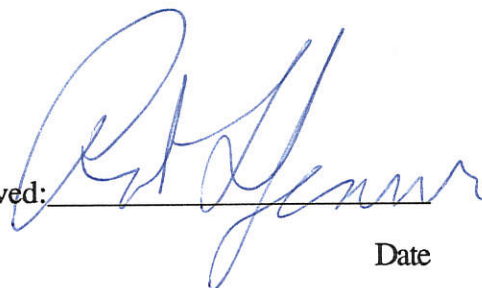


Approved: _____



Date

MINUTES OF CALENDAR AND PRINTING COMMITTEE

The meeting was called to order by Chairperson Robin Jennison at 1:44 p.m. on March 13, 1996 in Room 521-S of the Capitol.

All members were present.

Committee staff present: Julian Efir, Legislative Research Department
Jim Wilson, Revisor of Statutes
Rochelle Cox, Committee Secretary

Conferees appearing before the committee: Secretary Dean Carlson, Dept. Of Transportation

Others attending: Representative Jim Morrison

The meeting was called for the purpose of discussion and possible action on HB 2516 - joint legislative committees on state building construction and computers and telecommunications; membership; review of projects and budget estimates.

Testimony from Secretary Dean Carlson was heard. (Attachments 1 and 2.)

The committee heard from Representative Jim Morrison stating that he would be recommending amendments at the next scheduled meeting.

Hearings on HB 2516 were closed at this time till the next scheduled meeting.

Representative Shallenburger introduced HB 2530 and made a motion for it to be referred back to Calendar and Printing Committee. Motion was seconded by Representative Sawyer and passed unanimously.

Representative Wagle introduced HB 2531 and made a motion for Leadership to refer it to a committee. Motion was seconded by Shallenburger and passed unanimously.

There being no further business before the committee, the meeting was adjourned at 2:18 p.m.

The next meeting was scheduled for March 18, 1997.

CALENDAR & PRINTING COMMITTEE GUEST LIST

DATE: March 13, 1997

| NAME | REPRESENTING |
|------------------|--------------------------|
| Dean Carlson | KIRC / KDOT |
| Bob Hu ley | KDOT |
| Roger Beschliman | KDH R |
| Roger Meyer | Cap. James |
| Allen Foster | Legislative Post Audit |
| David Roberts | Governor's Office |
| Steve Neske | KDOR |
| Jon Freed | Sen Clark |
| Martin Hawver | Hawver's Capitol Reports |
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KANSAS DEPARTMENT OF TRANSPORTATION

*Docking State Office Building
Topeka 66612-1568
(913) 296-3566
TTY (913) 296-3585
FAX (913) 296-1095*

E. Dean Carlson
Secretary of Transportation

Bill Graves
Governor of Kansas

TESTIMONY BEFORE THE
HOUSE CALENDAR AND PRINTING COMMITTEE

Regarding H.B. 2516
An Act concerning review of certain state agency
projects and budget estimates by the Legislature ...

March 13, 1997

Dear Mr. Chairman and Committee Members:

I am E. Dean Carlson and I appreciate the opportunity to appear before you today, not as the Secretary of Transportation, but as the Chairman of the Kansas Information Resource Council (KIRC). Because of the short time between the introduction of H.B. 2516 and this hearing, KIRC has not had an opportunity to meet and consider the proposal as a body.

I share the frustration with the current process of planning, authorizing, and managing information and telecommunication efforts in Kansas state government. On November 12, 1996, I made a presentation to the Governor and his Cabinet concerning this problem. I used the attached chart to show the confused and fragmented authority and responsibility for governance of information and telecommunication projects.

Perhaps as a just response to my outspoken concern with the current process, I was elected in January of this year to the position of KIRC Chairman. The 1994 Legislature established KIRC. While I was not here at the time, I suspect that some frustration with the processes was a driving force behind its establishment. Until recently, I have felt that KIRC was not effective. However, the dynamics of KIRC are changing and I see progress. In February, KIRC adopted the first *Strategic Information Management Plan* containing 63 recommendations for actions to improve the governance process. In February, we also committed to establishing the priority of these recommendations and addressing the difficult task of defining not only the role and

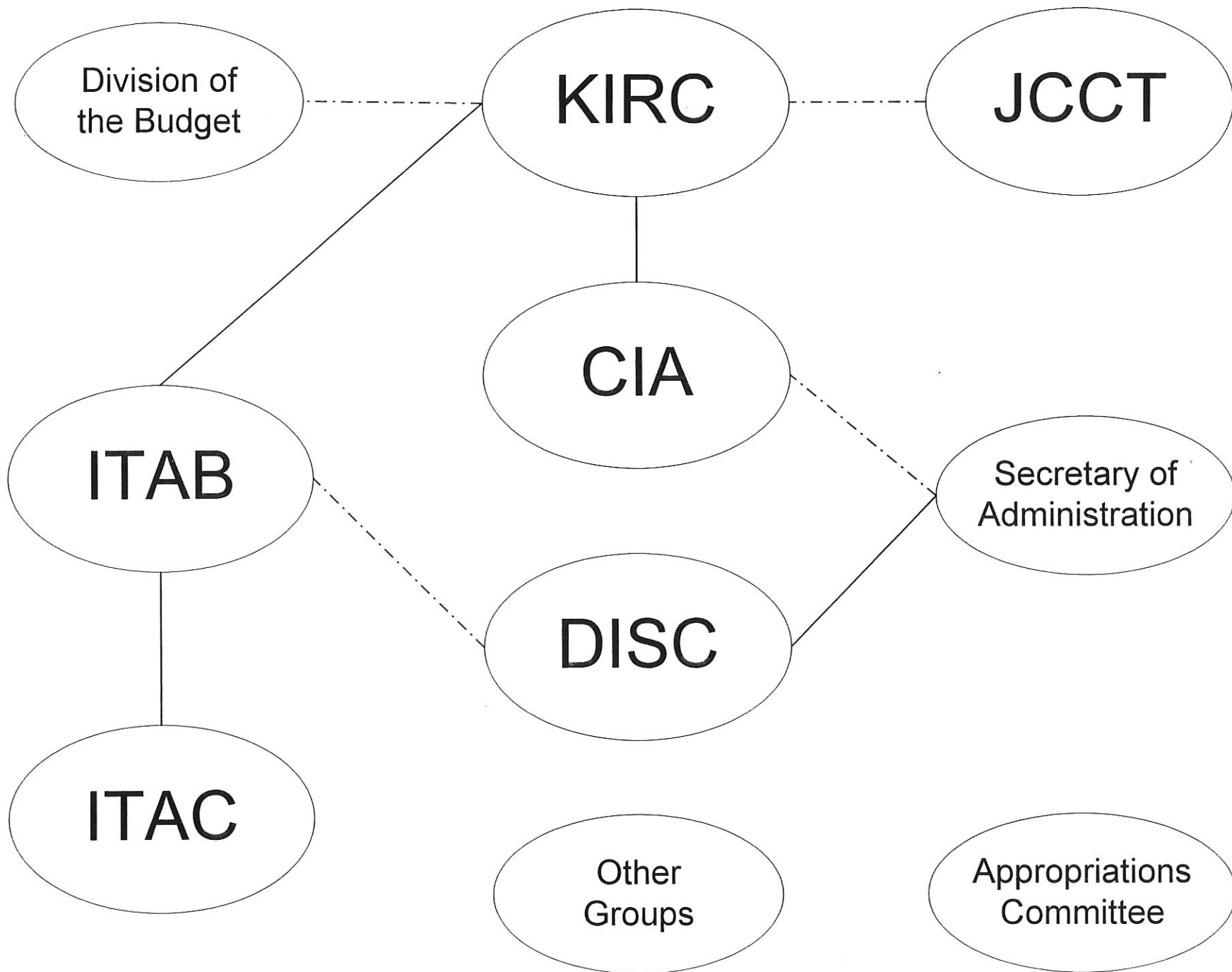
responsibilities of the various participants in the process but also their relationships with the other participants.

I support the effort of H.B. 2516 to improve the governance process; however, there are three concerns with the bill that need to be addressed. First, this bill tips the delicately balanced scale of the Legislature as a policy making body and the need for Legislative oversight to the side of oversight. I believe that H.B. 2516 will result in such oversight demands on the JCCT that it will be impossible for them to fulfill their role to formulate policy and prevent their establishing a leadership role in setting direction for the state.

Second, this bill also tips the equally delicate scale-balancing control and efficiency to the side of control. My experience with control systems that try to focus on a multitude of minor items and try to continually monitor everything is that they tend to be ineffective at preventing problems but have very high costs. I believe that a control system that establishes consensus regarding what is to be done, authority and responsibility for accomplishing the objective, and accountability for the effort will provide both appropriate control and efficiency.

Third, H.B. 2516 will actually exasperate the confusion concerning the governance of information and telecommunication efforts. An example of this is the introduction of the Secretary of Administration as the approver of changes in planned expenditures. This is a new role for the Secretary. What criteria for approval will be used and what constitutes "consulted" the JCCT? Another example is that the Chief Information Architect is required to make recommendations to the Division of the Budget and Legislature. Currently, the Chief Information Architect is staff to KIRC. Still another example, is the introduction of the approval process for changes in the use of certain equipment. This will cause confusion.

Rather than passing H.B. 2516 this Session, I propose that the Bill be held. As I have discussed, KIRC is in the process of considering the complex questions of authority, responsibility, and relationships in the process. KIRC, with the help of its two Legislative members, should be able to develop a process that addresses both the Legislative and Executive concerns. The KIRC effort should provide a sound basis to determine what Legislative actions, if any, are necessary in the 1998 Session.



STATE OF KANSAS



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*Docking State Office Building
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TESTIMONY BEFORE THE
HOUSE CALENDAR AND PRINTING COMMITTEE

Regarding H.B. 2516
An Act concerning review of certain state agency
projects and budget estimates by the Legislature ...

March 13, 1997

Dear Mr. Chairman and Committee Members:

In addition to my comments as Chairman of KIRC, I would like to discuss House Bill 2516 as the Secretary of the Kansas Department of Transportation (KDOT). KDOT has a long history of successfully managing projects, both highway construction projects and information technology projects. KDOT manages projects that cost millions of dollars. We manage small and large projects. We plan and schedule project activities, track expenditures and monitor performance of consultants and contractors. Philosophically, we have no problem with the concept of a project authorization and management processes. Practically, we have concerns with H.B. 2516.

KDOT currently obtains approval from and provides numerous reports on information projects to various internal and external entities. This bill will cause us to report more often to more entities on more projects. There is also an administrative cost of such reporting. If the justifications and reports are requested, we have the information and will expend the resources to provide those justifications and reports.

The real issue and concern I have is that House Bill 2516 does not define a workable project oversight process. I believe that a process that establishes authority and responsibility, defines relationships, and establishes accountability is the most important aspect to managing information technology. This bill does not define that process.

Developing a well-thought-out process will be difficult. However, I believe that the collective voices in KIRC (which include legislators, agency heads and private sector individuals) can put a project oversight process/plan together. We, in KDOT, are willing to participate and help develop that plan.

*House Calendar & Printing
3-13-97
Attachment 2*