason was that they would be done on a regional basis and they had a concern about bringing in offenders from other communities to their community to be housed in a regional center.

Asked if he had an alternative plan, the Secretary said they can address part of their concern. He thought they could eliminate potential parole violators. The need for the intermediate sanctions are there for two reasons. Potential parole violators which are significant in number, and potential probation violators which are still significant in number. There are probably enough potential probation violators that these facilities could be devoted solely to just dealing with that offender population.

Chairman Kerr asked if it was limited to just probation violators, could they then get some community corrections groups to come aboard.

Secretary Simmons said that the other change he would make would be to eliminate the restriction that it be done by community corrections programs and let the Department of Corrections try to do it either through department efforts or through contractual efforts.

Chairman Kerr asked if they still had the money provided by the legislature the previous year, Secretary Simmons said they did and the federal funds were still in the bank. The total amount was \$929 thousand from the state and \$555 thousand federal.

The Chairman told the Committee that it sounded to him like the Secretary had a definite solution and thought it might be something they would like to put into this year's Subcommittee Report.

It was moved by Senator Petty and seconded by Senator Ranson to delete item 4 on the Topeka State Hospital in the Subcommittee Report on the Department of Corrections and be that it would be dealt with before Omnibus. The motion carried on a voice vote.

Senator Ranson moved and Senator Lawrence seconded the motion to amend the Subcommittee Report to include Senator Ranson's concern that of the seven projects recommended by the legislature of 1998, only two were done. The motion carried on a voice vote.

Senator Lawrence read from the Subcommittee Report on the Norton Correctional Facility. (Attach. 2).

The Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendation with the adjustments and comments in item 1 on page 2-6.

It was noted by the Chair that the Subcommittee Report on the Topeka Correctional Facility, (Attachment 3), had already been discussed by the Committee.

Senator Lawrence read from the Subcommittee Report on the Eldorado Correctional Facility. (Attach. 4).

The Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendation with the recommendation in item 1 on page 4-6.

Senator Lawrence read from the Subcommittee Report on the Hutchinson Correctional Facility (Attach. 5).

Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999 with the comment in item 1 on page 5-3.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendations with the adjustments and comments in items 1, 2 and 3 on pages 5-6 and 5-7.

Senator Salisbury read from the Subcommittee Report on the Ellsworth Correctional Facility. (Attach. 6).

The Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendations with the adjustments and comments in items 1 through 6 on pages 6-6 and 6-7.

Senator Salisbury told the Committee that at the time the Subcommittee reviewed this budget they did not have the Governor's Budget Amendment and there are two which would make adjustments to reflect the Governor's intentions.

The motion was made by Senator Salisbury and seconded by Senator Morris to amend the Subcommittee Report to include number 15 and 17 from the Governor's Budget Amendment. The motion carried on a voice vote.

Chairman Kerr asked the Committee if there was sufficient interest in scheduling **SB 327**. It was agreed that the bill would be scheduled.

Senator Salisbury read from the Subcommittee Report on the Lansing Correctional Facility. (Attach. 7).

The Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendations with the adjustments and comments in item 1 on page 7-7.

Senator Salisbury read from the Subcommittee Report on the Larned Correctional Facility. (Attach. 8).

The Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendations with the adjustments and comments in items 1, 2 and 3 on pages 8-7 and 8-8.

Senator Salisbury read from the Subcommittee Report on the Winfield Correctional Facility. (Attach. 9).

The Subcommittee concurred with the Governor's recommendation for Fiscal Year 1999.

For Fiscal Year 2000, the Subcommittee concurred with the Governor's recommendations with the adjustments and comments in items 1, 2 and 3 on pages 9-6 and 9-7.

Senator Lawrence moved and Senator Salisbury seconded that the Subcommittee report be adopted as amended. The motion carried on a voice vote.

The meeting adjourned at 12 noon. The next meeting is scheduled for Friday, March 5, 1999.

House Budget Committee Report

Agency: Department of Corrections

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 811

Budget Page No. 127

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 70,013,519	\$ 69,789,219	\$ 0
Aid to Local Units	19,578,346	17,928,721	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 89,591,865	\$ 87,717,940	\$ 0
Capital Improvements	15,147,198	12,456,173	0
TOTAL	<u>\$ 104,739,063</u>	<u>\$ 100,174,113</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 57,550,224	\$ 57,325,924	\$ 0
Aid to Local Units	18,233,863	17,139,738	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 75,784,087	\$ 74,465,662	\$ 0
Capital Improvements	7,221,120	6,677,370	0
TOTAL	<u>\$ 83,005,207</u>	<u>\$ 81,143,032</u>	<u>\$ 0</u>
Other Funds:			
State Operations	\$ 12,463,295	\$ 12,463,295	\$ 0
Aid to Local Units	1,344,483	788,983	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 13,807,778	\$ 13,252,278	\$ 0
Capital Improvements	7,926,078	5,778,803	0
TOTAL	<u>\$ 21,733,856</u>	<u>\$ 19,031,081</u>	<u>\$ 0</u>
FTE Positions	301.0	301.0	0.0
Unclass. Temp. Positions	12.0	10.0	0.0
TOTAL	<u>313.0</u>	<u>311.0</u>	<u>0.0</u>

Agency Estimate/Governor's Recommendation

The agency requests a revised FY 1999 estimate of operating expenditures totaling \$89,591,865, an increase of \$587,427 or less than 1.0 percent from the amount approved by the 1998 Legislature of \$89,004,438.

The Governor recommends FY 1999 expenditures of \$87,717,940, (\$74,465,662 SGF)

Senate Ways and Means Committee

Date 3/4/99

Attachment # 1/1

- A reduction of \$1,873,925 from the agency's request and a reduction of \$1,286,498 from the approved amount
- Significant FY 1999 Budget Adjustment
 - salaries of \$12,543,455 reduced by \$37,300 due to retirement reduction and shrinkage adjustment
 - eliminated funding for Intermediate Sanction Centers, \$1,484,625 (\$929,125 SGF)
 - eliminated \$112,000 planing funds for female boot camp. Funds are included in FY 2000.

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

Senate Subcommittee Report

Agency: Department of Corrections

Bill No. -

Bill Sec. -

Analyst: Little


Analysis Pg. No. 811

Budget Page No. 127

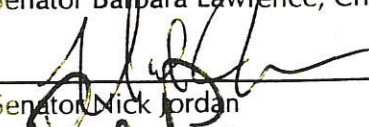
Expenditure	Agency Est. FY 99	Gov. Rec. FY 99	Senate Subc. Adj.
All Funds:			
State Operations	\$ 70,013,519	\$ 69,789,219	\$ 0
Aid to Local Units	19,578,346	17,928,721	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 89,591,865	\$ 87,717,940	\$ 0
Capital Improvements	<u>15,147,198</u>	<u>12,456,173</u>	<u>0</u>
TOTAL	<u>\$ 104,739,063</u>	<u>\$ 100,174,113</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 57,550,224	\$ 57,325,924	\$ 0
Aid to Local Units	18,233,863	17,139,738	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 75,784,087	\$ 74,465,662	\$ 0
Capital Improvements	<u>7,221,120</u>	<u>6,677,370</u>	<u>0</u>
TOTAL	<u>\$ 83,005,207</u>	<u>\$ 81,143,032</u>	<u>\$ 0</u>
Other Funds:			
State Operations	\$ 12,463,295	\$ 12,463,295	\$ 0
Aid to Local Units	1,344,483	788,983	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 13,807,778	\$ 13,252,278	\$ 0
Capital Improvements	<u>7,926,078</u>	<u>5,778,803</u>	<u>0</u>
TOTAL	<u>\$ 21,733,856</u>	<u>\$ 19,031,081</u>	<u>\$ 0</u>
FTE Positions	301.0	301.0	0.0
Unclass. Temp. Positions	<u>12.0</u>	<u>10.0</u>	<u>0.0</u>
TOTAL	<u>313.0</u>	<u>311.0</u>	<u>0.0</u>

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano, Jr.

House Budget Committee Report

Agency: Department of Corrections

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 811

Budget Page No. 127

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00*	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 79,973,467	\$ 72,080,762	\$ 237,280
Aid to Local Units	25,592,814	17,606,678	250,000
Other Assistance	0	0	0
Subtotal - Operating	\$ 105,566,281	\$ 89,687,440	\$ 487,280
Capital Improvements	33,395,160	12,224,685	0
TOTAL	\$ 138,961,441	\$ 101,912,125	\$ 487,280
State General Fund:			
State Operations	\$ 67,976,875	\$ 60,060,995	\$ 237,280
Aid to Local Units	25,020,649	17,271,579	250,000
Other Assistance	0	0	0
Subtotal - Operating	\$ 92,997,524	\$ 77,332,574	\$ 487,280
Capital Improvements	28,391,500	6,960,000	0
TOTAL	\$ 121,389,024	\$ 84,292,574	\$ 487,280
Other Funds:			
State Operations	\$ 11,996,592	\$ 12,019,767	\$ 0
Aid to Local Units	572,165	335,099	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 12,568,757	\$ 12,354,866	\$ 0
Capital Improvements	5,003,660	5,264,685	0
TOTAL	\$ 17,572,417	\$ 17,619,551	\$ 0
FTE Positions	313.0	310.0	0.0
Unclass. Temp. Positions	12.0	10.0	0.0
TOTAL	325.0	320.0	0.0

* Includes GBA No. 1, Item 13.

Agency Req./Governor's Recommendation

The agency request totals \$105,566,281 (including \$92,997,524 SGF), an increase of \$15,974,416 (17.8 percent) over the agency's revised FY 1999 estimate

- Includes \$13,414,982 for salaries and wages for 314.0 FTE

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- Includes full funding of longevity (\$108,120), merit increases (\$29,635), and an averaged 2.7 percent shrinkage rate
- Includes request for 12.0 new FTE—9.0 parole officers, 1.0 in administration, 2.0 FTE in information systems. Enhancement requests are addressed individually below

Agency Requested Enhancements for FY 2000

- Request totals \$11,791,131 SGF
- Absent the Enhancement request, the budget request represents an increase of \$4,183,285 or 4.7 percent

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Additional Parole Officers	\$ 339,304	\$ 339,304	9.0	\$ 261,963	\$ 261,963	9.0
Compensation and Benefits	165,436	165,436	0.0	84,927	84,927	0.0
Programming for Norton Expansion	547,500	547,500	0.0	0	0	0.0
Additional Positions	169,867	169,867	3.0	0	0	0.0
Community Corrections Additional ADP and Per Unit Cost	2,259,351	2,259,351	0.0	0	0	0.0
Facility Sex Offender Treatment	191,448	191,448	0.0	0	0	0.0
Community Substance Abuse Treatment	250,000	250,000	0.0	0	0	0.0
Photo Imaging System	900,000	900,000	0.0	0	0	0.0
Information Technology	702,000	702,000	0.0	0	0	0.0
Additional Rent to Consolidate Wichita Parole Offices	185,000	185,000	0.0	0	0	0.0
Modified Sex Offender Treatment (Facility and Community)	400,000	400,000	0.0	0	0	0.0
Halfway House Beds	766,500	766,500	0.0	0	0	0.0
Community Corrections Substance Abuse and Mental Health Treatment	250,000	250,000	0.0	0	0	0.0
Community Corrections Sex Offender Treatment	129,600	129,600	0.0	0	0	0.0
Community Corrections Day Reporting	985,500	985,500	0.0	0	0	0.0
Document Imaging System	1,195,000	1,195,000	0.0	0	0	0.0
Miscellaneous Community Corrections Items	600,000	600,000	0.0	0	0	0.0
Cognitive-Based Intervention Program	250,000	250,000	0.0	0	0	0.0
Expansion of Intermediate Sanctions Beds	1,484,625	1,484,625	0.0	0	0	0.0
Parole Services Capital Outlay	20,000	20,000	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 11,791,131	\$ 11,791,131	12.0	\$ 346,890	\$ 346,890	9.0
Subtotals - By Selected Major Program						
Information Technology	\$ 2,797,000	\$ 2,797,000		\$ 0	\$ 0	0.0
Community Corrections	5,709,076	5,709,076		0	0	0.0
Offender Programs	2,405,448	2,405,448		0	0	0.0

The Governor recommends FY 2000 operating expenditures of \$89,687,440, (\$77,332,574 SGF)

- A reduction of \$15,878,841 from the agency's request and an increase of \$1,969,500 from the approved amount, and a \$1,969,500 (or 2.2 percent) increase over the FY 1999 recommendation
- \$12,976,395 for salaries and wages

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- \$49,199,738 for contractual services
- \$5,632,164 for commodities
- \$765,190 capital outlay
- \$3,507,275 for debt service interest
- \$12,224,685 for capital improvements
- \$17,606,678 for aid to local units

Budget Committee Recommendation

The Budget Committee concurs with the Governor's recommendation, with the following adjustments and comments.

1. Concur with Governor's Budget Amendment No. 1, Item 13, which adds 1.0 FTE to the Governor's recommendation, which was inadvertently omitted.
2. Concur with the Governor's Budget Amendment No. 1, Item 14, which corrects a retirement calculation error, and deletes \$12,720 SGF.
3. Add \$500,000 SGF to continue 1998 Session funding of additional substance abuse and mental health treatment programs for individuals on parole or postrelease supervision, or in community corrections programs. The funds are to be divided equally between parole services and community corrections. The appropriation of funds shall appear as a separate line item. The Legislature added the same amount of funding during the 1998 Session and the agency requested the same amount of funding in FY 2000, but the Governor did not recommend funding these programs. The budget committee notes that 200 beds will go on line at Norton Correctional Facility in March 1999, but the Governor has recommended no funding for educational and substance abuse programming. It is the intention of the committee for a portion of this \$500,000 to provide these needed services at Norton.
4. The Governor added 15.0 FTE and \$436,649 SGF to Topeka Correctional Facility for costs associated with establishment of transitional centers/work release programs around the state. The Governor recommended these funds and FTE for Topeka Correctional Facility's West Unit. The budget committee is interested in the establishment of transitional centers around the state. Subsequent options for transitional centers will be forth coming before Omnibus. Currently, we are reviewing options with the Department.
5. The budget committee concurs with the Governor's recommendation to construct two new housing units at El Dorado Correctional Facility and move the operations of the reception and diagnostic unit to El Dorado. The Governor's budget recommendation requested bonding authority in the amount of \$15.9 million, with the first payment coming due in FY 2001. The budget committee concurs with the selection of El Dorado and notes the facility was designed to handle future expansion. The budget committee notes as well that the agency conducted extensive research, used a grant to hire outside experts from the National Institute of Corrections, to provide technical assistance for the final site selection.

The FY 1999 budget recommendation includes \$412,000 appropriated by the 1998 Legislature for RDU planning. The committee heard testimony that a contract has been signed with an architectural firm on December 18, 1998 and plans are moving forward. Construction documents are scheduled for completion in July 1999, with bidding completed September 1, 1999, and construction scheduled to begin on October 1, 1999. Anticipated design completion date is January 30, 2001. The anticipated date for the transfer of the RDU is February 15, 2001.

6. The budget committee notes the agency's continued efforts to maximize space to house inmates with only minimal construction costs. The 1997 Legislature appropriated \$6,202,450, including \$5,057,152 federal funds to build a 200-bed medium custody unit at Norton Correctional Facility which will be on line in March 1999. Construction cost per bed is \$31,012. Otherwise, the agency has added hundreds of beds with limited capital improvement costs since 1992. The following table reflects some of the internal bed space expansion the agency has added since May 1995.

Major Bed-Space Adjustments since May 1, 1995		
Location/Project	Increase	Status
Topeka - Opening of I-Max Unit	75	complete
Topeka - Double Cell Dorm	24	complete
Lansing - Renovate Unit	48	complete
Hutchinson - Renovate Cellhouse Basement	100	complete
El Dorado - Renovate Industries Space	115	complete
Lansing - Renovate Former Female Facility	48	complete
Topeka - Additional Double Celling	56	complete
Lansing - Additional Renovation at Former Female Facility	8	complete
El Dorado - Double Cell 1.5 Cellhouses	192	complete
El Dorado - Double Cell 0.5 Cellhouses	60	complete
Winfield - Garland Building Renovation	100	complete
Lansing - Add beds at R Dorm	16	complete
Lansing - Double Cell Medium Unit	280	complete
Topeka - Double Cell Portion of I-Max Unit	26	complete
Ellsworth - Renovate Minimum Dorm	48	complete
Larned - Minimum - Jenkins Building	54	complete
Winfield - Minimum - A Dorm	132	complete
Hutchinson - Minimum - South Unit	15	complete
Completed or in Progress as of 1-1-98	1,397	

7. The budget committee notes that with the move of the reception and diagnostic unit from Topeka Correctional Facility, the agency intends to refurbish the existing J-Cellhouse for either male or female minimum custody inmates. The agency's five-year capital improvements budget includes \$2.140 million in FY 2001 and FY 2002 for refurbishing of the J-Cellhouse for 180 minimum custody beds.
8. The budget committee notes the agency has used existing resources to finalize the state's death penalty execution chamber. The chamber, located at the Lansing

Correctional Facility, will be completed in March 1999, well in advance of any potential death sentence implementation. House Bill 2903 contains a number of provisions related to the procedures to carry out the execution, and pending its passage, the Department of Corrections will be prepared to carry out a death sentence.

9. The budget committee notes the continued reported negative impact of the three for four retirement reduction in the Department of Corrections and at the correctional facilities. The retirements have begun to have an impact on the correctional facilities long-term needs and, although correctional officers are exempt, long term support, maintenance, and other positions are bearing the brunt of this law. The budget committee recommends proviso language exempting the Department of Corrections and all correctional facilities from the retirement reduction law. The budget committee also recommends introduction of a bill exempting the Department of Corrections and the correctional facilities.
10. The budget committee recommends the Joint Committee on State Building Construction and the Joint Committee on Corrections and Juvenile Justice Oversight review during the 1999 interim a plan to establish a dedicated bond-financed program to address deferred major maintenance projects at the correctional facilities. The committee notes the agency is using some space for housing of inmates which was constructed in the 1860s.
11. The budget committee recommends for study during the 1999 interim, a plan to have each agency identify in its budget submission all funding, sources of funding, and detailed accounting of where and how funds are spent for substance abuse treatment, programming, and the administration of such programs. The budget committee also requests the Department of Corrections to submit its FY 2001 budget with the information requested above. The budget committee notes the Governor has not included any substance abuse funding requested by the agency for the 200-bed expansion at Norton Correctional Facility.
12. The budget committee concurs with the Governor's recommendation to abolish the corrections officer trainee (range 15) and establish a new pay range 18 for correctional officers between the Corrections Officer I and II positions. The Governor added \$1,045,893 SGF for these salary adjustments, as well as increases for staff attorneys. The Subcommittee supports this effort to enhance staff salaries in an effort to limit turnover, forestall efforts by other states and entities to lure away correctional staff, and to promote professionalism among front-line staff.

In order to address additional staff issues, the budget committee recommends the Joint Committee on Corrections and Juvenile Justice Oversight review during the 1999 interim the status of staff training, turnover rates, retirement reduction impact, and any other related issues to address issues on front-line staff morale. The Joint Committee should review the possibilities of both systemwide training programs as well as the current agency policy of facilities-based training.

13. The budget committee, which reviews all the budgets of the public safety agencies for the state, endorses H.B. 2398 which would created a unified field services commission. The commission would create a plan to unify the court services

probation functions, parole, and community corrections within one entity. These three areas provide all the community based oversight for adults and juveniles on probation, parole, community corrections, postrelease supervision, or diversion plans.

14. The budget committee encourages the Department of Corrections to pursue a plan to establish a visitors center at El Dorado Correctional Facility. As the inmate population of the facility continues to expand, the need for a visitors center grows. A visitors center located in the town of El Dorado may be too far from the facility to serve its purpose. The agency should pursue all possible options to locate the center near the facility. The budget committee requests the agency explore all options and report to the Joint Committee on Corrections and Juvenile Justice Oversight during the 1999 interim. Currently, visitors centers are available at Ellsworth, Lansing, Norton, and Hutchinson. Total budget for the visitors centers is \$232,696, funded from the Inmate Benefits Fund.
15. The budget committee notes the Labette Correctional Conservation Camp has been in operation without any recidivism rate information for at least three years. The committee requests the Department of Corrections and Gil R. Walker, Inc., operators of the camp, evaluate the effectiveness of the program, collect data on the participant population, and report to the Joint Committee on Corrections and Juvenile Justice Oversight.

Senate Subcommittee Report

Agency: Department of Corrections

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 811

Budget Page No. 127

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00*	Senate Subc. Adj.**
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Capital Improvements	33,395,160	12,224,685	0
TOTAL	<u><u>\$ 138,961,441</u></u>	<u><u>\$ 101,912,125</u></u>	<u><u>\$ (365,092)</u></u>
State General Fund:			
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Capital Improvements	28,391,500	6,960,000	0
TOTAL	<u><u>\$ 121,389,024</u></u>	<u><u>\$ 84,292,574</u></u>	<u><u>\$ (298,860)</u></u>
Other Funds:			
State Operations	\$ 11,996,592	\$ 12,019,767	\$ (66,232)
Aid to Local Units	572,165	335,099	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 12,568,757</u>	<u>\$ 12,354,866</u>	<u>\$ (66,232)</u>
Capital Improvements	5,003,660	5,264,685	0
TOTAL	<u><u>\$ 17,572,417</u></u>	<u><u>\$ 17,619,551</u></u>	<u><u>\$ (66,232)</u></u>
FTE Positions	313.0	310.0	0.0
Unclass. Temp. Positions	<u>12.0</u>	<u>10.0</u>	<u>0.0</u>
TOTAL	<u><u>325.0</u></u>	<u><u>320.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1, Item 13.

** Excluding the \$365,092 for removal of the pay plan. The Subcommittee made no changes to the Governor's budget.

1-11


Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments:

1. Delete \$365,092, including \$298,860 SGF, to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.
2. Concur with GBA No. 1, Item 13, which adds 1.0 FTE that was inadvertently omitted to the Governor's recommendation.
3. Concur with GBA No. 1, Item 14, which corrects a retirement calculation error and deletes \$12,720.
4. The Subcommittee commends the agency for obtaining savings for the state through refinancing some of the debt service payments. The bond payments on the construction of Ellsworth Correctional Facility were refinanced, reducing \$203,472 in FY 2000 debt service payments. The agency reports they continue to refinance bonded indebtedness when savings can be gained.
5. The Subcommittee commends the agency for its efforts to evaluate offender programs. The agency is in its third year of evaluating the effectiveness of all programs including education, substance abuse, sexual abuse programs. The agency's efforts demonstrate their willingness to review and evaluate programs, which the Subcommittee believes to be particularly important because the agency contracts for all programming with private providers. The agency reports it will be able to report in April 1999 on the success of programming services for individuals outside the correctional facilities. The Subcommittee commends the efforts to determine which programs work and to assist the Legislature is determining where it should spend money, if providers need to be changed, and whether priorities should be adjusted.
6. The Subcommittee recommends the agency direct Labette County (owner of the Labette Correctional Conservation Camp) to require the contracted private operator of the conservation camp for males to provide tracking and recidivism rate information for participants in the program. The operators of the camp have signed a contract to operate the state's new correctional camp for female offenders. The contractor should provide tracking and recidivism data for females from the inception of the program.
7. The Subcommittee notes some concerns regarding community corrections programming, community programs for offenders on parole or probation, and alternative punishment projects designed to punish appropriate offenders in community settings instead of in correctional facilities. The Subcommittee recommends for interim study issues related to community corrections funding, and possible modification of the sentencing grid to build community corrections punishments into the sentencing grid. Interim study should explore the issues of cooperation and coordination between the state and local agencies responsible for carrying out sentences for the state.

1-12

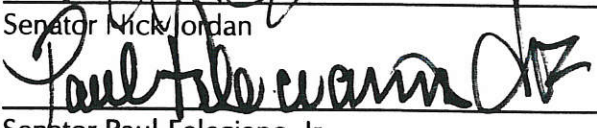
8. The Subcommittee commends each of the wardens at the four facilities it reviewed. Each warden demonstrated enthusiasm and expertise, and commitment to promoting valuable programs for offenders while operating the correctional facilities in a safe and secure manner.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano, Jr.

HOUSE BUDGET COMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 935

Budget Page No. 341

Expenditure Summary	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 10,372,306	\$ 10,165,997	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 10,372,306</u>	<u>\$ 10,165,997</u>	<u>\$ 0</u>
Capital Improvements	1,251,968	1,251,968	0
TOTAL	<u><u>\$ 11,624,274</u></u>	<u><u>\$ 11,417,965</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 10,352,306	\$ 10,145,997	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 10,352,306</u>	<u>\$ 10,145,997</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 10,352,306</u></u>	<u><u>\$ 10,145,997</u></u>	<u><u>\$ 0</u></u>
FTE Positions	266.0	266.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>266.0</u></u>	<u><u>266.0</u></u>	<u><u>0.0</u></u>

Agency Estimate/Governor's Recommendation

The agency's revised FY 1999 estimate of operating expenditures totals \$10,372,306, an increase of \$1,800 or less than 1.0 percent from the amount approved by the 1998 Legislature.

- The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.
- Agency requests the expenditure of \$1,800 in Kansas Savings Incentive Program funds.
- **Salaries and Wages**
 - Request for salaries and wages total \$8,541,490 for 266.0 FTE
 - Includes five months of funding for new 30.0 FTE for the 200-bed expansion
 - Includes \$80,197 for overtime payments

Senate Ways and Means Committee

Date 3/2/99

Attachment # 3-1

- 4.5 percent shrinkage
- **Capacity Expansion Project**
 - 1997 Legislature approved the construction of a 200-bed medium custody housing unit and industries building
 - 1997 Legislature also appropriated \$5,057,152 federal crime bill funds and \$780,712 from the Correctional Institutions Building Fund
 - 1998 Legislature added 30.0 FTE and five months of operating costs for FY 1999
 - Total salaries and wages for five months of operations is \$360,000, other operating expenditures of \$85,000 and one-time expenditures of \$82,000
 - Beds are scheduled to receive the first inmates in March 1999

The Governor recommends FY 1999 expenditures of \$10,165,997 (\$10,145,997 SGF).

- The Governor increased shrinkage from 4.5 to 6.0 percent, reducing salaries by \$129,716.
- Technical adjustments to health insurance costs for 30.0 new FTE reduced \$55,867.

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

SENATE SUBCOMMITTEE REPORT

Agency: Norton Correctional Facility


Bill No. -

Bill Sec. -


<u>Expenditure Summary</u>	<u>Agency Est. FY 99</u>	<u>Gov. Rec. FY 99</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 10,372,306	\$ 10,165,997	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 10,372,306</u>	<u>\$ 10,165,997</u>	<u>\$ 0</u>
Capital Improvements	1,251,968	1,251,968	0
TOTAL	<u><u>\$ 11,624,274</u></u>	<u><u>\$ 11,417,965</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 10,352,306	\$ 10,145,997	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 10,352,306</u>	<u>\$ 10,145,997</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 10,352,306</u></u>	<u><u>\$ 10,145,997</u></u>	<u><u>\$ 0</u></u>
FTE Positions	266.0	266.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>266.0</u></u>	<u><u>266.0</u></u>	<u><u>0.0</u></u>

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations.


 Senator Barbara Lawrence, Chair


 Senator Nick Jordan


 Senator Paul Feleciano, Jr.

HOUSE BUDGET COMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 935

Budget Page No. 341

Expenditure Summary	Agency Request FY 00	Gov. Rec. FY 00	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 11,701,168	\$ 11,186,432	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 11,701,168</u>	<u>\$ 11,186,432</u>	<u>\$ 0</u>
Capital Improvements	602,878	0	0
TOTAL	<u><u>\$ 12,304,046</u></u>	<u><u>\$ 11,186,432</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 11,691,168	\$ 11,176,432	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 11,691,168</u>	<u>\$ 11,176,432</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 11,691,168</u></u>	<u><u>\$ 11,176,432</u></u>	<u><u>\$ 0</u></u>
FTE Positions	268.0	266.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>268.0</u></u>	<u><u>266.0</u></u>	<u><u>0.0</u></u>

Agency Request/Governor's Recommendation

Agency FY 2000 operating expenditures request totals \$11,701,168 an increase of \$1,328,862, or 12.8 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$68,780), merit increases, and a 4.5 shrinkage rate
- Includes \$105,126 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$856,310, or 8.3 percent over the FY 1999 request
- FY 2000 budget request includes full year of funding all staffing and support requirements for the new 200-bed medium custody unit
- Annualized cost increase of \$1,068,000.

Agency Requested Enhancements for FY 2000

- Request totals \$472,552
- All funding from SGF
- Includes request for 2.0 FTE

2-4

FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits	\$ 192,757	\$ 192,757	0.0	\$ 125,558	\$ 125,558	0.0
New Positions	49,795	49,795	2.0	0	0	0.0
Capital Outlay	230,000	230,000	0.0	0	0	0.0
TOTAL-FY 2000 Enhancement Request	\$ 472,552	\$ 472,552	2.0	\$ 125,558	\$ 125,558	0.0

The Governor recommends FY 2000 expenditures of \$11,186,432 (\$11,176,432 SGF), an increase of \$1,020,435 or 10.0 percent over the FY 1999 recommendation.

- Current services budget recommendation with the only major adjustments to salaries and wages
- \$9,230,746 for salaries and wages, including increases in shrinkage rates, and base salary adjustment.
- \$1,148,829 for contractual services
- \$659,249 for commodities
- \$147,608 for capital outlay
- Includes \$125,558 for requested enhancements

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

2.5

SENATE SUBCOMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. –

Bill Sec. –

<u>Expenditure Summary</u>	<u>Agency Request FY 00</u>	<u>Gov. Rec. FY 00</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds:			
State Operations	\$ 11,701,168	\$ 11,186,432	\$ (326,328)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 11,701,168</u>	<u>\$ 11,186,432</u>	<u>\$ (326,328)</u>
Capital Improvements	602,878	0	0
TOTAL	<u><u>\$ 12,304,046</u></u>	<u><u>\$ 11,186,432</u></u>	<u><u>\$ (326,328)</u></u>
State General Fund:			
State Operations	\$ 11,691,168	\$ 11,176,432	\$ (326,328)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 11,691,168</u>	<u>\$ 11,176,432</u>	<u>\$ (326,328)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 11,691,168</u></u>	<u><u>\$ 11,176,432</u></u>	<u><u>\$ (326,328)</u></u>
FTE Positions	268.0	266.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>268.0</u></u>	<u><u>266.0</u></u>	<u><u>0.0</u></u>

* Excluding removal of \$326,328 for the pay plan, the Subcommittee made no adjustments to the budget.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments.

1. Delete \$344,293, including \$341,895 SGF, to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano, Jr.

HOUSE BUDGET COMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 952

Budget Page No. 429

<u>Expenditure Summary</u>	<u>Agency Estimate FY 99</u>	<u>Gov. Rec. FY 99</u>	<u>House Budget Committee Adjustments</u>
All Funds:			
State Operations	\$ 13,119,522	\$ 13,100,240	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 13,119,522</u>	<u>\$ 13,100,240</u>	<u>\$ 0</u>
Capital Improvements	80,990	80,990	0
TOTAL	<u><u>\$ 13,200,512</u></u>	<u><u>\$ 13,181,232</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 12,968,470	\$ 12,949,190	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 12,968,470</u>	<u>\$ 12,949,190</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 12,968,470</u></u>	<u><u>\$ 12,949,190</u></u>	<u><u>\$ 0</u></u>
FTE Positions	300.0	302.0	0.0
Unclassified Temp. Positions	4.0	4.0	0.0
TOTAL	<u><u>304.0</u></u>	<u><u>306.0</u></u>	<u><u>0.0</u></u>

Agency Estimate/Governor's Recommendation

The agency's revised FY 1999 estimate of operating expenditures totals \$13,119,522, a increase of \$200,873 (all funds), or 1.5 percent from the amount approved by the 1998 Legislature of \$12,918,649.

- The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility
- Shifted \$125,000 SGF from other facilities for purchase of an inmate transportation bus
- Additional grant funding (\$40,188) for inmates working on affordable housing project
- Increase in general fees revenue (\$30,500) from the West Unit laundry service for Emporia State University, University of Kansas, and Department of Administration

The Governor recommends FY 1999 expenditures of \$13,100,242, an increase of \$181,593 over the approved amount

Senate Ways and Means Committee

Date 3-4-99

Attachment # 31

- Governor concurred with agency current year shifting of funds
- Increased salaries and wages shrinkage from 4.0 to 4.5 percent producing savings of \$55,964.
- Adds 2.0 FTE positions and \$36,684 SGF (2 months of funding salaries and \$24,000 for computers) to begin establishment of transitional center/work release program at the West Unit. Full funding and 13.0 additional FTE are in the FY 2000 recommendation

House Budget Committee's Recommendation

The Committee concurs with the Governor's recommendations.

SENATE SUBCOMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. -

Bill Sec. -

Expenditure Summary	Agency Estimate FY 99	Gov. Rec. FY 99	Senate Subcommittee Adjustments
All Funds:			
State Operations	\$ 13,119,522	\$ 13,100,240	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 13,119,522	\$ 13,100,240	\$ 0
Capital Improvements	80,990	80,990	0
TOTAL	\$ 13,200,512	\$ 13,181,232	\$ 0
State General Fund:			
State Operations	\$ 12,968,470	\$ 12,949,190	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 12,968,470	\$ 12,949,190	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 12,968,470	\$ 12,949,190	\$ 0
FTE Positions	300.0	302.0	0.0
Unclassified Temp. Positions	4.0	4.0	0.0
TOTAL	304.0	306.0	0.0

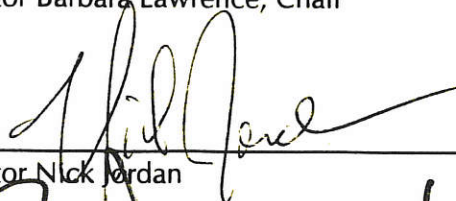
Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

3-2



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano, Jr.

HOUSE BUDGET COMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 952

Budget Page No. 429

Expenditure Summary	Agency Request FY 00	Gov. Rec. FY 00	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 13,868,849	\$ 13,738,474	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 13,868,849	\$ 13,738,474	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 13,868,849</u>	<u>\$ 13,738,474</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 13,729,223	\$ 13,598,848	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 13,729,223	\$ 13,598,848	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 13,729,223</u>	<u>\$ 13,598,848</u>	<u>\$ 0</u>
FTE Positions	301.0	315.0	0.0
Unclassified Temp. Positions	3.0	4.0	0.0
TOTAL	<u>304.0</u>	<u>319.0</u>	<u>0.0</u>

Agency Request/Governor's Recommendation

Agency FY 2000 operating expenditures request totals \$13,868,849 an increase of \$749,327, or 5.7 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$107,360), merit increases, and a 4.0 shrinkage rate
- Includes \$211,929 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$4,904, or less than 1.0 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget

Agency Requested Enhancements for FY 2000

- Request totals \$663,433
- All funding from State General Fund

3-4

FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits Package	\$ 173,666	\$ 173,666	0.0	\$ 99,680	\$ 99,680	0.0
Position Reclassification	0	0	1.0	0	0	0.0
Shrinkage Reduction	114,767	114,767	0.0	0	0	0.0
Capital Outlay	375,000	375,000	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 663,433	\$ 663,433	1.0	\$ 99,680	\$ 99,680	0.0

The Governor recommends FY 2000 expenditures of \$13,738,474 (\$13,598,848 SGF), an increase of \$638,234 or 4.9 percent over the FY 1999 recommendation.

- Current services budget recommendation with only major adjustments to salaries and wages
- \$1,263,191 for contractual services
- \$848,590 for commodities
- \$63,000 for capital outlay
- Includes \$99,680 for requested enhancements to abolish the trainee position and add a new pay range between the Correctional Officer I and II ranges

House Budget Committee's Recommendation

The Committee concurs with the Governor's recommendations.

SENATE SUBCOMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. -

Bill Sec. -

<u>Expenditure Summary</u>	<u>Agency Request FY 00</u>	<u>Gov. Rec. FY 00</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds:			
State Operations	\$ 13,868,849	\$ 13,738,474	\$ (344,293)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 13,868,849</u>	<u>\$ 13,738,474</u>	<u>\$ (344,293)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 13,868,849</u></u>	<u><u>\$ 13,738,474</u></u>	<u><u>\$ (344,293)</u></u>
State General Fund:			
State Operations	\$ 13,729,223	\$ 13,598,848	\$ (341,895)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 13,729,223</u>	<u>\$ 13,598,848</u>	<u>\$ (341,895)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 13,729,223</u></u>	<u><u>\$ 13,598,848</u></u>	<u><u>\$ (341,895)</u></u>
FTE Positions	301.0	315.0	0.0
Unclassified Temp. Positions	3.0	4.0	0.0
TOTAL	<u><u>304.0</u></u>	<u><u>319.0</u></u>	<u><u>0.0</u></u>

* Excluding removal of \$344,293 for the pay plan, the Subcommittee makes no adjustments to the budget.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments.

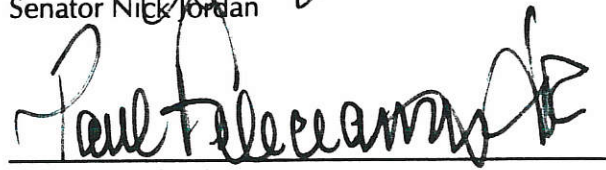
1. Delete \$344,293, including \$341,895 SGF, to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano, Jr.

House Budget Committee Report

Agency: El Dorado Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 868

Budget Page No. 163

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 15,991,340	\$ 15,922,910	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 15,991,340</u>	<u>\$ 15,922,910</u>	<u>\$ 0</u>
Capital Improvements	385,293	385,293	0
TOTAL	<u><u>\$ 16,376,633</u></u>	<u><u>\$ 16,308,203</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 15,918,709	\$ 15,850,279	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 15,918,709</u>	<u>\$ 15,850,279</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 15,918,709</u></u>	<u><u>\$ 15,850,279</u></u>	<u><u>\$ 0</u></u>
FTE Positions	386.0	386.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>386.0</u></u>	<u><u>386.0</u></u>	<u><u>0.0</u></u>

Agency Estimate/Governor's Recommendation

The agency's revised FY 1999 estimate of operating expenditures totals \$15,991,340, a reduction of \$1,715 or less than 1.0 percent from the amount approved by the 1998 Legislature.

- The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.
- Added \$267 in Kansas Savings Incentive Program funds
- Reduced fee funds spending by \$1,982

Salaries and Wages

- Request for salaries and wages total \$13,138,519 for 386.0 FTE.
- Includes \$621,714 for overtime payments
- 4.0 percent shrinkage

The Governor recommends FY 1999 operating expenditures of \$15,922,910, a reduction of \$70,145.

Senate Ways and Means Committee

Date 3/9/99

Attachment # 4

- The Governor increased shrinkage rates from 4.0 to 4.5 percent producing \$68,430 in savings.

Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

Senate Subcommittee Report

Agency: El Dorado Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 868

Budget Page No. 163

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99	Senate Subc. Adj.
All Funds:			
State Operations	\$ 15,991,340	\$ 15,922,910	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 15,991,340	\$ 15,922,910	\$ 0
Capital Improvements	385,293	385,293	0
TOTAL	\$ 16,376,633	\$ 16,308,203	\$ 0
State General Fund:			
State Operations	\$ 15,918,709	\$ 15,850,279	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 15,918,709	\$ 15,850,279	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 15,918,709	\$ 15,850,279	\$ 0
FTE Positions	386.0	386.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	386.0	386.0	0.0

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Senate Subcommittee Recommendation


The Subcommittee concurs with the Governor's recommendation.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano, Jr.

House Budget Committee Report

Agency: El Dorado Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 868

Budget Page No. 163

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 17,353,370	\$ 16,478,457	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 17,353,370</u>	<u>\$ 16,478,457</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 17,353,370</u></u>	<u><u>\$ 16,478,457</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 17,278,966	\$ 16,404,053	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 17,278,966</u>	<u>\$ 16,404,053</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 17,278,966</u></u>	<u><u>\$ 16,404,053</u></u>	<u><u>\$ 0</u></u>
FTE Positions	394.0	386.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>394.0</u></u>	<u><u>386.0</u></u>	<u><u>0.0</u></u>

Agency Request/Governor's Recommendation

The agency's FY 2000 operating expenditures request totals \$17,353,370, an increase of \$1,362,030, or 8.5 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$39,160), merit increases, and a 4.0 shrinkage rate
- Includes \$613,029 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$423,691, or 2.6 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget, although the agency request 8.0 new positions discussed below
- Pending resolution of the Department of Correction's request for funding for the transfer of the reception and diagnostic unit from Topeka to El Dorado, significant operating changes will be forestalled until FY 2001

Agency Requested Enhancements for FY 2000

- Request totals \$938,339 and includes 8.0 FTE
- All funding from SGF

4.4

FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits	\$ 226,356	\$ 226,356	0.0	\$ 97,726	\$ 97,726	0.0
New Positions	197,922	197,922	8.0	0	0	0.0
Capital Outlay	514,061	514,061	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 938,339	\$ 938,339	8.0	\$ 97,726	\$ 97,726	0.0

The Governor recommends FY 2000 expenditures of \$16,478,457 (\$16,404,053 State General Fund), an increase of \$555,547 or 3.5 percent over the FY 1999 recommendation.

- Current services budget recommendation with only major adjustments to salaries and wages
- \$13,582,962 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$1,811,835 for contractual services
- \$974,660 for commodities
- \$109,000 for capital outlay
- Includes \$97,726 for requested enhancements to create a new pay range between Correctional Officer I and II positions and increases the staff attorney salary

Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

4.5

Senate Subcommittee Report

Agency: El Dorado Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 868

Budget Page No. 163

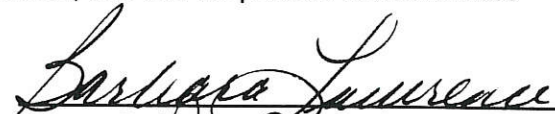
Expenditure	Agency Req. FY 00	Gov. Rec. FY 00	Senate Subc. Adj.*
All Funds:			
State Operations	\$ 17,353,370	\$ 16,478,457	\$ (342,876)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 17,353,370	\$ 16,478,457	\$ (342,876)
Capital Improvements	0	0	0
TOTAL	\$ 17,353,370	\$ 16,478,457	\$ (342,876)
State General Fund:			
State Operations	\$ 17,278,966	\$ 16,404,053	\$ (342,876)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 17,278,966	\$ 16,404,053	\$ (342,876)
Capital Improvements	0	0	0
TOTAL	\$ 17,278,966	\$ 16,404,053	\$ (342,876)
FTE Positions	394.0	386.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	394.0	386.0	0.0

* Excluding the \$342,876 for the pay plan, the Subcommittee makes no adjustment to the agency's budget.


Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments.

1. Delete \$342,876 all SGF to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.


 Senator Barbara Lawrence, Chair


 Senator Nick Jordan


 Senator Paul Feleciano, Jr.

House Budget Committee Report

Agency: Hutchinson Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 885

Budget Page No. 255

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99*	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 21,979,863	\$ 21,844,696	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 21,979,863</u>	<u>\$ 21,844,696</u>	<u>\$ 0</u>
Capital Improvements	661,125	661,125	0
TOTAL	<u><u>\$ 22,640,988</u></u>	<u><u>\$ 22,505,821</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 21,704,863	\$ 21,569,696	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 21,704,863</u>	<u>\$ 21,569,696</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 21,704,863</u></u>	<u><u>\$ 21,569,696</u></u>	<u><u>\$ 0</u></u>
FTE Positions	510.0	510.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>510.0</u></u>	<u><u>510.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1, Item 15.

Agency Estimate/Governor's Recommendation

The agency's revised FY 1999 estimate of operating expenditures totals \$21,979,863, an increase of \$24,000 or less than 1.0 percent from the amount approved by the 1998 Legislature of \$21,955,863.

- The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility
- KDOC shifted \$24,000 SGF to the facility

Salaries and Wages

- Request for salaries and wages total \$18,449,245 for 510.0 FTE.
- Includes \$657,105 for overtime payments
- 3.5 percent shrinkage

Senate Ways and Means Committee

Date 3/2/99

Attachment # 51

The Governor recommends FY 1999 expenditures of \$21,807,940 (\$21,532,940 SGF) a reduction of \$147,923 or 1.0 percent from the approved amount

- Includes \$147,923 or 1.0 percent from the approved amount
- Increased shrinkage from 3.5 percent to 4.5 percent resulting in savings of \$132,349
- A \$39,574 retirement reduction

Budget Committee Recommendation

The Committee concurs with the Governor's recommendation, with the following note:

1. Concur with Governor's Budget Amendment, No. 1, Item 15, to add \$36,756 SGF to correct an error deleting a retirement reduction salary twice.

Senate Subcommittee Report

Agency: Hutchinson Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 885

Budget Page No. 255

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99*	Senate Subcommittee Adj.
All Funds:			
State Operations	\$ 21,979,863	\$ 21,844,696	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 21,979,863</u>	<u>\$ 21,844,696</u>	<u>\$ 0</u>
Capital Improvements	661,125	661,125	0
TOTAL	<u><u>\$ 22,640,988</u></u>	<u><u>\$ 22,505,821</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 21,704,863	\$ 21,569,696	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 21,704,863</u>	<u>\$ 21,569,696</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 21,704,863</u></u>	<u><u>\$ 21,569,696</u></u>	<u><u>\$ 0</u></u>
FTE Positions	510.0	510.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>510.0</u></u>	<u><u>510.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1, Item 15.

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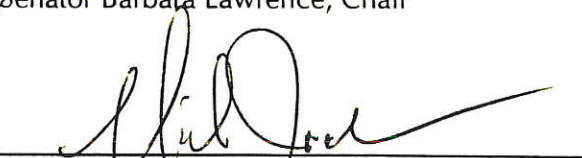
Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following comment.

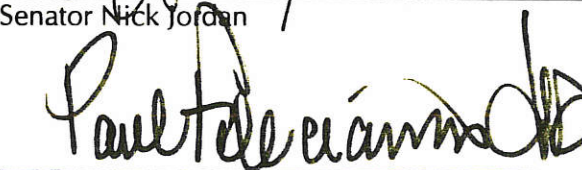
1. Concur with GBA No.1, Item 15, to add \$36, 756 SGF to correct an error deleting a retirement reduction salary twice.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano Jr.

House Budget Committee Report

Agency: Hutchinson Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 885

Budget Page No. 255

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00*	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 23,565,850	\$ 22,765,142	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 23,565,850</u>	<u>\$ 22,765,142</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 23,565,850</u></u>	<u><u>\$ 22,765,142</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 23,290,850	\$ 22,487,669	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 23,290,850</u>	<u>\$ 22,487,669</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 23,290,850</u></u>	<u><u>\$ 22,487,669</u></u>	<u><u>\$ 0</u></u>
FTE Positions	512.0	509.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>512.0</u></u>	<u><u>509.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1, Item 15.

Agency Request/Governor's Recommendation

Agency FY 2000 operating expenditures request totals \$23,565,850, an increase of \$1,585,987, or 7.2 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$156,880), merit increases, and a 3.5 percent shrinkage rate
- Includes \$673,532 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$608,140, or 2.8 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget

Agency Requested Enhancements for FY 2000

- Request totals \$977,847
- All funding from SGF

FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits Package	\$ 401,331	\$ 401,331	0.0	\$ 260,290	\$ 260,290	0.0
New Security Officer Positions	47,151	47,151	2.0	0	0	0.0
Capital Outlay	529,365	529,365	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 977,847	\$ 977,847	2.0	\$ 260,290	\$ 260,290	0.0

The Governor recommends FY 2000 expenditures totaling \$22,728,026, an increase of \$920,085 or 4.2 percent over the FY 1999 recommendation.

7. A current services budget with adjustments to salaries and wages.
 1. \$19,099,601 for salaries and wages, including increase in shrinkage rates, and base salary adjustment of 1.0 percent
 2. \$1,765,897 for contractual services
 3. \$1,589,074 for commodities
 4. \$273,454 for capital outlay
 5. Includes \$260,290 SGF for requested enhancements
 - a. \$250,949 to create a new corrections office pay range and abolish trainee title
 - b. \$9,341 to increase the staff attorney's salary

Budget Committee Recommendation

The Committee concurs with the Governor's recommendation with the following note:

1. Concur with Governor's Budget Amendment, No. 1, Item 15, to add \$37,116 SGF to correct an error deleting a retirement reduction salary twice.

Senate Subcommittee Report

Agency: Hutchinson Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 885

Budget Page No. 255

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00*	Senate Subcommittee Adj.
All Funds:			
State Operations	\$ 23,565,850	\$ 22,765,142	\$ (540,109)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 23,565,850	\$ 22,765,142	\$ (540,109)
Capital Improvements	0	0	0
TOTAL	<u>\$ 23,565,850</u>	<u>\$ 22,765,142</u>	<u>\$ (540,109)</u>
State General Fund:			
State Operations	\$ 23,290,850	\$ 22,487,669	\$ (535,349)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 23,290,850	\$ 22,487,669	\$ (535,349)
Capital Improvements	0	0	0
TOTAL	<u>\$ 23,290,850</u>	<u>\$ 22,487,669</u>	<u>\$ (535,349)</u>
FTE Positions	512.0	509.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0
TOTAL	<u>512.0</u>	<u>509.0</u>	<u>0.0</u>

* Includes GBA No. 1, Item 15.

** Excluding \$540,109 to remove the pay plan. The Subcommittee made no adjustment to the Governor's budget.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments.

1. Delete \$540,109, including \$535,349 SGF, to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.
2. Concur with GBA No. 1, Item 15, to add \$36,756 to correct an error deleting a retirement reduction salary twice.

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3. The Subcommittee notes the facility has received a "Drug-Free State Prison Demonstration Project" grant from the National Institute of Corrections. The grant is for a three-year project to reduce the admission of drugs into the correctional facility. The \$500,000 grant will be used mainly for personnel and hardware. The agency reports they will spend \$93,320 for a drug technician officer and supplies, \$93,320 for a K-9 handler and supplies, and \$240,000 for a body search inspection system and two ion spectrometers to detect contraband.



Senator Barbara Lawrence, Chair



Senator Nick Jordan



Senator Paul Feleciano Jr.

House Budget Committee Report

Agency: Ellsworth Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 852

Budget Page No. 165

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 8,128,865	\$ 7,923,686	0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,128,865	\$ 7,923,686	0
Capital Improvements	0	0	0
TOTAL	<u>\$ 8,128,865</u>	<u>\$ 7,923,686</u>	<u>0</u>
State General Fund:			
State Operations	\$ 8,095,153	\$ 7,889,974	0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,095,153	\$ 7,889,974	0
Capital Improvements	0	0	0
TOTAL	<u>\$ 8,095,153</u>	<u>\$ 7,889,974</u>	<u>0</u>
FTE Positions	184.5	184.5	0.0
Unclass. Temp. Positions	1.0	1.0	0.0
TOTAL	<u>185.5</u>	<u>185.0</u>	<u>0.0</u>

Agency Estimate/Governor's Recommendation

The agency's revised FY 1999 estimate of operating expenditures totals \$8,128,865, a reduction of \$4,198 SGF, or less than 1.0 percent, from the amount approved by the 1998 Legislature of \$8,133,063.

- The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.
- Agency spends \$5,502 less than budgeted from the General Fees Fund

Salaries and Wages

- Request for salaries and wages total \$6,566,166 for 184.5 FTE. positions
- Includes \$80,880 for overtime payments
- 2.5 percent shrinkage
- 1.0 unclassified temporary FTE supervises inmate work crews on highways, for which Kansas Department of Transportation reimburses the agency for salary and wages

Senate Ways and Means Committee

Date 10/6/98

Attachment # 1

The Governor recommends FY 1999 expenditures of \$7,889,974, or \$209,377 less than the approved amount.

- Increased salaries and wages shrinkage from 2.5 to 5.0 percent producing \$166,425 in savings

Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

Senate Subcommittee Report

Agency: Ellsworth Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 852

Budget Page No. 165

Expenditure	Agency Est. FY 99	Gov. Rec. FY 99	Senate Subc. Adj.
All Funds:			
State Operations	\$ 8,128,865	\$ 7,923,686	0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,128,865	\$ 7,923,686	0
Capital Improvements	0	0	0
TOTAL	<u>\$ 8,128,865</u>	<u>\$ 7,923,686</u>	<u>0</u>
State General Fund:			
State Operations	\$ 8,095,153	\$ 7,889,974	0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,095,153	\$ 7,889,974	0
Capital Improvements	0	0	0
TOTAL	<u>\$ 8,095,153</u>	<u>\$ 7,889,974</u>	<u>0</u>
FTE Positions	184.5	184.5	0.0
Unclass. Temp. Positions	1.0	1.0	0.0
TOTAL	<u>185.5</u>	<u>185.0</u>	<u>0.0</u>


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Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.



Senator Alicia Salisbury, Chair



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Ellsworth Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 852

Budget Page No. 165

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00	House Budget Comm. Adj.
All Funds:			
State Operations	\$ 8,535,934	\$ 8,207,086	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,535,934	\$ 8,207,086	\$ 0
Capital Improvements	541,960	0	0
TOTAL	\$ 9,077,894	\$ 8,207,086	\$ 0
State General Fund:			
State Operations	\$ 8,500,144	\$ 8,170,278	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,500,144	\$ 8,170,278	\$ 0
Capital Improvements	541,960	0	0
TOTAL	\$ 9,042,104	\$ 8,170,278	\$ 0
FTE Positions	187.5	184.5	0.0
Unclass. Temp. Positions	1.0	1.0	0.0
TOTAL	188.5	185.5	0.0

Agency Request/Governor's Recommendation

The agency's FY 2000 operating expenditures request totals \$8,535,934 an increase of \$407,069, or 5.0 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$43,720), merit increases, and a 2.5 shrinkage rate
- Includes \$82,902 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$137,978, or 1.7 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget

Agency Requested Enhancements for FY 2000

- Request totals \$279,839
- All funding from SGF
- Agency capital improvements request discussed in the Capital Improvements section for \$541,960 SGF for an industries and maintenance building

FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits Package	\$ 107,068	\$ 107,068	0.0	\$ 60,818	\$ 60,818	0.0
New Positions	99,571	99,571	3.0	0	0	0.0
Capital Outlay	73,200	73,200	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 279,839	\$ 279,839	3.0	\$ 60,818	\$ 60,818	0.0

The Governor recommends FY 2000 expenditures of \$8,207,086 (\$8,170,278 SGF), an increase of \$283,400 or 3.6 percent over the FY 1999 recommendation.

- Current services budget recommendation with only major adjustments to salaries and wages
- \$6,688,812 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$866,882 for contractual services
- \$578,294 for commodities
- \$73,098 for capital outlay
- Includes \$60,818 for requested enhancements to increase staff attorney salary, abolish the trainee position, and add the new pay range.

Budget Committee Recommendation

The Committee concurs with the Governor's recommendation with the following comment:

1. The Budget Committee commends the new warden for the cooperation and demeanor he exhibited while working with inmates during a committee tour of the facility.

Senate Subcommittee Report

Agency: Ellsworth Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 852

Budget Page No. 165

Expenditure	Agency Req. FY 00	Gov. Rec. FY 00	Senate Subc. Adj.*
All Funds:			
State Operations	\$ 8,535,934	\$ 8,207,086	\$ (174,859)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 8,535,934</u>	<u>\$ 8,207,086</u>	<u>\$ (174,859)</u>
Capital Improvements	541,960	0	0
TOTAL	<u><u>\$ 9,077,894</u></u>	<u><u>\$ 8,207,086</u></u>	<u><u>\$ (174,859)</u></u>
State General Fund:			
State Operations	\$ 8,500,144	\$ 8,170,278	\$ (173,959)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 8,500,144</u>	<u>\$ 8,170,278</u>	<u>\$ (173,959)</u>
Capital Improvements	541,960	0	0
TOTAL	<u><u>\$ 9,042,104</u></u>	<u><u>\$ 8,170,278</u></u>	<u><u>\$ (173,959)</u></u>
FTE Positions	187.5	184.5	0.0
Unclass. Temp. Positions	1.0	1.0	0.0
TOTAL	<u><u>188.5</u></u>	<u><u>185.5</u></u>	<u><u>0.0</u></u>

* Excluding the \$174,859 to remove the pay plan, the Subcommittee made no other adjustments.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments.

1. Delete \$174,859, including \$173,959 SGF, to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.
2. The Subcommittee concurs with the Governor's recommendation to abolish the corrections officer trainee position (range 15) and the establishment of a new pay range 18 between the Correctional Officer I and II positions for \$59,523. Also included is \$10,748 SGF to increase the staff attorney salary. The Subcommittee supports this enhancement of staff salaries to attract and retain staff, limit turnover, forestall efforts by other states and entities to lure away correctional staff, and to promote professionalism among front-line correctional staff. The Subcommittee notes the Governor has also increased shrinkage rates at all the correctional facilities. While increased shrinkage rates more accurately reflect historical turnover rates at facilities, the Governor should monitor the impact of salary enhancements which

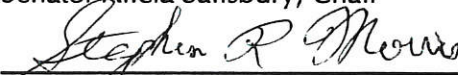
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may retain more employees, thereby offsetting the need for increased shrinkage rates. It would be counterproductive to increase staff salaries, but provide insufficient funding to allow the agencies to pay new staff if they are compelled to hold open positions because of high shrinkage rates.

3. The Subcommittee commends the efforts of the facility to operate the Spiritual Life Center which emphasizes religious change as an essential element to address criminal behavior. The Subcommittee notes the program is operated entirely with donations. The Subcommittee notes as well that a similar program is under consideration at the Winfield Correctional Facility.
4. At Ellsworth Correctional Facility, and each of the facilities reviewed by this Subcommittee, the Subcommittee commends the facilities and the Department of Correction for their skillful use of funding to establish a videoconferencing system which enabled the Subcommittee to hold meetings via videoconference, but which is more regularly used for Parole Board hearings, staff training, and a variety of other valuable purposes.
5. The following comments concern inmate programming at each of the correctional facilities this Subcommittee reviewed. The Subcommittee commends each correctional facility and the Department of Corrections for their continued efforts to provide the Legislature with valuable program evaluation information. The agencies for the last two years have provided invaluable documented evidence of the strengths and limitations of the programs we provide to offenders inside prisons. In the near future, it is the Department's intention to provide similar program success data for the programs provided to parolees and individuals on postrelease supervision. New programs such as the therapeutic community and the values-based program at Winfield will demand more follow-up to determine their effectiveness, and the program evaluation efforts to this point provide a starting point.
6. The following comments concern the retirement reductions at each of the correctional facilities this Subcommittee reviewed. The Subcommittee took testimony about the impact of the current 3 for 4 retirement reduction program. The retirement reduction statute exempts correctional officers. The Department of Corrections has stated they will not take retirement reductions in the parole officer ranks, with the effect that most retirements come from support staff, administration, or other non-security related programs. Food and medical services are privatized so they provide no positions that may be eliminated. The Subcommittee questions the value of continuing this program, which has a disproportionate impact on the older correctional facilities.



Senator Alicia Salisbury, Chair



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Lansing Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 903

Budget Page No. 319

Expenditure Summary	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 29,868,574	\$ 29,485,674	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 29,868,574	\$ 29,485,674	\$ 0
Capital Improvements	235,864	235,864	0
TOTAL	<u>\$ 30,104,438</u>	<u>\$ 29,721,538</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 29,726,874	\$ 29,343,974	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 29,726,874	\$ 29,343,974	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 29,726,874</u>	<u>\$ 29,343,974</u>	<u>\$ 0</u>
FTE Positions	702.0	702.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>702.0</u>	<u>702.0</u>	<u>0.0</u>

Agency Estimate/Governor's Recommendation

The agency's revised FY 1999 estimate of operating expenditures totals \$29,868,574, a reduction of \$198,934 SGF, or 0.6 percent, from the amount approved by the 1998 Legislature of \$30,067,508.

1. The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.
2. Agency had \$410,390 SGF in savings from FY 1998
 - a. Mainly salary and wages savings, including \$101,338 from lower fringe benefit costs
 - b. \$199,000 transferred to other facilities and Central Office
 - c. \$211,390 will be spent on unidentified capital outlay goods

Senate Ways and Means Committee

Date 3-1-99

Attachment # 101

Salaries and Wages

3. Request for salaries and wages total \$24,721,352 for 702.0 FTE.
4. Includes \$637,764 for overtime payments
5. 3.5 percent shrinkage

The Governor recommends FY 1999 expenditures of \$29,485,674, a reduction of \$581,834 from the approved budget.

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

Senate Subcommittee Report

Agency: Lansing Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 903

Budget Page No. 319

<u>Expenditure Summary</u>	<u>Agency Est. FY 99</u>	<u>Gov. Rec. FY 99</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 29,868,574	\$ 29,485,674	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 29,868,574	\$ 29,485,674	\$ 0
Capital Improvements	235,864	235,864	0
TOTAL	<u>\$ 30,104,438</u>	<u>\$ 29,721,538</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 29,726,874	\$ 29,343,974	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 29,726,874	\$ 29,343,974	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 29,726,874</u>	<u>\$ 29,343,974</u>	<u>\$ 0</u>
FTE Positions	702.0	702.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>702.0</u>	<u>702.0</u>	<u>0.0</u>

Senate Subcommittee Recommendations

The Subcommittee concurs with the Governor's recommendations.



Senator Alicia Salisbury



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Lansing Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 903

Budget Page No. 319

Expenditure Summary	Agency Req. FY 00	Gov. Rec. FY 00*	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 31,219,799	\$ 30,578,398	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 31,219,799</u>	<u>\$ 30,578,398</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 31,219,799</u></u>	<u><u>\$ 30,578,398</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 31,069,799	\$ 30,428,398	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 31,069,799</u>	<u>\$ 30,428,398</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 31,069,799</u></u>	<u><u>\$ 30,428,398</u></u>	<u><u>\$ 0</u></u>
FTE Positions	702.0	702.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>702.0</u></u>	<u><u>702.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1, Item 16.

Agency Req./Governor's Recommendation

Agency FY 2000 operating expenditures request totals \$31,219,799 an increase of \$1,351,225, or 4.5 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$232,440), merit increases, and a 3.5 shrinkage rate
- Includes \$637,764 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$529,891, or 1.8 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget

Agency Requested Enhancements for FY 2000

- Request totals \$821,334
- All funding from SGF

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits Package	\$ 428,187	\$ 428,187	0.0	\$ 232,277	\$ 232,277	0.0
Capital Outlay	393,147	393,147	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 821,334	\$ 821,334	0.0	\$ 232,277	\$ 232,277	0.0

The Governor recommends FY 2000 expenditures of \$30,571,930 (\$30,421,930 SGF), an increase of \$1,086,256 or 3.7 percent over the FY 1999 recommendation.

- Current services budget recommendation with only major adjustments to salaries and wages
- \$25,562,233 for salaries and wages, including increase in shrinkage rates and base salary adjustment
- \$2,208,052 for contractual services
- \$2,401,645 for commodities
- \$400,000 for capital outlay
- Includes \$232,277 for requested enhancements to create a new correctional officer pay range and increase the staff attorney salary

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation, with the following comment:

1. Concur with Governor's Budget Amendment, No. 1, Item 16 to add \$6,468 SGF to include full funding for the Governor's pay plan.

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Senate Subcommittee Report

Agency: Lansing Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 903

Budget Page No. 319

Expenditure Summary	Agency Req. FY 00	Gov. Rec. FY 00*	Senate Subcommittee Adjustments**
All Funds:			
State Operations	\$ 31,219,799	\$ 30,578,398	\$ (791,659)
Aid to Local Units	0	0	0
Claims	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 31,219,799	\$ 30,578,398	\$ (791,659)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 31,219,799</u></u>	<u><u>\$ 30,578,398</u></u>	<u><u>\$ (791,659)</u></u>
State General Fund:			
State Operations	\$ 31,069,799	\$ 30,428,398	\$ (791,659)
Aid to Local Units	0	0	0
Claims	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 31,069,799	\$ 30,428,398	\$ (791,659)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 31,069,799</u></u>	<u><u>\$ 30,428,398</u></u>	<u><u>\$ (791,659)</u></u>
FTE Positions	702.0	702.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>702.0</u></u>	<u><u>702.0</u></u>	<u><u>0.0</u></u>

* Includes GBA No. 1, Item 16.

** Excluding removal of \$791,659 for the pay plan, the Subcommittee made no adjustments to the Governor's recommendation.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments:

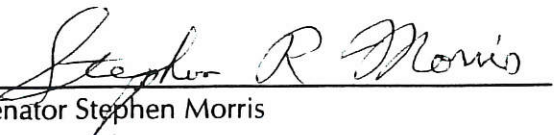
1. Delete \$791,659 all SGF to remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.

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2. The Subcommittee concurs with the Governor's recommendation to abolish the corrections officer trainee position (range 15) and the establishment of a new pay range 18 between the Correctional Officer I and II positions for \$215,060 SGF. Also included is \$8,948 SGF to increase the staff attorney salary. The Subcommittee supports this effort to enhance staff salaries in an effort to attract and retain staff, limit turnover, forestall efforts by other states and entities to lure away correctional staff, and to promote professionalism among front-line correctional staff. The Subcommittee notes the Governor has also increased shrinkage rates at all the correctional facilities. While increased shrinkage rates more accurately reflect historical turnover rates at facilities, the Governor should monitor the impact of salary enhancements which may retain more employees, thereby offsetting the need for increased shrinkage rates. It would be counterproductive to increase staff salaries, but provide insufficient funding to allow the agencies to pay new staff if they are compelled to hold open positions because of high shrinkage rates.



Senator Alicia Salisbury



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Larned Correctional Mental
Health Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 970

Budget Page No. 321

Expenditure Summary	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 6,701,660	\$ 6,622,237	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 6,701,660	\$ 6,622,237	\$ 0
Capital Improvements	1,199	1,199	0
TOTAL	\$ 6,702,859	\$ 6,623,436	\$ 0
State General Fund:			
State Operations	\$ 6,695,137	\$ 6,615,714	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 6,695,137	\$ 6,615,714	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 6,695,137	\$ 6,615,714	\$ 0
FTE Positions	177.0	177.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	177.0	177.0	0.0

Agency Estimate/Governor's Recommendation

The revised FY 1999 estimate of operating expenditures totals \$6,701,660, an increase \$3,171 or less than 0.1 percent, from the amount approved by the 1998 Legislature of \$6,698,489.

- The Secretary of Corrections has the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility
- Agency expended and additional \$3,171 in federal funds for libraries

Senate Ways and Means Committee

Date 3/2/99

Attachment # 8-1

Salaries and Wages

- Request for salaries and wages total \$5,907,842 for 177.0 FTE.
- Includes \$138,740 for overtime payments
- 3.0 percent shrinkage, except for Security at 4.0 percent
- Deleted 1.0 Custodial Supervisor pursuant to staffing analysis

The Governor recommends FY 1999 expenditures of \$6,622,237, a reduction of \$79,423 SGF from the agency request.

- Reduction due to increased shrinkage from 3.0 to 5.0.

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

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Senate Subcommittee Report

Agency: Larned Correctional Mental
Health Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 970

Budget Page No. 321


<u>Expenditure Summary</u>	<u>Agency Est. FY 99</u>	<u>Gov. Rec. FY 99</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 6,701,660	\$ 6,622,237	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 6,701,660	\$ 6,622,237	\$ 0
Capital Improvements	1,199	1,199	0
TOTAL	<u>\$ 6,702,859</u>	<u>\$ 6,623,436</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 6,695,137	\$ 6,615,714	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 6,695,137	\$ 6,615,714	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 6,695,137</u>	<u>\$ 6,615,714</u>	<u>\$ 0</u>
FTE Positions	177.0	177.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>177.0</u>	<u>177.0</u>	<u>0.0</u>

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations.



Senator Alicia Salisbury



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Larned Correctional Mental Health Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 970

Budget Page No. 321

Expenditure Summary	Agency Req. FY 00	Gov. Rec. FY 00	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 7,056,932	\$ 6,875,937	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 7,056,932	\$ 6,875,937	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 7,056,932	\$ 6,875,937	\$ 0
State General Fund:			
State Operations	\$ 7,056,932	\$ 6,875,937	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 7,056,932	\$ 6,875,937	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 7,056,932	\$ 6,875,937	\$ 0
FTE Positions	177.0	177.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	177.0	177.0	0.0

Agency Req./Governor's Recommendation

Agency FY 2000 operating expenditures request totals \$7,056,932 an increase of \$355,272, or 5.3 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$34,640), merit increases, and a 3.5 shrinkage rate except for Security at 4.0 percent
- Includes \$138,740 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$177,292, or 2.6 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget

Agency Requested Enhancements for FY 2000

- Request totals \$176,781
- All funding from SGF

FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits Package	\$ 125,302	\$ 125,302	0.0	\$ 81,186	\$ 81,186	0.0
Capital Outlay	51,479	51,479	0.0	0	0	0.0
TOTAL - FY 1999 Enhancement Request	\$ 176,781	\$ 176,781	0.0	\$ 81,186	\$ 81,186	0.0

The Governor recommends FY 2000 expenditures of \$6,875,937 (all SGF), an increase of \$253,700 or 3.8 percent over the FY 199 recommendation.

- Current services budget recommendation with only major adjustments to salaries and wages
- \$6,073,317 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$390,810 for contractual services
- \$312,270 for commodities
- \$99,540 for capital outlay
- Includes \$81,186 for requested enhancements
 - New salary range 18 for correctional officers and abolition of range 15 for trainees

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation, with the following comment:

1. The Budget Committee commends the warden and her staff for their ability to add 51 minimum custody male beds at the West Unit without requesting additional staff. The committee welcomes this efficient use of staff and funding.
2. The Budget Committee is concerned about the future of the sexual predator unit at the facility. Currently, 21 sexual predators are housed in one 30-bed wing of the facility. When the number of individuals in the program reaches 30, the program will have to be moved from the facility. The budget committee strongly recommends the sexual predator unit be moved out of the correctional facility within the next two years. Housing the sexual predators in the correctional facility was intended to be a temporary solution. The treatment model used for sex offenders conflicts with the correctional model of behavior required of the inmates, particularly with mentally disturbed inmates. The Department of Corrections has an ever-growing need for mental health treatment beds, and currently must return inmates to the general population to open a bed for another inmate in a crisis situation, despite the fact that the displaced inmate may not be ready to leave the mental health facility.

g-b

Senate Subcommittee Report

Agency: Larned Correctional Mental Health Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 970

Budget Page No. 321

Expenditure Summary	Agency Req. FY 00	Gov. Rec. FY 00	Senate Subcom- mittee Adjustments*
All Funds:			
State Operations	\$ 7,056,932	\$ 6,875,937	\$ (161,899)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 7,056,932</u>	<u>\$ 6,875,937</u>	<u>\$ (161,899)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,056,932</u></u>	<u><u>\$ 6,875,937</u></u>	<u><u>\$ (161,899)</u></u>
State General Fund:			
State Operations	\$ 7,056,932	\$ 6,875,937	\$ (161,899)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 7,056,932</u>	<u>\$ 6,875,937</u>	<u>\$ (161,899)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,056,932</u></u>	<u><u>\$ 6,875,937</u></u>	<u><u>\$ (161,899)</u></u>
FTE Positions	177.0	177.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>177.0</u></u>	<u><u>177.0</u></u>	<u><u>0.0</u></u>

* Excluding removal of \$161,899 for the pay plan, the Subcommittee made no adjustment to the budget.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments:

1. Delete \$161,899 all SGF remove the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.
2. The Subcommittee concurs with the Governor's recommendation to abolish the corrections officer trainee position (range 15) and the establishment of a new pay range 18 between the Correctional Officer I and II positions for \$79,664 SGF. The


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Subcommittee supports this effort to enhance staff salaries in an effort to attract and retain staff, limit turnover, forestall efforts by other states and entities to lure away correctional staff, and to promote professionalism among front-line correctional staff. The Subcommittee notes the Governor has also increased shrinkage rates at all the correctional facilities. While increased shrinkage rates more accurately reflect historical turnover rates at facilities, the Governor should monitor the impact of salary enhancements which may retain more employees, thereby offsetting the need for increased shrinkage rates. It would be counterproductive to increase staff salaries, but provide insufficient funding to allow the agencies to pay new staff if they are compelled to hold open positions because of high shrinkage rates.


3. The Subcommittee is concerned about the future of the sexual violent predator unit at the facility. Currently, 21 sexual predators are housed in one 30-bed wing of the facility. When the number of individuals in the program reaches 30, the program will have to be moved from the facility. The 1998 Legislature appropriated funding for the Department of Social and Rehabilitation Services (SRS) to begin planning for a new arrangement for the sexual predators. Resolution of the future location of the program must be resolved and the Subcommittee encourages the Joint Committee on State Building Construction, the Ways and Means Subcommittee on SRS, as well as the full Ways and Means Committee to review this issue and begin pursuing a separate facility for the sexually violent predators.



Senator Alicia Salisbury



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Winfield Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 973

Budget Page No. 495

Expenditure Summary	Agency Est. FY 99	Gov. Rec. FY 99	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 8,811,928	\$ 8,705,658	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 8,811,928	\$ 8,705,658	\$ 0
Capital Improvements	92,797	92,797	0
TOTAL	\$ 8,904,725	\$ 8,798,455	\$ 0
State General Fund:			
State Operations	\$ 8,686,228	\$ 8,579,958	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,686,228	\$ 8,579,958	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 8,686,228	\$ 8,579,958	\$ 0
FTE Positions	202.0	202.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	202.0	202.0	0.0

Agency Estimate/Governor's Recommendation

Change for 1998 Session Approved Budget. The revised FY 1999 estimate of operating expenditures totals \$8,811,928, the same amount approved by the 1998 Legislature.

Salaries and Wages

- Request for salaries and wages total \$6,872,131 for 202.0 FTE.
- Includes \$71,061 for overtime payments
- 3.0 percent shrinkage

The Governor recommends FY 1999 expenditures of \$8,705,658, a reduction of \$106,171 mainly due to an increase in salaries and wages shrinkage from 3.0 to 4.5 percent.

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation.

Senate Subcommittee Report

Agency: Winfield Correctional Facility

Bill No. -

Bill Sec. -

Analyst: Little

Analysis Pg. No. 973

Budget Page No. 495

<u>Expenditure Summary</u>	<u>Agency Est. FY 99</u>	<u>Gov. Rec. FY 99</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 8,811,928	\$ 8,705,658	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 8,811,928	\$ 8,705,658	\$ 0
Capital Improvements	92,797	92,797	0
TOTAL	<u>\$ 8,904,725</u>	<u>\$ 8,798,455</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 8,686,228	\$ 8,579,958	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 8,686,228	\$ 8,579,958	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 8,686,228</u>	<u>\$ 8,579,958</u>	<u>\$ 0</u>
FTE Positions	202.0	202.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>202.0</u>	<u>202.0</u>	<u>0.0</u>


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Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.



Senator Alicia Salisbury, Chair



Senator Stephen Morris



Senator Marge Petty

House Budget Committee Report

Agency: Winfield Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 973

Budget Page No. 495

Expenditure Summary	Agency Req. FY 00	Gov. Rec.* FY 00	House Budget Committee Adjustments
All Funds:			
State Operations	\$ 9,489,612	\$ 8,949,751	\$ 0
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	\$ 9,489,612	\$ 8,949,751	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 9,489,612	\$ 8,949,751	\$ 0
State General Fund:			
State Operations	\$ 9,358,489	\$ 8,818,628	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 9,358,489	\$ 8,818,628	\$ 0
Capital Improvements	0	0	0
TOTAL	\$ 9,358,489	\$ 8,818,628	\$ 0
FTE Positions	202.0	202.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	202.0	202.0	0.0

* Includes \$7,293 for GBA No. 1, Item 17.

Agency Req./Governor's Recommendation

Agency FY 2000 operating expenditures request totals \$9,489,612 an increase of \$677,684, or 7.7 percent over the estimated FY 1999 operating expenditure amount.

- Includes full funding of longevity (\$57,728), merit increases, and a 3.0 shrinkage rate
- Includes \$71,120 for overtime
- Absent requested enhancements, the agency's request represents an increase of \$268,533, or 5.0 percent over the FY 1999 request
- No substantial changes in operations or programs included in FY 2000 budget

Agency Requested Enhancements for FY 2000

- Request totals \$409,151
- All funding from SGF

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FY 2000 Enhancements

Enhancement	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Compensation and Benefits	\$ 84,901	\$ 84,901	0.0	\$ 24,416	\$ 24,416	0.0
Capital Outlay	324,250	324,250	0.0	0	0	0.0
TOTAL - FY 2000 Enhancement Request	\$ 409,151	\$ 409,151	0.0	\$ 24,416	\$ 24,416	0.0

The Governor recommends FY 2000 expenditures of \$8,942,458 (\$8,811,335 SGF), an increase of \$236,800 or 2.7 percent over the FY 1999 recommendation.

- Current services budget recommendation with only major adjustments to salaries and wages
- \$6,935,197 for salaries and wages, including increase in shrinkage rates, and base salary adjustment
- \$1,255,250 for contractual services
- \$650,011 for commodities
- \$102,000 for capital outlay
- Includes \$24,416 for requested enhancements to add a salary range 18 between Correctional Officer I and II positions, although the funding does not appear to cover the entire cost

House Budget Committee Recommendation

The Committee concurs with the Governor's recommendation with the following note:

1. Concur with GBA No. 1, Item 17 to add \$7,293 SGF for technical correction to salaries and wages.

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Senate Subcommittee Report

Agency: Winfield Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

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Expenditure Summary	Agency Req. FY 00	Gov. Rec.* FY 00	Senate Subcommittee Adjustments**
All Funds:			
State Operations	\$ 9,489,612	\$ 8,949,751	\$ (174,953)
Aid to Local Units	0	0	0
Claims	0	0	0
Subtotal - Operating	<u>\$ 9,489,612</u>	<u>\$ 8,949,751</u>	<u>\$ (174,953)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,489,612</u></u>	<u><u>\$ 8,949,751</u></u>	<u><u>\$ (174,953)</u></u>
State General Fund:			
State Operations	\$ 9,358,489	\$ 8,818,628	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 9,358,489</u>	<u>\$ 8,818,628</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,358,489</u></u>	<u><u>\$ 8,818,628</u></u>	<u><u>\$ 0</u></u>
FTE Positions	202.0	202.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>202.0</u></u>	<u><u>202.0</u></u>	<u><u>0.0</u></u>

* Includes \$7,293 for GBA No. 1, Item 17.

** Excluding removal of \$174,953 for the pay plan, the Subcommittee makes no changes to the budget.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendations, with the following adjustments and comments.


1. Delete \$174,953, including \$172,802 SGF, to delete the Governor's pay plan, including longevity, step movement, 3.5 percent unclassified merit, and the 1.0 percent classified base salary adjustment.

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2. The Subcommittee concurs with the Governor's recommendation to abolish the corrections officer trainee position (range 15) and the establishment of a new pay range 18 between the Correctional Officer I and II positions for \$24,415. The Subcommittee supports this effort to enhance staff salaries in an effort to attract and retain staff, limit turnover, forestall efforts by other states and entities to lure away correctional staff, and to promote professionalism among front-line correctional staff. The Subcommittee notes the Governor has also increased shrinkage rates at all the correctional facilities. While increased shrinkage rates more accurately reflect historical turnover rates at facilities, the Governor should monitor the impact of salary enhancements which may retain more employees, thereby offsetting the need for increased shrinkage rates. It would be counterproductive to increase staff salaries, but provide insufficient funding to allow the agencies to pay new staff if they are compelled to hold open positions because of high shrinkage rates.

3. The Subcommittee commends the efforts of the Department of Corrections to establish a values-based therapeutic community at the facility. The program will emphasize religious change as an essential element to address criminal behavior and substance abuse problems. The Department of Corrections issued an RFP for a private operator to run the program and the Subcommittee notes the state is currently negotiating with a private operator to ensure no first amendment problems arise from the allocation of money to the program which will be funded from private donations and the Inmate Benefits Fund. The Subcommittee notes as well that a similar program operates on private donations at the Ellsworth Correctional Facility.


Senator Alicia Salisbury, Chair


Senator Stephen Morris


Senator Marge Petty

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