

.Approved: 3-28-97  
Date

MINUTES OF THE HOUSE SELECT COMMITTEE ON CORRECTIONS AND JUVENILE JUSTICE.

The meeting was called to order by Chairperson Joe Kejr at 7:30 a.m. on March 18, 1997 in Room 522-S of the Capitol.

All members were present except: Representative Ed McKechnie, Excused

Committee staff present: Stuart Little, Legislative Research Department  
Tricia Pierron, Legislative Research Department  
Jill Wolters, Revisor of Statutes  
Lynn Workman, Committee Secretary

Conferees appearing before the committee: Stuart Little, Legislative Research  
Charles Simmons, Secretary of Corrections

Others attending: See attached list

An overview of the correction budget and expansion was presented by Stuart Little of Legislative Research. (See Attachment # 1)  
Secretary of Corrections Charles Simmons discussed at length the inmate population trends and multiyear capacity expansion options. (See Attachment # 2)

The next meeting is scheduled for March 25, 1997



## KDOC Per Capita Operating Costs Governor's Recommendations

*Corrections & Juvenile Justice*  
3-18-97

Attachment #1

<u>Facility</u>	1997			1998		
	<u>ADP</u>	<u>Recommended Expenditures</u>	<u>Per Capita Cost</u>	<u>ADP</u>	<u>Recommended Expenditures</u>	<u>Per Capita Cost</u>
Lansing Correctional Facility	2,116	\$28,137,096	\$13,297	2,320	\$29,648,454	\$12,780
Hutchinson Correctional Facility	1,577	20,298,497	12,872	1,577	21,045,376	13,345
El Dorado Correctional Facility	1,126	14,938,012	13,266	1,164	15,471,871	13,292
Topeka Correctional Facility	780	11,129,246	14,268	800	12,054,546	15,068
Norton Correctional Facility	612	9,157,393	14,963	612	9,443,879	15,431
Ellsworth Correctional Facility	632	7,520,538	11,900	632	7,759,625	12,278
Winfield Correctional Facility	386	3,983,607	10,320	715	8,617,259	12,052
Wichita Work Release Facility	198	1,797,705	9,079	-	-	-
Larned Correctional Mental Health Facility	164	5,902,225	35,989	228	6,504,009	28,526
Subtotal - Facilities	7,591	\$102,864,319	\$13,551	8,048	\$110,545,019	\$13,736
Inmate Medical & Mental Health Care*	7,591	18,240,155	2,403	8,048	19,894,381	2,472
Inmate Programs**	7,591	7,195,314	948	8,048	8,168,881	1,015
Food Service	7,591	10,432,789	1,374	8,048	10,931,660	1,358
Total Expenditures	7,591	\$138,732,577	\$18,276	8,048	\$149,539,941	\$18,581

\*Includes off-budget expenditures of \$1,493,000 for FY 1997 and \$1,293,000 for FY 1998.

\*\*Includes off-budget expenditures of \$42,509 for FY 1997 and \$388,074 for FY 1998.

Note: Per capita operating costs are computed by dividing expenditures for facility operations, health care, programs, and food service by the systemwide ADP housed in KDOC facilities. The per capita costs do not include the allocation of central office administrative costs.

**Department of Corrections  
Systemwide Expenditure Summary  
All Funds**

<u>Program/Facility</u>	<u>Actual Expenditures FY 1996</u>	<u>Estimated Expenditures FY 1997</u>	<u>Requested Expenditures FY 1998</u>	<u>Governor's Recommendation FY 1998</u>
<b><u>OPERATING EXPENDITURES</u></b>				
<b>Department of Corrections</b>				
Central Administration	\$3,641,785	\$3,517,641	\$3,638,453	\$3,642,181
Information Systems	1,617,378	1,775,821	2,508,414	1,291,620
Parole and Postrelease Supervision	6,265,973	7,157,815	7,218,054	7,261,726
Community Corrections	16,787,592	17,383,670	17,954,137	13,618,809
Labette Correctional Conservation Camp	1,447,662	1,516,564	2,018,844	1,591,844
Offender Programs	7,359,879	9,587,697	12,865,402	10,664,242
Inmate Medical and Mental Health Care	16,647,498	16,696,155	18,089,391	18,601,381
Facilities Operations - Systemwide Projects	548,978	10,385,289	10,610,960	10,931,660
Kansas Correctional Industries	9,240,747	9,682,847	9,714,309	9,665,133
Debt Service	4,535,125	4,324,350	4,091,000	4,091,000
<i>Subtotal - Department of Corrections</i>	<u>\$68,092,617</u>	<u>\$82,027,849</u>	<u>\$88,708,964</u>	<u>\$81,359,596</u>
Ellsworth Correctional Facility	\$7,839,536	\$7,520,538	\$7,917,728	\$7,759,625
El Dorado Correctional Facility	15,966,664	14,938,012	15,919,263	15,471,871
Hutchinson Correctional Facility	21,618,420	20,298,497	21,627,463	21,045,376
Lansing Correctional Facility	29,169,675	28,137,096	30,090,219	29,648,454
Larned Correctional Mental Health Facility	5,754,084	5,902,225	6,187,450	6,504,009
Norton Correctional Facility	9,801,060	9,157,393	9,621,655	9,443,879
Topeka Correctional Facility	11,842,230	11,129,246	12,426,202	12,054,546
Winfield Correctional Facility	4,084,652	3,983,607	7,755,131	8,617,259
Wichita Work Release Facility	2,009,371	1,797,705	0	0
<i>Subtotal - Facilities</i>	<u>\$108,085,692</u>	<u>\$102,864,319</u>	<u>\$111,545,111</u>	<u>\$110,545,019</u>
<i>Subtotal - Operating Expenditures</i>	<u>\$176,178,309</u>	<u>\$184,892,168</u>	<u>\$200,254,075</u>	<u>\$191,904,615</u>
% Increase	-	4.9%	8.3%	3.8%
<b><u>CAPITAL IMPROVEMENTS</u></b>				
Department of Corrections	\$5,200,409	\$10,277,588	\$40,657,620	\$9,320,000
Ellsworth Correctional Facility	149,960	24,892	123,975	0
El Dorado Correctional Facility	341,557	1,173,112	0	0
Hutchinson Correctional Facility	1,993,983	855,835	46,697	0
Lansing Correctional Facility	721,990	1,844,732	876,025	0
Norton Correctional Facility	289,003	56,783	0	0
Topeka Correctional Facility	312,059	482,921	0	0
Winfield Correctional Facility	759,740	3,253	0	0
<i>Subtotal - Capital Improvements</i>	<u>\$9,768,701</u>	<u>\$14,719,116</u>	<u>\$41,704,317</u>	<u>\$9,320,000</u>
<i>Total - Expenditures *</i>	<u>\$185,947,010</u>	<u>\$199,611,284</u>	<u>\$241,958,392</u>	<u>\$201,224,615</u>
<i>Total - Positions</i>	3,040.5	2,949.5	2,981.5	3,015.5

\* Excludes "off-budget" expenditures financed from the Department of Corrections Inmate Benefit Fund of \$1,563,951 for FY 1996; \$1,899,944 for FY 1997; \$1,605,933 for FY 1998 request; and \$1,780,933 for FY 1998 recommendation.

**Department of Corrections  
Systemwide Expenditure Summary  
State General Fund**

<u>Program/Facility</u>	<u>Actual Expenditures FY 1996</u>	<u>Estimated Expenditures FY 1997</u>	<u>Requested Expenditures FY 1998</u>	<u>Governor's Recommendation FY 1998</u>
<b><u>OPERATING EXPENDITURES</u></b>				
Department of Corrections				
Central Administration	\$3,640,719	\$3,517,641	\$3,638,453	\$3,642,181
Information Systems	1,242,790	1,775,821	2,508,414	1,291,620
Parole and Postrelease Supervision	6,190,375	6,748,392	6,937,054	6,980,726
Community Corrections	15,781,474	16,627,990	17,204,137	13,618,809
Lafayette Correctional Conservation Camp	1,447,662	1,516,564	2,018,844	1,591,844
Offender Programs	6,821,357	9,282,747	12,093,749	9,892,589
Inmate Medical and Mental Health Care	16,623,498	16,672,155	18,065,391	18,577,381
Facilities Operations - Systemwide Projects	548,978	10,385,289	10,610,960	10,931,660
Debt Service	3,705,867	4,043,350	3,811,000	3,811,000
<i>Subtotal - Department of Corrections</i>	<u>\$56,002,720</u>	<u>\$70,569,949</u>	<u>\$76,888,002</u>	<u>\$70,337,810</u>
Sawtooth Correctional Facility	\$7,831,518	\$7,506,638	\$7,915,228	\$7,757,125
El Dorado Correctional Facility	15,894,128	14,663,413	15,853,264	15,405,872
Hatchinson Correctional Facility	21,349,483	19,677,351	21,382,463	20,800,376
Lansing Correctional Facility	29,052,505	27,715,024	30,032,969	29,593,454
Larned Correctional Mental Health Facility	5,754,084	5,885,575	6,184,098	6,500,657
Morton Correctional Facility	9,792,876	9,133,190	9,616,255	9,438,479
Opeka Correctional Facility	11,768,156	10,986,697	12,354,598	11,982,942
Winfield Correctional Facility	4,002,146	3,917,446	7,632,856	8,494,984
Wichita Work Release Facility	2,009,371	1,797,705	0	0
<i>Subtotal - Facilities</i>	<u>\$107,454,267</u>	<u>\$101,283,039</u>	<u>\$110,971,731</u>	<u>\$109,973,889</u>
<i>Subtotal - Operating Expenditures</i>	<u>\$163,456,987</u>	<u>\$171,852,988</u>	<u>\$187,859,733</u>	<u>\$180,311,699</u>
<b><u>CAPITAL IMPROVEMENTS</u></b>				
Department of Corrections	\$4,835,000	\$3,832,466	\$36,657,620	\$5,320,000
El Dorado Correctional Facility	0	750,000	0	0
Hatchinson Correctional Facility	0	0	46,697	0
Lansing Correctional Facility	0	1,500,000	0	0
<i>Subtotal - Capital Improvements</i>	<u>\$4,835,000</u>	<u>\$6,082,466</u>	<u>\$36,704,317</u>	<u>\$5,320,000</u>
<i>Total - Expenditures</i>	<u>\$168,291,987</u>	<u>\$177,935,454</u>	<u>\$224,564,050</u>	<u>\$185,631,699</u>
Increase		5.7%	26.2%	4.3%

# DEPARTMENT OF CORRECTIONS

Expenditure	Actual FY 96	Agency Est. FY 97	Gov. Rec. FY 97	Agency Req. FY 98	Gov. Rec. FY 98
<b>All Funds:</b>					
State Operations	\$ 52,034,463	\$ 65,128,349	\$ 65,131,000	\$ 68,935,983	\$ 66,348,943
Aid to Local Units	18,067,525	18,694,554	18,694,554	19,772,981	15,010,653
Other Assistance	0	0	0	0	0
Subtotal - Operating	\$ 70,101,988	\$ 83,822,903	\$ 83,825,554	\$ 88,708,964	\$ 81,359,596
Capital Improvements	5,200,409	9,520,122	10,277,588	40,657,620	9,320,000
<b>TOTAL</b>	<b>\$ 75,302,397</b>	<b>\$ 93,343,025</b>	<b>\$ 94,103,142</b>	<b>\$ 129,366,584</b>	<b>\$ 90,679,596</b>
<b>State General Fund:</b>					
State Operations	\$ 40,946,967	\$ 54,425,599	\$ 54,423,100	\$ 57,865,021	\$ 55,327,157
Aid to Local Units	17,065,124	17,944,554	17,944,554	19,022,981	15,010,653
Other Assistance	0	0	0	0	0
Subtotal - Operating	\$ 58,012,091	\$ 72,370,153	\$ 72,367,654	\$ 76,888,002	\$ 70,337,810
Capital Improvements	4,835,000	3,075,000	3,832,466	36,657,620	5,320,000
<b>TOTAL</b>	<b>\$ 62,847,091</b>	<b>\$ 75,445,153</b>	<b>\$ 76,200,120</b>	<b>\$ 113,545,622</b>	<b>\$ 75,657,810</b>
<b>Other Funds:</b>					
State Operations	\$ 11,087,496	\$ 10,702,750	\$ 10,707,900	\$ 11,070,962	\$ 11,021,786
Aid to Local Units	1,002,401	750,000	750,000	750,000	0
Other Assistance	0	0	0	0	0
Subtotal - Operating	\$ 12,089,897	\$ 11,452,750	\$ 11,457,900	\$ 11,820,962	\$ 11,021,786
Capital Improvements	365,409	6,445,122	6,445,122	4,000,000	4,000,000
<b>TOTAL</b>	<b>\$ 12,455,306</b>	<b>\$ 17,897,872</b>	<b>\$ 17,903,022</b>	<b>\$ 15,820,962</b>	<b>\$ 15,021,786</b>
<b>Percentage Change:</b>					
<b>Operating Expenditures:</b>					
All Funds	4.6%	19.6%	19.6%	5.8%	(2.9)%
State General Fund	2.6	24.8	24.7	6.2	(2.8)
FTE Positions	359.0	355.0	355.0	310.0	311.0
Unclass. Temp. Positions	2.0	5.0	5.0	0.0	2.0
<b>TOTAL</b>	<b>361.0</b>	<b>360.0</b>	<b>360.0</b>	<b>310.0</b>	<b>313.0</b>

## AGENCY OVERVIEW

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The primary responsibility of the Department of Corrections (DOC), a cabinet level agency under the direction of the Secretary of Corrections, is to seek to provide reasonable, safe, secure, and humane control of offenders while encouraging and assisting them to become law-abiding citizens. The DOC responsibilities are wide ranging.

- ▶ The agency provides for the institutional confinement of adult felons committed to the custody of the Secretary of Corrections. The agency is responsible for supervising and managing the operations of the state's nine maximum, medium, and minimum security correctional facilities.
- ▶ The agency provides for the administration of treatment, work, education, and rehabilitation programs in correctional facilities to enhance the likelihood of successful return to the community.
- ▶ The agency oversees contracts with a health care provider for medical and mental health care services for inmates as well as a contract for facility food services.
- ▶ The agency supervises individuals on post-release supervision and parolees from Kansas and individuals from other states under the interstate compact agreement.
- ▶ The agency also administers the community corrections grant programs that funds community alternatives to institutional incarceration.
- ▶ Institution capital improvements and debt service are administered through the agency.

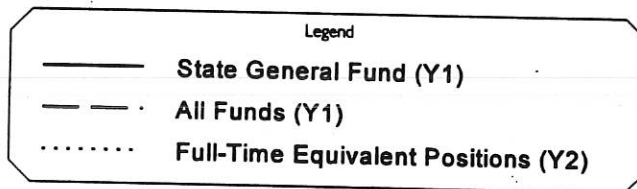
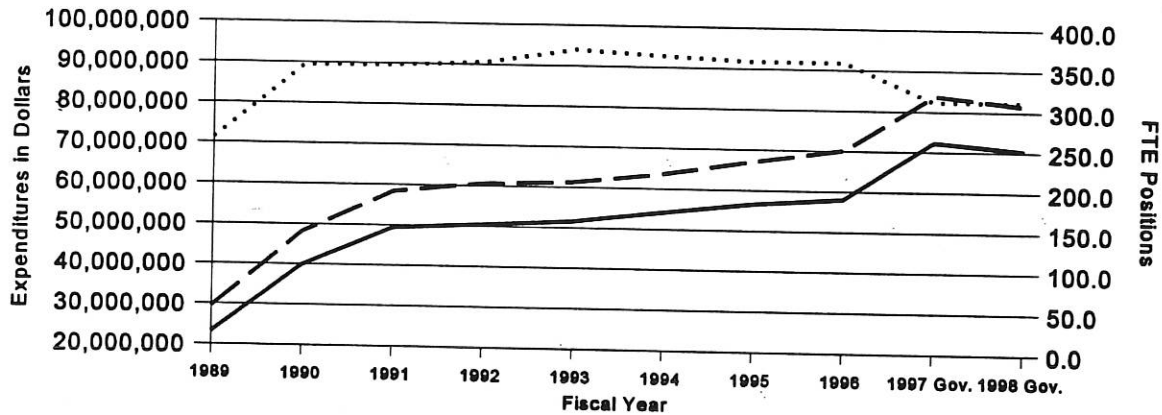
The Department of Corrections is responsible for the supervision and management of correctional facilities located at Ellsworth, El Dorado, Hutchinson, Lansing, Lamed, Norton, Osawatomie, Stockton, Topeka, Wichita, and Winfield. In addition, the Department provided oversight and technical assistance to the Labette Correctional Conservation Camp, a minimum-security male facility owned by Labette County and operated by a private contractor.

# BUDGET TRENDS

## OPERATING EXPENDITURES FY 1989-FY 1998

Fiscal Year	SGF	% Change	All Funds	% Change	FTE
1989	\$ 23,058,369	26.6%	\$ 29,420,005	19.4%	256.0
1990	39,868,086	72.9	48,091,878	63.5	347.0
1991	49,330,819	23.7	58,290,517	21.2	347.5
1992	50,387,817	2.1	60,498,419	3.8	353.0
1993	51,557,915	2.3	61,274,736	1.3	370.0
1994	54,087,453	4.9	63,561,336	3.7	364.0
1995	56,555,192	4.6	66,990,293	4.4	359.0
1996	58,012,090	2.6	70,101,987	4.6	359.0
1997 Gov. Rec.	72,367,654	24.7	83,825,554	19.6	355.0
1998 Gov. Rec.	70,337,810	(2.8)	81,359,596	(2.9)	311.0
Ten-Year Change Dollars/Percent	\$ 47,279,441	205.0%	\$ 51,939,591	176.5%	55.0

## OPERATING EXPENDITURES FY 1989-FY 1998





# BUDGET OVERVIEW

## A. FY 1997 – Current Year

CHANGE FROM APPROVED BUDGET		
	Agency Estimate	Governor's Recommendations
State General Fund	\$ 62,281	\$ 737,445
All Other Funds	1,654,786	355,973
<b>TOTAL</b>	<b>\$ 1,717,067</b>	<b>\$ 1,093,418</b>
FTE Positions	(3.0)	(3.0)

The agency's revised FY 1997 estimate of expenditures from the State General Fund (SGF) is an increase of \$62,281 from the amount approved by the 1996 Legislature. The Secretary of Corrections has the authority to reallocate SGF resources between the Department of Corrections and the correctional facilities. The revised FY 1997 DOC SGF estimate reflects the reallocation of resources between the DOC and its facilities to allow the facilities to absorb costs associated with higher than expected average daily populations. The agency requests two supplemental appropriations, discussed under "Food Services Contract" and "Current Year New Construction" sections. The 3.0 FTE reduction occurred in the inmate medical program, where 3.0 FTE who chose to remain in state employment after private contract care was initiated have vacated their positions and the positions became contract positions.

The Governor recommends operating expenditures of \$83,825,554, an increase of \$2,651 over the agency's estimate and an increase of \$13,723,567 over the actual FY 1996 operating expenditures. The chief reason for the 19.6 percent increase was the privatization of facility food services and consolidation of expenses within the Department of Corrections' budget. The FY 1997 recommendation includes \$13,237,400 for salaries and wages, \$40,651,760 for contractual services, \$5,758,802 for commodities, \$1,158,688 for capital outlay, and \$4,324,350 for debt service interest payments. A total of \$18,694,554 (\$17,944,544 SGF) is recommended for aid to local units. The Governor requests a capital improvements supplemental appropriation discussed in the "Current Year New Construction" section below.

**Food Services Contract.** The agency seeks a supplemental appropriation during FY 1997 of \$62,281 in State General Funds. The estimated expenditures for food services of \$10,385,289 is an increase of \$62,281 over the authorized amount of \$10,323,008. The agency attributes this increase to current population projections which indicate that the number of inmates upon which the contract was negotiated will be exceeded by the end the current fiscal year. The Governor concurs with the agency request for the supplemental appropriation and requests \$59,782, a revision down based on system-wide adjustments.

Effective May 12, 1996, the Department of Corrections entered into a contract with Compass Group USA, Inc., for the provision of food services at the state correctional facilities. Except for Larned Correctional Mental Health Facility (LCMHF), Canteen Corporation, a subsidiary of Compass Group, is responsible for all labor, food, supplies, and other materials required for the delivery of food services. At LCMHF, the contractor is responsible for labor only; meals are still prepared by the Larned State Hospital kitchen.

The vendor is contractually obligated to provide for an effective food service program that satisfies applicable accreditation standards and regulatory agency requirements. Four agency monitor positions in the DOC office and at the Lansing, Hutchinson, and Larned facilities monitor vendor performance. During the summer of

1996, the agency experienced several occurrences of inmate protest regarding food service in the correctional facilities.

The Department of Corrections reports FY 1997 savings due to food service privatization of \$1,164,583 which represents the net reduction of food service expenditures from the budgets of the agency and the correctional facilities. The \$1,164,583 reduction in State General Fund expenditures represent the difference between the cost to implement and monitor the food service contract (\$10,581,408) and the amounts budgeted for food service operations (\$11,745,991). The following chart details the savings.

### Projected Food Service Privatization Savings—Agency Estimate

FY 1997 Food Services	
Salaries and Wages (124.0 FTE)	\$ 3,632,438
Food	7,489,044
Supplies	397,456
Capital Outlay	150,000
Larned and Topeka Facilities Expenses	341,000
Other	77,053
Total Expenditure	<u>\$ 12,086,991</u>
Less: Canteen Food Contract	(10,323,008)
Less: Contract Monitors (4.0 FTE)	(258,400)
Subtotal	<u>\$ 1,505,583</u>
Less: Supplemental Appropriation request due to increased per capita costs	<u>(62,281)</u>
Projected Net Savings	<u><u>\$ 1,443,302</u></u>

### Projected Impact of Privatized Food Contract—Agency Estimate Fiscal Years 1996-2001

	<u>FY 96</u>	<u>FY 97</u>	<u>FY 98</u>	<u>FY 99</u>	<u>FY 2000</u>	<u>FY 2001</u>	<u>TOTAL</u>
Food Service Budget	\$ 950,516	\$ 12,086,991	\$ 12,086,991	\$ 12,086,991	\$ 12,086,991	\$ 12,086,991	\$ 61,385,471
Less:							
Contractual Obligations	(1,225,516)	(10,323,008)	(10,359,018)	(10,563,619)	(10,816,506)	(11,103,765)	(54,391,432)
Contract Monitor Costs		(258,400)	(196,400)	(196,400)	(196,400)	(196,400)	(1,044,000)
Sub-Contract Expenses	<u>(1,225,516)</u>	<u>(10,581,408)</u>	<u>(10,555,418)</u>	<u>(10,760,019)</u>	<u>(11,012,906)</u>	<u>(11,300,165)</u>	<u>(55,435,432)</u>
Projected Net Savings	<u>\$ (275,000)</u>	<u>\$ 1,505,583*</u>	<u>\$ 1,531,573</u>	<u>\$ 1,326,972</u>	<u>\$ 1,074,085</u>	<u>\$ 786,826</u>	<u>\$ 5,950,039*</u>

\* FY 1997 savings are reduced by \$62,281 (to \$1,443,302) due to supplemental appropriations request. The total reduction is thereby reduced to \$ 5,887,758.

- FY 1996 cost from May 12 to June 30.

- FY 1998-2001 budgeted amounts have not been escalated for salary increases and inflation.
- Contractual obligations for each fiscal year are based on ADP of 7,474 inmates.

An additional discussion of the correctional facility food service contract is located in the Facilities Operations portion of the Budget Detail section.

**Capacity Expansion Projects.** The Department of Corrections has undertaken several short-term expansion projects during the current fiscal year. Capacity expansion projects, inmate population growth information, and capital improvements are in the "other information" section of the budget analysis. The agency believes that all double-celling and space utilization options have been exhausted. System-wide facility capacity as of July 1, 1997 is reflected in the following table:

El Dorado Correctional Facility	1,164
Ellsworth Correctional Facility	632
Hutchinson Correctional Facility	1,577
Lansing Correctional Facility	2,127
Larned Correctional Mental Health Facility	174
Norton Correctional Facility	612
Topeka Correctional Facility	800
Wichita Work Release Facility	198
Winfield Correctional Facility	390
Non-DOC Placements	116
<b>TOTAL</b>	<b>7,790</b>

The remaining short-term capacity expansion projects according to the agency include: 18 additional beds in L, K, and M Units at Lansing Correctional Facility and 70 additional beds at Lansing Correctional Facility to bring the total double-celling expansion project to a total of 280; 118 therapeutic community beds for substance abuse inmates at Lansing Correctional Facility; 132 beds in A Dorm at Winfield Correctional Facility; and some other minor space utilization projects. The agency estimates total operating expenditures in FY 1998 for the Winfield project are \$1,588,000 and for the Lansing project are \$356,000. If beds are funded for FY 1998, the system-wide facility capacity would be 8,128. The agency states that these funds would be expended only as it becomes necessary to utilize additional beds. Inmate population and capacity expansion projections are discussed in the "other information" section of the budget overview. **The Governor recommends** the addition of \$123,000 SGF in FY 1997 to the budget at Lansing Correctional Facility to cover increasing operations expenditures related to double celling. The Governor makes recommendations regarding FY 1998 expansion at Lansing and Winfield in the "FY 1998 Budget Year" section below.

**Major Bed-space Adjustments since May 1, 1995**

Location/Project	Increase	Status
Topeka - Opening of I-Max Unit	75	complete
Topeka - Double Cell Dorm	24	complete
Lansing - Renovate Unit	48	complete
Hutchinson - Renovate Cellhouse Basement	100	complete
El Dorado - Renovate Industries Space	115	complete
Lansing - Renovate Former Female Facility	48	complete
Topeka - Additional Double Celling	56	complete
Lansing - Additional Renovation at Former Female Facility	8	complete
El Dorado - Double Cell 1.5 Cellhouses	192	complete
El Dorado - Double Cell 0.5 Cellhouses	60	complete
Winfield - Garland Building Renovation	100	complete
Lansing - Add beds at R Dorm	16	complete
Lansing - Double Cell Medium Unit	280	in progress
Topeka - Double Cell Portion of I-Max Unit	26	complete
Ellsworth - Renovate Minimum Dorm	48	complete
Larned - Minimum - Jenkins Building	54	in progress
Completed or in Progress as of 1-1-97	1,250	

**Current Year New Construction.** The Governor recommends a number of capacity expansion projects in the current year. The Governor requests a supplemental appropriation of \$757,466 from the State General Fund and \$176,010 carry-over funds from the Correctional Institutions Building Fund to begin planning expansion of the Hutchinson, El Dorado, and Norton Correctional Facilities to add 550 beds. The Governor recommends that the construction costs of \$16,187,618, less the \$933,476 for planning, leaving \$15,254,142, be debt financed.

The project would create 200 double-celled, medium custody beds at Norton Correctional Facility, 200 double-celled, medium custody beds at Hutchinson, and 150 minimum custody beds at El Dorado Correctional Facility. The facilities would be constructed during FY 1998 and go on-line in FY 1999. The agency projects \$540,000 one-time startup costs, \$6,000,000 annual operating costs, and the addition of 86.0 FTE to staff the three facilities. These projects are the first phase of a three-part expansion project through FY 2006. The agency request would produce 1,532 beds at a projected total construction cost of \$58,400,000.

**Kansas Quality Management Reappropriated Savings.** Estimated expenditures for the current fiscal year include amounts totaling \$1.1 million that were not included in the authorized budget. The agency, however, seeks no supplemental appropriation for these expenditures since they are \$607,663 in Kansas Quality Management (KQM) savings reappropriated from FY 1995 and FY 1996 and available to the agency for expenditure in FY 1997. An additional \$527,123 in agency fee funds are included from a no limit special revenue fund that requires no legislative authorization. KQM expenditures were authorized by the Legislature for the agencies participating in the KQM program. According to the agency, KQM funds expenditures include \$557,663 to provide access to the host computer system for parole officers around the state. An additional \$50,000 will be spent by central administration for system-wide recognition and incentive awards and \$20,000 for travel and training expenses. The Governor concurs with the agency's request

**Parole and Post Release Programs Expenditure of Supervision Fees.** Under provisions of K.S.A. 75-52, 139 and K.A.R. 44-5-115, the Department of Corrections collects supervision fees from offenders on parole and postrelease supervision. After the payment of collection agent fees (\$9 or 36% of a \$25 telephone payment), 25 percent of the remainder of the fee is credited to the Crime Victims Compensation Fund and the remaining 75 percent is credited to the agency's general fees fund (receipts totaled \$192,000 in FY 1997). Supervision fees

expenditures in the current year are \$337,800, a net increase of \$238,050 over initial estimates. Supervision and \$71,623 in federal funds will be used to purchase equipment for special enforcement officers (\$14, increase availability of electronic monitoring services (\$55,981); enhance drug screening (\$82,778); purchase computer enhancements and digital camera (\$26,000); purchase computer equipment for parole officer access to central computer system (\$129,000); and provide matching funds for Kansas Sentencing Commission grant (\$29,366). **The Governor concurs** with the agency's FY 1997 expenditure request for parole and post release programs, except for a \$2,499 reduction.

**Community Corrections.** The 1989 Legislature mandated that all counties establish a Community Corrections Act (CCA) program to provide community-based supervision alternatives. Currently, there are 29 CCA programs based on single county programs, multicounty programs, or contracted services with counties. Since 1994, CCAs have provided juvenile intensive supervision services and aftercare services for juveniles released from Youth Centers. Youth Center aftercare services are funded through a \$750,000 contract with the Department of Social and Rehabilitation Services. Community Corrections expenditures for the current year population projection of 3,933 adults and 956 juveniles are reflected in the following table:

<u>Program Category</u>	<u>Allocation</u>
Adult Offender Services	\$ 10,984,869
Juvenile Offender Services	3,386,152
Adult Residential Centers	<u>1,947,345</u>
Total Approved	\$ 16,318,366
State Match for Drug Abuse Programs	109,624
Juvenile Aftercare Services Grant	<u>750,000</u>
Total Program Expenditures	<u><u>\$ 17,177,990</u></u>

The amount of \$17,177,990 for community corrections grants represents a reduction of \$176,300 from the authorized expenditures of \$17,354,290 for the current fiscal year. This reduction reflects a determination to distribute federal grant awards for support of drug abuse programs directly to local programs rather than passing through the Department of Corrections. **The Governor concurs.**

Costs associated with local programs for juvenile offenders from the youth centers totaled \$823,057 in FY 1996. If this expenditure level continues in FY 1997, the agency has said they may request that SRS increase the amount allocated for these services in FY 1997 from the current amount of FY 1996.

**Inmate Medical and Mental Health Expenditures.** The Department of Corrections has contracted for the delivery of inmate medical and mental health care services from Prison Health Services, Inc. (PHS) since July 1, 1994. The contract allows up to four additional one-year renewals. The agency also contracts with the University of Kansas Medical Center for the provision of trained management consultants to assist the agency.

The agency reports that because of cash-flow concerns, \$1,493,000 of financing from the Inmate Benefit Fund (IBF) has been shifted by the Secretary from the offender programs to inmate medical and mental health care. The IBF is a legislatively authorized "off budget" fund raised by commissions on interlata long distance telephone calls placed by inmates and interest earnings to be used for a number of specified activities. Total expenditures for the inmate medical and mental health care program include \$16,672,165 in State General Funds, \$24,000 in General Fees Funds derived from \$2 per inmate visit to the correctional facility clinic, and \$1,493,000 from the IBF. A table summarizing the estimated medical and mental health expenditures for FY 1997 follows:

**Inmate Medical and Mental Health Expenditures FY 1997**

Base PHS Contract	\$ 16,745,122
Additional Per Capita Payments to PHS	1,286,172
Management Contract for KUMC	142,871
Off-Site Health Care Costs – Work Release Inmates	15,000
<b>TOTAL EXPENDITURE</b>	<b>\$ 18,189,165</b>

According to the terms of the contract with PHS, the Department of Corrections is obligated to make additional per capita payments when the inmate population count increases or decreases by increments of 10 percent. Because of continually increasing average daily population figures, it is anticipated that additional per capita payments in the current fiscal year will total \$1,286,172 (7.6 percent of the FY 1997 contract) in FY 1997 and are projected to be \$1,721,749 (9.7 percent of the FY 1998 contract) in FY 1998. **The Governor concurs with the agency's FY 1997 request.**

**Longevity Bonus Payments and Unclassified Pay Increases.** In FY 1997, including the DOC and all of the correctional facilities, the total cost for fully funding classified longevity bonus payments is \$551,848. The annualized cost for the DOC and the facilities for six-month unclassified pay increases is \$25,880.

**Inmate Benefit Fund Spending.** The off-budget Inmate Benefit Fund (IBF) was established by K.S.A. 1995 Supp. 75-3728e to be used to provide goods and services to inmates; to provide program and work incentives; and for any other purpose that benefits inmates of the state's correctional facilities. Funds are obtained by a commission placed on interlata long distance telephone calls and interest earnings. IBF off budget expenditures have been used for offender programs, inmate medical and mental health programs, victim notification staff, and video imaging equipment. A summary of estimated FY 1997 IBF receipts and expenditures indicates an unencumbered cash balance of \$141,227 at the end of the current fiscal year.

**FY 1997 Inmate Benefit Fund Status**

Unencumbered Cash Balance June 30, 1996	\$ 915,362
Telephone Commission receipts	1,080,000
Interest earnings	25,000
<b>Transfers and expenditures</b>	
Transfer to Financing Ombudsman of Corrections	\$ (50,000)
Offender programs	(213,074)
Inmate Medical and Mental Health	(1,493,000)
Volunteer Coordinator and Victims Notification Positions	(96,186)
Video Imaging Project	(26,875)
<b>TOTAL EXPENDITURE</b>	<b>\$ 1,829,135</b>
Balance Forward	\$ 141,227

The agency has subsequently reported increased telephone receipts of \$1,350,000, expenditure of \$255,583 for offender programs, and a balance forward of \$340,418.

Anticipated expenditures and transfers from the IBF in FY 1998 include a transfer of \$15,500 to the State General Fund to match a Byrne Grant, a \$50,000 transfer to fund the Ombudsman of Corrections, and \$1,293,000 for inmate medical and mental health care.

## B. FY 1998 – Budget Year

CHANGE FROM FY 1997		
	Agency Request	Governor's Recommendations
Dollar Change:		
State General Fund	\$ 4,517,849	\$ (2,029,844)
All Other Funds	368,212	(436,114)
<b>TOTAL</b>	<b>\$ 4,886,061</b>	<b>\$ (2,465,958)</b>
Percent Change:		
State General Fund	6.2%	(2.8)%
All Other Funds	3.2	(3.8)
<b>TOTAL</b>	<b>5.8%</b>	<b>(2.9)%</b>
FTE Positions	(47.0)	(44.0)

The Department of Corrections' request for FY 1998 operating expenditures total \$88,708,964, an increase of \$4,886,061 over the agency's revised FY 1997 estimate. The request also includes \$40,657,620 (including \$36,885,117 from the State General Fund) for capital improvement projects. The 47.0 FTE reduction is the result of the agency's request to transfer Wichita Work Release program to Winfield Correctional Facility in FY 1998. Upon the transfer, 2.0 positions will be eliminated.

The Governor recommends FY 1998 operating expenditures totaling \$81,359,596, a reduction of \$2,465,958 (2.9 percent) from the FY 1997 recommendation and a reduction of \$7,349,368 (8.3 percent) from the agency's FY 1998 request. The FY 1998 recommendation includes \$12,242,017 for salaries and wages, \$44,102,910 for contractual services, \$5,685,673 for commodities, \$227,343 for capital outlay, and \$4,091,000 for debt service interest payments. A total of \$15,010,653 is recommended for aid to local units. The Governor's recommendation includes classified step movement, a 3.5 percent unclassified merit pool increase, a 1.0 percent classified base salary adjustment of \$111,648, and full funding of longevity (\$89,920) with a 3.5 shrinkage rate, except for the inmate medical program and Kansas Correctional Industries, which have no shrinkage.

**Agency-wide Enhancement Packages.** The Department of Corrections requests enhancement packages totaling \$3,672,985, excluding capital improvements. The enhancement package includes:

ENHANCEMENT PACKAGE	Requested Expenditure
Offender Program Enhancements	\$ 2,020,086
Contract Programming Services	100,000
Women's Component at Labette Correctional Conservation Camp	309,000
Upgrade Agency Host Computer	430,000
Reduce Information System Shrinkage	15,863
Establish Local Area Networks at Correctional Facilities	553,036
Analysis of Expanding Imaging of Offender Records	75,000
Salary Enhancement for Labette Correctional Conservation Camp	70,000
Funding for Residential Centers	100,000
TOTAL	<u>\$ 3,672,985</u>

Enhancement expenditure requests are addressed in detail in the "budget detail" section. **The Governor recommends** none of the agency's requested enhancements.

**Community Corrections Programs.** The Department of Corrections's mission includes community corrections programs to enhance public safety and ensure offender accountability through cost-effective use of community-based supervision and control interventions. The agency oversees all community corrections programming. In 1994, the Legislature expanded the community corrections programs to require juvenile services state wide. The target population for community corrections according to the agency is offenders convicted of lower severity offenses such as property, drug, person crimes. The court determines whether an offender is assigned to regular probation, community corrections, or the conservation camp. The current average daily population for community corrections is 5,010 and estimated at 5,192 in FY 1998.

Programs provided by agencies participating in the Community Corrections Act include:

- *Adult Intensive Supervision Program (ISP) for 4,087 offenders in FY 1998:* Provides community control of felony offenders through frequent face to face and collateral contacts, employment visits, substance abuse testing, individualized case plans, surveillance, and rehabilitative interventions and therapy.
- *Juvenile Intensive Supervision Program for 984 offenders in FY 1998:* Includes the same services as adult ISP, but with emphasis on parental involvement, academic achievement, vocational development, family preservation, and coordination of community resources.
- *Adult Residential Programs for 121 offenders in FY 1998:* Provides a structured minimum security correctional environment to ensure offender accountability and the help offenders obtain employment and maintain good work habits.
- *Electronic Monitoring:* Verifies the offenders' presence in or absence from, a monitored location.
- *Day Reporting Services:* Provide community control of offenders by requiring them to: report daily; make and adhere to daily activity schedule; participate in designated programs or related activities.



- **Surveillance:** Monitors the activities and whereabouts of offenders during evenings and weekend hours for the purposes of accountability and enhancement of public safety.

The availability of community corrections programs statewide is represented in the following table:

Initial Date of First services	County/Judicial District	Adult ISP	Adult Res.	Juv. ISP	Electronic Monitoring	Day Reporting	Surveillance
Jan. 1981	Bourbon/Linn/Miami	X		X	X	X	
Mar. 1987	Douglass	X		X	X		X
Apr. 1982	Johnson	X	X	X	X	X	
Jan. 1981	Leavenworth	X		X	X		X
Nov. 1984	Montgomery	X		X			
Apr. 1982	Riley	X		X	X		
Aug. 1986	12th & 28th	X		X			X
Apr. 1983	Sedgwick	X	X	X	X		X
Oct. 1980	Shawnee	X		X	X	X	X
Jun. 1981	Wyandotte	X		X	X	X	
Jul. 1990	Atchison	X		X	X		X
Jul. 1990	Santa Fe Trail	X		X	X		X
Jul. 1990	2nd	X		X	X		X
Jul. 1990	4th	X		X	X		X
Jul. 1990	5th	X		X	X		X
Jul. 1990	8th	X		X	X	X	
Jul. 1990	9th	X		X	X	X	
Jul. 1990	11th & 31st (SE Kansas)	X		X	X		
Jul. 1990	13th	X		X	X		X
Jul. 1990	15th, 17th & 23rd (NW Kansas)	X		X	X		X
Jul. 1990	19th (Cowley)	X		X	X	X	X
Jul. 1990	20th (Central Kansas)	X		X	X		X
Jul. 1990	22nd	X		X	X		
Jul. 1990	24th	X		X	X		X
Jul. 1990	25th	X		X	X		
Jul. 1990	27th (Reno)	X		X	X	X	X
Jul. 1990	30th (So. Central)	X		X	X		
Jul. 1990	Sumner	X		X	X		X
Jul. 1994	Cimmaron Basin	X		X	X		X

The agency requests an enhancement package in the amount of \$100,000 based on reports from Johnson and Sedgwick counties for residential program funding. These funds are requested as a supplement to current funding and are requested to become part of community corrections funding in the coming years.

The Governor recommends \$13,418,809 in FY 1998, which includes \$200,000 for postconviction nonprison sanctions, and a reduction of \$3,485,328 from the State General Fund of \$750,000 from a Social and Rehabilitation Services' juvenile aftercare grant. The Governor recommends that the total community correction reduction of \$4,235,328 for juvenile services be transferred to the Juvenile Justice Authority. The Governor does not recommend the enhancement package request.

**Labette Correctional Conservation Camp (LCCC).** The Labette Correctional Conservation Camp provides a community-based sentencing alternative for non-violent felony offenders. The camp, with a capacity of 95 male inmates, is patterned after "boot camp" models and includes community service work, and minimal educational and program counseling. The camp is financed through a grant from the Department of Corrections to Labette County, who contract with the Gil R. Walker Corporation of Brentwood, Tennessee, to operate the facility. The agency provides oversight, technical assistance, and audit services to the camp. The agency has a 21 year contract

with Labette County to fund operations of the camp and pay the principal and interest on the bonds issues Kansas Development Finance Authority for \$2 million in 1990. Debt service payments, which are included grant, are estimated at \$183,000 in FY 1998.

The LCCC request for FY 1998 is \$1,639,844, an 8.1 percent increase or \$123,280 over authorized FY 1997 expenditures. The agency request includes \$18,935 to provide a match for a federal grant to support two substance abuse counselors and \$65,000 in capital outlay for vehicles. **The Governor recommends a grant of \$1,591,844, a reduction of \$48,000 from the request, excluding the enhancement package.**

The Conservation Camp enhancement package request for FY 1998 totals \$379,000. One enhancement package request for \$70,000 would provide for salary increases. The remaining \$309,000 requested enhancement funds will be used to finance construction to expand capacity from the current average daily capacity of 95 inmates by adding beds for 46 additional male offenders and 20 female offenders. The LCCC reports increased demands for services and offenders awaiting admissions. Expanding services for female inmates would respond to legal concerns expressed by the agency over the absence of a boot camp, sentencing option for female offenders. The LCCC is waiting for approval of a federal grant that would be used for the expansion project for which the enhancement package may serve as the required local match to obtain the federal funds. In total, the expansion plan includes:

- Five drill instructors, two counselors, and a cook – \$144,000
- Other operating expenditures – \$165,000, due to a requested inmate increase

Annual costs in the following three fiscal years for the expanded LCCC program would increase to \$452,000. **The Governor does not recommend the enhancement package request in FY 1998.**

**Offender Programs and Enhancement Package Requests.** Offender programs provide direct services such as educational, treatment, and work opportunities to inmates and offenders on post-release supervision. Inmate program services are provided through contractual arrangements with vendors. The types and availability of offender programs are reflected in the following table:

Offender Programs at Kansas Correctional Facilities										
PROGRAM	E C F	H C F	L C F	N C F	T C F	W C F	E D C F	L C M H F	W R F	
Basic Literacy Education	X	X	X	X	X	X	X	X		
Secondary Level Education (GED)	X	X	X	X	X	X	X			
Vocational Education		X	X	X	X		X			
Cognitive Skills Training		X	X		X		X		X	
Special Education		X	X		X					
Medical Health Care	X	X	X	X	X	X	X	X	X	X
Mental Health Counseling	X	X	X	X	X	X	X	X		
Substance Abuse Treatment	X	X	X	X	X		X	X		
Sex Offender Treatment		X	X		X			X		
Women's Activity Learning Center					X					
Self-help Groups	X	X	X	X	X	X	X	X		
Visitors Centers	X	X	X	X						

EDCF: El Dorado Correctional Facility  
 ECF: Ellsworth Correctional Facility  
 HCF: Hutchinson Correctional Facility  
 LCF: Lansing Correctional Facility  
 LCMHF: Larned Correctional Mental Health Facility

NCF: Norton Correctional Facility  
 TCF: Topeka Correctional Facility  
 WWRP: Wichita Correctional Facility  
 WCF: Winfield Correctional Facility

Revised funding requests for offender programs for FY 1997 total \$9,800,771 including \$9,282,747 from the State General Fund and requested funding allocations for FY 1998 are \$11,058,390 including \$10,073,663 from the State General Fund. Revised FY 1997 and FY 1998 requests are reflected in the following table.

Program	Revised Est. FY 97	Requested FY 98	FY 98 Enhancement
Sex Offender Treatment			
Facility	\$ 1,046,300	\$ 1,075,742	
Field	280,000	288,120	75,880
Substance Abuse Treatment			
Facility	1,096,607	1,166,801	
Field	1,875,398	1,929,785	118,170
Therapeutic Community	304,080	977,360	
Education			
Special Education	419,737	466,909	
Educational Assessment	134,691	138,597	
Literacy, GED, Vocational	4,144,390	4,496,894	
Projected need to meet ADP increase			1,393,336
Halfway House Beds	242,785	259,834	284,700
Cognitive Intervention and Training			50,000
Mental Health/ Transitional	36,709	37,774	38,000
Contracted Clinical Services for M.I.			60,000
Battered Women's/Work Release	7,000	7,500	
Visitors' Centers	213,074	213,074	
<b>TOTAL PROGRAM EXPENDITURES</b>	<b>\$ 9,800,771</b>	<b>\$ 11,058,390</b>	<b>\$ 2,020,086</b>
<b>FINANCING</b>			
State General Fund	\$ 9,282,747	\$ 10,073,663	\$ 2,020,086
Chapter I - Federal Fund	45,000	45,000	
Carl Perkins Act - Federal Fund	42,500	42,500	
Bryne Grant - Federal	217,450	684,153	
Correctional Industries Fund	0	0	0
Subtotal	<u>\$ 9,587,697</u>	<u>\$ 10,845,316</u>	<u>\$ 2,020,086</u>
DOC Inmate Benefit Fund	213,074	213,074	
<b>TOTAL PROGRAM EXPENDITURE</b>	<b><u>\$ 9,800,771</u></b>	<b><u>\$ 11,058,390</u></b>	<b><u>\$ 2,020,086</u></b>
(FY 98 Request and Enhancement)			\$ 13,078,476

The agency requests the \$2,020,086 enhancement package to address the needs of the increasing inmate population by expanding work and treatment capacity, especially for offenders with a mental illness. Allocation of the enhancement funds to specific programs will be determined based on projected population increases. Specific enhancement package detail includes:

- \$429,000 for 72 additional academic education positions at Ellsworth and Hutchinson correctional facilities.
- \$142,272 for 24 vocational education slots at Hutchinson Correctional Facility.
- \$345,152 for new and expanded sex offender treatment slots for services to 64 inmates at Hutchinson and El Dorado correctional facilities.
- \$316,912 for 48 additional substance abuse slots at Ellsworth Correctional Facility.
- \$160,000 for 20 additional Kansas Correctional Industries work assignment slots and the acquisition of a greenhouse at Ellsworth Correctional Facility.
- \$284,700 for halfway houses in the southern parole region.
- \$194,050 to provide an estimated 30 percent increase in community sex offender treatment and aftercare programs.
- \$148,000 is requested for community treatment services for mentally ill offenders.
- \$2,020,086 Total Enhancement Level Request for FY 1998.

The Governor recommends \$10,664,242 in FY 1998, which includes funding for the battered women's program and \$123,000 related to the Winfield Correctional Facility expansion. Additionally, off-budget funding from the IBF was increased from \$213,074 to \$388,074. The total cost for the contracts was increased by \$175,000. The Governor does not recommend any enhancement packages in FY 1998.

**Inmate Medical and Mental Health Care.** The funding requests and financing sources for inmate medical and mental health care services in FY 1998 total \$19,382,391 and are reflected in the following table.

**Inmate Medical and Mental Health Expenditures FY 1998—Agency Estimate**

Base PHS Contract	\$ 17,498,642
Additional Per Capita Payments to PHS	1,721,749
Management Contract for KUMC	147,000
Off-Site Health Car Costs – Work Release Inmates	15,000
<b>TOTAL EXPENDITURE</b>	<b>\$ 19,382,391</b>

As is the case with the current year inmate medical and mental health expenditures, the agency indicated it will be obligated to pay an additional per capita fee of \$1,721,749 because correctional facilities have exceeded the negotiated contract capacity. **The Governor recommends** a State General Fund expenditure of \$18,601,381 and \$1,293,000 from the Inmate Benefit Fund in FY 1998. The total recommendation of \$19,894,381 is an increase of 19.2 percent over the FY 1997 recommendation, and includes \$1,721,749 for per capita costs, as well as for cost associated with expansion of the Lansing, Winfield, and Larned facilities, estimated at \$505,300.

**Transfer of Food Services Contract to Facilities Operations.** The agency requests, because of the additional flexibility that would be gained, that the food service contract for FY 1998 be included in the Facilities Operations appropriation account rather than the Central Administration and Parole and Post-Release Supervision Operations appropriation account. **The Governor concurs** with the agency's request. The Governor recommends \$10,931,660 in FY 1998 for system-wide food services, including a \$320,700 increase for costs related to capacity expansion at the Lansing, Winfield, and Larned facilities.

**Wichita Work Release Facility.** Due to the consolidation of the Wichita Work Release Facility and Winfield Correctional Facility, all FY 1998 budget and analysis information related to the work release program has been transferred to the Winfield Correctional Facility budget.

**Kansas Correctional Industries (KCI).** Kansas Correctional Industries manufactures a wide variety of products using inmate labor and operates both the state and federal surplus property disposition programs. KCI receives no state general funds and supports its operations through the sale of manufactured products. These products are sold to other governmental units such as state and local agencies. KCI estimates that in FY 1998, 453 inmates will be employed in traditional correctional industries and 723 inmates will be employed in private industries that operate within the state correctional facilities. KCI employs 75.0 civilian FTE. KCI has requested through the Department of Corrections that the fund for KCI be changed to a "no-limit" fund and requests legislation to change the statutes to increase market opportunities to increase inmate employee opportunities. KCI request for funds in FY 1998 is \$9,714,309 and no enhancement package requests. **The Governor recommends \$9,665,133 for KCI in FY 1998, a reduction of \$59,176 from the agency's request, and includes the transfer of \$75,000 from the KCI Fund to the State General Fund as reimbursement for previous expenditures.**

**Debt Service Interest.** The agency is requesting a total of \$4,091,000 (including \$3,811,000 from the State General Fund) for debt service in FY 1998. The request will pay the debt service interest on capital improvement projects at El Dorado Correctional Facility, Larned Correctional Mental Health Facility, Ellsworth Correctional Facility, and the Wichita Work Release Facility. Debt service principal payments totaling \$5,320,000, all from the State General Fund, are included in the Department of Corrections' capital improvement request. **The Governor concurs with the agency's request for \$4,091,000 debt service interest payments.**

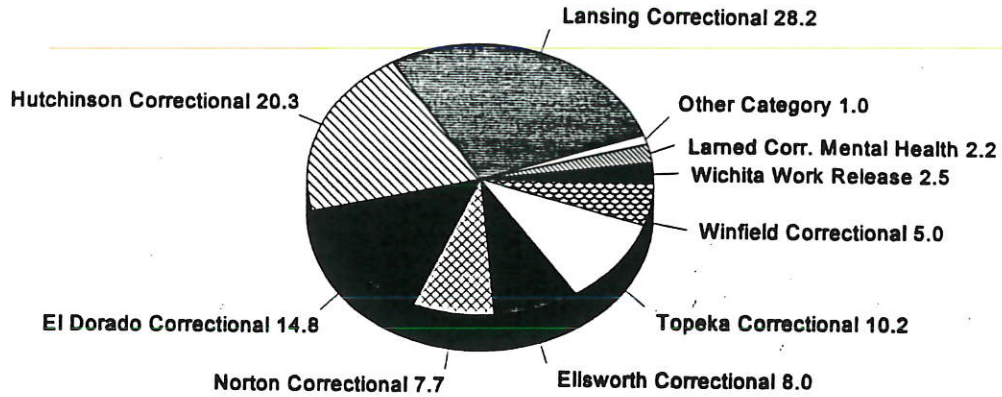
### C. Other Issues

**Inmate Population and Capacity.** Currently, the Kansas Sentencing Commission estimates that the system-wide daily population at the end of FY 1997 will be 7,841 and 8,033 at the end of FY 1998. The following table illustrates the inmate populations at the state correctional facilities as of December 31, 1996:

<b>Inmate Population by Location</b>	
<u>Correctional Facility</u>	<u>Number of Inmates</u>
KDOC Facilities:	
Lansing Correctional	2,190
Hutchinson Correctional	1,577
El Dorado Correctional	1,148
Norton Correctional	600
Ellsworth Correctional	624
Topeka Correctional	790
Winfield Correctional	387
Wichita Work Release	194
Larned Correctional Mental Health	167
Subtotal KDOC Population	<u>7,677</u>
Non-KDOC Facilities:	
Larned State Hospital	73
Contract Jail Placements	5
Labette Corr. Conservation Camp.	0
Subtotal Non-KDOC Placements	<u>78</u>
<b>TOTAL All Facilities/Placements</b>	<b>7,755</b>

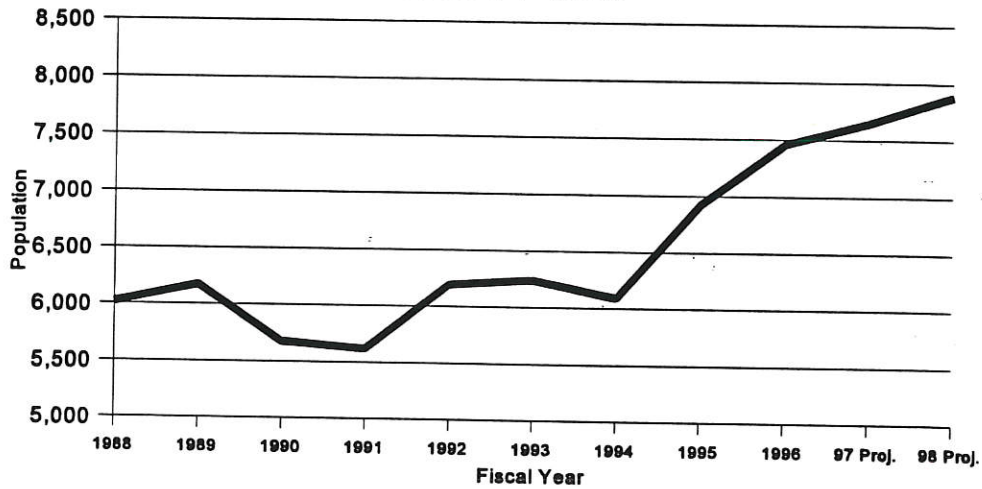
# Population Distribution

(Percentages)



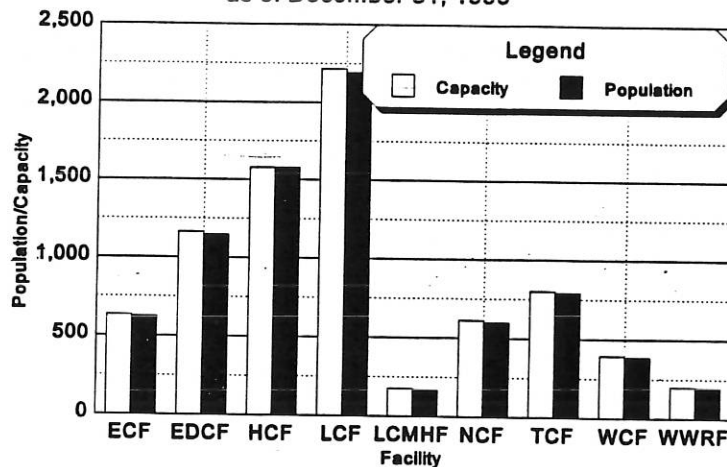
# Inmate Population Count

FY 1988-FY 1998



## Capacity and Population by Facility

as of December 31, 1996



**Capacity and Population at Correctional Facilities as of 12/31/96**

	ECF	EDCF	HCF	LCF	LCMHF	NCF	TCF	WCF	WWRF
Capacity	632	1,164	1,577	2,215	174	612	800	395	198
Population	624	1,148	1,577	2,190	167	600	790	387	194

EDCF: El Dorado Correctional Facility  
 ECF: Ellsworth Correctional Facility  
 HCF: Hutchinson Correctional Facility  
 LCF: Lansing Correctional Facility  
 LCMHF: Lamed Correctional Mental Health Facility

NCF: Norton Correctional Facility  
 TCF: Topeka Correctional Facility  
 WWRF: Wichita Correctional Facility  
 WCF: Winfield Correctional Facility

Capacities at the agency correctional facilities are based on the completion of a number of short-term expansion projects which will be completed by the end of FY 1997. At the end of FY 1997, the agency believes they will have completed all possible expansion and space utilization projects described in the current budget year section. The capacity total is projected to be 8,128.

The Kansas Sentencing Commission regularly makes inmate population projections using a computer simulation model called Prophet which projects prison populations through 2006. The following table is the Sentencing Commission projection, and it suggests the anticipated shortfall in facility capacity.

### Kansas Sentencing Commission Population Projections

Level	July											Total Increase	Percent Increase
	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006		
1	448	468	506	537	570	603	629	652	682	700	728	280	62.5%
2	560	583	616	659	680	719	753	767	785	791	805	245	43.7%
3	1,246	1,258	1,295	1,325	1,343	1,361	1,355	1,377	1,382	1,370	1,427	181	14.5%
4	289	306	325	340	362	381	388	396	390	396	408	119	41.3%
5	867	974	1,021	1,030	1,068	1,118	1,142	1,117	1,182	1,182	1,230	363	41.8%
6	158	161	160	151	142	156	178	159	156	154	171	13	8.5%
7	650	710	736	740	777	799	829	829	839	896	894	244	37.5%
8	211	300	330	307	226	234	248	235	223	234	250	39	18.5%
9	302	311	329	340	334	321	346	352	348	352	360	58	19.2%
10	33	38	41	42	36	40	49	56	46	54	52	19	58.9%
D1	19	26	34	39	49	59	60	64	65	69	70	51	266.3%
D2	164	184	196	206	202	220	214	224	227	234	237	73	44.5%
D3	746	801	760	716	744	733	759	754	765	765	788	42	5.6%
D4	326	349	370	381	384	413	431	419	407	410	417	91	27.8%
Offgrid	442	480	527	576	621	672	718	762	829	880	940	498	112.7%
Conditional Violator	1,002	892	787	704	596	532	508	530	470	467	469	(533)	(53.2)%
<b>TOTAL</b>	<b>7,463</b>	<b>7,841</b>	<b>8,033</b>	<b>8,093</b>	<b>8,134</b>	<b>8,360</b>	<b>8,607</b>	<b>8,694</b>	<b>8,798</b>	<b>8,954</b>	<b>9,246</b>	<b>1,783</b>	<b>23.9%</b>

Based on the projections, the agency believes it will reach a shortfall in prison bed capacity before the end of FY 2000 if no capacity expansion projects are undertaken.

**FY 1998 System-wide Capacity Expansion Projects.** Based on agency requests made after the submission of budget requests, the Governor makes the following recommendations for funding capacity expansion options within existing facilities. The Governor recommends increased funding in FY 1998 to cover expanded operations at Winfield Correctional Facility, Lansing Correctional Facility, and Larned Correctional Mental Health Facility. Funding recommendations are made for the KDOC, as well as at the facilities. The Governor recommends total system-wide expenditures of \$2,560,192 and 38.0 new FTE to make operational existing bedspace in FY 1998. Correctional facility budgets have increased by \$1,558,924 for operating expenditures (excluding fringe benefits and the base salary adjustment for salaries and wages). Winfield Correctional Facility will add 23.0 FTE and 132 minimum custody beds. Lansing will complete double-celling projects with 4.0 new FTE to add 70 medium custody beds. The Larned facility will add 11.0 new FTE to operate the 54 minimum custody beds in the Jenkins Building. For the three facilities expanding operations, the Governor recommends adding \$320,700 for the food contract, \$505,300 for inmate medical and mental health, and \$132,000 for inmate programs. Any facility renovation costs will be addressed by the agencies' Correctional Institutions Building Fund.

#### D. Capital Improvements

The Department of Corrections' FY 1998 request for capital improvements total \$40,657,620 (including \$36,657,620 from the State General Fund). The request includes \$30,837,620 for the construction of new housing units at El Dorado Correctional Facility which would provide 768 beds for inmates double-celled. Other requested projects include planning funds for construction of a new reception and diagnostic unit to be constructed at El Dorado (\$500,000). Funding requests for capital improvements includes \$5,320,000 for debt service principal payments for projects at El Dorado, Ellsworth, and Wichita.

The Governor recommends capital improvement expenditures of \$9,320,000 to fund principal debt service (\$5,320,000) and rehabilitation and repair. Subsequent to submission of the budget, the Governor recommended \$2,560,192 for operations of expansion projects discussed under the heading "FY 1998 System-wide Capacity Expansion Projects." The Governor recommended the initiation of a \$16,187,618 construction project in FY 1997,



which he recommends be funded by the sale of bonds. See the sections titled "Current Year New Construction" and "Capital Improvements" at the conclusion of the Department of Corrections' analysis.

SUMMARY OF OPERATING BUDGET CHANGES  
FY 1997-FY 1998

	Agency Req. FY 98	Change From FY 97	Gov. Rec. FY 98	Change From FY 97
<b>By Program:</b>				
Central Administration	\$ 6,146,867	\$ 853,405	\$ 4,933,801	\$ (359,661)
Community Supervision	27,191,035	1,136,167	22,472,379	(3,585,670)
Treatment and Programs	30,954,793	4,670,941	29,265,623	2,981,771
Facilities Operations	10,610,960	(1,572,034)	10,931,660	(1,251,334)
Correctional Industries	9,714,309	30,932	9,665,133	(17,714)
Debt Service - Interest	4,091,000	(233,350)	4,091,000	(233,350)
<b>TOTAL</b>	<b>\$ 88,708,964</b>	<b>\$ 4,886,061</b>	<b>\$ 81,359,596</b>	<b>\$ (2,465,958)</b>
<b>By Major Object of Expenditure:</b>				
Salaries and Wages	\$ 12,154,304	\$ (1,086,125)	\$ 12,242,017	\$ (995,383)
Contractual Services	45,493,370	4,847,290	44,102,910	3,451,150
Commodities	5,685,673	(73,129)	5,685,673	(73,129)
Capital Outlay	1,511,636	352,948	227,343	(931,345)
Debt Service - Interest	4,091,000	(233,350)	4,091,000	(233,350)
Aid to Local Units	19,772,981	1,078,427	15,010,653	(3,683,901)
<b>TOTAL</b>	<b>\$ 88,708,964</b>	<b>\$ 4,886,061</b>	<b>\$ 81,359,596</b>	<b>\$ (2,465,958)</b>
<b>Financing:</b>				
State General Fund	\$ 76,888,002	\$ 4,517,849	\$ 70,337,810	\$ (2,029,844)
Special Revenue Funds	11,820,962	368,212	11,021,786	(436,114)
<b>TOTAL</b>	<b>\$ 88,708,964</b>	<b>\$ 4,886,061</b>	<b>\$ 81,359,596</b>	<b>\$ (2,465,958)</b>

**BUDGET DETAIL**

**A. Central Administration.** The Central Administration program includes the activities of the Secretary of Corrections and other administrative and support personnel required for the operation of the Department, including information systems and communications personnel who are responsible for the data processing and telecommunications functions of the agency.

**CENTRAL ADMINISTRATION  
SUMMARY OF EXPENDITURES FY 1996-FY 1998**

Item	Actual FY 1996	Agency Estimate FY 1997	Gov. Rec. FY 1997	Agency Request FY 1998	Gov. Rec. FY 1998
Salaries and Wages	\$ 3,473,236	\$ 3,502,487	\$ 3,502,487	\$ 3,674,731	\$ 3,684,701
Contractual Services	1,061,959	1,143,312	1,143,312	1,364,950	1,189,950
Commodities	50,846	53,300	53,300	59,150	59,150
Capital Outlay	673,121	594,363	594,363	1,048,036	0
Claims	0	0	0	0	0
<b>Total – State Oper.</b>	<b>\$ 5,259,162</b>	<b>\$ 5,293,462</b>	<b>\$ 5,293,462</b>	<b>\$ 6,146,867</b>	<b>\$ 4,933,801</b>
FTE Positions	83.0	80.5	80.5	80.5	80.5
Unclass. Temp. Positions	0.0	0.0	0.0	0.0	0.0
<b>TOTAL</b>	<b>83.0</b>	<b>80.5</b>	<b>80.5</b>	<b>80.5</b>	<b>80.5</b>

**Important Issues In This Program**

- ◆ The agency requests the expenditure of \$607,663 in QQM reappropriated savings during FY 1997. The funding would be utilized to provide computer access to the agency host computer by parole officers in the field and for incentives and training.
- ◆ The Governor recommends the agency's FY 1997 expenditure of QQM savings.
- ◆ The agency requests American Correctional Association accreditation fees for Winfield of \$8,750 in the current year and fees of \$26,200 for Norton and Ellsworth in FY 1998.
- ◆ The Governor in FY 1998 concurs with the agency's request for accreditation fees.
- ◆ The agency requests an enhancement package in FY 1998 totaling \$1,173,899 which includes \$175,000 for computers and programming and \$983,036 in capital outlays for computer equipment for facilities networks and offender information records, and \$15,863 to reduce the shrinkage rate in the information system subprogram from 3.5 percent to 1.5 percent.
- ◆ The Governor recommends no enhancement packages in FY 1998.

**B. Community Supervision.** The Community Supervision program consists of three subprograms: the parole and postrelease supervision program, responsible for the supervision of offenders who have been paroled or are on postrelease supervision status; community corrections, which is responsible for monitoring correctional sanctions, programs, and services administered in the community rather than prison; and the Labette Correctional Conservation Camp, a county-owned facility designed to provide community based sentencing options for non-violent offenders.

**COMMUNITY SUPERVISION PROGRAM  
SUMMARY OF EXPENDITURES FY 1996-FY 1998**

Item	Actual FY 1996	Agency Estimate FY 1997	Gov. Rec. FY 1997	Agency Request FY 1998	Gov. Rec. FY 1998
Salaries and Wages	\$ 4,304,292	\$ 4,717,091	\$ 4,714,592	\$ 4,872,854	\$ 4,917,783
Contractual Services	1,969,329	2,299,019	2,304,699	2,346,160	2,346,160
Commodities	84,884	120,029	120,029	123,240	123,240
Capital Outlay	75,197	224,175	224,175	75,800	74,543
Local Aid	18,067,525	18,694,554	18,694,554	19,772,981	15,010,653
Total - State Oper.	<u>\$ 24,501,227</u>	<u>\$ 26,054,868</u>	<u>\$ 26,058,049</u>	<u>\$ 27,191,035</u>	<u>\$ 22,472,379</u>
FTE Positions	132.0	137.5	137.5	140.5	140.5
Unclass. Temp. Positions	0.0	3.0	3.0	0.0	0.0
TOTAL	<u>132.0</u>	<u>140.5</u>	<u>140.5</u>	<u>140.5</u>	<u>140.5</u>

**Important Issues In This Program**

- ◆ The agency requests \$4,872,854 for salaries and wages, an increase of \$155,493 from the FY 1997 request of \$4,717,091.
- ◆ The Governor recommends \$4,917,783 for salaries and wages in FY 1998, which includes classified step movement, a 1.0 percent classified base salary adjustment, and full funding of longevity.
- ◆ The agency requests in FY 1998 that 3.0 special project positions of the community work program be transferred to permanent classified positions.
- ◆ The Governor in FY 1998 concurs with the agency's conversion of 3.0 FTE to permanent status, although they will be funded by federal monies and supervision fees.
- ◆ The agency requests FY 1998 expenditures for local jail payments of \$1.1 million, an increase of \$21,400 over the FY 1997 estimate.
- ◆ The Governor concurs with the agency's request for local jail payments in FY 1998.
- ◆ The agency requests an FY 1998 level expenditure for capital outlays of \$75,000 for office equipment.
- ◆ The Governor recommends \$74,543 in capital outlay requests which may be spent in any subprogram of community supervision in FY 1998.
- ◆ The agency requests a revised current year expenditure of \$17,177,990 for community corrections and \$17,654,137 in FY 1998.
- ◆ The Governor concurs with the agency's FY 1997 request of \$17,177,990. In FY 1998, the Governor's community corrections recommendation is \$13,418,809. The Governor recommends the transfer of \$4,235,328 (\$3,485,328 from SGF) to the Juvenile Justice Authority, which will accompany the transfer of operational control of the Juvenile Intensive Supervision program and the Juvenile Residential Services.

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- ◆ The agency requests an enhancement package of \$100,000 for adult residential centers in Johnson and Sedgwick counties.
- ◆ The agency requests \$200,000 in FY 1997 and FY 1998 for payments to local jails holding offenders for up to 30 days as a provision of parole or postrelease supervision.
- ◆ The agency requests a grant of \$2,018,844 for Labette Correctional Conservation Camp, including \$379,000 in an enhancement package to expand the program by 46 beds for males and 20 beds for females. The FY 1997 request was \$1,516,564.

- ◆ The Governor recommends no enhancement package requests in FY 1998
- ◆ The Governor's FY 1998 recommendation for community corrections includes \$200,000 for local jail payments.
- ◆ The FY 1998 recommendation for Labette is \$1,591,844. The Governor does not recommend the agency's request for the 66-bed capacity expansion projects.

**C. Treatment and Programs.** Offender programs provides direct program services provided by contractors to inmates and parolees; and includes contracted inmate medical and mental health care at the correctional facilities.

<b>TREATMENT AND PROGRAMS SUMMARY OF EXPENDITURES FY 1996-FY 1998</b>					
Item	Actual FY 1996	Agency Estimate FY 1997	Gov. Rec. FY 1997	Agency Request FY 1998	Gov. Rec. FY 1998
Salaries and Wages	\$ 828,301	\$ 752,195	\$ 752,195	\$ 775,242	\$ 782,232
Contractual Services	23,152,172	25,531,657	25,531,657	30,019,551	28,483,391
Commodities	1,044	0	0	0	0
Capital Outlay	25,860	0	0	160,000	0
Total - State Oper.	<u>\$ 24,007,377</u>	<u>\$ 26,283,852</u>	<u>\$ 26,283,852</u>	<u>\$ 30,954,793</u>	<u>\$ 29,265,623</u>
FTE: Positions	17.0	14.0	14.0	14.0	14.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>17.0</u>	<u>14.0</u>	<u>14.0</u>	<u>14.0</u>	<u>14.0</u>

**Important Issues In This Program**

- ◆ The agency requests expenditures in the current year of \$217,450 in federal funds for the expansion of the therapeutic community program at Lansing.
- ◆ The Governor concurs with the agency's request to use a total of \$304,950 in federal funds in FY 1997 and \$771,653 in federal funds in FY 1998 for offender programs. The total FY 1998 recommendation of \$10,664,242 includes \$123,000 to address capacity expansion at Winfield.
- ◆ The agency's FY 1998 enhancement package totals \$2,020,086 to expand education and treatment services, community and substance abuse programs, particularly for offenders with a
- ◆ The Governor recommends no enhancement packages in FY 1998.

mental illness due to increased inmate populations.

- ◆ The agency requests for FY 1998 includes \$19,382,391 for inmate medical and mental health care contract expenditures and related costs. The request includes \$1,721,749 in FY 1998 to cover additional costs associated with the inmate population in excess of the negotiated contract base cost.

- ◆ The Governor recommends \$18,601,381 for inmate medical and mental health care in FY 1998. An additional \$1,293,000 from the off-budget Inmate Benefit Fund is added for a total cost of \$19,894,381.

**D. Facilities Operations.** Facilities Operations is composed of the system-wide food service contract currently held by Compass Group, USA.

<b>FACILITIES OPERATIONS SUMMARY OF EXPENDITURES FY 1996-FY 1998</b>					
Item	Actual FY 1996	Agency Estimate FY 1997	Gov. Rec. FY 1997	Agency Request FY 1998	Gov. Rec. FY 1998
Salaries and Wages	\$ 1,591,106	\$ 1,532,832	\$ 0	\$ 0	\$ 0
Contractual Services	672,412	10,549,428	10,610,960	10,610,960	10,931,660
Commodities	209,035	64,734	0	0	0
Capital Outlay	85,796	36,000	0	0	0
Total - State Oper.	<u>\$ 2,558,349</u>	<u>\$ 12,182,994</u>	<u>\$ 10,610,960</u>	<u>\$ 10,610,960</u>	<u>\$ 10,931,660</u>
FTE Positions	51.0	47.0	0.0	0.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>51.0</u>	<u>47.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>

### Important Issues In This Program

- ◆ The Department's estimated expenditures for the food services contract in the current year are \$10,385,289, which is \$62,281 over the authorized amount appropriated. The Department requests a supplemental appropriation of \$62,281 to cover increased expenses the Department attributes to increasing inmate populations through the end of the FY 1997.
- ◆ The Governor concurs with the agency's supplemental appropriations request in FY 1997, but recommends \$59,782, a reduction of \$2,499, based on system-wide technical adjustments.
- ◆ The Department requests \$10,610,960 in FY 1998 for the food service contract that provides food services at all of the correctional facilities.
- ◆ The Governor recommends \$10,931,660 for the food service contract, an increase of \$546,371, or 5.3 percent, over the FY 1997 recommendation. Funding includes \$320,700 for capacity expansion projects at the Lansing, Winfield, and Larned facilities.

**E. Kansas Correctional Industries.** Kansas Correctional Industries (KCI) manufactures with inmates a wide variety of products at correctional facilities. These products are sold to governmental units or not-for-profit organizations. Inmates are employed in traditional KCI processes and in private industries operating within the Topeka, Lansing, and Ellsworth correctional facilities.

<b>KANSAS CORRECTIONAL INDUSTRIES SUMMARY OF EXPENDITURES FY 1996-FY 1998</b>					
Item	Actual FY 1996	Agency Estimate FY 1997	Gov. Rec. FY 1997	Agency Request FY 1998	Gov. Rec. FY 1998
Salaries and Wages	\$ 2,509,417	\$ 2,735,824	\$ 2,735,294	\$ 2,831,477	\$ 2,857,301
Contractual Services	1,070,153	1,122,664	1,122,664	1,151,749	1,151,749
Commodities	5,459,804	5,520,739	5,520,739	5,503,283	5,503,283
Capital Outlay	201,373	304,150	304,150	227,800	152,800
Total – State Oper.	<u>\$ 9,240,747</u>	<u>\$ 9,683,377</u>	<u>\$ 9,682,847</u>	<u>\$ 9,714,309</u>	<u>\$ 9,665,133</u>
FTE Positions	75.0	75.0	75.0	75.0	75.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>75.0</u>	<u>75.0</u>	<u>75.0</u>	<u>75.0</u>	<u>75.0</u>

### Important Issues In This Program

- ◆ The Department requests that the Kansas Correctional Industries Fund be appropriated as a no-limit fund. The same request has been made since FY 1995.
- ◆ The Governor does not recommend the KCI request for a no-limit fund.
- ◆ Capital outlay requests at the current services and reduced resources levels in FY 1998 total \$227,800 and include \$150,000 in surplus vehicle purchases as well as computers and miscellaneous shop equipment.
- ◆ The Governor recommends in FY 1998 a total of \$9,665,133 and includes \$152,800 in capital outlay requests and \$2,857,301 in salaries and wages, which includes classified step movement, a 1.0 percent classified base salary adjustment, and a 3.5 percent unclassified merit pool.

## CAPITAL IMPROVEMENTS

Project	Agency Req. FY 1998	Governor's Rec. FY 1998
Rehabilitation and Repair at Facilities	\$ 4,000,000	\$ 4,000,000
Construct Two Housing Units at El Dorado	22,007,085	0
Construct One Housing Unit at El Dorado	8,830,535	0
Construct Reception and Diagnostic Unit at El Dorado (Planning)	500,000	0
Debt Service Principal – Revenue Refinancing Bond (El Dorado and Larned)	4,410,000	4,410,000
Debt Service Principal – Ellsworth	805,000	805,000
Debt Service Principal – Wichita Work Release	105,000	105,000
<b>TOTAL</b>	<b><u>\$ 40,657,620</u></b>	<b><u>\$ 9,320,000</u></b>
<b>Financing:</b>		
State General Fund	\$ 36,657,620	\$ 5,320,000
Correctional Institutions Building Fund	4,000,000	4,000,000
Other Funds	0	0
<b>TOTAL</b>	<b><u>\$ 40,657,620</u></b>	<b><u>\$ 9,320,000</u></b>

**Supplemental Capital Improvement Request for FY 1997.** Subsequent to receipt of the Department of Corrections' (DOC) Five Year plan, and the submission of the FY 1998 DOC budget, the agency has revised its capital improvement plan. The submission of the revised capital improvement request, according to the DOC, removes the FY 1998 request for funding for the three housing units at El Dorado at a cost of \$30,837,620. The agency requests the authority to begin planning activities for the following projects which the agency intends to fund by the sale of bonds.

The DOC requests \$6,202,450 for the construction of a 200 bed medium custody housing unit at Norton Correctional Facility (NCF). The proposed unit will provide a secure lockdown capability that does not currently exist at NCF. The unit will contain 100 cells which will be double celled to add 200 inmate beds and increase the NCF capacity to 812. The plan would also provide for the construction of a 25,000 square foot industries building. Estimated cost for the project includes construction costs of \$5,208,375, architect fees of \$364,586, moveable equipment costs of \$130,000, project contingency costs of \$285,298, and miscellaneous costs of \$214,191.

The agency also requests \$7,112,715 for the construction of a 200 medium custody bed housing unit at Hutchinson Correctional Facility (HCF). The agency reports there is a need for secured lockdown capabilities at the HCF East Unit. The unit will contain 100 cells which will be double celled to add 200 inmate beds and raise the HCF capacity to 1,777. The plan would also provide for the construction of new programs and industries space. Estimated cost for the project includes construction costs of \$5,891,000, architect fees of \$412,370, moveable equipment costs of \$240,160, project contingency costs of \$327,160, and miscellaneous costs of \$242,185.

An additional \$2,872,452 is sought for the construction of 150 medium custody beds at El Dorado Correctional Facility (EDCF). The agency reports that in order to address increasing capacity needs, particularly for female inmates, 150 additional medium custody beds are requested at EDCF. The agency reports the intention to transfer the 111 male minimum custody inmates from the Topeka Correctional Facility (TCF) West Unit to the

proposed facility and transfer female inmates from the TCF Central Unit to the West Unit. The addition will increase the EDCF capacity to 1,314. Estimated cost for the project includes construction costs of \$2,236,000, architect fees of \$156,520, moveable equipment costs of \$255,000, project contingency costs of \$139,340, and miscellaneous costs of \$86,112.

The total cost for the project will be \$16,187,618 and the agency seeks \$933,476 in FY 1997 to begin the project, as well as authorization to fund the project through the sale of bonds. **The Governor** concurs with the request and recommends \$757,466 SGF and \$176,010 from the Correctional Institutions Building Fund in FY 1997. See the "Current Year New Construction" section of the FY 1997 agency budget analysis. The Governor does not recommend \$500,000 to construct the reception and diagnostic unit.



# Governor's Prison Expansion Plan

Project	Beds	Custody level	Planning Costs	Construction Costs	Total Const. Costs	Const. Costs Per Bed	Operating Costs	Additional FTE
Norton Correctional Facility*	200	medium	\$364,586	\$5,837,864	\$6,202,450	\$31,012	\$2,047,000	30
Hutchinson Correctional Facility*	200	medium	\$412,370	\$6,700,345	\$7,112,715	\$35,564	\$1,933,000	27
El Dorado Correctional Facility	150	minimum	\$156,520	\$2,715,932	\$2,872,452	\$19,150	\$1,960,000	29
<b>Subtotal</b>	<b>550</b>		<b>\$933,476</b>	<b>\$15,254,141</b>	<b>\$16,187,617</b>	<b>\$29,432</b>	<b>\$5,940,000</b>	<b>86</b>
Hutchinson Correctional Facility Expansion at South Unit.**	32	minimum			\$227,497	\$7,109	*	*
<b>Subtotal</b>	<b>582</b>				<b>\$16,415,114</b>	<b>\$28,205</b>		

\* Includes construction of industries and maintenance buildings.

\*\* Addition made by Joint Committee on State Building Construction. No current data on operating expenditures and FTE.

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## Department of Corrections FY 1998

### Organization and funding by program

Program	All funds distribution in millions for FY 1998 (Gov. Rec.)*	Percent change from FY 1997 (Gov. Rec.)
Central Administration	\$4.9 (Secretary and Info. Systems)	(6.8)
Community Supervision	\$15 (community corrections) \$7.2 (parole services)	**(13.8)
Treatment and Programs	\$10.6 (contracted programs) \$18.6 (contracted medical)	11.3
Facility Operations	\$10.9 (contracted food)	***(-10.3)
Correctional Industries	\$9.6	(0.2)
Debt Service-Interest	\$4	(5.4)
Total Oper. Expend.	\$81,359,596	(0.8)

\* Rounded and excluding miscellaneous expenditures.

\*\* Reflects Gov. Rec. transfer of \$4.2 million to Juvenile Justice Authority from community corrections grant. If juvenile community corrections funding in FY 1997 is excluded, the result is an increase in funding for adult community corrections of \$376,971, a 2.9 percent increase in FY 1998.

\*\*\* FY 1997 reflects \$1,633,566 for Wichita Work Release, which in FY 1998 is in the Winfield Correctional Facility budget.

# **INMATE POPULATION TRENDS AND MULTIYEAR CAPACITY EXPANSION OPTIONS**

**Kansas Department of Corrections**

presented to the  
*Senate Ways and Means Committee*  
January 15, 1997

- Chart 1:** *Inmate Population and Correctional Capacity*
- Chart 2:** *Total Inmate Population: FY 1987 through FY 1997 to date*
- Chart 3:** *Female Inmate Population: FY 1986 through FY 1997 to date*
- Chart 4:** *End of Month Inmate Population: June 1995-December 1996*
- Chart 5:** *Average Number of Admissions and Releases per Month, by Category: FY 1994 - FY 1997 to date*
- Chart 6:** *Year-end Inmate Population by Custody Level: FY 1990 through FY 1997 to date*
- Chart 7:** *Average Monthly Number of Return Admissions for Condition Violations, by Year: FY 1987 through FY 1997 to date*
- Chart 8:** *Yearly Parole Rate: FY 1987 - FY 1997 to date*
- Chart 9:** *Inmate Population by Type of Crime: 6-30-93 Compared to 12-31-96*
- Chart 10:** *Inmate Population by Type of Crime, by Gender: 6-30-93 Compared to 12-31-96*
- Chart 11:** *Inmate Population Projections through FY 2006 (prepared by the Kansas Sentencing Commission)*
- Chart 12:** *Inmate Population Projections: FY 1997 Monthly Monitoring Numbers*
- Chart 13:** *KDOC and Non-KDOC Bedspace July 1, 1993-December 31, 1996*
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- Chart 16:** *KDOC Multiyear Bedspace Expansion Options - New Construction: Construction Schedule*
- Chart 17:** *Bed Utilization - Males*
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- Chart 19:** *KDOC Capacity and Projected Inmate Population*
- Chart 20:** *Summary of KDOC Capacity Adjustment Options, by Project*
- Chart 21:** *KDOC Capacity: Existing, Pending and Adjustment Options*

*Corrections & Juvenile Justice*  
3-18-97

*attachment #2*

Summary of KDOC Capacity Adjustment Options, by Project

FY On-Line	Facility	Project	Description	Beds			Cost
				#	Custody	Capital	Operating
<b><u>Pending Projects</u></b>							
FY 1998	LCF	Complete doublecelling at K, L, & M Units.	Capacity initially was increased by 210 beds in these units through doublecelling and recently was increased by another 70 beds. Operating funds and positions have not yet been approved for the additional 70 beds.  Funding authorization : 1997 session.	70	Med/Min	--	\$356,000  (4 FTE)
FY 1998	LCMHF	Expand occupancy of Jenkins Building at Larned State Hospital	Since May 1996, inmates have been housed on the second floor of the Jenkins Building. Larned State Hospital has determined that it has no further requirements for the remainder of the building, and the department is interested in using it for housing inmates. Additional staffing would be required.  Funding authorization : 1997 session.	54	Min	Estimate in preparation  Any costs would be financed from the Rehab & Repair Fund	\$573,000  (includes 11 FTE and \$39,000 in one-time costs)
FY 1998	WCF	A Dorm	The A Dorm previously was used to house inmates but was vacated when the renovated Garland Building was occupied in April 1996. Upgrades to A Dorm's heating and plumbing systems are required, and will be financed from the department's Rehabilitation and Repair fund. Funds have not yet been approved for additional staffing.  Funding authorization : 1997 session.	127	Min	\$169,060  (from Rehab & Repair Fund)	\$1,588,000  (includes 23 FTE and \$131,600 in one-time costs)

2-2

Summary of KDOC Capacity Adjustment Options, by Project

FY On-Line	Facility	Project	Description	Beds			Cost	
				#	Custody	Capital	Operating	
<b>Proposed Options</b>								
FY 1999	TCF	Convert TCF-West to Female Housing Unit	Topeka Correctional Facility-West currently houses minimum custody males. This proposal would convert its use to meet the need for housing females.  <i>[Note: An alternative to this proposal would be to construct a new 100-bed housing unit for females, to be located at TCF-Central.]</i>	-111 male; +111 female	Min	--	--	--
FY 1999	NCF	New Housing Unit	A new secure housing unit is proposed inside the existing perimeter at Norton Correctional Facility. The unit will have lockdown capability, which is not available in any of the existing housing units at NCF. The project includes a new Kansas Correctional Industries building to provide jobs for the increase in population. This project is new, and was not previously included in the department's capital improvements plan.  Funding authorization : 1997 session:	200	Med	\$6,202,450	\$2,047,000	(includes 30 FTE and \$144,000 in one-time costs)
FY 1999	HCF-East	New Housing Unit	A new secure housing unit is proposed inside the existing perimeter at Hutchinson Correctional Facility-East. The unit will have lockdown capability, which is not available in any of the existing housing units at HCF-East. The project includes additional program and Kansas Correctional Industries space. This project is new, and was not previously included in the department's capital improvements plan.  Funding authorization : 1997 session.	200	Med	\$7,112,715	\$1,933,000	(includes 27 FTE and \$135,000 in one-time costs)

2-3

Summary of KDOC Capacity Adjustment Options, by Project

FY On-Line	Facility	Project	Description	Beds		Cost	
				#	Custody	Capital	Operating
FY 1999	EDCF	New Minimum Security Housing Unit	A new minimum security unit is proposed outside the perimeter fence at EDCF-Central, located just northwest of the existing compound. The unit would house male inmates displaced from TCF-West under the option converting use of that unit to house females. Also, males housed in this unit would be used to assist in construction of the other new units proposed for EDCF. It is proposed that this project be financed as a package with the NCF and HCF medium units proposed to come on line in FY 1999.	150	Min	\$2,872,452	\$1,960,000
						<i>Cost per bed: \$19,150</i>	<i>(includes 29 FTE and \$261,000 in one-time costs)</i>
FY 1999	EDCF	Convert D Cellhouse to Maximum Custody	This is one of the two cellhouses at El Dorado that was doublecelled in 1995 as part of the short-term capacity expansion, requiring that its designation be converted from maximum to medium. To provide sufficient maximum security space, the department proposes that it be restored to its original purpose of housing maximum security inmates.	-128	Med: -256  Max: +128	--	--
FY 2001	EDCF	New Medium Security Housing Unit	This is the first of four new housing units proposed within an expanded perimeter of the El Dorado Correctional Facility -Central Unit. The housing unit would be similar to the prototype cellhouses currently at the facility, except that we believe a less costly alternative design could be used. Also, the unit will be designed to doublecell medium custody inmates. To achieve economies in construction, the department proposes financing 2 EDCF projects as a package, including this project and	256	Med	\$20,398,018	\$3,164,000
						<i>Cost per bed: \$39,840</i>	<i>(includes 52 FTE and \$182,000 in one-time costs)</i>
						<i>Cost includes sitework for four units, construction for two units, and expansion of perimeter fence.</i>	

Funding authorization: 1997 session.

7-4

Summary of KDOC Capacity Adjustment Options, by Project

FY On-Line	Facility	Project	Description	Beds			Cost		
				#	Custody		Capital	Operating	
			<p>the medium unit proposed to come on line in FY 2002. The cost reported here includes: two medium custody housing units, all of the sitework necessary for all four of the proposed new housing units, and expansion of the perimeter fence south of the existing unit.</p> <p>Funding authorization : 1998 session.</p>				<p>The estimate is based on the existing design. We believe the eventual cost will be lower due to use of an alternate design suitable for this custody level of inmate.</p>		
FY 2001	EDCF	Convert Half of E Cellhouse to Maximum Custody	<p>This is one of two cellhouses at El Dorado that was doublecelled in 1995 as part of the short-term capacity expansion, requiring that its designation be converted from maximum to medium. To provide sufficient maximum security space, the department proposes that it be restored to its original purpose of housing maximum custody inmates. One half of the housing unit will be converted in FY 2001 and the other, in FY 2002.</p>	-64	Med: -128  Max: + 64	--	--	--	
FY2002	EDCF	New Medium Security Housing Unit	<p>This is the second of four new housing units proposed within an expanded perimeter of the El Dorado Correctional Facility -Central Unit. The housing unit would be similar to the prototype cellhouses currently at the facility, except that a less costly alternative design will be used. Also, the unit will be designed to doublecell medium custody inmates.</p> <p>Funding authorization : 1998 session.</p>	256	Med	Cost included with EDCF medium unit proposed for completion in FY 2001.	\$3,377,000	(includes 63 FTE and \$75,000 in one-time costs)	
FY 2002	EDCF	Convert Second Half of E Cellhouse to Maximum Custody	<p>This is one of the two cellhouses at El Dorado that was doublecelled in 1995 as part of the</p>	-64	Med: -128	--	--	--	

5-8

Summary of KDOC Capacity Adjustment Options, by Project

FY On-Line	Facility	Project	Description	Beds		Cost	
				#	Custody	Capital	Operating
			short-term capacity expansion, requiring that its designation be converted from maximum to medium. To provide sufficient maximum security space, the department proposes that it be restored to its original purpose of housing maximum security inmates. The first half of the housing unit is proposed for conversion in FY 2001.		Max: + 64		
FY 2004	EDCF	New Maximum Security Housing Unit	This is the third of four new housing units proposed within an expanded perimeter of the El Dorado Correctional Facility-Central Unit. The housing unit would be similar to the prototype cellhouses currently at the facility.  Funding authorization: 2002 session.	128	Max	\$8,851,613  <i>Cost per bed:</i> \$69,153	\$2,629,000  (includes 66 FTE and \$75,000 in one-time costs)
FY 2004	--	Minimum Security Unit	A new minimum security unit is proposed at an unspecified location.  Funding authorization: 2002 session.	150	Min	\$3,669,309  <i>Cost per bed:</i> \$24,462	\$1,960,000  (includes 29 FTE and \$261,000 in one-time costs)
FY 2006	EDCF	New Medium/Maximum Security Housing Unit	This is the last of the four new housing units proposed within an expanded perimeter of the El Dorado Correctional Facility-Central Unit. The housing unit would be similar to the prototype cellhouses currently at the facility. Half of the cellhouse will be used to house maximum custody inmates; the other half will be doublecelled and will house medium custody inmates.  Funding authorization: 2002 session.	192	Max: 64  Med: 128	\$9,151,852  <i>Cost per bed:</i> \$47,666	\$2,426,000  (includes 46 FTE and \$75,000 in one-time costs)

9-8



Chart 21

KDOC Capacity: Existing, Pending and Adjustment Options

	Male				Female				Total			
	Max	Med	Min	Total	Max	Med	Min	Total	Max	Med	Min	Total
<b>Existing and Approved</b>	2151	3159	2137	7447	58	408	18	484	2209	3567	2155	7931
<b>Pending - FY 1998</b>												
WCF - A Dorm			127	127							127	127
LCF - K, L, M Doublecelling		40	30	70					40	30		70
LCMHF - J Dorm			54	54							54	54
<b>Subtotal with Pending</b>	<b>2151</b>	<b>3199</b>	<b>2348</b>	<b>7698</b>	<b>58</b>	<b>408</b>	<b>18</b>	<b>484</b>	<b>2209</b>	<b>3607</b>	<b>2366</b>	<b>8182</b>
<b>Future Adjustment Options</b>												
<b><u>FY 1997</u></b>												
TCF-West Close L Dorm			-30	-30							-30	-30
RDU - J Dorm					16			16	16			16
<b>Subtotal with FY 1997</b>	<b>2151</b>	<b>3199</b>	<b>2318</b>	<b>7668</b>	<b>74</b>	<b>408</b>	<b>18</b>	<b>500</b>	<b>2225</b>	<b>3607</b>	<b>2336</b>	<b>8168</b>
<b><u>FY 1999</u></b>												
EDCF Minimum Unit*			150	150							150	150
NCF - New Unit*		200		200					200			200
HCF - New Unit*		200		200					200			200
TCF-West: Females			-81	-81			111	111			30	30
EDCF-D Cellhouse to Max	128	-256		-128					128	-256		-128
RDU J Dorm Offline					-16			-16	-16			-16
TCF- I-Max:eliminate doublecelling					24	-48		-24	24	-48		-24
<b>Subtotal with FY1999</b>	<b>2279</b>	<b>3343</b>	<b>2387</b>	<b>8009</b>	<b>82</b>	<b>360</b>	<b>129</b>	<b>571</b>	<b>2361</b>	<b>3703</b>	<b>2516</b>	<b>8580</b>

\*New construction.

2-7

Chart 21

KDOC Capacity: Existing, Pending and Adjustment Options

	Male				Female				Total			
	Max	Med	Min	Total	Max	Med	Min	Total	Max	Med	Min	Total
<b><u>FY 2001</u></b>												
EDCF- New Unit*		256		256						256		256
EDCF-1/2 of E to Max	64	-134		-70					64	-134		-70
<b>Subtotal with FY2001</b>	<b>2343</b>	<b>3465</b>	<b>2387</b>	<b>8195</b>	<b>82</b>	<b>360</b>	<b>129</b>	<b>571</b>	<b>2425</b>	<b>3825</b>	<b>2516</b>	<b>8766</b>
<b><u>FY 2002</u></b>												
EDCF- New Unit*		256		256						256		256
EDCF-1/2 of E to Max	64	-128		-64					64	-128		-64
<b>Subtotal with FY 2002</b>	<b>2407</b>	<b>3593</b>	<b>2387</b>	<b>8387</b>	<b>82</b>	<b>360</b>	<b>129</b>	<b>571</b>	<b>2489</b>	<b>3953</b>	<b>2516</b>	<b>8958</b>
<b><u>FY2004</u></b>												
EDCF - New Max Unit*	128			128					128			128
New Minimum Unit*			150	150							150	150
<b>Subtotal with FY2004</b>	<b>2535</b>	<b>3593</b>	<b>2537</b>	<b>8665</b>	<b>82</b>	<b>360</b>	<b>129</b>	<b>571</b>	<b>2617</b>	<b>3953</b>	<b>2666</b>	<b>9236</b>
<b><u>FY2006</u></b>												
EDCF-New Unit*	64	128		192					64	128		192
<b>TOTAL</b>	<b>2599</b>	<b>3721</b>	<b>2537</b>	<b>8857</b>	<b>82</b>	<b>360</b>	<b>129</b>	<b>571</b>	<b>2681</b>	<b>4081</b>	<b>2666</b>	<b>9428</b>

<b>Net Increase from Existing/Approved</b>	<b>448</b>	<b>562</b>	<b>400</b>	<b>1410</b>	<b>24</b>	<b>-48</b>	<b>111</b>	<b>87</b>	<b>472</b>	<b>514</b>	<b>511</b>	<b>1497</b>
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**Notes**

- Existing and Approved Capacity includes 118 beds at Lansing Correctional Facility that will not be completed until July 1, 1997.
- Pending projects include a 70-bed expansion at Lansing Correctional Facility's medium unit. The beds are currently in capacity, but funding approval is still pending for additional positions and operating costs.
- An alternative to converting TCF-West to female housing is to construct a new housing unit at TCF-Central.

\*New construction.

8-6

**Kansas Department of Corrections**  
**INMATE POPULATION AND CORRECTIONAL CAPACITY**

***CURRENT STATUS***

- The prison system is nearly full. The December 31, 1996 inmate population was 7,755, or 98.4% of the total system capacity of 7,883 beds.
- During the past two years, the inmate population has undergone a period of rapid growth. The December 31, 1996 population was nearly 1,400 greater than the December 31, 1994 population of 6,369—an increase of *more than 20%*.
- To keep pace with the increasing population, the department has been faced with challenges in providing sufficient bedspace. Since December 31, 1994, nearly 1,300 beds have been added to correctional capacity, primarily through doublecelling and other internal building conversions or renovations.

***INMATE POPULATION PROJECTIONS***

- The inmate population is forecast to continue to increase significantly over the next 10 years. Projections released in September 1996 by the Kansas Sentencing Commission indicate that the population will reach 9,246 by the end of FY 2006, an increase of nearly 1,500 from the December 31, 1996 level.
- Much of the increase in the inmate population will be accounted for by offenders convicted of serious crimes, reflecting recent legislative changes to increase sentences in the higher severity levels of the nondrug sentencing grid. Over the 10-year projection period, the number of inmates convicted of off-grid, severity level 1, and severity level 2 crimes is expected to increase by more than 1,000.

***CAPACITY NEEDS***

- The inmate population projections have prison capacity implications that need to be addressed both now and in the future.
- The growing number of inmates will exceed existing and approved additions to capacity before the end of FY 1998. On June 30, 1998 the bedspace deficit will be 102 beds, and grow each year thereafter, reaching 677 by the end of FY 2002 and 1,315 by the end of FY 2006.
- Because 94% of the inmate population is male, it is anticipated that future bedspace needs will be predominantly male. Bedspace deficits for males are projected at 86 by the end of FY 1998; 625 by the end of FY 2002; and 1,224 by the end of FY 2006. Additional capacity for housing female inmates also is needed, although the numbers are not as large, increasing from a deficit of 16 beds in FY 1998 to 91 beds in FY 2006.
- Because the projections indicate significant growth in the number of the more serious offenders, the custody mix of the population is expected to shift gradually toward the higher custody levels.

**Kansas Department of Corrections**  
**INMATE POPULATION AND CORRECTIONAL CAPACITY**

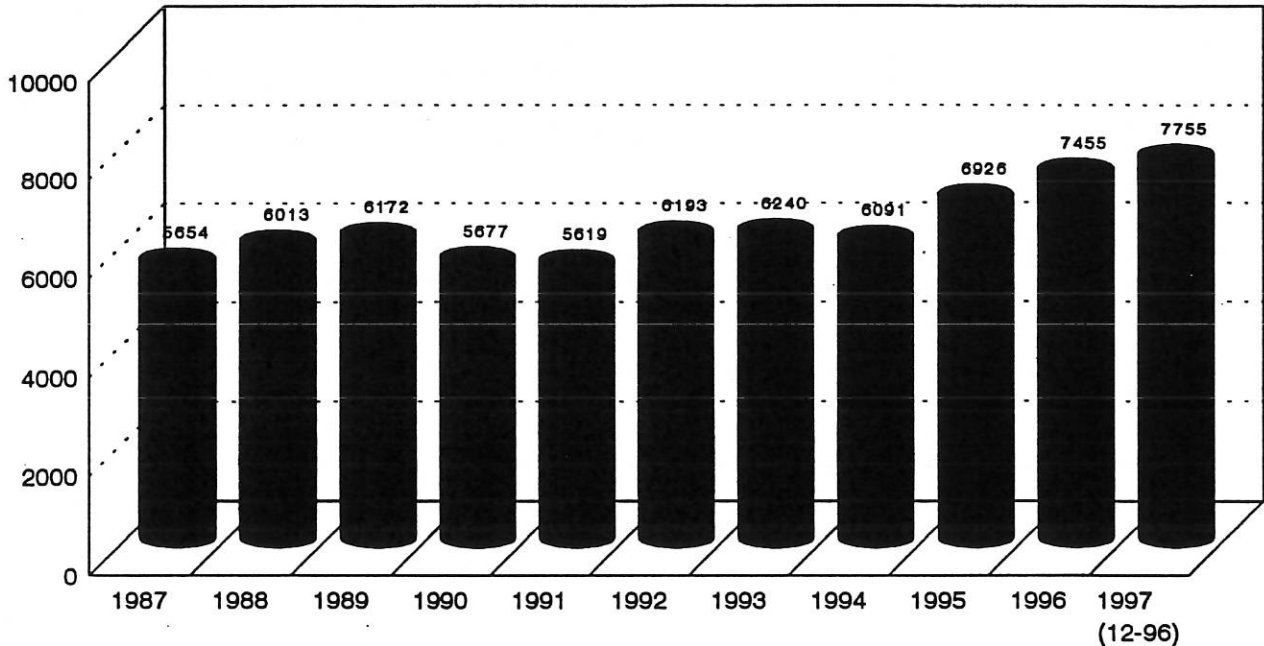
***MULTIYEAR CAPACITY EXPANSION PLAN***

- The Department of Corrections has identified expansion options to address correctional capacity needs through FY 2006.
- Short-term capacity additions, proposed for consideration by the 1997 Legislature, include 251 beds in three projects at Lansing Correctional Facility, Winfield Correctional Facility, and Larned State Hospital. These projects do not require new construction, but some renovation is required. Renovation costs would be paid from already appropriated funds. Funding and position authorizations for staffing and other operating costs are needed.
- The remainder of the multiyear capacity expansion options require new construction. The options identified total 1,532 beds in new construction projects, in three phases, at an estimated construction cost of \$58.4 million.
- Phase 1 of the expansion plan is proposed for consideration by the 1997 Legislature. It includes: a 200-bed medium security housing unit at Norton Correctional Facility; a 200-bed medium security housing unit at Hutchinson Correctional Facility-East; and a 150-bed minimum security housing unit at El Dorado Correctional Facility. The housing units at Norton and Hutchinson each would have 100 cells, and would be doublecelled. The minimum security unit at EDCF would be dormitory-style housing.
- All Phase 1 projects are proposed to become operational during FY 1999.
- The Phase 1 projects would cost \$16.2 million to construct and \$5.4 million each year to operate (exclusive of \$540,000 in one-time costs to be incurred during the first year of operation). Eighty-six new positions would be required to staff the three new housing units.
- Phases 2 and 3 are recommended for consideration in the 1998 and 2002 legislative sessions, respectively. Projects proposed in these phases primarily involve construction of new housing units at El Dorado Correctional Facility.

***RATIONALE***

- The department proposes new construction because all other reasonable internal options for expanding capacity have already been implemented.
- Doublecelling and multi-occupancy housing is being utilized at all locations where it can be done safely and prudently. Single occupancy is used only in space designated as maximum security, and in a 202-bed medium security housing unit at Norton Correctional Facility.
- Doublecelling of maximum security inmates is not a sound correctional practice, and the department strongly opposes doing so. The concern is not about the level of comfort provided these inmates, but the overall security of the institutions where they are housed. Doublecelling of maximum security inmates increases the potential for disruptive behavior because of the high risk nature of this population and because of the inmate idleness which would likely result. This would also create a risk level for staff safety the department considers unacceptable.
- The department does not favor further doublecelling at Norton Correctional Facility, again because of security-related concerns. Norton is a medium security institution, primarily because of its perimeter security. Building design prohibits locking inmates at the facility in their individual rooms. Increasing the population by up to 40% through doublecelling would create serious inmate management concerns.
- In Phase 1, the department proposes new secure housing units for both Norton Correctional Facility and Hutchinson Correctional Facility-East. In both cases, these projects would increase the security posture of the facilities, since neither currently has the ability to lock inmates in individual rooms.
- The Phase 1 project at El Dorado Correctional Facility would house minimum custody inmates, who would then be available to provide some of the labor required in construction of projects proposed for Phases 2 and 3.
- All three Phase 1 projects are located at existing KDOC facilities, where infrastructure exists to support the expansion. This lowers construction and annual operating costs. The average construction cost for the three projects is \$29,432 per bed.

Total Inmate Population: FY 1987 - 1996 and FY 1997 To Date



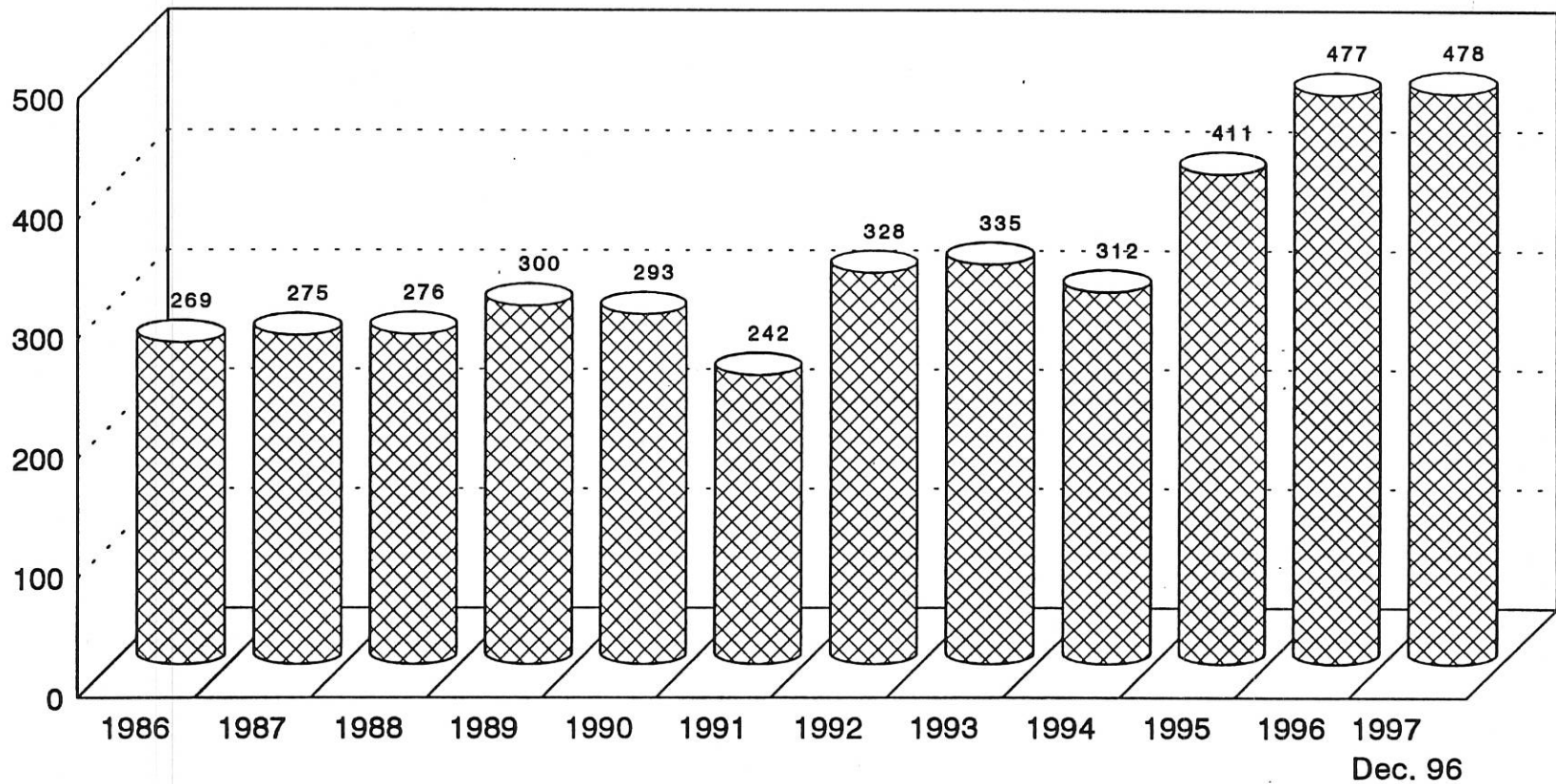
Female	275	276	300	293	242	328	335	312	411	477	478
Male	5379	5737	5872	5384	5377	5865	5905	5779	6515	6978	7277

As of June 30 each year except 1997, which is as of December 31, 1996.

- During FY 1995, the increase in the number of inmates was the largest on record--rising from 6,091 to 6,926 (+835 or 13.7%). FY 1996 brought another substantial increase--from 6,926 to 7,455 (+529 or 7.6%). The growth has continued in FY 97 to date -- the population of 7,755 is 300 higher (4%) than at the end of FY 96.
- The decrease in the inmate population from FY 1989 to FY 1990 was related to the passage of Senate Bill 49, which enhanced good time provisions and resulted in "early" releases for a number of inmates.
- The decrease in inmate population during the first half of FY 1994 resulted primarily from a large number of offenders being released under the retroactive provisions of the Kansas Sentencing Guidelines Act, which took effect July 1, 1993.

Chart 3

# Female Inmate Population: FY 1986 Through FY 1997 to Date\*



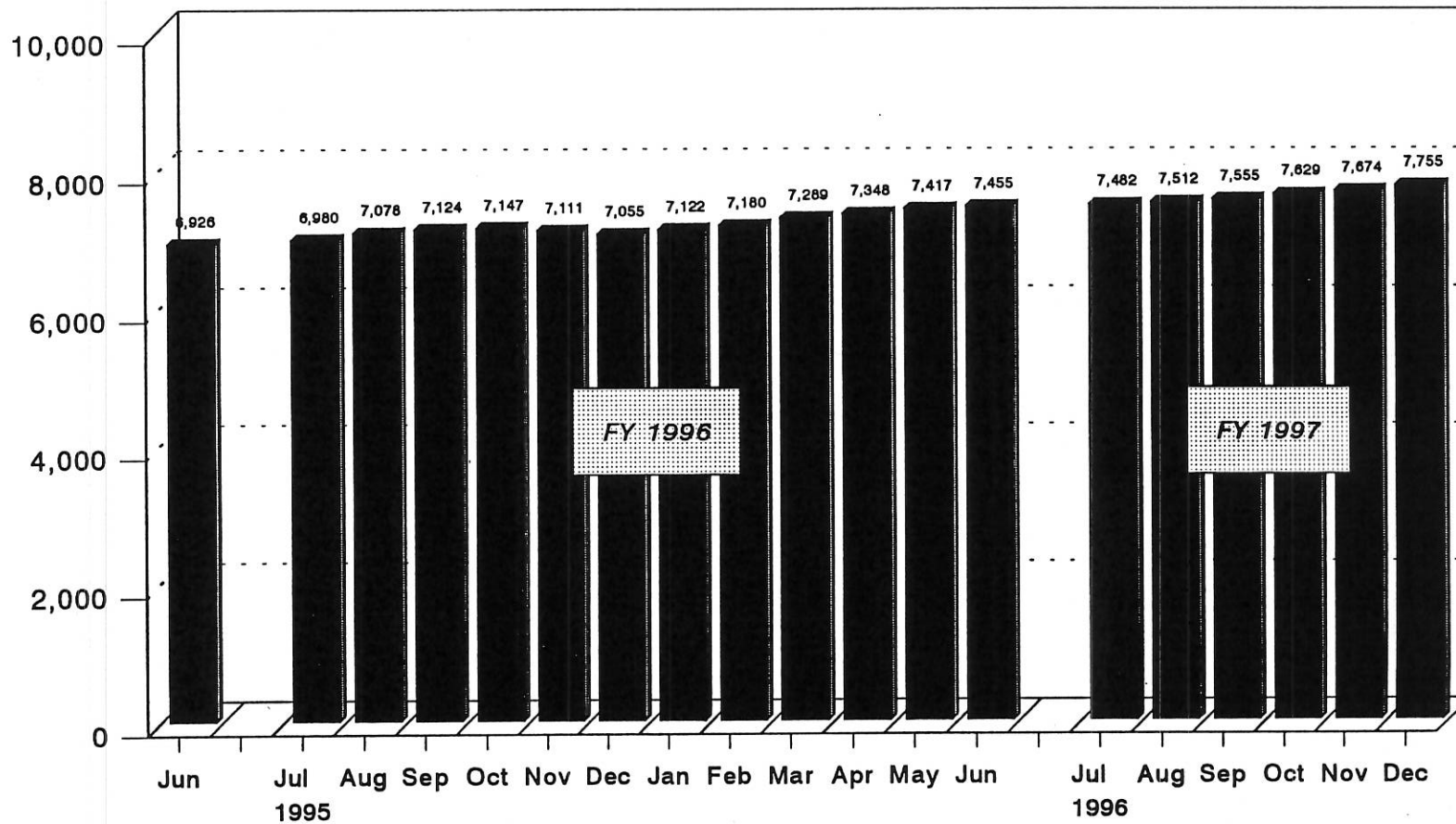
Male	4722	5379	5737	5872	5384	5377	5865	5905	5779	6515	6978	7277
Total	4991	5654	6013	6172	5677	5619	6193	6240	6091	6926	7455	7755

\*Fiscal year-end inmate population (as of June 30 each year) except for FY 1997, which is as of December 31, 1996.

2-12

Chart 4

End-of-month Inmate Population: June, 1995 - December, 1996\*

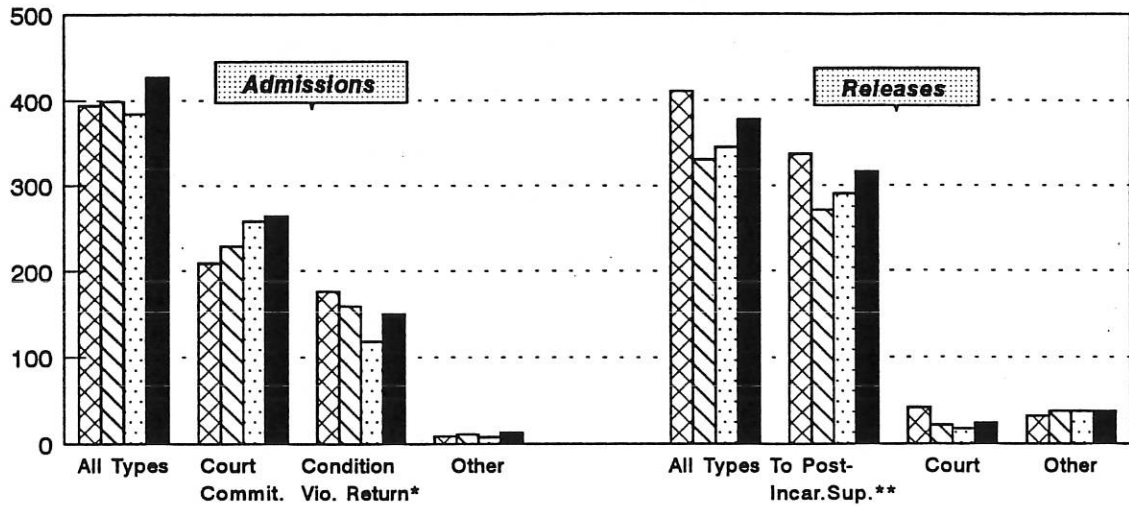


Change from Prev. Mo.																				
		54	98	46	23	-36	-56	67	58	109	59	69	38		27	30	43	74	45	81

\*Figures reflect the total inmate population (combined DOC and Non-DOC facility populations) at month-end.

2-13

# Average Number of Admissions and Releases Per Month by Major Category: FY 1994 - FY 1996, and FY 1997 To-date (Jul. - Dec., 1996)



		All Types	Court Commit.	Condition Vio. Return*	Other		All Types To Post-Incar.Sup.**	Court	Other
FY 94 Mo. Avg.	☒	394	209	176	9		411	42	32
FY 95 Mo. Avg.	☒	399	229	159	11		331	22	38
FY 96 Mo. Avg.	☐	384	258	118	8		346	18	38
FY 97 Mo. Avg. (6 mo.)	■	427	264	150	13		378	24	38

\*Return to prison for violation of the conditions of release – no new felony sentence involved.

\*\*Includes releases by action of the Kansas Parole Board as well as releases to post-incarceration supervision via the provisions of the Kansas Sentencing Guidelines Act.

■ **Admissions**

- ▶ All Types of Admission: FY 97 to date monthly average (427) is somewhat higher (7% - 11%) than any of the three previous fiscal years.
- ▶ Court Admissions: Monthly average has increased steadily since FY 94. FY 97 to date level is only slightly higher (2%) than in FY 96.
- ▶ Condition Violators: After decreasing to 159 in FY 95 and to 118 in FY 96 (from a high of 176 in FY 94), the level again has increased to 150 for FY 97 to date.

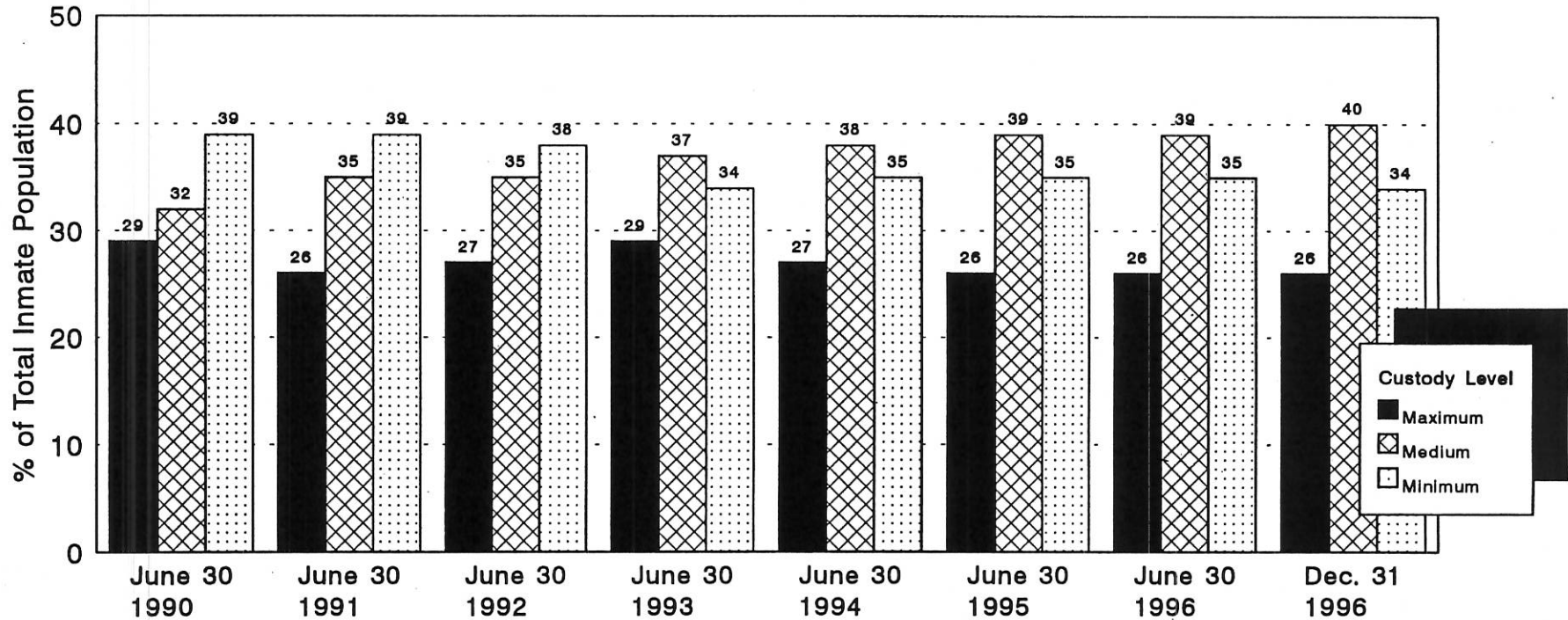
■ **Releases**

- ▶ All Types of Release: Releases in FY 97 to date are up somewhat -- 9% higher than in FY 96 and 14% from FY 95. The peak number of releases in FY 94 was due in part to the application of the retroactive provisions of the Kansas Sentencing Guidelines Act of 1993.
- ▶ As in previous years most releases were to post-incarceration supervision, either through action of the Kansas Parole Board (parole) or as releases to supervision via the provisions of the Kansas Sentencing Guidelines Act.
- ▶ Court-related releases were relatively few in FY 97 to date, as they have been in recent years.



Chart 6

## Year-end Inmate Population by Custody Level: FY 1990 Through FY 1997 to Date\*



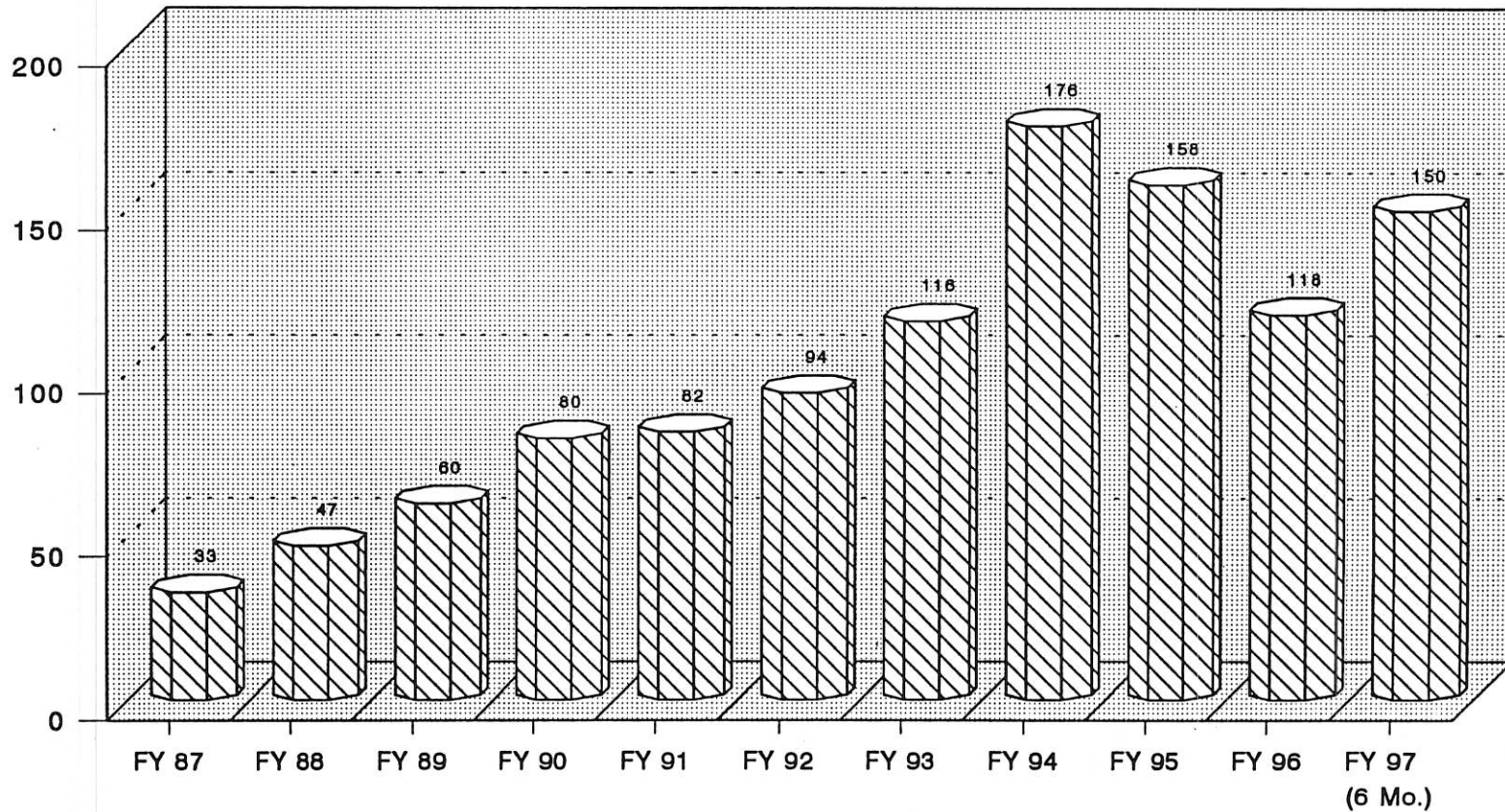
Maximum (No.)	1,648	1,439	1,654	1,813	1,650	1,845	1,911	2,058
Medium (No.)	1,789	1,966	2,175	2,283	2,341	2,689	2,932	3,095
Minimum (No.)	2,240	2,214	2,364	2,144	2,100	2,365	2,612	2,602
<b>Total (No.)</b>	<b>5,677</b>	<b>5,619</b>	<b>6,193</b>	<b>6,240</b>	<b>6,091</b>	<b>6,926</b>	<b>7,455</b>	<b>7,755</b>

\*Maximum custody totals include unclassified and special management inmates.  
Figures for FY 1997 to date reflect the December 31, 1996 distribution.

2-15

Chart 7

# Average Monthly Number of Return Admissions for Condition Violations By Year: FY 1987 - FY 1996, and FY 1997 to Date (Through December, 1996)\*

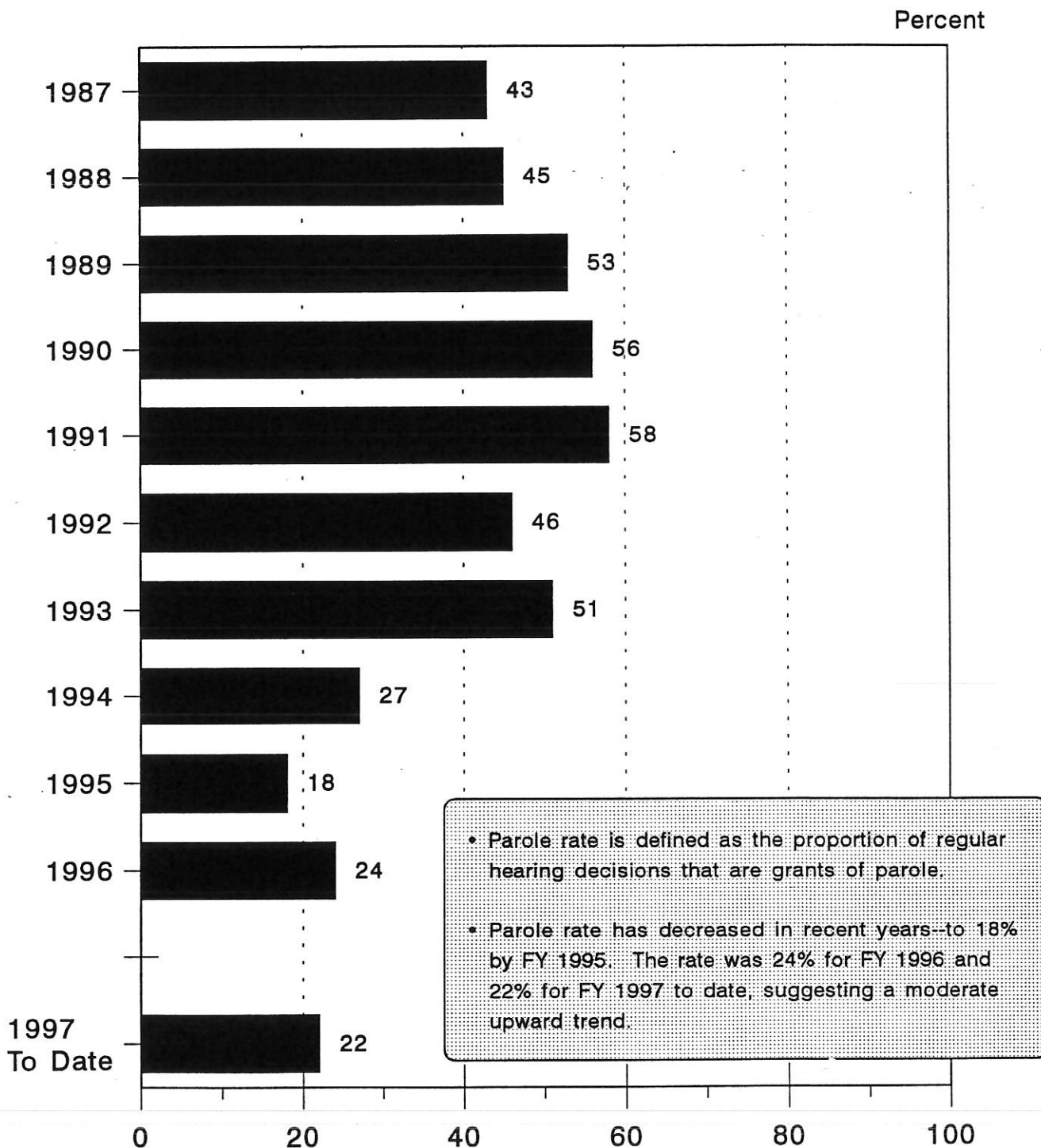


Total Vio. Adm. (Yr.)	393	564	715	954	982	1,130	1,397	2,112	1,900	1,411	899
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\*Figures are based on the total number of admissions for violation of the conditions of release (no new sentence).

91-8

Parole Rate: Kansas Parole Board Decisions to Parole as a Proportion of Total Decisions, FY 1987 - 1996, and First Five Months of FY 97 (Jul. - Nov., 1996)\*



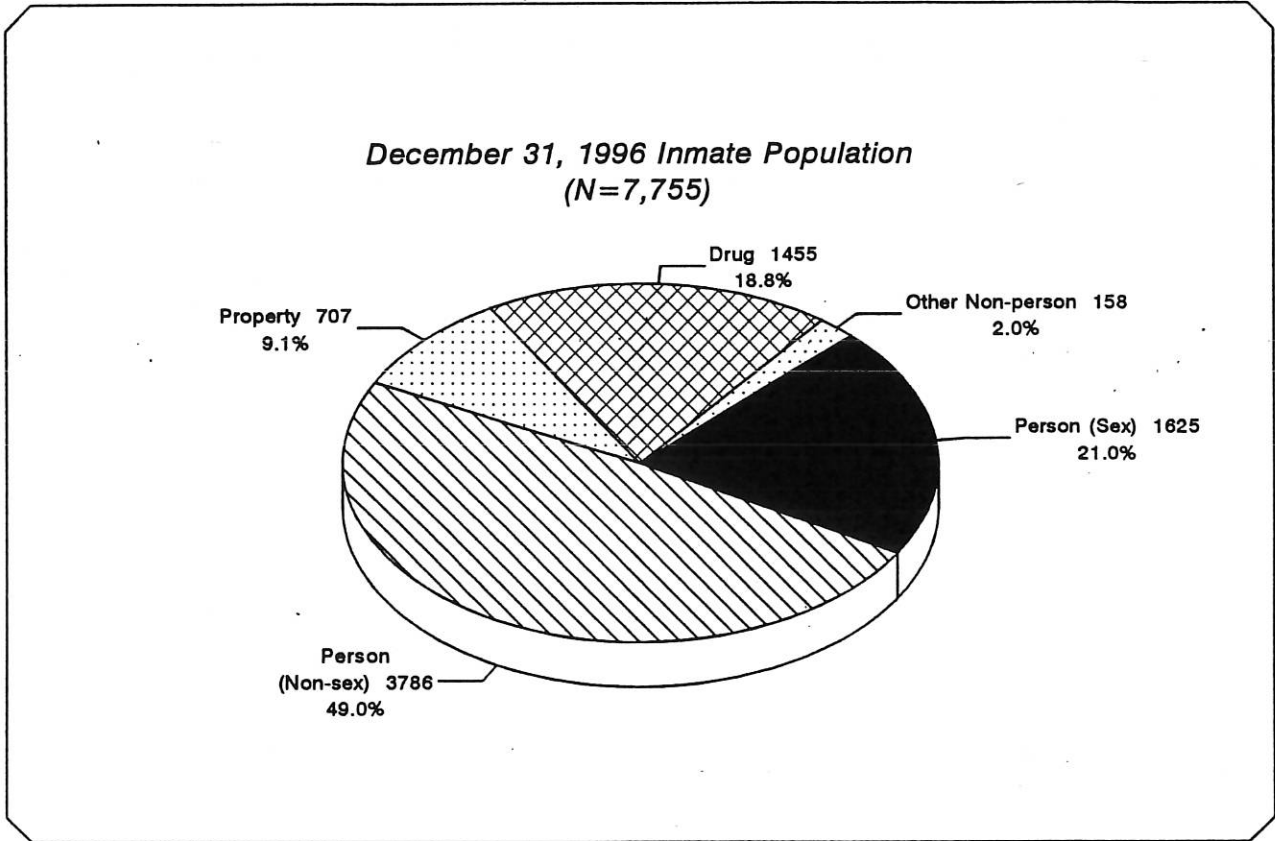
• Parole rate is defined as the proportion of regular hearing decisions that are grants of parole.

• Parole rate has decreased in recent years—to 18% by FY 1995. The rate was 24% for FY 1996 and 22% for FY 1997 to date, suggesting a moderate upward trend.

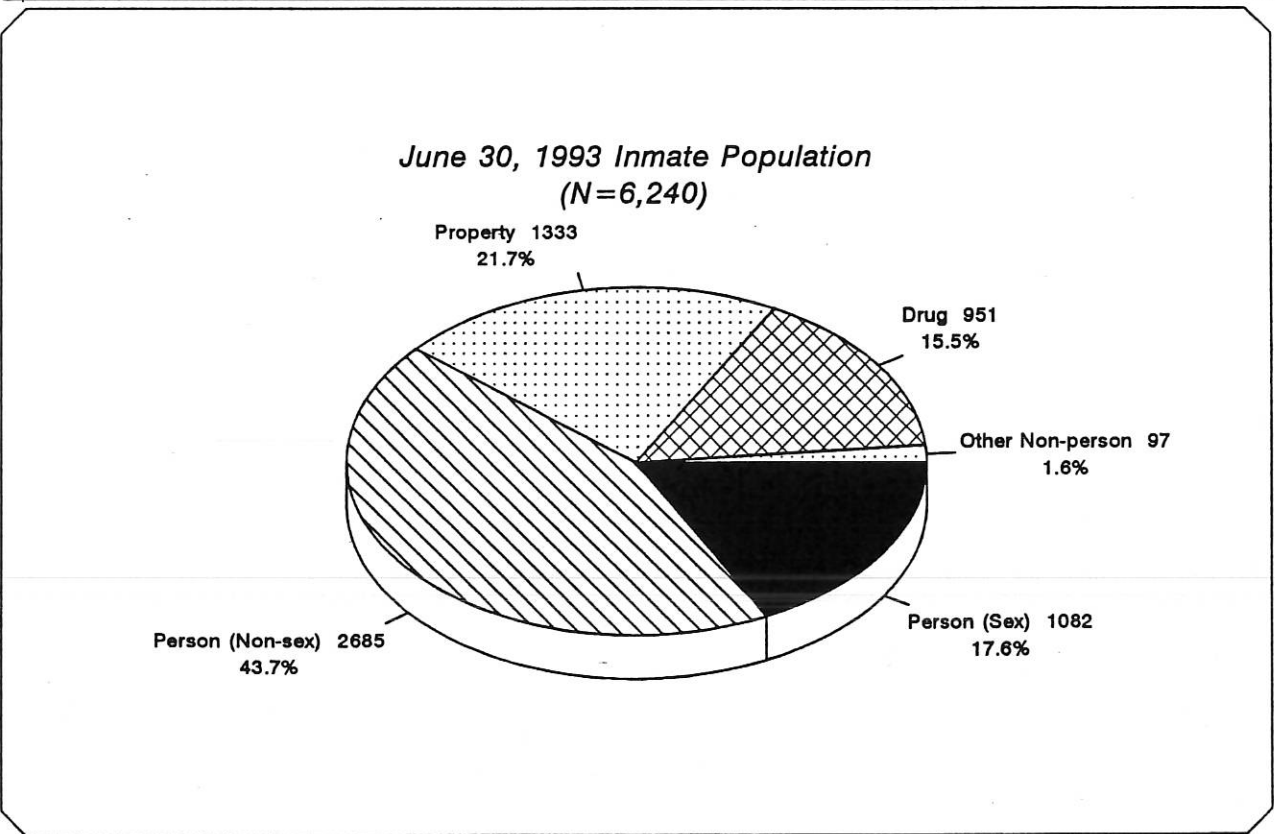
	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997 To-dt.
Decisions to Parole	1327	1765	2381	2961	2684	2210	2634	1127	649	781	277
Total Decisions	3072	3945	4457	5241	4635	4845	5139	4173	3521	3317	1263

\*Information pertains to decisions resulting from regular parole hearings. Excluded are decisions from parole violation hearings, one outcome of which is the decision to "reparole," which was used more often beginning in FY 94 and in effect reduces the number of regular parole hearings.

# Inmate Population by Type of Crime (Overall Most Serious Offense) 12-31-96 Compared to 6-30-93\*



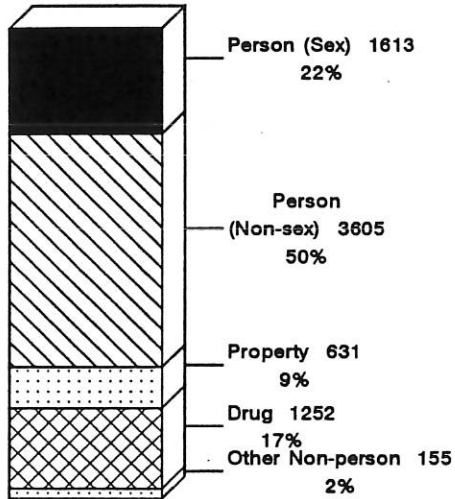
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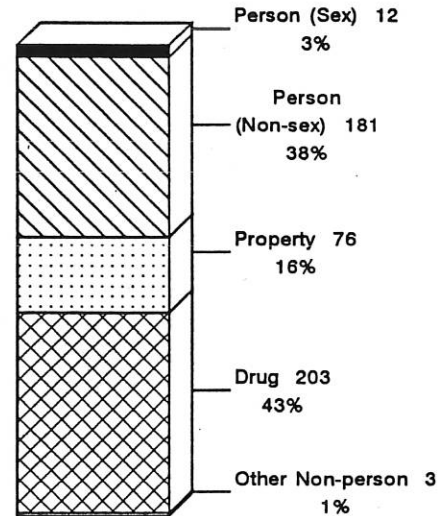
\*Overall most serious of all the active offenses for each inmate (offense information not available for 92 offenders in 1993 and for 24 offenders in 1996).

# Inmate Population by Type of Crime (Most Serious Offense) by Gender: 12-31-96 Compared to 6-30-93\*

**Males**  
(n=7,277)

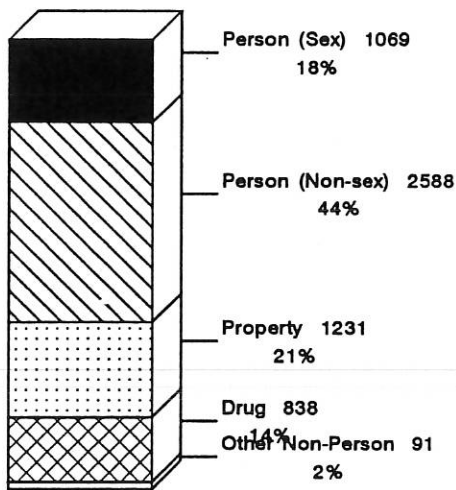


**Females**  
(n=478)

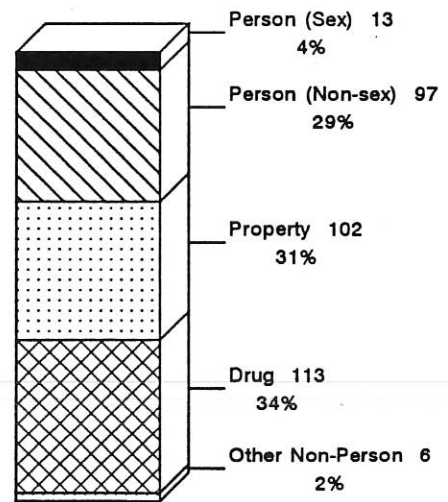


December 31, 1996 Inmate Population

**Males**  
(n=5,905)



**Females**  
(n=335)



June 30, 1993 Inmate Population

\*Overall most serious offense for each inmate (offense information not available for 92 offenders in 1993 and 24 offenders in 1996).

Chart 11

# Kansas Sentencing Commission

## FY 1997 Adult Inmate Population Update

6 Months Border Box Impact Lag

| Id Group                                       | July 1996    | 1997         | 1998         | 1999         | 2000         | 2001         | 2002         | 2003         | 2004         | 2005         | 2006         | Total Increase | Percent Increase |
|------------------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|----------------|------------------|
| Level 1                                        | 448          | 468          | 506          | 537          | 570          | 603          | 629          | 652          | 682          | 700          | 728          | 280            | 62.5%            |
| Level 2                                        | 560          | 583          | 616          | 659          | 680          | 719          | 753          | 767          | 785          | 791          | 805          | 245            | 43.7%            |
| Level 3                                        | 1,246        | 1,258        | 1,295        | 1,325        | 1,343        | 1,361        | 1,355        | 1,377        | 1,382        | 1,370        | 1,427        | 181            | 14.5%            |
| Level 4                                        | 289          | 306          | 325          | 340          | 362          | 381          | 388          | 396          | 390          | 396          | 408          | 119            | 41.3%            |
| Level 5                                        | 867          | 974          | 1,021        | 1,030        | 1,068        | 1,118        | 1,142        | 1,117        | 1,182        | 1,182        | 1,230        | 363            | 41.8%            |
| Level 6                                        | 158          | 161          | 160          | 151          | 142          | 156          | 178          | 159          | 156          | 154          | 171          | 13             | 8.5%             |
| Level 7                                        | 650          | 710          | 736          | 740          | 777          | 799          | 829          | 829          | 839          | 896          | 894          | 244            | 37.5%            |
| Level 8                                        | 211          | 300          | 330          | 307          | 226          | 234          | 248          | 235          | 223          | 234          | 250          | 39             | 18.5%            |
| Level 9                                        | 302          | 311          | 329          | 340          | 334          | 321          | 346          | 352          | 348          | 352          | 360          | 58             | 19.2%            |
| Level 10                                       | 33           | 38           | 41           | 42           | 36           | 40           | 49           | 56           | 46           | 54           | 52           | 19             | 58.9%            |
| Level D1                                       | 19           | 26           | 34           | 39           | 49           | 59           | 60           | 64           | 65           | 69           | 70           | 51             | 266.3%           |
| Level D2                                       | 164          | 184          | 196          | 206          | 202          | 220          | 214          | 224          | 227          | 234          | 237          | 73             | 44.5%            |
| Level D3                                       | 746          | 801          | 760          | 716          | 744          | 733          | 759          | 754          | 765          | 765          | 788          | 42             | 5.6%             |
| Level D4                                       | 326          | 349          | 370          | 381          | 384          | 413          | 431          | 419          | 407          | 410          | 417          | 91             | 27.8%            |
| Offgrid                                        | 442          | 480          | 527          | 576          | 621          | 672          | 718          | 762          | 829          | 880          | 940          | 498            | 112.7%           |
| Conditional Violator                           | 1,002        | 892          | 787          | 704          | 596          | 532          | 508          | 530          | 470          | 467          | 469          | -533           | -53.2%           |
| <b>TOTAL</b>                                   | <b>7,463</b> | <b>7,841</b> | <b>8,033</b> | <b>8,093</b> | <b>8,134</b> | <b>8,360</b> | <b>8,607</b> | <b>8,694</b> | <b>8,798</b> | <b>8,954</b> | <b>9,246</b> | <b>1,783</b>   | <b>23.9%</b>     |
| Drug Level Border Box<br>Diversion Bed Savings | 0            | -78          | -163         | -198         | -256         | -242         | -260         | -280         | -293         | -296         | -300         |                |                  |

**Inmate Population Projections:  
Monthly Monitoring Numbers  
FY 1997**

| <u>Month/Year</u> | <u>Projection Monitoring<br/>Number</u> | <u>Actual<br/>Population</u> | <u>Difference</u> |
|-------------------|-----------------------------------------|------------------------------|-------------------|
| July 1996         | 7463                                    | 7482                         | -19               |
| August            | 7533                                    | 7512                         | 21                |
| September         | 7634                                    | 7555                         | 79                |
| October           | 7693                                    | 7629                         | 64                |
| November          | 7736                                    | 7674                         | 62                |
| December          | 7764                                    | 7755                         | 9                 |
| January 1997      | 7759                                    |                              |                   |
| February          | 7783                                    |                              |                   |
| March             | 7791                                    |                              |                   |
| April             | 7811                                    |                              |                   |
| May               | 7846                                    |                              |                   |
| June              | 7841                                    |                              |                   |

*Source: Kansas Sentencing Commission, January 1997.*

Population projections developed by the Kansas Sentencing Commission are produced in annual increments. Commission staff track the population monthly against the projections, but it is our understanding that these monthly tracking numbers are not official projections. Prior to January 10, 1997 the Department of Corrections did not receive monthly tracking numbers in advance, although we had occasionally received them retrospectively.

## KDOC AND Non-KDOC Bedspace July 1, 1993 - December 31, 1996

| <u>Bedspace</u>                                                          | <u>Male</u>        | <u>Female</u>     | <u>Total</u>       | <u>Date</u>                |
|--------------------------------------------------------------------------|--------------------|-------------------|--------------------|----------------------------|
| <b><u>Bedspace</u></b>                                                   | <b><u>6235</u></b> | <b><u>376</u></b> | <b><u>6611</u></b> | <b><u>July 1, 1993</u></b> |
| TCF-CU (I-Dorm closed)                                                   | -90                |                   | -90                | July 1993                  |
| TCF-RDU (9 bed expansion)                                                | 9                  |                   | 9                  | July 15, 1993              |
| HCF-CU (79 bed D-Cellhouse expansion)                                    | 79                 |                   | 79                 | October 1993               |
| <b><u>Bedspace</u></b>                                                   | <b><u>6233</u></b> | <b><u>376</u></b> | <b><u>6609</u></b> | <b><u>July 1, 1994</u></b> |
| HCF-CU (E-Dorm expansion)                                                | 10                 |                   | 10                 | July 1994                  |
| EDCF-CU (15 special use beds converted to gen. pop.)                     | 15                 |                   | 15                 | July 1994                  |
| LCF-CU (32 three men cells converted to four men cells in "C" cellhouse) | 32                 |                   | 32                 | August 1994                |
| TCF-SU (closed)                                                          | -107               |                   | -107               | August 1994                |
| LCF-EU (R-Dorm opened)                                                   | 48                 |                   | 48                 | October 1994               |
| LCF-EU (first half of S-Dorm opened)                                     | 48                 |                   | 48                 | December 1994              |
| LCF-EU (second half of S-Dorm opened)                                    | 48                 |                   | 48                 | January 18, 1995           |
| TCF-CU (24 bed D-Dorm expansion)                                         |                    | 24                | 24                 | February 13, 1995          |
| NCF-EU (18 bed expansion)                                                | 18                 |                   | 18                 | February 15, 1995          |
| LCF-CU (D-Cellhouse renovation)                                          | 16                 |                   | 16                 | March 15, 1995             |
| LCF-CU (H-Unit)                                                          | 48                 |                   | 48                 | April 1, 1995              |
| EDCF-CU (U-Unit) <sup>1</sup>                                            | 20                 |                   | 20                 | April 21, 1995             |
| TCF-CU (I-Max opened)                                                    |                    | 75                | 75                 | May 1, 1995                |
| (56 female beds removed/56 male beds added at LCF-EU)                    | 56                 | -56               | 0                  | May 1, 1995                |
| (16 female eval. beds taken off-line)                                    |                    | -16               | -16                | May 1, 1995                |
| Contract Jail Bed Reduction                                              | -14                |                   | -14                | May 1, 1995                |
| TCF-CU (24 bed A-Dorm expansion)                                         |                    | 24                | 24                 | May 15, 1995               |
| LCCC (10 Non-KDOC beds)                                                  | 10                 |                   | 10                 | June 1, 1995               |
| EDCF-CU (U-Unit) <sup>1</sup>                                            | 20                 |                   | 20                 | June 15, 1995              |
| EDCF-CU (D-Cellhouse, Double-Celling) <sup>1</sup>                       | 64                 |                   | 64                 | June 15, 1995              |
| EDCF-CU (U-Unit) <sup>1</sup>                                            | 75                 |                   | 75                 | July 1, 1995               |
| EDCF-CU (E Cellhouse Double-Celling) <sup>1</sup>                        | 128                |                   | 128                | July 1, 1995               |
| TCF-CU (16 bed expansion)                                                |                    | 16                | 16                 | July 1, 1995               |
| HCF-CU (D-Cellhouse)                                                     | 100                |                   | 100                | July 1, 1995               |



Chart 13

| <u>Bedspace</u>                                               | <u>6868</u> | <u>443</u> | <u>7311</u> | <u>July 1, 1995</u>      |
|---------------------------------------------------------------|-------------|------------|-------------|--------------------------|
| Topeka Halfway House (Terminate)                              |             | -4         | -4          | July 25, 1995            |
| TCF-CU (16 bed C-Dorm expansion)                              |             | 16         | 16          | September 1, 1995        |
| LCF-EU (56 bed W-Unit expansion)                              | 56          |            | 56          | October 2, 1995          |
| LSSH (37 Non-KDOC bed reduction)                              | -32         | -5         | -37         | October 2, 1995          |
| LCF-EU (16 bed expansion)                                     | 16          |            | 16          | November 17, 1995        |
| LCMHF (30 bed reduction-sexual predator unit) <sup>2</sup>    | -30         |            | -30         | November 17, 1995        |
| LCMHF (14 bed minimum unit)                                   | 14          |            | 14          | November 17, 1995        |
| EDCF-CU (Double-Celling)                                      | 60          |            | 60          | December 18, 1995        |
| TCF-CU (26 I-Max Double-Celling)                              |             | 26         | 26          | December 18, 1995        |
| ECF (48 bed minimum unit expansion)                           | 48          |            | 48          | December 18, 1995        |
| HCF (D Cellhouse-24 bed reduction)                            | -24         |            | -24         | January 12, 1996         |
| WCF (96 bed expansion)                                        | 100         |            | 100         | April 15, 1996           |
| TCF-CU (8 bed G-Dorm)                                         |             | 8          | 8           | May 6, 1996              |
| LCMHF (18 bed minimum unit expansion Jenkins Bldg.)           | 18          |            | 18          | May 6, 1996              |
| LCMHF (22 bed minimum unit expansion Jenkins Bldg.)           | +22         |            | +22         | May 29, 1996             |
| <u>Bedspace</u>                                               | <u>7212</u> | <u>484</u> | <u>7600</u> | <u>July 1, 1996</u>      |
| LCF-CU (Double-Celling M-Living Area)                         | 96          |            | 96          | July 1, 1996             |
| LCF-CU (Double-Celling 40 beds - L-Living Area)               | 40          |            | 40          | August 22, 1996          |
| LCF-CU (Double-Celling 56 beds - L-Living Area)               | 56          |            | 56          | October 1, 1996          |
| HCF-EU (Create handicapped space)                             | -2          |            | -2          | October 1, 1996          |
| WCF (5 sleepout beds)                                         | 5           |            | 5           | November 1, 1996         |
| LCF-CU (Double-Celling 18 beds in K-Unit)                     | 18          |            | 18          | November 1, 1996         |
| LCF-CU (Double-Celling 30 beds - K - Living Area )            | 30          |            | 30          | November 15, 1996        |
| LCF-CU (Double-Celling 4 beds - K - Living Area) <sup>3</sup> | 40          |            | 40          | December 23, 1996        |
| <u>Bedspace</u>                                               | <u>7399</u> | <u>484</u> | <u>7883</u> | <u>December 31, 1996</u> |

**Note: The average cost of capacity added since July 1, 1993 is \$5,075 per bed.**

<sup>1</sup> The 115 beds at EDCF (U-Unit) and 192 beds added via double-celling (D & E Units) were added to the operating capacity over a 10 week period beginning April 21, 1995.

<sup>2</sup> The original LCMHF capacity of 150 is adjusted to reflect a reduction of 30 beds currently unavailable to house KDOC inmates because of the sexual predator unit operated by SR. Once provision is made for permanent housing for sexual predators, the 30 beds will be added back to KDOC capacity.

<sup>3</sup> Between July 1, 1996 and December 23, 1996, K, L, and M units were double-celled creating beds for an additional 280 inmates.

## KDOC Bedspace Needs, by Custody - Males

### *Projected Population Compared to Existing and Approved Capacity*

| FY                                                                                                                                                                                                                                               | Maximum     |             |      | Medium      |             |      | Minimum     |             |      | Total       |             |       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|------|-------------|-------------|------|-------------|-------------|------|-------------|-------------|-------|
|                                                                                                                                                                                                                                                  | Pop (6-30)  | Beds        | Diff | Pop (6-30)  | Beds        | Diff | Pop (6-30)  | Beds        | Diff | Pop (6-30)  | Beds        | Diff  |
| <b>Baseline</b>                                                                                                                                                                                                                                  | <b>1961</b> | <b>2151</b> |      | <b>2983</b> | <b>3159</b> |      | <b>2320</b> | <b>2137</b> |      | <b>7166</b> | <b>7447</b> |       |
| <i>(Note: Baseline population is actual population as of December 31, 1996. Baseline capacity is existing and approved; it does not include 70 beds at LCF which are counted in operating capacity, but funding for which is still pending.)</i> |             |             |      |             |             |      |             |             |      |             |             |       |
| 1997                                                                                                                                                                                                                                             | 2021        | 2151        | 130  | 2966        | 3159        | 193  | 2366        | 2137        | -229 | 7353        | 7447        | 94    |
| 1998                                                                                                                                                                                                                                             | 2075        | 2151        | 76   | 3046        | 3159        | 113  | 2412        | 2137        | -275 | 7533        | 7447        | -86   |
| 1999                                                                                                                                                                                                                                             | 2092        | 2151        | 59   | 3071        | 3159        | 88   | 2427        | 2137        | -290 | 7590        | 7447        | -143  |
| 2000                                                                                                                                                                                                                                             | 2103        | 2151        | 48   | 3087        | 3159        | 72   | 2438        | 2137        | -301 | 7628        | 7447        | -181  |
| 2001                                                                                                                                                                                                                                             | 2167        | 2151        | -16  | 3181        | 3159        | -22  | 2492        | 2137        | -355 | 7840        | 7447        | -393  |
| 2002                                                                                                                                                                                                                                             | 2237        | 2151        | -86  | 3283        | 3159        | -124 | 2552        | 2137        | -415 | 8072        | 7447        | -625  |
| 2003                                                                                                                                                                                                                                             | 2262        | 2151        | -111 | 3320        | 3159        | -161 | 2571        | 2137        | -434 | 8153        | 7447        | -706  |
| 2004                                                                                                                                                                                                                                             | 2291        | 2151        | -140 | 3364        | 3159        | -205 | 2596        | 2137        | -459 | 8251        | 7447        | -804  |
| 2005                                                                                                                                                                                                                                             | 2335        | 2151        | -184 | 3430        | 3159        | -271 | 2632        | 2137        | -495 | 8397        | 7447        | -950  |
| 2006                                                                                                                                                                                                                                             | 2417        | 2151        | -266 | 3550        | 3159        | -391 | 2704        | 2137        | -567 | 8671        | 7447        | -1224 |

1. Prophet model projections were prepared by the Kansas Sentencing Commission in September 1996. The projections are not broken down by gender or by custody. The male inmate population numbers given above assume that the proportion of males and females will remain constant at 93.8% male and 6.2% female.
2. Baseline capacity includes 118 beds at Lansing Correctional Facility that will become operational on July 1, 1997. Not included are 70 beds at LCF that have been added to capacity, but funding for which is still pending.
3. On December 31, 1996 the custody distribution among male inmates was: 27.0% maximum; 40.9% medium; and 32.1% minimum. Due to continued change in the composition of the inmate population, the custody mix is expected to shift gradually toward the higher custody levels. Based on growth projected for the number of inmates in the higher crime severity levels, the custody split projected for the net annual *increases* in the male population is as follows: 30% maximum; 44% medium; and 26% minimum. By FY 2006, the cumulative effect on the custody mix of the entire male inmate population is : 28% maximum; 41% medium; and 31% minimum.

## KDOC Bedspace Needs, by Custody - Females

### *Projected Population Compared to Existing Capacity*

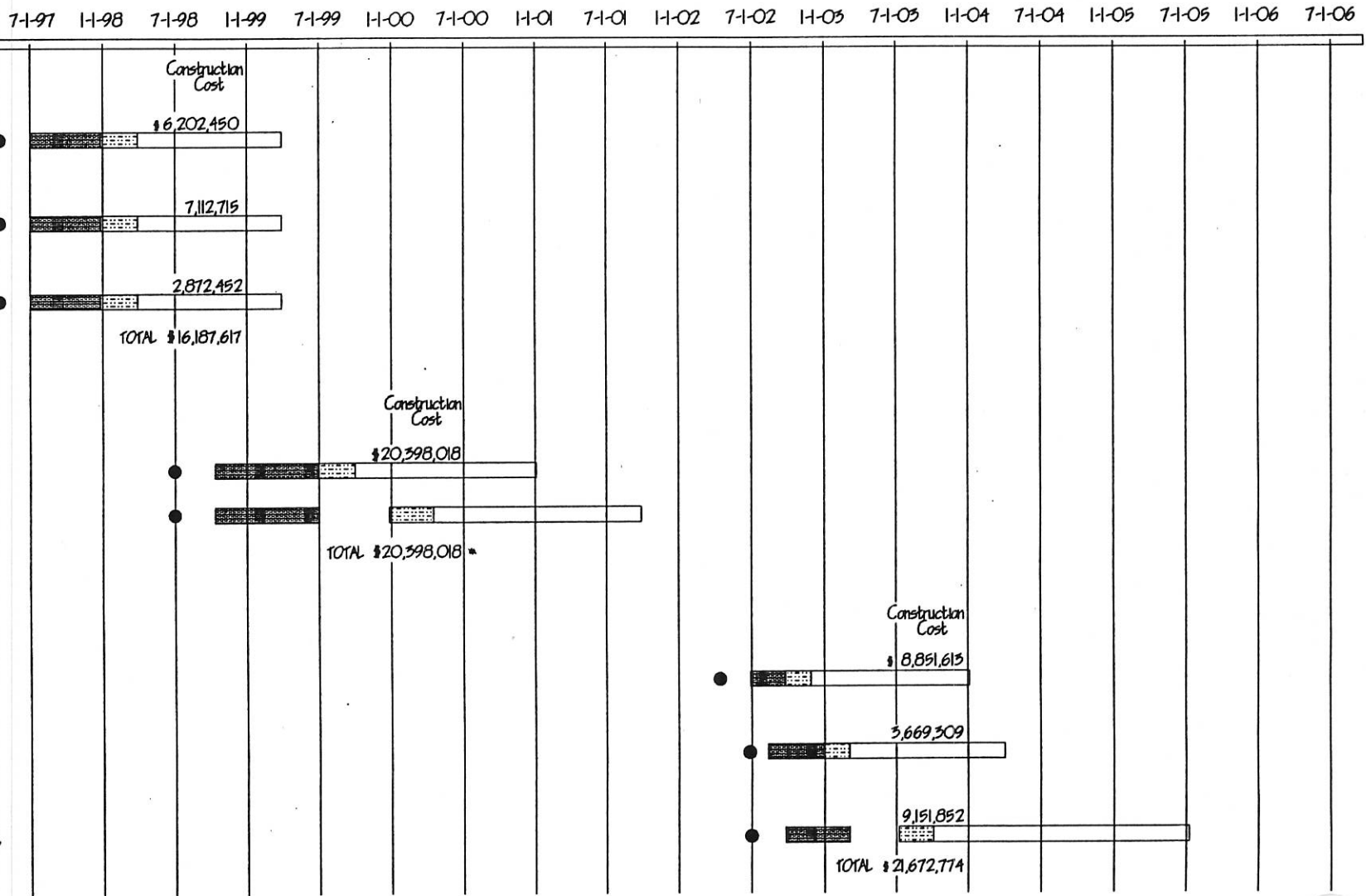
| FY                                                                                                                           | Maximum    |           |      | Medium     |            |      | Minimum    |           |      | Total      |            |      |
|------------------------------------------------------------------------------------------------------------------------------|------------|-----------|------|------------|------------|------|------------|-----------|------|------------|------------|------|
|                                                                                                                              | Pop (6-30) | Beds      | Diff | Pop (6-30) | Beds       | Diff | Pop (6-30) | Beds      | Diff | Pop (6-30) | Beds       | Diff |
| <b>Baseline</b>                                                                                                              | <b>90</b>  | <b>58</b> |      | <b>119</b> | <b>408</b> |      | <b>269</b> | <b>18</b> |      | <b>478</b> | <b>484</b> |      |
| <i>(Note: Baseline population is actual population as of December 31, 1996. Baseline capacity is existing and approved.)</i> |            |           |      |            |            |      |            |           |      |            |            |      |
| 1997                                                                                                                         | 74         | 58        | -16  | 130        | 408        | 278  | 284        | 18        | -266 | 488        | 484        | -4   |
| 1998                                                                                                                         | 75         | 58        | -17  | 134        | 408        | 274  | 291        | 18        | -273 | 500        | 484        | -16  |
| 1999                                                                                                                         | 76         | 58        | -18  | 135        | 408        | 273  | 293        | 18        | -275 | 504        | 484        | -20  |
| 2000                                                                                                                         | 76         | 58        | -18  | 136        | 408        | 272  | 295        | 18        | -277 | 507        | 484        | -23  |
| 2001                                                                                                                         | 78         | 58        | -20  | 140        | 408        | 268  | 303        | 18        | -285 | 521        | 484        | -37  |
| 2002                                                                                                                         | 80         | 58        | -22  | 145        | 408        | 263  | 311        | 18        | -293 | 536        | 484        | -52  |
| 2003                                                                                                                         | 81         | 58        | -23  | 147        | 408        | 261  | 313        | 18        | -295 | 541        | 484        | -57  |
| 2004                                                                                                                         | 82         | 58        | -24  | 150        | 408        | 258  | 315        | 18        | -297 | 547        | 484        | -63  |
| 2005                                                                                                                         | 83         | 58        | -25  | 154        | 408        | 254  | 320        | 18        | -302 | 557        | 484        | -73  |
| 2006                                                                                                                         | 85         | 58        | -27  | 160        | 408        | 248  | 330        | 18        | -312 | 575        | 484        | -91  |

1. Prophet model projections were prepared by the Kansas Sentencing Commission in September 1996. The projections are not broken down by gender or by custody. The female inmate population numbers given above assume that the proportion of males and females will remain constant at 93.8% male and 6.2% female.

2. On December 31, 1996 the custody distribution among female inmates was: 18.8% maximum; 24.9% medium; and 56.3% minimum. The numbers presented above assume no change in the custody composition of the female inmate population during the projection period.

Chart 16

# KDOC Multiyear Bedspace Expansion Options - New Construction Construction Schedule



98-6

- Legend**
- Funding Date ●
  - Building Design [Patterned Box]
  - Bidding [Patterned Box]
  - Construction [Solid Black Box]

\* Project to be constructed with inmate labor.

**BED UTILIZATION - MALES ONLY**

| <u>BEDSPACE/ADJUSTMENT</u>                                                 | <u>CUSTODY LEVEL</u> |                  |                  | <u>TOTAL</u> |
|----------------------------------------------------------------------------|----------------------|------------------|------------------|--------------|
|                                                                            | <u>MAXIMUM</u>       | <u>MEDIUM</u>    | <u>MINIMUM</u>   |              |
| Bedspace - 11-1-96                                                         | 2057                 | 3135             | 2137             | 7329         |
| Population - 10-31-96                                                      | <u>1953</u>          | <u>2890</u>      | <u>2323</u>      | <u>7166</u>  |
| <u>AVAILABLE BEDSPACE</u>                                                  | <u>+104</u>          | <u>+245</u>      | <u>-186</u>      | <u>+163</u>  |
| <u>PLANNED BEDSPACE INCREASE (FY 97)</u>                                   |                      |                  |                  |              |
| •K, L, M Double-Cell (210-280)                                             | -                    | +40              | +30              | +70          |
| •T.C. Unit Renovation                                                      | +94                  | +24              | -                | +118         |
| •LCMHF "J" Dorm (potential)                                                | -                    | -                | <u>+54</u>       | <u>+54</u>   |
| <u>AVAILABLE BEDSPACE</u>                                                  | <u>+198</u>          | <u>+309</u>      | <u>-102</u>      | <u>+405</u>  |
| <u>BED UTILIZATION ADJUSTMENT</u>                                          |                      |                  |                  |              |
| •RDU - ADP                                                                 | -30                  | -                | -                | -30          |
| •RDU - Med./Min.                                                           | -40                  | +20              | +20              | 0            |
| •Releases @ 16/Day ÷ 3 Days to Fill Bed                                    | -4                   | -10              | -34              | -48          |
| •Open Non-KDOC Beds                                                        | -5                   | -5               | -17              | -27          |
| •Placement of 80 Medical Minimums at EDCF - "U" Unit                       | -                    | -80              | +80              | 0            |
| •Med./Min. - Out of Bed for Cause (Prog, MH, Trans, Release)               | -90                  | +20              | +70              | 0            |
| •Spec. Ed. Placements - LCF                                                | -                    | -30              | +30              | 0            |
| <u>PLANNED BEDSPACE ADJUSTMENT</u>                                         |                      |                  |                  |              |
| •Close TCF-WU "L" Dorm: Relocate Staff "J" Cellhouse Female Unit Expansion | -                    | -                | <u>-30</u>       | <u>-30</u>   |
| <u>ADJUSTED BEDSPACE</u>                                                   | <u>+29</u>           | <u>+224</u>      | <u>+17</u>       | <u>+270</u>  |
| <u>PROJECTED POPULATION INCREASE (11-1-96 through 6-30-97)</u>             | <u>-57 (30%)</u>     | <u>-82 (44%)</u> | <u>-48 (26%)</u> | <u>-187</u>  |
| <u>AVAILABLE BEDSPACE - 6-30-97</u>                                        | <u>-28</u>           | <u>+142</u>      | <u>-31</u>       | <u>+83</u>   |
| <u>PLANNED BEDSPACE INCREASE (FY 98)</u>                                   |                      |                  |                  |              |
| • "A" Dorm - WCF (Remaining 127 Beds)                                      | -                    | -                | +127             | +127         |
| <u>PROJECTED POPULATION INCREASE (FY 98)</u>                               | <u>-54</u>           | <u>-80</u>       | <u>-46</u>       | <u>-180</u>  |
| <u>AVAILABLE BEDSPACE - 6-30-98</u>                                        | <u>-82</u>           | <u>+62</u>       | <u>+50</u>       | <u>+30</u>   |
| <u>PLANNED BEDSPACE INCREASE (FY 99)</u>                                   |                      |                  |                  |              |
| •Add One 200 - Bed Medium Housing Unit @NCF                                | -                    | +200             | -                | +200         |
| •Add One 200 - Bed Medium Housing Unit @ HCF                               | -                    | +200             | -                | +200         |
| •Add 150 - Bed Minimum Unit @ EDCF-CU                                      | -                    | -                | +150             | +150         |
| •Convert TCF-WU from Male to Female Housing                                | -                    | -                | -81              | -81          |

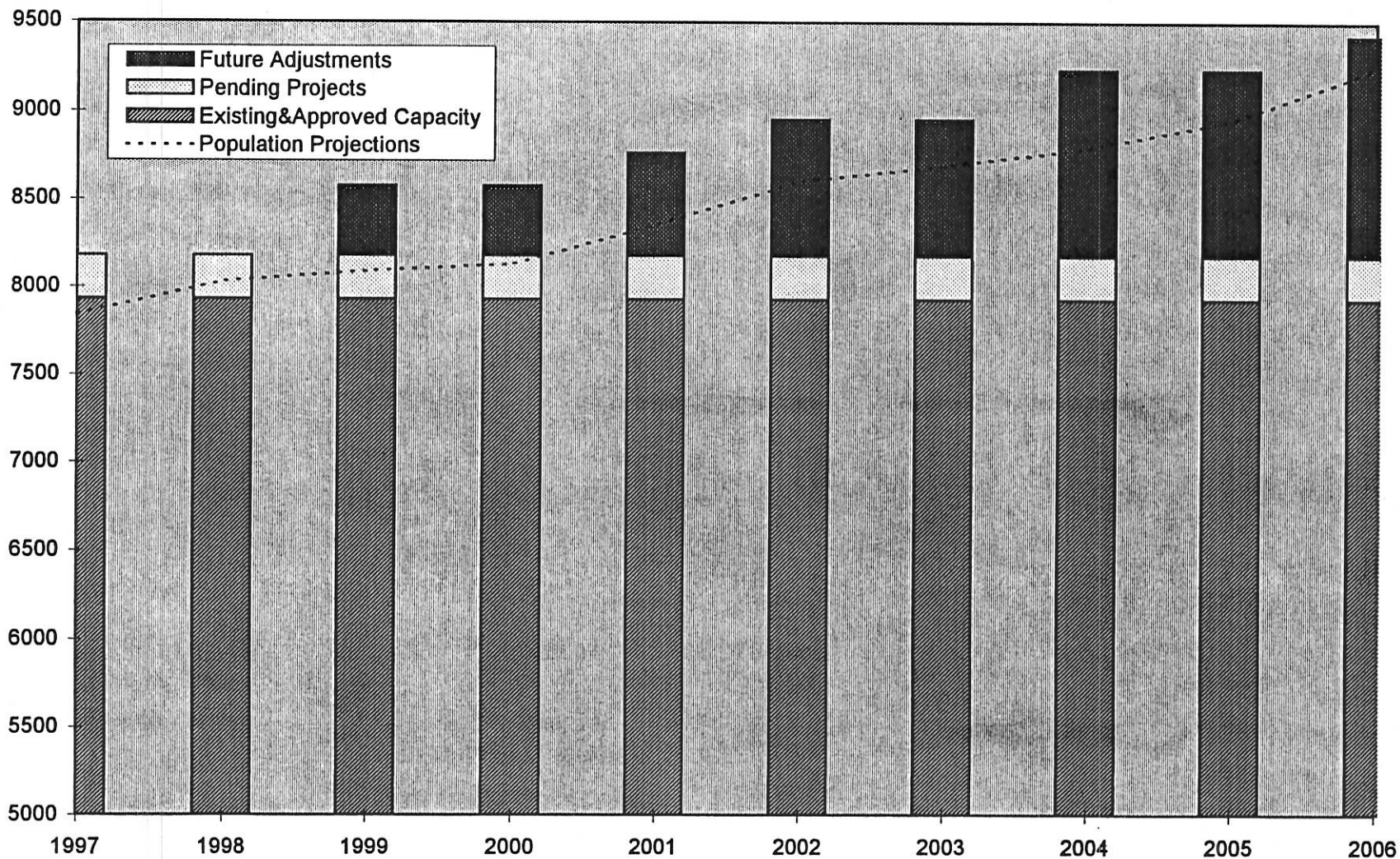
| BEDSPACE/ADJUSTMENT                                 | CUSTODY LEVEL |             |             | TOTAL       |
|-----------------------------------------------------|---------------|-------------|-------------|-------------|
|                                                     | MAXIMUM       | MEDIUM      | MINIMUM     |             |
| ● Convert "D" Cellhouse to Max. Custody             | +128          | -256        | -           | -128        |
| PROJECTED POPULATION INCREASE (FY 99)               | <u>-17</u>    | <u>-25</u>  | <u>-15</u>  | <u>-57</u>  |
| <u>AVAILABLE BEDSPACE - 6-30-99</u>                 | +29           | +181        | +104        | +314        |
| PROJECTED POPULATION INCREASE (FY 00)               | <u>-11</u>    | <u>-16</u>  | <u>-11</u>  | <u>-38</u>  |
| <u>AVAILABLE BEDSPACE - 6-30-00</u>                 | +18           | +165        | +93         | +276        |
| PROJECTED POPULATION INCREASE (FY 01)               | -64           | -94         | -54         | -212        |
| PLANNED BEDSPACE INCREASE (FY 01)                   |               |             |             |             |
| ● Add 1st Housing Unit @ EDCF                       | -             | +256        | -           | +256        |
| ● Convert Half "E" Cellhouse to Max. Custody        | +64           | -128        | -           | -64         |
| ● Adjust for Off-Line Spc. Use Cells                | <u>-</u>      | <u>-6</u>   | <u>-</u>    | <u>-6</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-01</u>                 | +18           | +193        | +39         | +250        |
| PROJECTED POPULATION INCREASE (FY 02)               | -70           | -102        | -60         | -232        |
| PLANNED BEDSPACE INCREASE (FY 02)                   |               |             |             |             |
| ● Add 2nd Housing Unit @ EDCF                       | -             | +256        | -           | +256        |
| ● Convert Remaining ½ "E" Cellhouse to Max. Custody | <u>+64</u>    | <u>-128</u> | <u>-</u>    | <u>-64</u>  |
| <u>AVAILABLE BEDSPACE - 6-30-02</u>                 | +12           | +219        | -21         | +210        |
| PROJECTED POPULATION INCREASE (FY 03)               | <u>-25</u>    | <u>-37</u>  | <u>-19</u>  | <u>-81</u>  |
| <u>AVAILABLE BEDSPACE - 6-30-03</u>                 | -13           | +182        | -40         | +129        |
| PROJECTED POPULATION INCREASE (FY 04)               | -29           | -44         | -25         | -98         |
| PLANNED BEDSPACE INCREASE (FY 04)                   |               |             |             |             |
| ● Add 128 Bed Maximum Unit @ EDCF                   | +128          | -           | -           | +128        |
| ● Add 150 Bed Minimum Housing (unknown)             | <u>-</u>      | <u>-</u>    | <u>+150</u> | <u>+150</u> |
| <u>AVAILABLE BEDSPACE - 6-30-04</u>                 | +86           | +138        | +85         | +309        |
| PROJECTED POPULATION INCREASE (FY 05)               | <u>-44</u>    | <u>-66</u>  | <u>-36</u>  | <u>-146</u> |
| <u>AVAILABLE BEDSPACE - 6-30-05</u>                 | +42           | +72         | +49         | +163        |
| PROJECTED POPULATION INCREASE (FY 06)               | -82           | -120        | -72         | -274        |
| PLANNED BEDSPACE INCREASE (FY 06)                   |               |             |             |             |
| ● Add ½ Max. 1 ½ Med. Unit @ EDCF                   | <u>+64</u>    | <u>+128</u> | <u>-</u>    | <u>+192</u> |
| <u>AVAILABLE BEDSPACE - 6-30-06</u>                 | +24           | +80         | -23         | +81         |

## BED UTILIZATION - FEMALES ONLY

| <u>BEDSPACE/ADJUSTMENT</u>                                                                                    | <u>CUSTODY LEVEL</u> |               |                | <u>TOTAL</u> |
|---------------------------------------------------------------------------------------------------------------|----------------------|---------------|----------------|--------------|
|                                                                                                               | <u>MAXIMUM</u>       | <u>MEDIUM</u> | <u>MINIMUM</u> |              |
| <u>CAPACITY - 11-1-96</u>                                                                                     | 58                   | 408           | 18             | 484          |
| Inmate Population 11-1-96                                                                                     | <u>70</u>            | <u>124</u>    | <u>269</u>     | <u>463</u>   |
| <u>AVAILABLE BEDSPACE 11-1-96</u>                                                                             | -12                  | +284          | -251           | +21          |
| PROJECTED POPULATION INCREASE (FY 97)                                                                         | -4                   | -6            | -15            | -25          |
| PLANNED BEDSPACE INCREASE (FY 97)                                                                             |                      |               |                |              |
| •Open 16 beds @ RDU- "J" Cellhouse                                                                            | <u>+16</u>           | -             | -              | <u>+16</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-97</u>                                                                           | 0                    | +278          | -266           | +12          |
| PROJECTED POPULATION INCREASE (FY 98)                                                                         | <u>-1</u>            | <u>-4</u>     | <u>-7</u>      | <u>-12</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-98</u>                                                                           | -1                   | +274          | -273           | 0            |
| PROJECTED POPULATION INCREASE (FY 99)                                                                         | -1                   | -1            | -2             | -4           |
| PLANNED BEDSPACE INCREASE (FY 99)                                                                             |                      |               |                |              |
| •Convert TCF-WU to female                                                                                     | -                    | -             | +111           | +111         |
| •Take 16 maximum beds at RDU "J" Cellhouse off-line and return 24 double bed cells at I-Max to single celled. | <u>-16/+24</u>       | <u>-48</u>    | -              | <u>-40</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-99</u>                                                                           | +6                   | +225          | -164           | +67          |
| PROJECTED POPULATION INCREASE (FY 00)                                                                         | -                    | -1            | -2             | -3           |
| <u>AVAILABLE BEDSPACE - 6-30-00</u>                                                                           | +6                   | +224          | -166           | +65          |
| PROJECTED POPULATION INCREASE (FY 01)                                                                         | <u>-2</u>            | <u>-4</u>     | <u>-8</u>      | <u>-14</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-01</u>                                                                           | +4                   | +220          | -174           | +50          |
| PROJECTED POPULATION INCREASE (FY 02)                                                                         | <u>-2</u>            | <u>-5</u>     | <u>-8</u>      | <u>-15</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-02</u>                                                                           | +2                   | +215          | -182           | +35          |
| PROJECTED POPULATION INCREASE (FY 03)                                                                         | <u>-1</u>            | <u>-2</u>     | <u>-2</u>      | <u>-5</u>    |
| <u>AVAILABLE BEDSPACE - 6-30-03</u>                                                                           | +1                   | +213          | -184           | +30          |
| PROJECTED POPULATION INCREASE (FY 04)                                                                         | <u>-1</u>            | <u>-3</u>     | <u>-2</u>      | <u>-6</u>    |
| <u>AVAILABLE BEDSPACE - 6-30-04</u>                                                                           | +0                   | +210          | -186           | +24          |
| PROJECTED POPULATION INCREASE (FY 05)                                                                         | <u>-1</u>            | <u>-4</u>     | <u>-5</u>      | <u>-10</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-05</u>                                                                           | -1                   | +206          | -191           | +14          |
| PROJECTED POPULATION INCREASE (FY 06)                                                                         | <u>-2</u>            | <u>-6</u>     | <u>-10</u>     | <u>-18</u>   |
| <u>AVAILABLE BEDSPACE - 6-30-06</u>                                                                           | -3                   | +200          | -201           | -4           |

Chart 19

### KDOC Capacity and Projected Inmate Population as of June 30 each year



Projections were prepared by the Kansas Sentencing Commission. Capacity additions are net bed adjustments. In some years, beds added through new projects are partially offset by reductions due to elimination of doublecelling at two EDCF housing units, returning their use to housing of maximum custody inmates.

2-30



**INMATE POPULATION TRENDS AND  
MULTIYEAR CAPACITY EXPANSION OPTIONS**

**Kansas Department of Corrections**

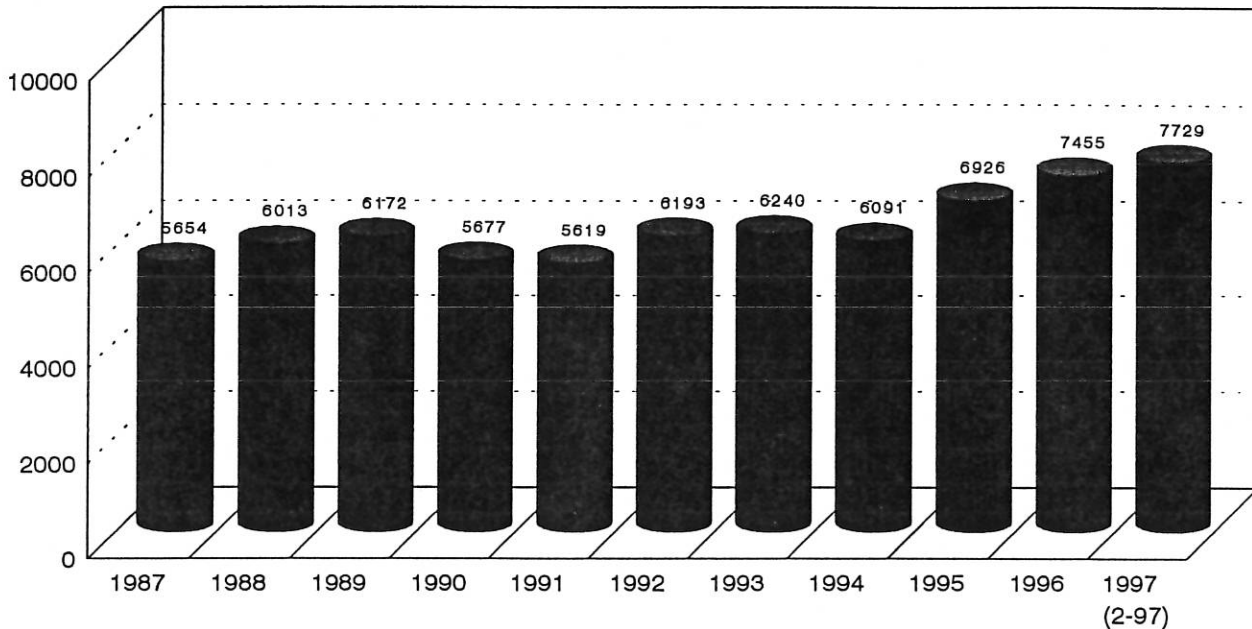
presented to the  
*Senate Ways and Means Committee*  
*January 15, 1997*

**ADDENDUM....Chart Updates for:**

- Chart 2: Total Inmate Population: FY 1987 through FY 1997 to date***
- Chart 3: Female Inmate Population: FY 1986 through FY 1997 to date***
- Chart 4: End of Month Inmate Population: June 1995-February 1997***
- Chart 7: Average Monthly Number of Return Admissions for Condition Violations, by Year: FY 1987 through FY 1997 to date***
- Chart 8: Yearly Parole Rate: FY 1987 - FY 1997 to date***
- Chart 12: Inmate Population Projections: FY 1997 Monthly Monitoring Numbers***

Chart 2

Total Inmate Population: FY 1987 - 1996 and FY 1997 To-date

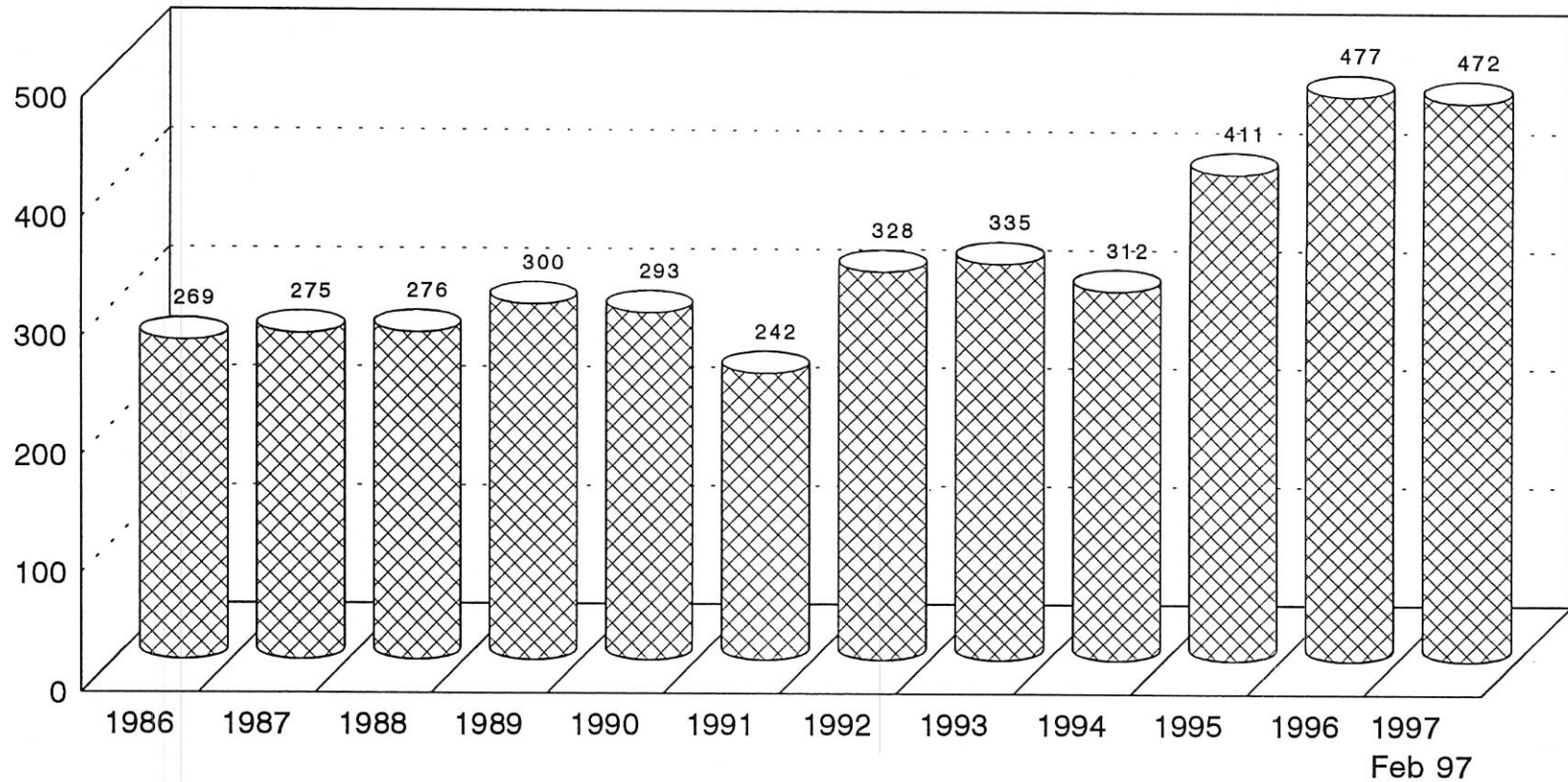


|        |      |      |      |      |      |      |      |      |      |      |      |
|--------|------|------|------|------|------|------|------|------|------|------|------|
| Female | 275  | 276  | 300  | 293  | 242  | 328  | 335  | 312  | 411  | 477  | 472  |
| Male   | 5379 | 5737 | 5872 | 5384 | 5377 | 5865 | 5905 | 5779 | 6515 | 6978 | 7257 |

As of June 30 each year except 1997, which is as of February 28, 1997.

- During FY 1995, the increase in the number of inmates was the largest on record--rising from 6,091 to 6,926 (+835 or 13.7%). FY 1996 brought another substantial increase--from 6,926 to 7,455 (+529 or 7.6%). The growth has continued in FY 97 to date -- the population of 7,729 is 274 greater (4%) than at the end of FY 96.
- The decrease in the inmate population from FY 1989 to FY 1990 was related to the passage of Senate Bill 49, which enhanced good time provisions and resulted in "early" releases for a number of inmates.
- The decrease in inmate population during the first half of FY 1994 resulted primarily from a large number of offenders being released under the retroactive provisions of the Kansas Sentencing Guidelines Act, which took effect July 1, 1993.

Chart 3  
 Female Inmate Population: FY 1986 Through FY 1997 to Date\*

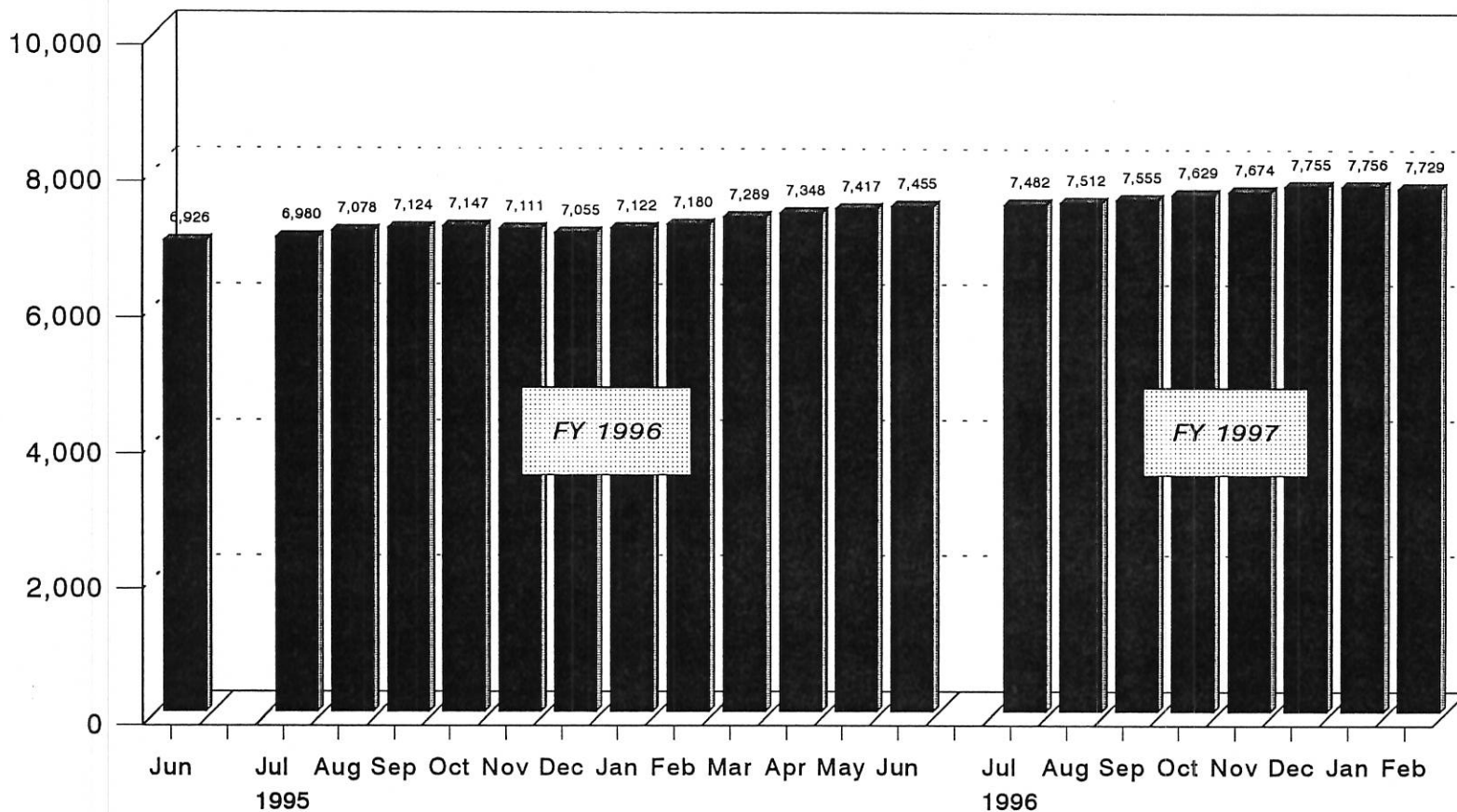


|       |      |      |      |      |      |      |      |      |      |      |      |      |
|-------|------|------|------|------|------|------|------|------|------|------|------|------|
| Male  | 4722 | 5379 | 5737 | 5872 | 5384 | 5377 | 5865 | 5905 | 5779 | 6515 | 6978 | 7257 |
| Total | 4991 | 5654 | 6013 | 6172 | 5677 | 5619 | 6193 | 6240 | 6091 | 6926 | 7455 | 7729 |

\*Fiscal year-end inmate population (as of June 30 each year) except for FY 1997, which is as of February 28, 1997.

Chart 4

End-of-month Inmate Population: June, 1995 - February, 1997\*



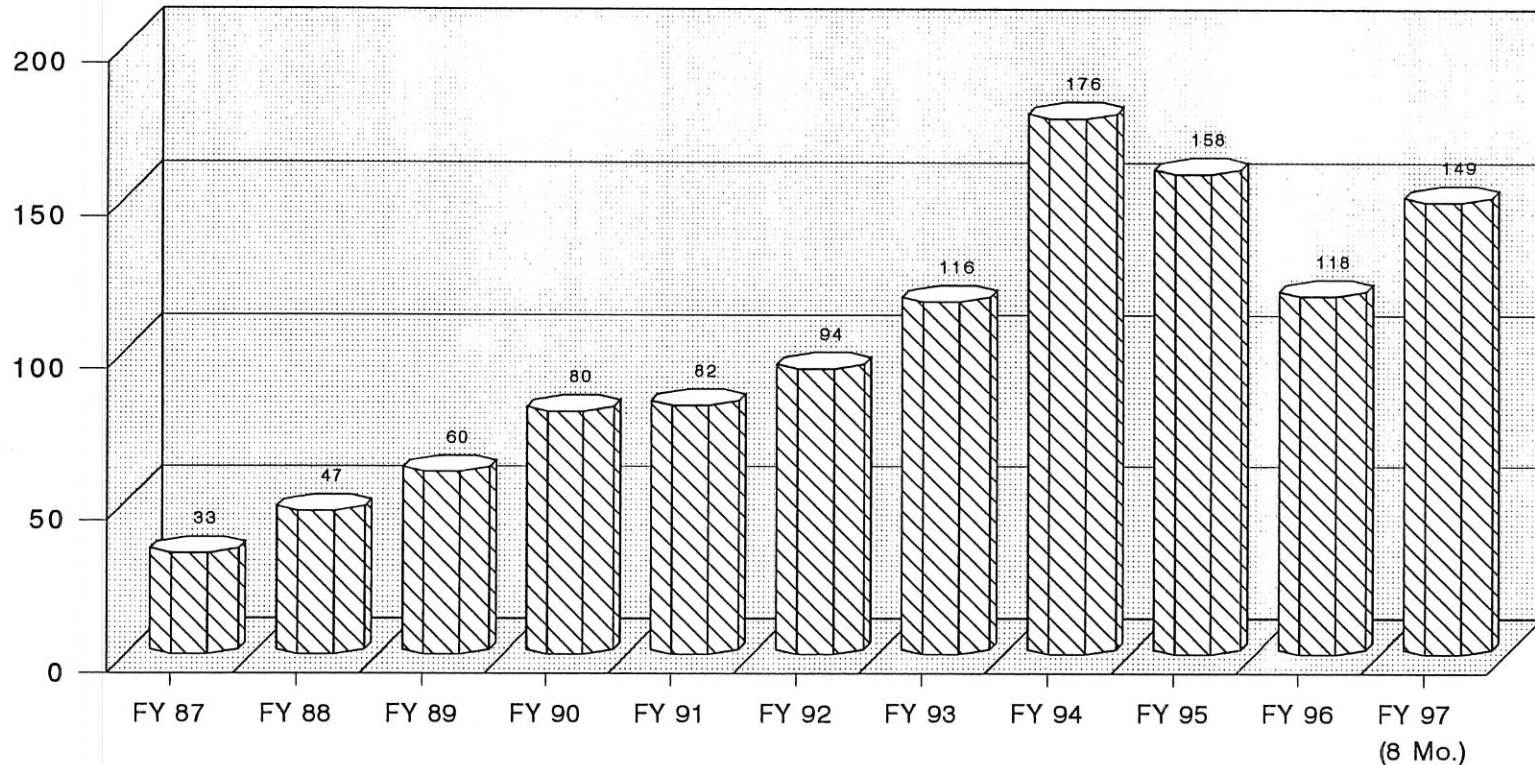
| Change from Prev. Mo. |  |    |    |    |    |     |     |    |    |     |    |    |    |  |    |    |    |    |    |    |   |     |
|-----------------------|--|----|----|----|----|-----|-----|----|----|-----|----|----|----|--|----|----|----|----|----|----|---|-----|
|                       |  | 54 | 98 | 46 | 23 | -36 | -56 | 67 | 58 | 109 | 59 | 69 | 38 |  | 27 | 30 | 43 | 74 | 45 | 81 | 1 | -27 |

\*Figures reflect the total inmate population (combined DOC and Non-DOC facility populations) at month-end.

2-34

Chart 7

# Average Monthly Number of Return Admissions for Condition Violations By Year: FY 1987 - FY 1996, and FY 1997 to Date (Through February, 1997)\*



|                       |     |     |     |     |     |       |       |       |       |       |       |
|-----------------------|-----|-----|-----|-----|-----|-------|-------|-------|-------|-------|-------|
| Total Vio. Adm. (Yr.) | 393 | 564 | 715 | 954 | 982 | 1,130 | 1,397 | 2,112 | 1,900 | 1,411 | 1,188 |
| ADP: Kansas Cases**   |     |     |     |     |     | 6,215 | 6,235 | 6,971 | 6,348 | 6,003 |       |

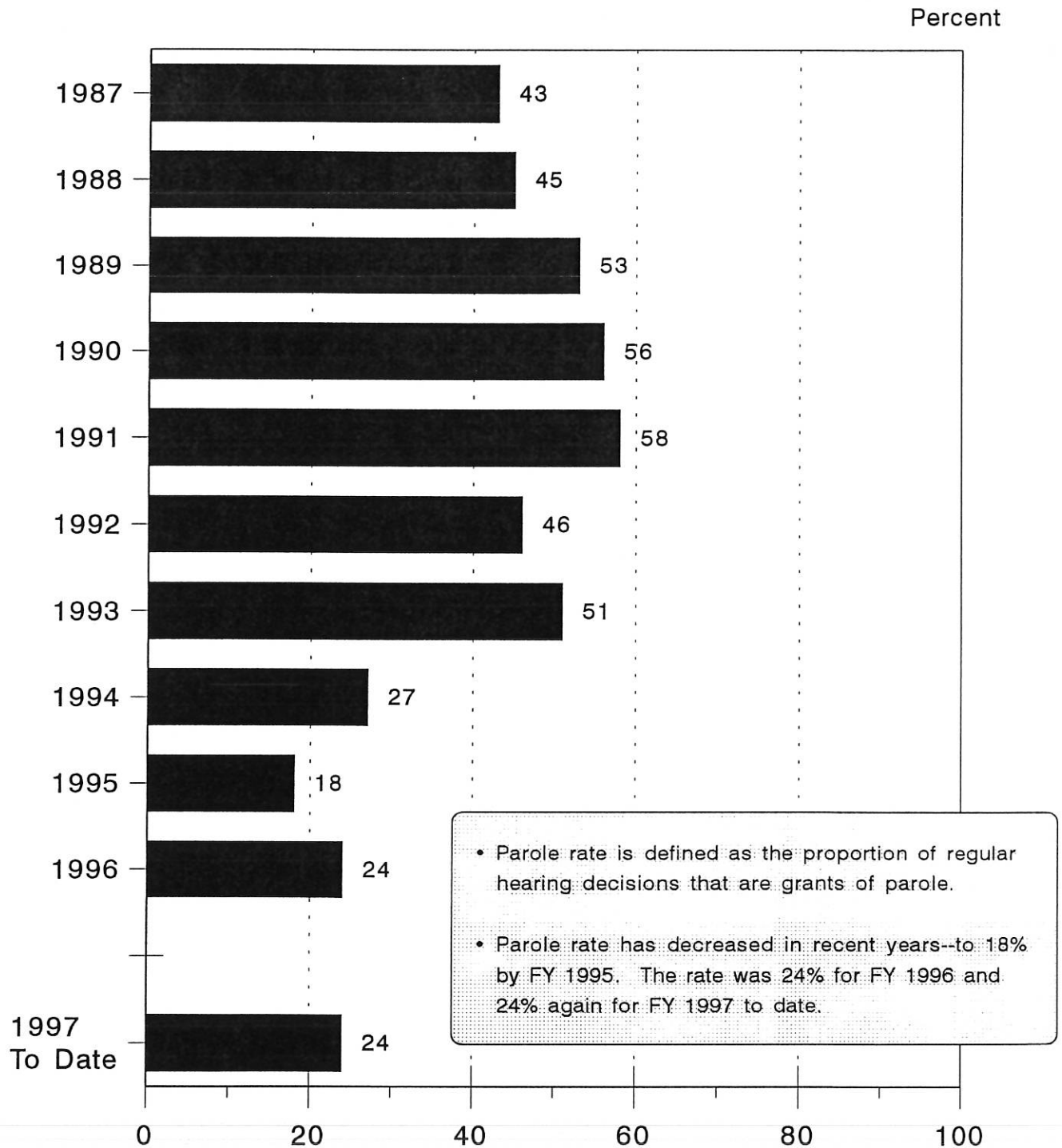
\*Figures are based on the total number of admissions for violation of the conditions of release (no new sentence).

\*\*All Kansas cases (Kansas offenders in-state and out-of-state).

2-35

Chart 8

Parole Rate: Kansas Parole Board Decisions to Parole as a Proportion of Total Decisions, FY 1987 - 1996, and First Eight Months of FY 97 (Jul., 1996 - Feb., 1997)\*



|                     | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 To-dt. |
|---------------------|------|------|------|------|------|------|------|------|------|------|-------------|
| Decisions to Parole | 1327 | 1765 | 2381 | 2961 | 2684 | 2210 | 2634 | 1127 | 649  | 781  | 462         |
| Total Decisions     | 3072 | 3945 | 4457 | 5241 | 4635 | 4845 | 5139 | 4173 | 3521 | 3317 | 1908        |

\*Information pertains to decisions resulting from regular parole hearings. Excluded are decisions from parole violation hearings, one outcome of which is the decision to "reparole," which was used more often beginning in FY 94 and in effect reduces the number of regular parole hearings.

**Inmate Population Projections:  
Monthly Monitoring Numbers  
FY 1997**

| <u>Month/Year</u> | <u>Monthly Monitoring<br/>Number</u> | <u>Actual<br/>Population</u> | <u>Difference</u> |
|-------------------|--------------------------------------|------------------------------|-------------------|
| July 1996         | 7463                                 | 7482                         | -19               |
| August            | 7533                                 | 7512                         | 21                |
| September         | 7634                                 | 7555                         | 79                |
| October           | 7693                                 | 7629                         | 64                |
| November          | 7736                                 | 7674                         | 62                |
| December          | 7764                                 | 7755                         | 9                 |
| January 1997      | 7759                                 | 7756                         | 3                 |
| February          | 7783                                 | 7729                         | 54                |
| March             | 7791                                 |                              |                   |
| April             | 7811                                 |                              |                   |
| May               | 7846                                 |                              |                   |
| June              | 7841                                 |                              |                   |

*Source: Kansas Sentencing Commission, January 1997.*

Population projections developed by the Kansas Sentencing Commission are produced in annual increments. Commission staff track the population monthly against the projections, but it is our understanding that these monthly tracking numbers are not official projections.

Sel. 2/18

**INMATE POPULATION TRENDS AND  
MULTIYEAR CAPACITY EXPANSION OPTIONS**  
Kansas Department of Corrections

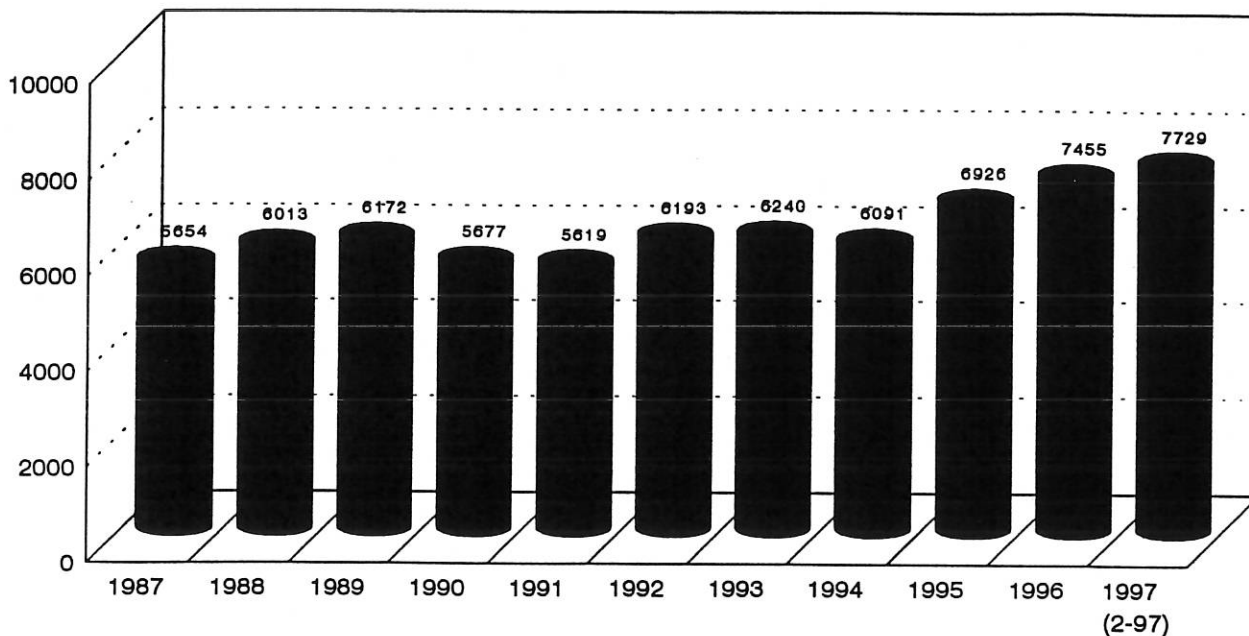
presented to the  
*Senate Ways and Means Committee*  
January 15, 1997

**ADDENDUM....Chart Updates for:**

- Chart 2:** *Total Inmate Population: FY 1987 through FY 1997 to date*
- Chart 3:** *Female Inmate Population: FY 1986 through FY 1997 to date*
- Chart 4:** *End of Month Inmate Population: June 1995-February 1997*
- Chart 7:** *Average Monthly Number of Return Admissions for Condition Violations, by Year: FY 1987 through FY 1997 to date*
- Chart 8:** *Yearly Parole Rate: FY 1987 - FY 1997 to date*
- Chart 12:** *Inmate Population Projections: FY 1997 Monthly Monitoring Numbers*



# Chart 2 Total Inmate Population: FY 1987 - 1996 and FY 1997 To-Date

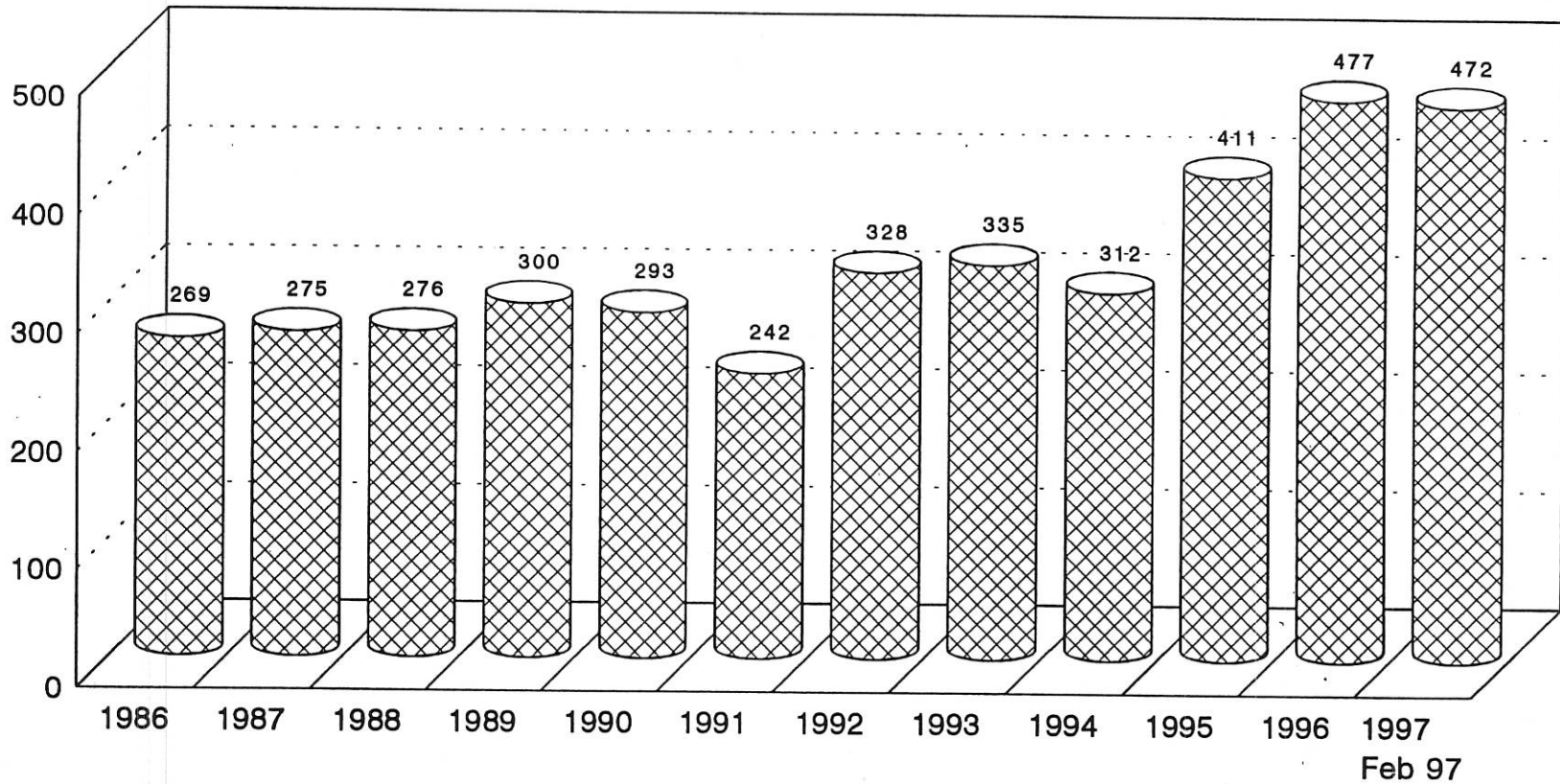


|        |      |      |      |      |      |      |      |      |      |      |      |
|--------|------|------|------|------|------|------|------|------|------|------|------|
| Female | 275  | 276  | 300  | 293  | 242  | 328  | 335  | 312  | 411  | 477  | 472  |
| Male   | 5379 | 5737 | 5872 | 5384 | 5377 | 5865 | 5905 | 5779 | 6515 | 6978 | 7257 |

As of June 30 each year except 1997, which is as of February 28, 1997.

- During FY 1995, the increase in the number of inmates was the largest on record--rising from 6,091 to 6,926 (+835 or 13.7%). FY 1996 brought another substantial increase--from 6,926 to 7,455 (+529 or 7.6%). The growth has continued in FY 97 to date -- the population of 7,729 is 274 greater (4%) than at the end of FY 96.
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- The decrease in inmate population during the first half of FY 1994 resulted primarily from a large number of offenders being released under the retroactive provisions of the Kansas Sentencing Guidelines Act, which took effect July 1, 1993.

Chart 3  
 Female Inmate Population: FY 1986 Through FY 1997 to Date\*



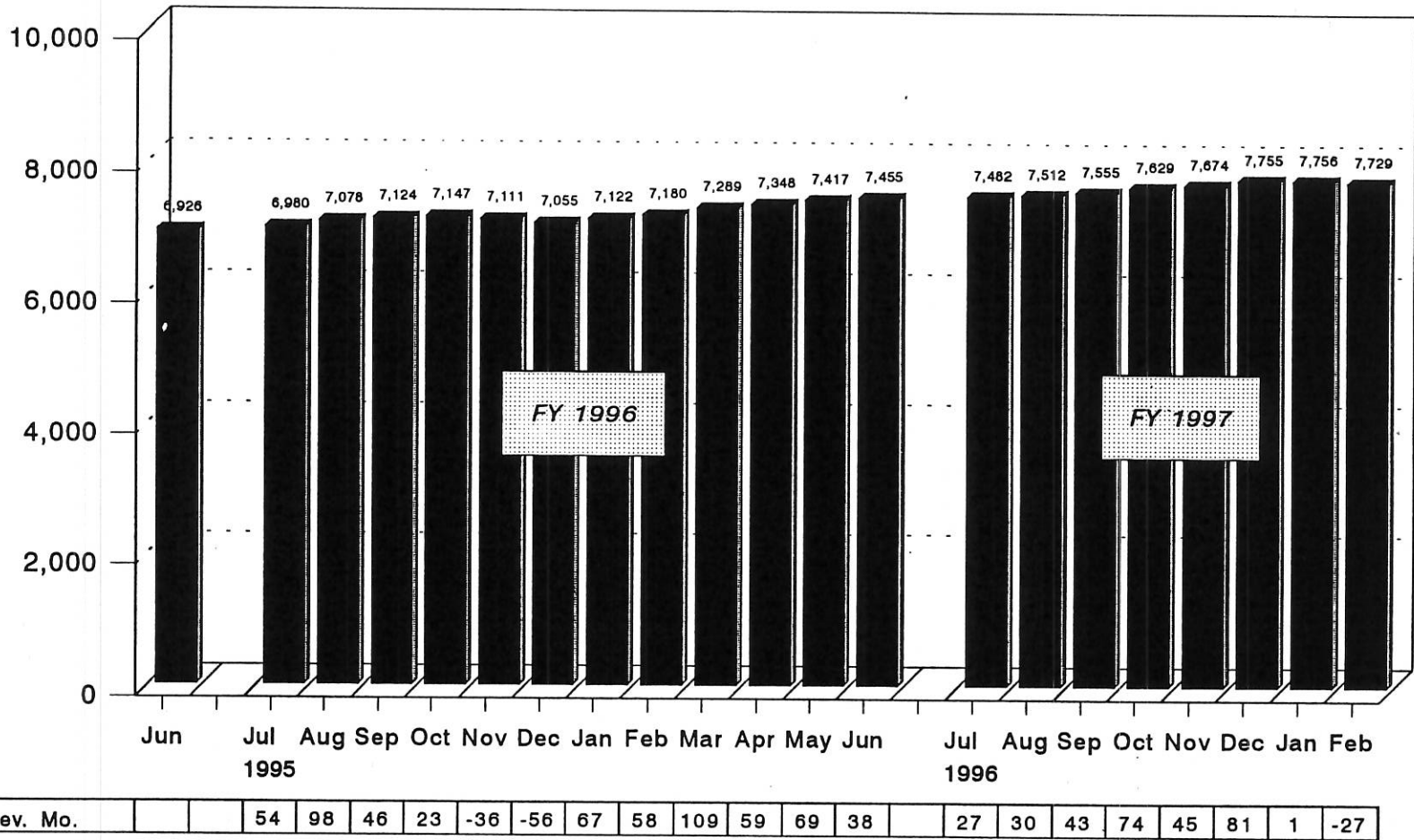
|       |      |      |      |      |      |      |      |      |      |      |      |      |
|-------|------|------|------|------|------|------|------|------|------|------|------|------|
| Male  | 4722 | 5379 | 5737 | 5872 | 5384 | 5377 | 5865 | 5905 | 5779 | 6515 | 6978 | 7257 |
| Total | 4991 | 5654 | 6013 | 6172 | 5677 | 5619 | 6193 | 6240 | 6091 | 6926 | 7455 | 7729 |

\*Fiscal year-end inmate population (as of June 30 each year) except for FY 1997, which is as of February 28, 1997.

04-0

Chart 4

End-of-month Inmate Population: June, 1995 - February, 1997\*

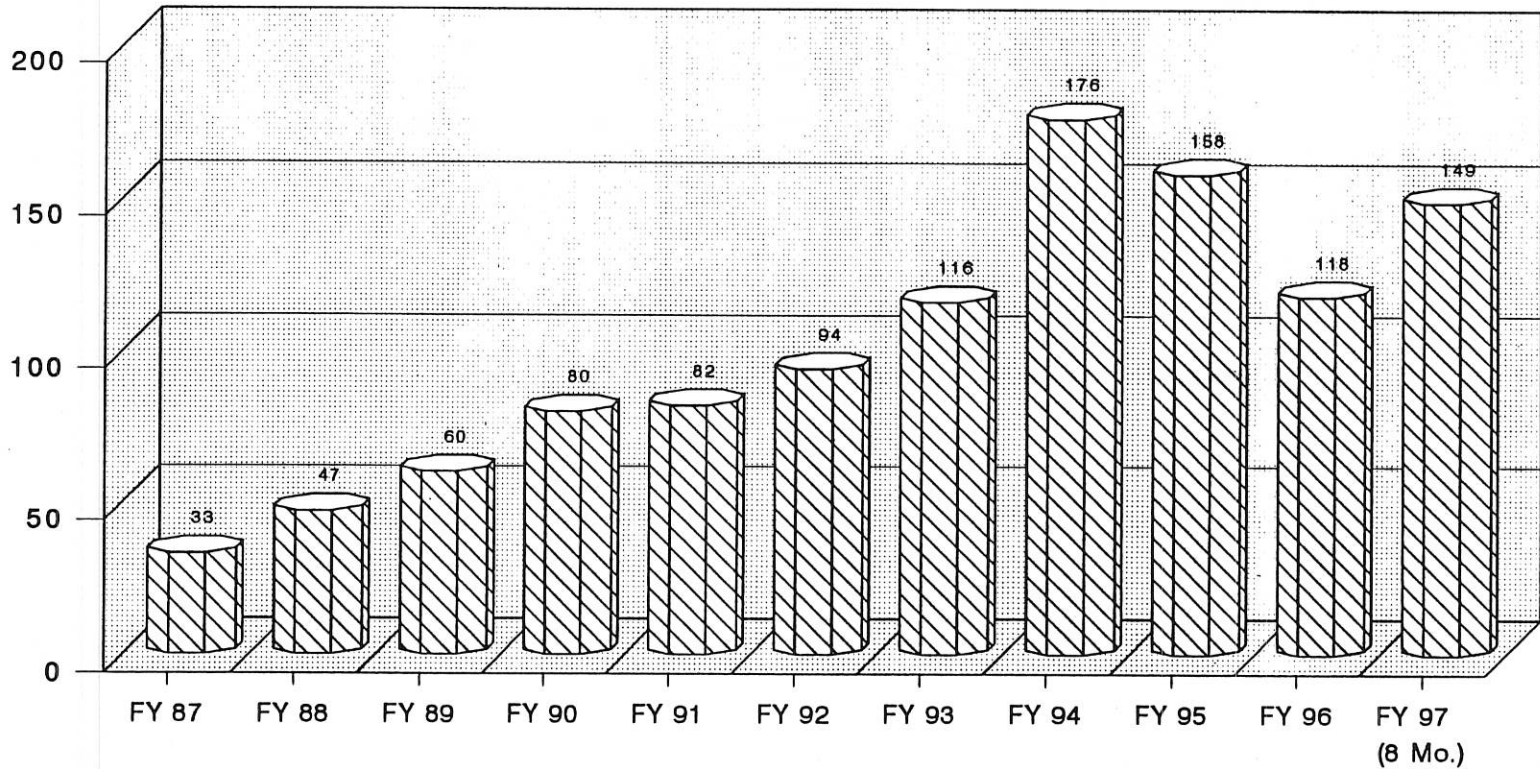


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14-C

Chart 7

# Average Monthly Number of Return Admissions for Condition Violations By Year: FY 1987 - FY 1996, and FY 1997 to Date (Through February, 1997)\*



|                       |     |     |     |     |     |       |       |       |       |       |       |
|-----------------------|-----|-----|-----|-----|-----|-------|-------|-------|-------|-------|-------|
| Total Vio. Adm. (Yr.) | 393 | 564 | 715 | 954 | 982 | 1,130 | 1,397 | 2,112 | 1,900 | 1,411 | 1,188 |
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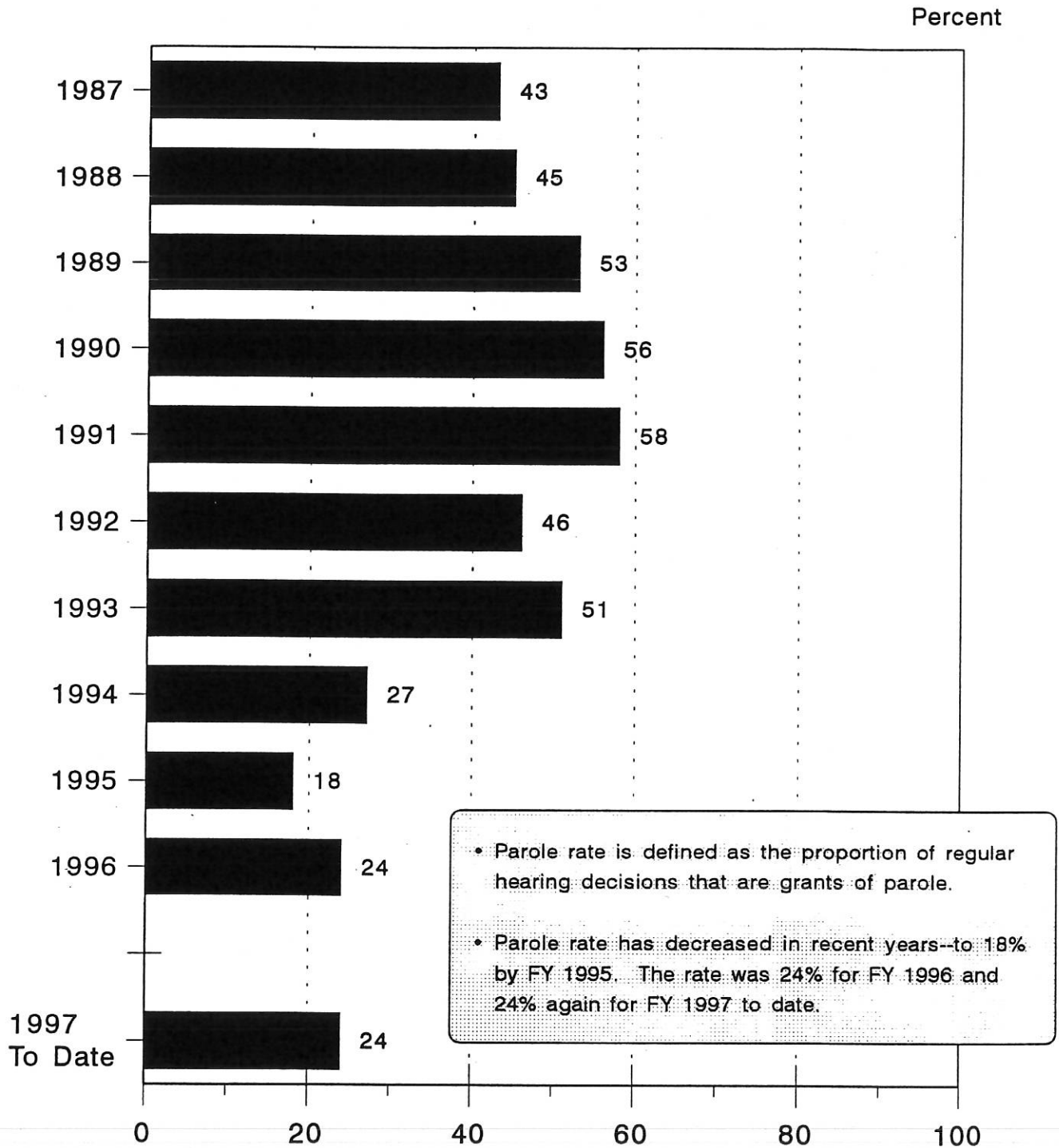
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\*\*All Kansas cases (Kansas offenders in-state and out-of-state).

24-2

Chart 8

Parole Rate: Kansas Parole Board Decisions to Parole as a Proportion of Total Decisions, FY 1987 - 1996, and First Eight Months of FY 97 (Jul., 1996 - Feb., 1997)\*



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|---------------------|------|------|------|------|------|------|------|------|------|------|-------------|
| Decisions to Parole | 1327 | 1765 | 2381 | 2961 | 2684 | 2210 | 2634 | 1127 | 649  | 781  | 462         |
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\*Information pertains to decisions resulting from regular parole hearings. Excluded are decisions from parole violation hearings, one outcome of which is the decision to "reparole," which was used more often beginning in FY 94 and in effect reduces the number of regular parole hearings.

**Inmate Population Projections:  
Monthly Monitoring Numbers  
FY 1997**

| <u>Month/Year</u> | <u>Monthly Monitoring<br/>Number</u> | <u>Actual<br/>Population</u> | <u>Difference</u> |
|-------------------|--------------------------------------|------------------------------|-------------------|
| July 1996         | 7463                                 | 7482                         | -19               |
| August            | 7533                                 | 7512                         | 21                |
| September         | 7634                                 | 7555                         | 79                |
| October           | 7693                                 | 7629                         | 64                |
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| December          | 7764                                 | 7755                         | 9                 |
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| February          | 7783                                 | 7729                         | 54                |
| March             | 7791                                 |                              |                   |
| April             | 7811                                 |                              |                   |
| May               | 7846                                 |                              |                   |
| June              | 7841                                 |                              |                   |

*Source: Kansas Sentencing Commission, January 1997.*

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DEPARTMENT OF CORRECTIONS  
OFFICE OF THE SECRETARY  
*Landon State Office Building*  
900 S.W. Jackson — Suite 400-N  
Topeka, Kansas 66612-1284  
(913) 296-3317

Bill Graves  
Governor

Charles E. Simmons  
Secretary

November 25, 1996

Gloria Timmer  
Division of Budget  
Room 152-E State Capitol  
Topeka, KS 66612

Dear Ms. Timmer:

As reported to the Legislature, revised population projections from the Sentencing Commission indicate the need for an additional 1,410 beds for adult male felons over the next 10 years. Based upon this need, the 5-Year Capital Improvement Plan (FY 1997-2002) submitted in July, 1996 has been revised. Enclosed is a chart indicating new projects which have been added and those projects which have been moved to a different fiscal year. DA418 forms have been completed for new projects and are also enclosed.

If you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in cursive script, appearing to read "Charles E. Simmons".

Charles E. Simmons, Secretary

ENCLOSURE

CES:MEG:bam

cc: Hank Risley, Deputy Secretary - Facility Management  
Michael E. Gaito, Capital Improvements & Facility Maintenance

Revised List of Project Priority  
November 21, 1996

| Rank             | Project                                                       | Amount       |
|------------------|---------------------------------------------------------------|--------------|
| Fiscal Year 1997 |                                                               |              |
|                  | Phase I Expansion                                             |              |
|                  | 200 Bed Housing Unit at NCF                                   | \$6,202,450  |
|                  | 200 Bed Housing Unit at HCF-East                              | 7,112,715    |
|                  | 150 Bed Housing Unit at EDCF                                  | 2,872,452    |
| Fiscal Year 1998 |                                                               |              |
| 1                | Rehabilitation and Repair                                     | \$ 4,000,000 |
| 2                | Construct Industry Bldg. in Service Yard-LCF                  | 876,025      |
| 3                | Construct Industry/Maintenance Addition-ECF                   | 123,975      |
| 4                | Construct a Reception & Diagnostic Unit at EDCF               | 17,134,520   |
| Fiscal Year 1999 |                                                               |              |
| 5                | Construct 2 Housing units at EDCF-Phase II                    | \$20,398,018 |
| 6                | Renovate "J" Cellhouse for Females-TCF                        | 2,140,000    |
| 7                | Construct Warehouse/Maintenance Bldg.-NCF                     | 970,085      |
| Fiscal Year 2000 |                                                               |              |
| 8                | Construct Central Maintenance Bldg.-HCF                       | \$1,036,150  |
| 9                | Construct Sexual Commitment Treatment & Housing Unit at LCMHF | 7,914,360    |
| Fiscal Year 2001 |                                                               |              |
| 10               | Construct Central Maintenance Bldg.-LCF                       | \$853,070    |
| Fiscal Year 2002 |                                                               |              |
| 11               | Construct Maximum Security Housing Unit-EDCF                  | \$8,851,613  |
| 12               | Construct 150 Minimum Housing Unit-Unknown Site               | 3,669,309    |
| 13               | Construct 192 Max/Med Housing Unit at EDCF                    | 9,151,852    |
| 14               | Expand Minimum Visiting Space-NCF                             | 44,675       |
| 15               | Construct Emergency Vehicle Garage-ECF                        | 17,970       |
| 16               | Construct Addition to Training Academy-LCF                    | 312,420      |
| 17               | Construct Equipment Storage Building-HCF                      | 181,300      |
| 18               | Construct Visiting Center-LCF E                               | 828,339      |



**KANSAS DEPARTMENT OF CORRECTIONS  
5-YEAR CAPITAL IMPROVEMENT PLAN  
ADDENDUM**

**FY 1997 - Three New Projects Added:**

|                                                |              |
|------------------------------------------------|--------------|
| Construct 200 Bed Medium Housing Unit at NCF   | \$ 6,202,450 |
| Construct 200 Bed Medium Housing Unit at HCF/E | 7,112,715    |
| Construct 150 Bed Minimum Housing Unit at EDCF | 2,872,453    |

**FY 1998 - Two Projects Removed**

|                                                                            |              |
|----------------------------------------------------------------------------|--------------|
| Construct 2 Housing Units at EDCF (512 Beds)<br>(Transferred to FY 1999)   | \$22,007,085 |
| Construct 128 Bed Maximum Housing Unit at EDCF<br>(Transferred to FY 2002) | 8,830,535    |

**FY 1999 - One Project Transferred, One Project Removed**

|                                                                            |               |
|----------------------------------------------------------------------------|---------------|
| Construct 2 Housing Units at EDCF (512 Beds)<br>(Transferred from FY 1998) | \$20,398,018* |
| Construct 192 Bed Max/Med Housing at EDCF<br>(Transferred to FY 2002)      | 8,477,050     |

\*Decreased cost due to use of inmate labor.

**FY 2000 - No Changes**

**FY 2001 - No Changes**

**FY 2002 - One New Project Added and Two Transferred**

|                                                                              |              |
|------------------------------------------------------------------------------|--------------|
| Construct 150 Bed Min Housing Unit at Unknown Facility                       | \$ 3,669,309 |
| Construct 128 Bed Maximum Housing Unit at EDCF<br>(Transferred from FY 1998) | 8,851,613**  |
| Construct 192 Bed Max/Med Housing at EDCF<br>(Transferred from FY 1999)      | 9,151,852**  |

\*\*Increased cost due to inflation.

Department of Administration

DA - 418A  
Five - Year Capital Improvements Plan  
Revised

(Systemwide)

| Project Title                                                                                                                                                       | Estimated Project Cost | Prior Years | Plan Period |           |            |           |           | Subsequent Years |           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------|-------------|-----------|------------|-----------|-----------|------------------|-----------|
|                                                                                                                                                                     |                        |             | FY 1997     | FY 1998   | FY 1999    | FY 2000   | FY 2001   |                  | FY 2002   |
| <b>New Construction to be Funded from CIBF</b>                                                                                                                      |                        |             |             |           |            |           |           |                  |           |
| Construct Industry Bldg. in Service Yard-LCF                                                                                                                        | 876,025                |             |             | 876,025   |            |           |           |                  |           |
| Construct Industries/Maintenance Addition-ECF                                                                                                                       | 541,960                |             |             | 123,975   | 417,985    |           |           |                  |           |
| Construct Warehouse/Maintenance Bldg-NCF                                                                                                                            | 970,085                |             |             |           | 582,015    | 388,070   |           |                  |           |
| Construct Central Maintenance Building-HCF                                                                                                                          | 1,036,150              |             |             |           |            | 611,930   | 424,220   |                  |           |
| Construct Central Maintenance Building-LCF                                                                                                                          | 853,070                |             |             |           |            |           | 575,780   | 277,290          |           |
| Expand Minimum Visiting Space-NCF                                                                                                                                   | 44,675 **              |             |             |           |            |           |           | 44,675           |           |
| Construct Emergency Vehicle Garage-ECF                                                                                                                              | 17,970                 |             |             |           |            |           |           | 17,970           |           |
| Construct Addition to Training Academy-LCF                                                                                                                          | 312,420                |             |             |           |            |           |           | 312,420          |           |
| Construct Equipment Storage Building-HCF                                                                                                                            | 181,300                |             |             |           |            |           |           | 181,300          |           |
| Construct Visiting Center-LCF E                                                                                                                                     | 828,339                |             |             |           |            |           |           | 398,873          | 429,466   |
| Construct Warehouse Addition-LCMHF                                                                                                                                  | 458,136                |             |             |           |            |           |           |                  | 458,136   |
| Construct Program/Canteen & Library-HCF                                                                                                                             | 1,614,409              |             |             |           |            |           |           |                  | 1,614,409 |
| Expand and Renovate Gymnasium "A"-ECF                                                                                                                               | 118,476                |             |             |           |            |           |           |                  | 118,476   |
| Subtotal - New Construction                                                                                                                                         | 7,853,015              |             | 0           | 1,000,000 | 1,000,000  | 1,000,000 | 1,000,000 | 1,232,528        | 2,620,487 |
| Total-CIBF                                                                                                                                                          | 32,879,264             | 758,777     | 4,500,000   | 5,000,000 | 5,000,000  | 5,000,000 | 5,000,000 | 5,000,000        | 2,620,487 |
| <b>Housing Expansion Projects</b>                                                                                                                                   |                        |             |             |           |            |           |           |                  |           |
| The department has identified the following capacity expansion projects to meet the increase in Inmate population as projected by the Kansas Sentencing Commission. |                        |             |             |           |            |           |           |                  |           |
| <b>PACKAGE 1</b>                                                                                                                                                    |                        |             |             |           |            |           |           |                  |           |
| Construct 200 Bed Med. Housing Unit at NCF                                                                                                                          | 6,202,450              |             | 6,202,450   |           |            |           |           |                  |           |
| Construct 200 Bed Med. Housing Unit at HCF-E                                                                                                                        | 7,112,715              |             | 7,112,715   |           |            |           |           |                  |           |
| Construct 150 Bed Min. Housing Unit at EDCF                                                                                                                         | 2,872,452              |             | 2,872,452   |           |            |           |           |                  |           |
| <b>PACKAGE 2</b>                                                                                                                                                    |                        |             |             |           |            |           |           |                  |           |
| Construct 2 Housing Units at EDCF (512 Beds)                                                                                                                        | 20,398,018             |             |             |           | 20,398,018 |           |           |                  |           |
| <b>PACKAGE 3</b>                                                                                                                                                    |                        |             |             |           |            |           |           |                  |           |
| Construct 128 Bed Max. Housing Unit at EDCF                                                                                                                         | 8,851,613              |             |             |           |            |           |           | 8,851,613        |           |
| Construct 150 Bed Minimum Housing Unit<br>Unknown Facility                                                                                                          | 3,669,309              |             |             |           |            |           |           | 3,669,309        |           |
| Construct 192 Bed Max/Med Housing at EDCF                                                                                                                           | 9,151,852              |             |             |           |            |           |           | 9,151,852        |           |
| <b>ADDITIONAL CONSTRUCTION PROJECTS</b>                                                                                                                             |                        |             |             |           |            |           |           |                  |           |
| Construct A Reception and Diagnostic<br>Unit at EDCF Central Unit (256 Beds)                                                                                        | 17,134,520             |             |             | 500,000   | 16,634,520 |           |           |                  |           |
| Renovation of "J" Cellhouse at RDU<br>For Female Housing (180 Beds)<br>(Only if New RDU is Constructed)                                                             | 2,140,000              |             |             |           | 470,000    | 1,670,000 |           |                  |           |
| Construct Sexual Commitment Treatment &<br>Housing Unit at LCMHF (90 Beds)                                                                                          | 7,914,360 ****         |             |             |           |            | 600,000   | 7,314,360 |                  |           |
| Planning & Construction Funds for a New<br>Maximum Security Juvenile Facility                                                                                       | 0 *****                |             |             |           |            |           |           |                  |           |
| Relocate Females to "J" Cellhouse at TCF/RDU                                                                                                                        | 20,000 *               |             |             | 20,000    |            |           |           |                  |           |
| Upgrade Heating and Plumbing in A Dorm<br>at WCF (132 Beds)                                                                                                         | 169,060 *              |             |             | 169,060   |            |           |           |                  |           |
| Total-Non CIBF                                                                                                                                                      | 69,448,732             | 0           | 16,187,617  | 689,060   | 37,502,538 | 2,270,000 | 7,314,360 | 21,672,774       |           |

\*\*\*\* Due to the current review of the Sexual Predator Act by the United States Supreme Court, the department is delaying the request for funding of this project until the constitutionality of that law is determined. In the event that civil commitment of sexual predators continues, a permanent housing option will have to be identified.

\*\*\*\*\* The funding amount necessary for the construction of a Maximum Security Juvenile Facility (sec. 14 of HB 2900) will be determined after the state wide Needs Assessment is completed.

87-2

**PROJECT REQUEST EXPLANATION**

DA-418B

|                                                                                                                |                                |
|----------------------------------------------------------------------------------------------------------------|--------------------------------|
| <b>AGENCY:</b> Kansas Department of Corrections<br>200 Bed Medium Housing Unit<br>Norton Correctional Facility | <b>FISCAL YEAR:</b> FY 97      |
|                                                                                                                | <b>DATE:</b> November 15, 1996 |

|                                        |                      |
|----------------------------------------|----------------------|
| 1. Project Title: 200 Bed Housing Unit | 2. Project Priority: |
|----------------------------------------|----------------------|

3. Project Description and Justification:

The revised projections from the Kansas Sentencing Commission show that the department will need to add 1,410 male beds to our current capacity. The department has selected Norton Correctional Facility to be one of the first sites for this expansion. This is due to the need for some secure lockdown housing at this facility. In October 1996, some inmates in B dorm caused a disturbance and with no lockdown cells at this facility the department was forced to bus the inmates to another facility. With the addition of this housing unit, the facility will be able to house its more troublesome inmates in this secure unit. This project involves a unit comprised of 100 cells to be doublecelled for a total capacity increase of 200.

In addition to the construction of a 200 bed medium housing unit, plans also provide for the construction of a new industrial building which will provide necessary jobs for these inmates.

This project is part of the department's phase I expansion which totals \$16,187,617.

| 4. Estimated Project Cost:                                               | 5. Project Phasing:                                             |
|--------------------------------------------------------------------------|-----------------------------------------------------------------|
| 1) Construction, including fixed equipment and sitework..... \$5,208,375 | 1) Preliminary Planning (incl. misc. costs).... 73,000          |
| 2) Architect's Fee..... 364,586                                          | 2) Final Planning (incl. misc. costs) ... 291,586               |
| 3) Moveable Equipment.... 130,000                                        | 3) Construction (incl. misc & other costs) ... <u>5,837,364</u> |
| 4) Project Contingency... 285,298                                        |                                                                 |
| 5) Miscellaneous costs... <u>214,191</u>                                 |                                                                 |
| <b>TOTAL</b> \$ 6,202,450                                                | <b>TOTAL</b> \$ 6,202,450                                       |

6. Recommended Financing:

AMOUNT BY SOURCE OF FINANCING

| Fiscal Years | 1. SGF      | 2. CIBF | 3. | 4. | 5. | TOTAL       |
|--------------|-------------|---------|----|----|----|-------------|
| Prior Yrs.   |             |         |    |    |    |             |
| FY 1997      | \$6,202,450 |         |    |    |    | \$6,202,450 |
| FY 1998      |             |         |    |    |    |             |
| FY 1999      |             |         |    |    |    |             |
| FY 2000      |             |         |    |    |    |             |
| FY 2001      |             |         |    |    |    |             |
| FY 2002      |             |         |    |    |    |             |
| <b>TOTAL</b> | \$6,202,450 |         |    |    |    | \$6,202,450 |

KANSAS DEPARTMENT OF CORRECTIONS

DA-418B D.O.C. SUPPLEMENTAL SHEET

1. Project Title  
Expansion - Norton Corr. Fac.

2. Project No:

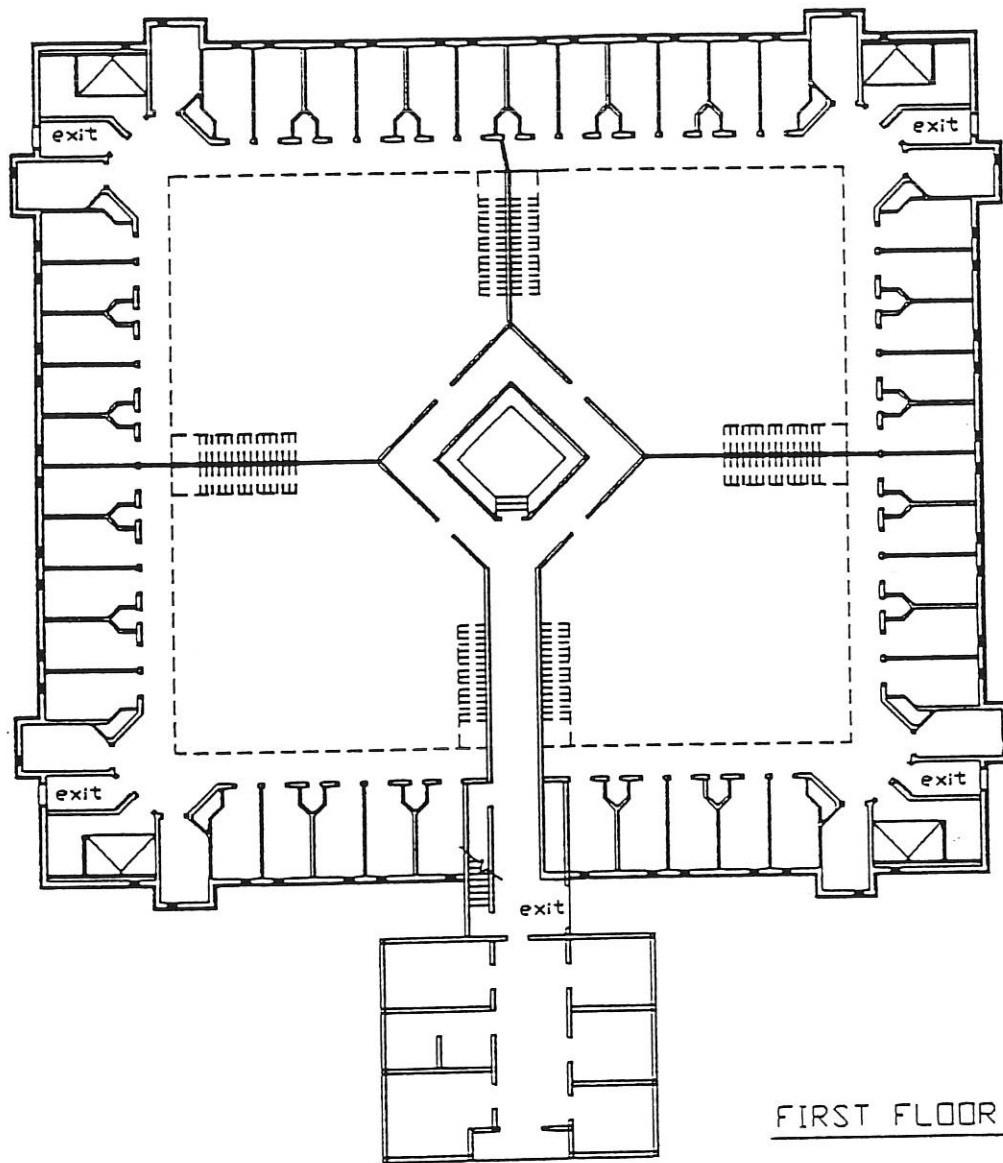
3. Date:  
November 1, 1996

4. Detailed Cost Estimate:

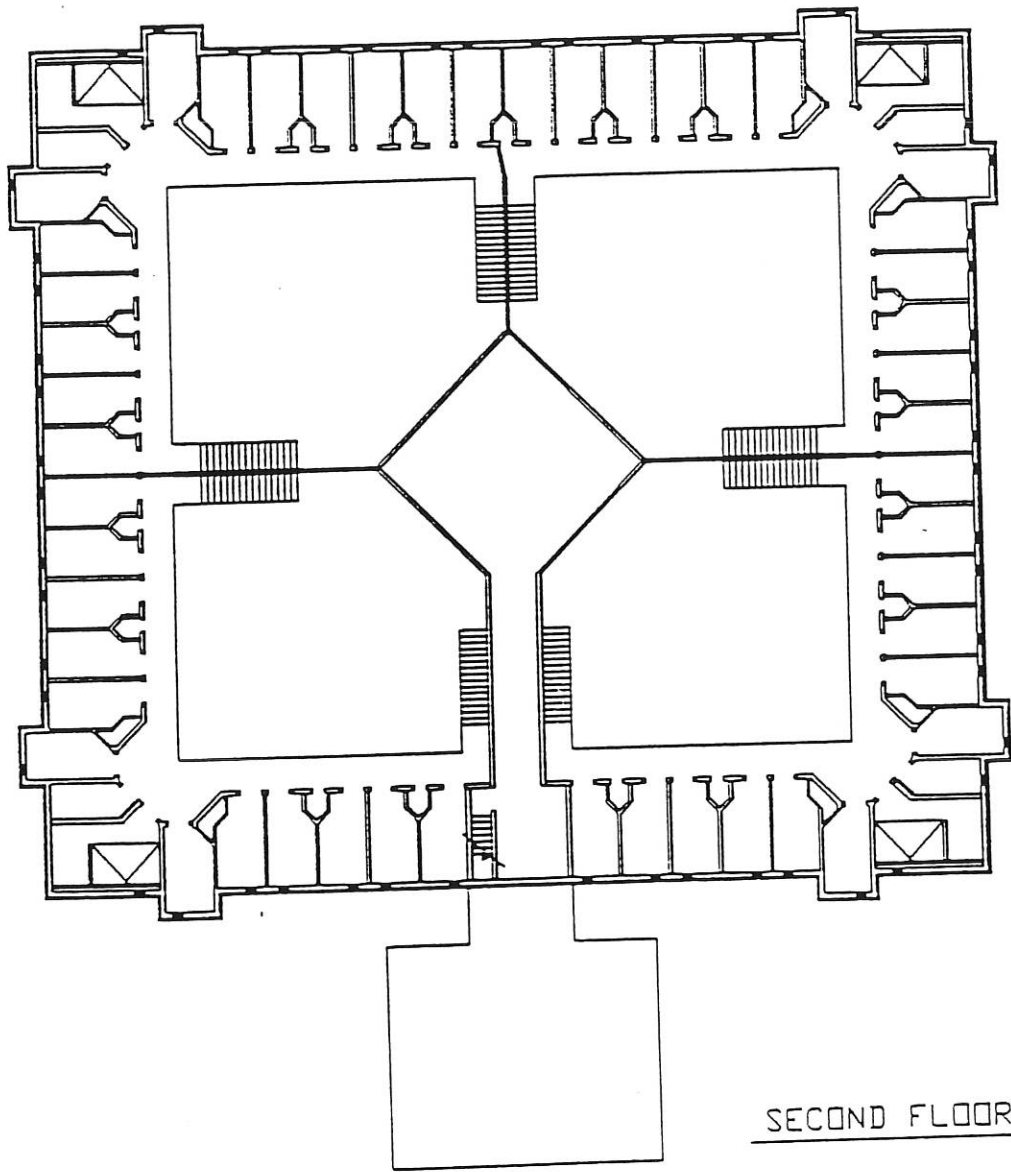
| No. | Item                                                                                                | Quantity  | Unit Cost | Cost        |
|-----|-----------------------------------------------------------------------------------------------------|-----------|-----------|-------------|
| 1.  | 200 Bed Housing Unit                                                                                | 30,000 SF | \$125     | \$3,750,000 |
| 2.  | Industries Building                                                                                 | 25,000 SF |           | 975,000     |
| 3.  | Additional Parking                                                                                  | 50 spaces |           | 50,000      |
| 4.  | Renovate Programs                                                                                   | 50 spaces | LS        | 50,000      |
| 5.  | New Access Road                                                                                     | 200 LF    | LS        | 20,000      |
| 6.  |                                                                                                     |           |           |             |
| 7.  |                                                                                                     |           |           |             |
| 8.  |                                                                                                     |           |           |             |
| 9.  |                                                                                                     |           |           |             |
| 10. | Total Items 1-9                                                                                     |           |           | 4,845,000   |
| 11. | Escalation to April 1, 1998 - 5% annual                                                             |           | 7.5%      | 363,375     |
| 12. | Total Items 10 & 11 (Enter on Line 4-1 DA 418B)                                                     |           |           | 5,208,375   |
| 13. | Design Fees (arch.-enr., consultant)                                                                |           | 7%        | 364,586     |
| 14. |                                                                                                     |           |           |             |
| 15. | Total Items 13 & 14 (Enter on Line 4-2 DA 418B)                                                     |           |           | 364,586     |
| 16. |                                                                                                     |           | SUBTOTAL  | 5,572,961   |
| 17. | Moveable Equipment                                                                                  |           |           | 55,000      |
| 18. | Furniture for Cells & Day Room                                                                      |           |           | 75,000      |
| 19. |                                                                                                     |           |           |             |
| 20. | Total Items 17-19 (Enter on Line 4-3 DA 418B)                                                       |           |           | 130,000     |
| 21. |                                                                                                     |           | SUBTOTAL  | 5,705,961   |
| 22. | Project Contingency (Enter on Line 4-4 DA 418B) 5%                                                  |           |           | 285,298     |
| 23. |                                                                                                     |           | SUBTOTAL  | 5,991,259   |
| 24. | Other Costs (Site Survey, Soils Invest., Bid Documents, etc.)<br>(Enter on Line 4.-5. DA 418B) 2.5% |           |           | 149,781     |
| 25. |                                                                                                     |           | SUBTOTAL  | 6,141,040   |
| 26. | Architectural Services Management Fee (1% of Line 25)                                               |           |           | 61,410      |
| 27. | Grand Total (Enter on Total Line, Sec. 4, DA 418B)                                                  |           |           | 6,202,450   |

5. Remarks:





PRELIMINARY PLAN -  
200 BED HOUSING UNIT (100 CELLS)



PRELIMINARY PLAN -  
200 BED HOUSING UNIT (100 CELLS)

**PROJECT REQUEST EXPLANATION**

DA-418B

|                                                                                                                    |                                                                 |
|--------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|
| <b>AGENCY:</b> Kansas Department of Corrections<br>200 Bed Medium Housing Unit<br>Hutchinson Correctional Facility | <b>FISCAL YEAR:</b> FY 97<br><br><b>DATE:</b> November 15, 1996 |
|--------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|

1. Project Title: 200 Bed Housing Unit      2. Project Priority:

3. Project Description and Justification:

The revised projections from the Kansas Sentencing Commission show that the department will need to add 1,410 male beds to our current capacity. The department has selected Hutchinson Correctional Facility - East Unit to be one of the first sites for this expansion. This is due to the need for some secure lockdown housing at this facility. The department has selected this facility for expansion due to the lack of secure lockdown cells at this unit. The capability for expansion of an additional housing unit was included in plans when the facility was constructed. This facility is now a 398 bed medium custody unit with no lockdown cells. With the addition of this housing unit the facility staff will be able to secure a third of the inmate population. This project involves a unit comprised of 100 cells to be doublecelled for a total capacity increase of 200.

In addition to the construction of a 200 bed medium housing unit, plans also provide for the construction of a new industrial/programs building which will provide necessary jobs for the additional inmates.

This project is part of the department's phase I expansion which totals \$16,187,617.

| 4. Estimated Project Cost:                                               | 5. Project Phasing:                                             |
|--------------------------------------------------------------------------|-----------------------------------------------------------------|
| 1) Construction, including fixed equipment and sitework..... \$5,891,000 | 1) Preliminary Planning (incl. misc. costs).... 82,500          |
| 2) Architect's Fee..... 412,370                                          | 2) Final Planning (incl. misc. costs) ... 329,870               |
| 3) Moveable Equipment.... 240,160                                        | 3) Construction (incl. misc & other costs) ... <u>6,700,345</u> |
| 4) Project Contingency... 327,160                                        |                                                                 |
| 5) Miscellaneous costs... <u>242,185</u>                                 | <b>TOTAL</b> \$ 7,112,715                                       |
| <b>TOTAL</b> \$ 7,112,715                                                |                                                                 |

6. Recommended Financing:

**AMOUNT BY SOURCE OF FINANCING**

| Fiscal Years | 1. SGF      | 2. CIBF | 3. | 4. | 5. | TOTAL       |
|--------------|-------------|---------|----|----|----|-------------|
| Prior Yrs.   |             |         |    |    |    |             |
| FY 1997      | \$7,112,715 |         |    |    |    | \$7,112,715 |
| FY 1998      |             |         |    |    |    |             |
| FY 1999      |             |         |    |    |    |             |
| FY 2000      |             |         |    |    |    |             |
| FY 2001      |             |         |    |    |    |             |
| FY 2002      |             |         |    |    |    |             |
| <b>TOTAL</b> | \$7,112,715 |         |    |    |    | \$7,112,715 |



KANSAS DEPARTMENT OF CORRECTIONS

DA-418B D.O.C. SUPPLEMENTAL SHEET

1. Project Title  
200 Bed Expansion - Hutchinson  
Corr. Fac. - East Unit

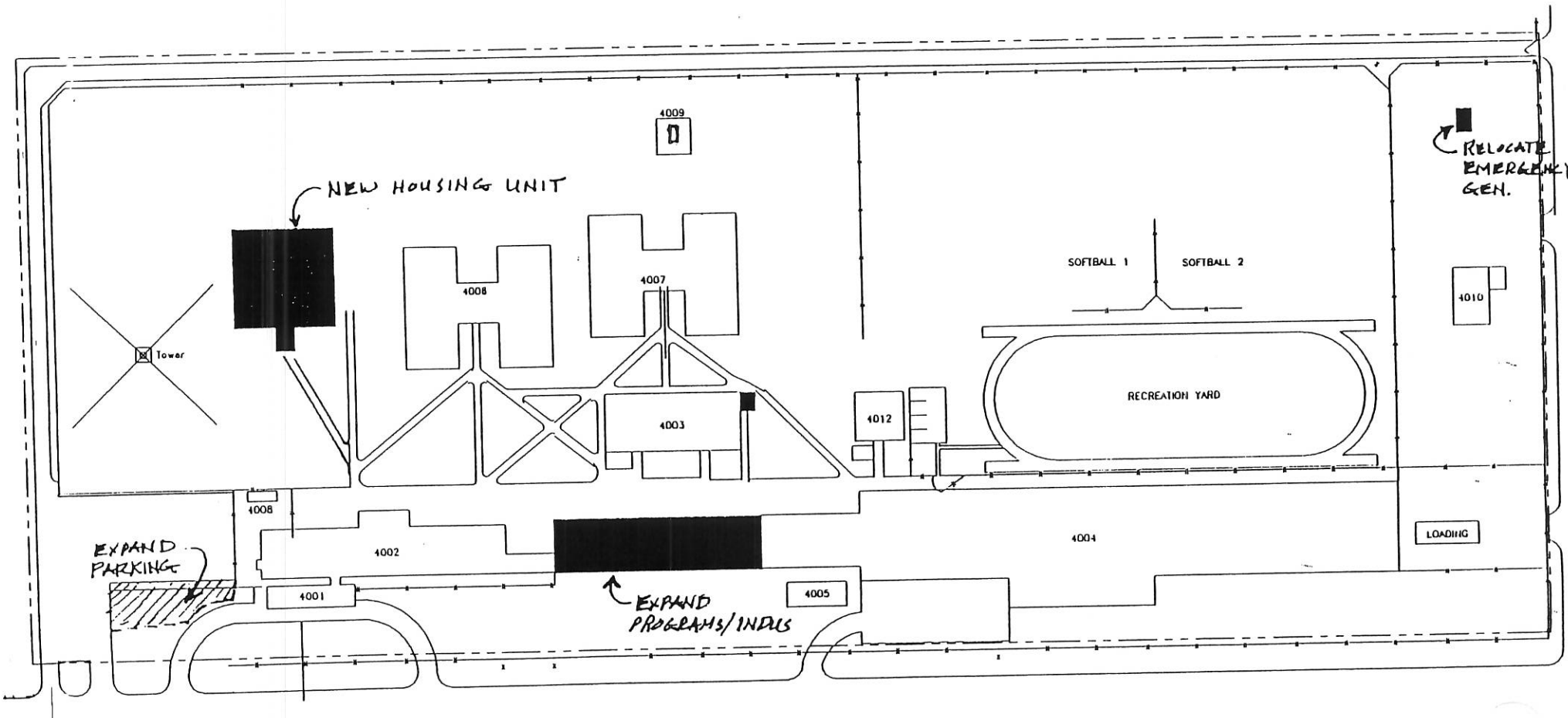
2. Project No:

3. Date:

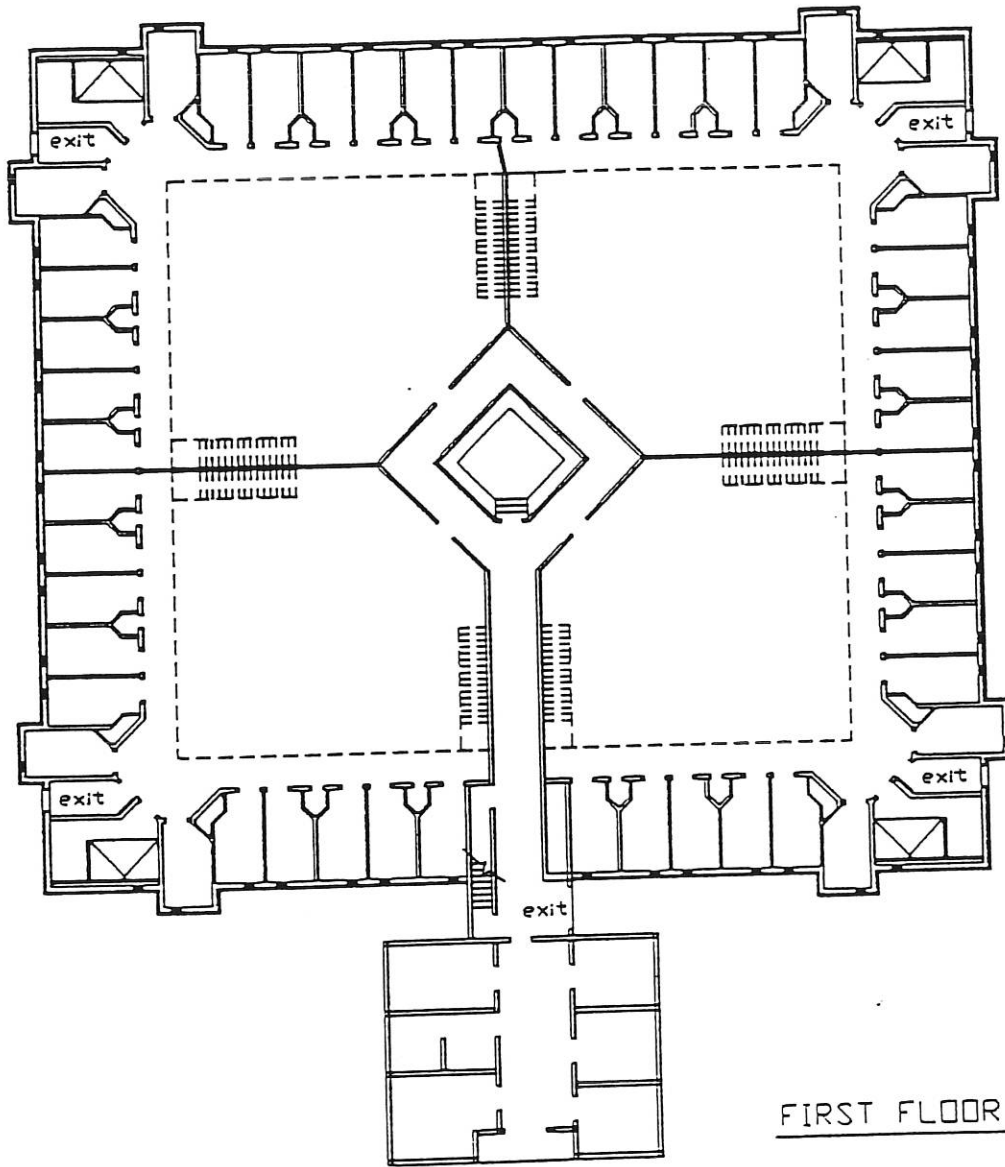
4. Detailed Cost Estimate:

| No. | Item                                                                                                  | Quantity | Unit Cost | Cost        |
|-----|-------------------------------------------------------------------------------------------------------|----------|-----------|-------------|
| 1.  | Housing Unit & Utilities                                                                              |          |           | \$3,655,000 |
| 2.  | Renovate Canteen & Laundry                                                                            |          |           | 75,000      |
| 3.  | Additional Program & Industries Space                                                                 |          |           | 1,500,000   |
| 4.  |                                                                                                       |          |           |             |
| 5.  | Hard End Outside Warehouse                                                                            |          |           | 50,000      |
| 6.  | Expand Parking                                                                                        |          |           | 60,000      |
| 7.  |                                                                                                       |          |           |             |
| 8.  | Fire Lane                                                                                             |          |           | 40,000      |
| 9.  | Rewire Emergency Generator                                                                            |          |           | 100,000     |
| 10. | Total Items 1-9                                                                                       |          |           | 5,480,000   |
| 11. | Escalation to July 1, 1998 7 1/2%                                                                     |          |           | 411,000     |
| 12. | Total Items 10 & 11 (Enter on Line 4-1 DA 418B)                                                       |          |           | 5,891,000   |
| 13. | Design Fees (arch.-enrg., consultant) 7%                                                              |          |           | 412,370     |
| 14. |                                                                                                       |          |           |             |
| 15. | Total Items 13 & 14 (Enter on Line 4-2 DA 418B)                                                       |          |           | 412,370     |
| 16. |                                                                                                       |          | SUBTOTAL  | 6,303,370   |
| 17. | Moveable Equipment                                                                                    |          |           | 150,000     |
| 18. | Special Equipment                                                                                     |          |           | 30,000      |
| 19. | Cell Furniture                                                                                        |          |           | 60,000      |
| 20. | Total Items 17-19 (Enter on Line 4-3 DA 418B)                                                         |          |           | 240,000     |
| 21. |                                                                                                       |          | SUBTOTAL  | 6,543,370   |
| 22. | Project Contingency (Enter on Line 4-4 DA 418B) 5%                                                    |          |           | 327,160     |
| 23. |                                                                                                       |          | SUBTOTAL  | 6,870,530   |
| 24. | Other Costs (Site Survey, Soils Invest., Bid Documents, etc.)<br>(Enter on Line 4.-5. DA 418B) 2 1/2% |          |           | 171,760     |
| 25. |                                                                                                       |          | SUBTOTAL  | 7,042,290   |
| 26. | Architectural Services Management Fee (1% of Line 25)                                                 |          |           | 70,425      |
| 27. | Grand Total (Enter on Total Line, Sec. 4, DA 418B)                                                    |          |           | 7,112,715   |

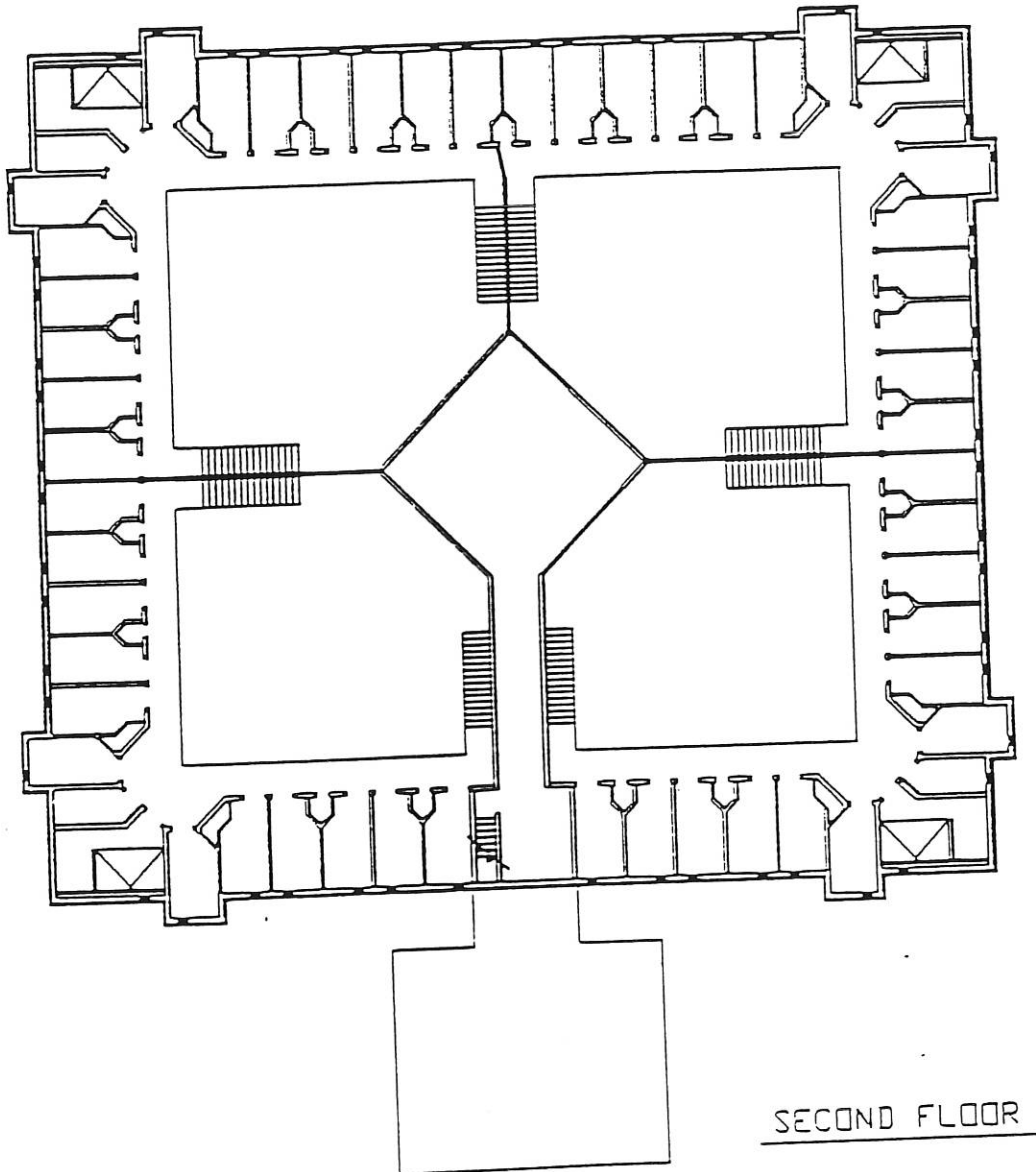
5. Remarks: Cost per bed is \$35,563.00.



2-56



PRELIMINARY PLAN -  
200 BED HOUSING UNIT (100 CELLS)



PRELIMINARY PLAN -  
200 BED HOUSING UNIT (100 CELLS)

**PROJECT REQUEST EXPLANATION**

DA-418B

|                                                                                                                   |                                |
|-------------------------------------------------------------------------------------------------------------------|--------------------------------|
| <b>AGENCY:</b> Kansas Department of Corrections<br>150 Bed Medium Housing Unit<br>El Dorado Correctional Facility | <b>FISCAL YEAR:</b> FY 97      |
|                                                                                                                   | <b>DATE:</b> November 15, 1996 |

|                                        |                      |
|----------------------------------------|----------------------|
| 1. Project Title: 150 Bed Housing Unit | 2. Project Priority: |
|----------------------------------------|----------------------|

3. Project Description and Justification:

The revised projections from the Kansas Sentencing Commission show that the department will need to add 1,410 male beds to our current capacity. The department has selected El Dorado Correctional Facility - Central Unit to be one of the first sites for this expansion. This is due in part for the need of additional female housing. As the female population increases the next major expansion would be to convert TCF West from a male to a female facility. The male inmates (111) currently housed at TCF West will be relocated to this new housing unit. This will allow EDCF to have a pool of minimum inmates for work details at the central facility while also stopping the need to transport inmates from the north unit to central unit for minimum work crews. This will allow the facility to return the minimum work crews at the North Unit back to community and Wildlife and Parks work details. These inmates would also be used to assist in the construction of Phase 2 facilities, should those be constructed.

No additional construction outside of that required for the 150 dorm will be done at this site.

This project is part of the department's phase I expansion which totals \$16,187,617.

|                                                                        |                                                                 |
|------------------------------------------------------------------------|-----------------------------------------------------------------|
| <b>4. Estimated Project Cost:</b>                                      | <b>5. Project Phasing:</b>                                      |
| 1) Construction, including fixed equipment and sitework..... 2,236,000 | 1) Preliminary Planning (incl. misc. costs).... 31,300          |
| 2) Architect's Fee..... 156,520                                        | 2) Final Planning (incl. misc. costs) ... 125,220               |
| 3) Moveable Equipment.... 255,000                                      | 3) Construction (incl. misc & other costs) ... <u>2,715,932</u> |
| 4) Project Contingency... 139,340                                      | <b>TOTAL</b> \$ 2,872,452                                       |
| 5) Miscellaneous costs... <u>86,112</u>                                |                                                                 |
| <b>TOTAL</b> \$ 2,872,452                                              |                                                                 |

6. Recommended Financing:

**AMOUNT BY SOURCE OF FINANCING**

| Fiscal Years | 1. SGF      | 2. CIBF | 3. | 4. | 5. | TOTAL       |
|--------------|-------------|---------|----|----|----|-------------|
| Prior Yrs.   |             |         |    |    |    |             |
| FY 1997      | \$2,872,452 |         |    |    |    | \$2,872,452 |
| FY 1998      |             |         |    |    |    |             |
| FY 1999      |             |         |    |    |    |             |
| FY 2000      |             |         |    |    |    |             |
| FY 2001      |             |         |    |    |    |             |
| FY 2002      |             |         |    |    |    |             |
| <b>TOTAL</b> | \$2,872,452 |         |    |    |    | \$2,872,452 |

KANSAS DEPARTMENT OF CORRECTIONS

DA-418B D.O.C. SUPPLEMENTAL SHEET

1. Project Title  
150 Bed Minimum Dorm - El  
Dorado Corr. Fac.

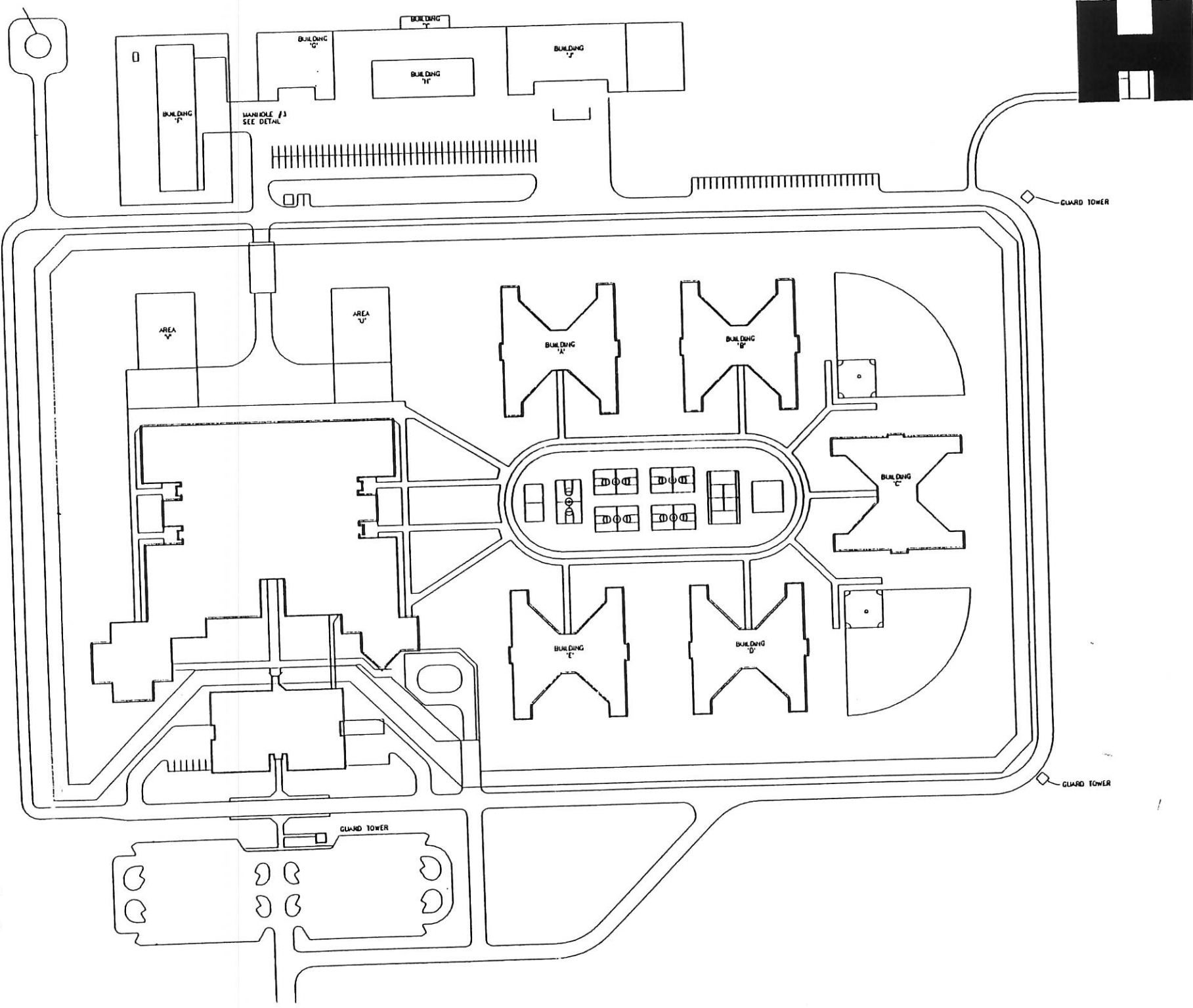
2. Project No:

3. Date:

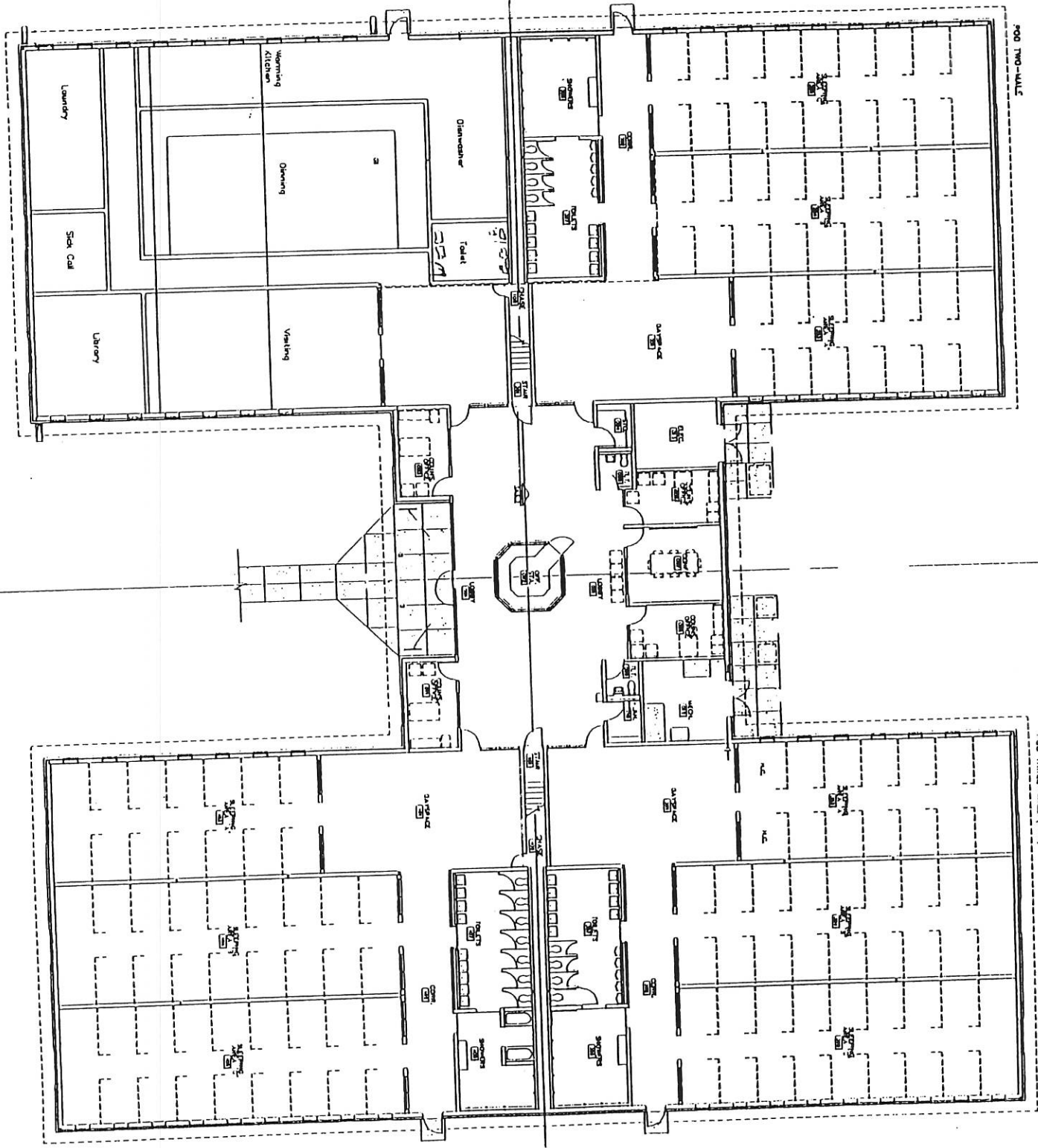
4. Detailed Cost Estimate:

| No. | Item                                                                                              | Quantity | Unit Cost | Cost      |
|-----|---------------------------------------------------------------------------------------------------|----------|-----------|-----------|
| 1.  | Sitework                                                                                          |          |           | \$50,000  |
| 2.  | Site Utilities                                                                                    |          |           | 30,000    |
| 3.  | Building                                                                                          |          |           | 2,000,000 |
| 4.  |                                                                                                   |          |           |           |
| 5.  |                                                                                                   |          |           |           |
| 6.  |                                                                                                   |          |           |           |
| 7.  |                                                                                                   |          |           |           |
| 8.  |                                                                                                   |          |           |           |
| 9.  |                                                                                                   |          |           |           |
| 10. | Total Items 1-9                                                                                   |          |           | 2,080,000 |
| 11. | Escalation to July 1, 1998 7 1/2%                                                                 |          |           | 165,000   |
| 12. | Total Items 10 & 11 (Enter on Line 4-1 DA 418B)                                                   |          |           | 2,236,000 |
| 13. | Design Fees (arch.-enr., consultant) 7%                                                           |          |           | 156,520   |
| 14. |                                                                                                   |          |           |           |
| 15. | Total Items 13 & 14 (Enter on Line 4-2 DA 418B)                                                   |          |           | 156,520   |
| 16. |                                                                                                   |          | SUBTOTAL  | 2,392,520 |
| 17. | Moveable Equipment                                                                                |          |           | 120,000   |
| 18. | Cell Furniture                                                                                    |          |           | 55,000    |
| 19. | Low Partitions                                                                                    |          |           | 80,000    |
| 20. | Total Items 17-19 (Enter on Line 4-3 DA 418B)                                                     |          |           | 255,000   |
| 21. |                                                                                                   |          | SUBTOTAL  | 2,647,520 |
| 22. | Project Contingency (Enter on Line 4-4 DA 418B) 5%                                                |          |           | 139,340   |
| 23. |                                                                                                   |          | SUBTOTAL  | 2,786,860 |
| 24. | Other Costs (Site Survey, Soils Invest., Bid Documents, etc.)<br>(Enter on Line 4.-5. DA 418B) 2% |          |           | 56,872    |
| 25. |                                                                                                   |          | SUBTOTAL  | 2,843,732 |
| 26. | Architectural Services Management Fee (1% of Line 25)                                             |          |           | 28,720    |
| 27. | Grand Total (Enter on Total Line, Sec. 4, DA 418B)                                                |          |           | 2,872,452 |

5. Remarks: Cost per bed \$19,150.00



19-2



300 TWO-WALL

300 THREE-WALL (N.C.)

300 FOUR-WALL



2-62  
963



PROJECT REQUEST EXPLANATION

DA-418B

AGENCY: Kansas Department of Corrections  
150 Bed Minimum Security Housing Unit  
Unknown Facility

FISCAL YEAR: 2002

DATE: November 15, 1996

1. Project Title: 150 Bed Housing Unit

2. Project Priority: 12

3. Project Description and Justification:

This project is to add 150 minimum security beds at an unknown location. The site will be selected in FY 2001 and a revised DA-418 will be submitted as required.

No additional construction outside of that required for the 150 bed dorm is planned at this time. That may change once a site has been selected.

This project is part of the Department's Phase III expansion.

4. Estimated Project Cost:

|                                                              |                     |
|--------------------------------------------------------------|---------------------|
| 1) Construction, including fixed equipment and sitework..... | 2,896,979           |
| 2) Architect's Fee.....                                      | 210,000             |
| 3) Moveable Equipment....                                    | 275,000             |
| 4) Project Contingency...                                    | 177,990             |
| 5) Miscellaneous costs...                                    | <u>109,340</u>      |
| <b>TOTAL</b>                                                 | <b>\$ 3,669,309</b> |

5. Project Phasing:

|                                                 |                     |
|-------------------------------------------------|---------------------|
| 1) Preliminary Planning (incl. misc. costs).... | 42,000              |
| 2) Final Planning (incl. misc. costs) ...       | 168,000             |
| 3) Construction (incl. misc & other costs) ...  | <u>3,459,309</u>    |
| <b>TOTAL</b>                                    | <b>\$ 3,669,309</b> |

6. Recommended Financing:

AMOUNT BY SOURCE OF FINANCING

| Fiscal Years | 1. SGF    | 2. CIBF | 3. | 4. | 5. | TOTAL     |
|--------------|-----------|---------|----|----|----|-----------|
| Prior Yrs.   |           |         |    |    |    |           |
| FY 1997      |           |         |    |    |    |           |
| FY 1998      |           |         |    |    |    |           |
| FY 1999      |           |         |    |    |    |           |
| FY 2000      |           |         |    |    |    |           |
| FY 2001      |           |         |    |    |    |           |
| FY 2002      | 3,669,309 |         |    |    |    | 3,669,309 |
| <b>TOTAL</b> | 3,669,309 |         |    |    |    | 3,669,309 |

KANSAS DEPARTMENT OF CORRECTIONS

DA-418B D.O.C. SUPPLEMENTAL SHEET

|                                                                 |                |          |
|-----------------------------------------------------------------|----------------|----------|
| 1. Project Title<br>150 Bed Minimum Unit at<br>Unknown Facility | 2. Project No: | 3. Date: |
|-----------------------------------------------------------------|----------------|----------|

4. Detailed Cost Estimate:

| No. | Item                                                                                              | Quantity | Unit Cost | Cost      |
|-----|---------------------------------------------------------------------------------------------------|----------|-----------|-----------|
| 1.  | Sitework                                                                                          |          |           | 50,000    |
| 2.  | Site Utilities                                                                                    |          |           | 30,000    |
| 3.  | Building                                                                                          |          |           | 7,000,000 |
| 4.  |                                                                                                   |          |           |           |
| 5.  |                                                                                                   |          |           |           |
| 6.  |                                                                                                   |          |           |           |
| 7.  |                                                                                                   |          |           |           |
| 8.  |                                                                                                   |          |           |           |
| 9.  |                                                                                                   |          |           |           |
| 10. | Total Items 1-9                                                                                   |          |           | 2,080,000 |
| 11. | Escalation to July 1, 2003 40%                                                                    |          |           | 816,979   |
| 12. | Total Items 10 & 11 (Enter on Line 4-1 DA 418B)                                                   |          |           | 2,896,979 |
| 13. | Design Fees (arch.-enr., consultant) 7%                                                           |          |           | 210,000   |
| 14. |                                                                                                   |          |           |           |
| 15. | Total Items 13 & 14 (Enter on Line 4-2 DA 418B)                                                   |          |           | 210,000   |
| 16. |                                                                                                   |          | SUBTOTAL  | 3,106,979 |
| 17. | Moveable Equipment                                                                                |          |           | 135,000   |
| 18. | Cell Furniture                                                                                    |          |           | 65,000    |
| 19. | Low Partitions                                                                                    |          |           | 75,000    |
| 20. | Total Items 17-19 (Enter on Line 4-3 DA 418B)                                                     |          |           | 275,000   |
| 21. |                                                                                                   |          | SUBTOTAL  | 3,381,979 |
| 22. | Project Contingency (Enter on Line 4-4 DA 418B) 5%                                                |          |           | 177,990   |
| 23. |                                                                                                   |          | SUBTOTAL  | 3,559,969 |
| 24. | Other Costs (Site Survey, Soils Invest., Bid Documents, etc.)<br>(Enter on Line 4.-5. DA 418B) 2% |          |           | 72,650    |
| 25. |                                                                                                   |          | SUBTOTAL  | 3,632,619 |
| 26. | Architectural Services Management Fee (1% of Line 25)                                             |          |           | 36,690    |
| 27. | Grand Total (Enter on Total Line, Sec. 4, DA 418B)                                                |          |           | 3,669,309 |

5. Remarks: Cost per bed is \$24,462.00.

2-64  
2-65

