

Approved: 3-10-97
Date

MINUTES OF THE HOUSE COMMITTEE ON APPROPRIATIONS.

The meeting was called to order by Chairperson Phil Kline at 1:38 p.m. on March 5, 1997 in Room 514-S of the Capitol.

All members were present except:

Committee staff present: Alan Conroy, Russell Mills, Stuart Little, Legislative Research Department;
Jim Wilson, Mike Corrigan, Revisor of Statutes Office
Marcia Ayres, Appropriations Secretary; Helen Abramson, Administrative Aide

Conferees appearing before the committee:

Others attending: See attached list

Minutes of the February 21 and February 24 meetings were distributed for review. A letter from the general manager of the Kansas State Fair Board was distributed to the members regarding finalizing the renovation of the Encampment Building. (Attachment 1)

Representative Kejr presented the subcommittee report for the Department of Corrections in which they concurred with the Governor's recommendations for FY 97 with adjustments and observations. They also concurred with the Governor's recommendation for capital improvements for FY 97 with adjustments and observations. (Attachment 2)

Considerable discussion followed, especially regarding the recommendation to begin the planning expansion for minimum-custody mobile facilities at locations around the state.

Representative Weber presented the subcommittee report for the Department of Corrections for FY 98 in which they agreed with the Governor's recommendation with observations. They also concurred with the Governor's recommendation for capital improvements for FY 98 with recommendations. (See Attachment 2)

Discussion continued.

A motion was made by Representative Farmer, seconded by Representative Kejr, to amend the subcommittee report on page 5 of FY 98 by correcting a technical error in Item 3 by striking \$1,239,000 and inserting \$1,293,000. The motion carried.

There was further discussion.

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Department of Corrections as amended. The motion carried.

Representative Kejr read the subcommittee report for the Ellsworth Correctional Facility. The subcommittee concurred with the Governor's recommendation for FY 97 and concurred with the FY 98 recommendation with two observations. (Attachment 3) No discussion.

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Ellsworth Correctional Facility. The motion carried.

Representative McKechnie presented the subcommittee report for the El Dorado Correctional Facility in which they concurred with the Governor's recommendations for FY 97 with one observation, and they concurred with the FY 98 recommendations with several observations. (Attachment 4) Discussion.

A motion was made by Representative McKechnie, seconded by Representative Kejr, to adopt the subcommittee report for the El Dorado Correctional Facility. The motion carried.

CONTINUATION SHEET

MINUTES OF THE HOUSE COMMITTEE ON APPROPRIATIONS, Room 514-S Statehouse, at 1:30 P.M. on March 5, 1997.

Representative Weber read the subcommittee report for the Hutchinson Correctional Facility in which they concurred with the Governor's recommendations for FY 97 with a couple observations, and they concurred with the FY 98 recommendations with four observations. (Attachment 5) Discussion.

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Hutchinson Correctional Facility. The motion carried.

Representative Kejr presented the subcommittee report for the Lansing Correctional Facility in which they concurred with the Governor's recommendations for FY 97 with observations and adjustments, and they concurred with the recommendations for FY 98 with observations. (Attachment 6) No discussion.

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Lansing Correctional Facility. The motion carried.

Representative McKechnie read the subcommittee report for the Larned Correctional Mental Health Facility in which they concurred with the Governor's recommendation for FY 97 and concurred with the FY 98 recommendations with observations. (Attachment 7) Discussion.

A motion was made by Representative McKechnie, seconded by Representative Kejr, to adopt the subcommittee report for the Larned Correctional Mental Health Facility. The motion carried.

Representative Weber reviewed the subcommittee report for the Norton Correctional Facility in which they concurred with the Governor's recommendations for FY 97 and concurred with the recommendations for FY 98 with a couple observations. (Attachment 8) No discussion.

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Norton Correctional Facility. The motion carried.

Representative Kejr presented the subcommittee report for the Topeka Correctional Facility in which they concurred with the Governor's recommendations for FY 97 with one observation and concurred with the recommendations for FY 98 with several observations. (Attachment 9) Discussion .

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Topeka Correctional Facility. The motion carried.

Representative McKechnie reviewed the subcommittee report for the Winfield Correctional Facility in which they concurred with the Governor's recommendation for FY 97, and they concurred with the recommendations for FY 98 with adjustments and observations. (Attachment 10)

Discussion followed and Representative Wilk requested from staff a listing of State General Fund dollars approved in 1997 showing the percent increase of adjustments and recommendations from the subcommittee reports. He requested the same kind of report for FY 98.

A motion was made by Representative Kejr, seconded by Representative McKechnie, to adopt the subcommittee report for the Winfield Correctional Facility. The motion carried.

A motion was made by Representative Dean, seconded by Representative O'Connor, to approve the minutes of February 19, 21, and 24. The motion carried.

Representative Farmer was recognized to request introduction of three bills: (1) an act concerning cities relating to the adoption or abandonment of forms of city government, (2) an act concerning consolidated cities and counties relating to the adoption or abandonment of forms of government, and (3) an act concerning the state library relating to the receipt of gifts and donations and amendments to the state grants-in-aid to libraries act.

A motion was made by Representative Landwehr, seconded by Representative Nichols, to introduce the bills requested. The motion carried.

The meeting adjourned at 3:50 p.m.

The next meeting is scheduled for March 6, 1997.

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ROBERT A. GOTTSCHALK, *General Manager*
JOAN R. BROWN, *Operations Manager*
LARRY ANKERHOLZ, *Physical Plant Manager*



Starting the first Friday after Labor Day.

DATE: March 3, 1997

TO: Representative Phil Kline, Chairperson
House Appropriations Committee

FROM: Bob Gottschalk
General Manager *MBG*

SUBJECT: ENCAMPMENT BUILDING RENOVATION

The Governor's budget message recommended a \$95,000 supplemental appropriation for FY 97 to complete the renovation of the Encampment Building by finishing the auditorium. This was originally included in the Supplemental Appropriations bills - HB 2272 and SB 228.

When this agency met with its Senate and House Subcommittees and with the Joint Building Committee, we emphasized that in order to complete the renovation in time for the 1997 Fair, the appropriation would need to be finalized as soon as possible. Committee members felt the supplemental appropriations bills would not be signed until at least mid April and it was suggested that this section be pulled and amended to SB 43. This appeared to be a better alternative than a separate piece of legislation.

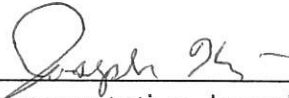
SB 43 passed the Senate on February 19. This agency is requesting that it's FY 97 supplemental request for EDIF funding of the Encampment Building be amended to SB 43 by the House Appropriations Committee. If you have questions, please call me at 316/669-3600.

*Appropriations
3-5-97
Attachment 1*

FY 1997-FY 1998

HOUSE SUBCOMMITTEE REPORTS

DEPARTMENT OF CORRECTIONS
ELLSWORTH CORRECTIONAL FACILITY
EL DORADO CORRECTIONAL FACILITY
HUTCHINSON CORRECTIONAL FACILITY
LANSING CORRECTIONAL FACILITY
LARNED CORRECTIONAL MENTAL HEALTH FACILITY
NORTON CORRECTIONAL FACILITY
TOPEKA CORRECTIONAL FACILITY
WINFIELD CORRECTIONAL FACILITY



Representative Joseph Kejr
Subcommittee Chair



Representative Ed McKechnie



Representative Shari Weber

Appropriations
3-5-97
Attachment 2

SUBCOMMITTEE REPORT

Agency: Department of Corrections

Bill No. 228

Bill Sec. 40

Analyst: Little

Analysis Pg. No. 403

Budget Page No. 135

<u>Expenditure Summary</u>	<u>Agency Est. FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 65,128,349	\$ 65,131,000	\$ 0
Aid to Local Units	18,694,554	18,694,554	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 83,822,903	\$ 83,825,554	\$ 0
Capital Improvements	<u>9,520,122</u>	<u>10,277,588</u>	<u>(933,476)</u>
TOTAL	<u>\$ 93,343,025</u>	<u>\$ 94,103,142</u>	<u>\$ (933,476)</u>
State General Fund:			
State Operations	\$ 54,425,599	\$ 54,423,100	\$ 0
Aid to Local Units	17,944,554	17,944,554	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 72,370,153	\$ 72,367,654	\$ 0
Capital Improvements	<u>3,075,000</u>	<u>3,832,466</u>	<u>(757,466)</u>
TOTAL	<u>\$ 75,445,153</u>	<u>\$ 76,200,120</u>	<u>\$ (757,466)</u>
Other Funds:			
State Operations	\$ 10,702,750	\$ 10,707,900	\$ 0
Aid to Local Units	750,000	750,000	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,452,750	\$ 11,457,900	0
Capital Improvements	<u>6,445,122</u>	<u>6,445,122</u>	<u>(176,010)</u>
TOTAL	<u>\$ 17,897,872</u>	<u>\$ 17,903,022</u>	<u>\$ (176,010)</u>
FTE Positions	355.0	355.0	0.0
Unclass. Temp. Positions	<u>5.0</u>	<u>5.0</u>	<u>0.0</u>
TOTAL	<u>360.0</u>	<u>360.0</u>	<u>0.0</u>

Agency Estimate/Governor's Recommendation

The agency requests \$83,822,903 in operating expenditures (\$72,370,153 State General Fund (SGF) in FY 1997. The agency's revised estimate of expenditures is an increase of \$62,281 from the amount approved by the 1996 Legislature. The Secretary of Corrections has the authority to reallocate SGF resources between the Department of Corrections and the correctional facilities. The revised FY 1997 DOC SGF estimate reflects the reallocation of resources between the DOC and its facilities to allow the facilities to absorb costs associated with higher than expected average daily populations. The agency requests two supplemental appropriations. The agency seeks a supplemental appropriation during FY

1997 of \$62,281 in State General Funds. The estimated expenditures for food services of \$10,385,289 is an increase of \$62,281 over the authorized amount of \$10,323,008. The agency attributes this increase to current population projections which indicate that the number of inmates upon which the contract was negotiated will be exceeded by the end the current fiscal year. The Governor concurs with the agency request for the supplemental appropriation and recommends \$59,782, a revision down based on system-wide adjustments.

The Governor recommends operating expenditures of \$83,825,554, an increase of \$2,651 over the agency's estimate and an increase of \$13,723,567 over the actual FY 1996 operating expenditures. The FY 1997 recommendation includes \$13,237,400 for salaries and wages, \$40,651,760 for contractual services, \$5,758,802 for commodities, \$1,158,688 for capital outlay, and \$4,324,350 for debt service interest payments. A total of \$18,694,554 (\$17,944,544 SGF) is recommended for aid to local units. The Governor requests a capital improvements supplemental appropriation of \$933,476 (\$757,477 SGF) to begin capital improvements expansion planning.

Senate Subcommittee Recommendation

For FY 1997, the Senate Subcommittee concurs with the Governor's recommendation, with the following exceptions and recommendations:

1. The Subcommittee recommends that the Governor's recommendation for \$933,476, (including \$757,466 from the State General Fund and \$176,010, from the Correctional Institutions Building Fund), be deleted pending review by the Senate Capital Improvements' Subcommittee. The Subcommittee recommends that the Senate Subcommittee on Capital Improvements review the Governor's request for start-up funds for the 550-bed expansion along with other options to address the growing inmate population. The Department of Corrections' budget is reduced by \$757,466 SGF and \$176,010 CIBF for a total of \$933,746.
2. The Subcommittee concurs with the Governor's recommendation for a supplemental appropriation of \$59,782 (S.B. 228, Sec. 40), due to increased costs for the correctional facility food service contract associated with the increase in inmate population.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments*</u>
All Funds:		
State Operations	\$ 65,131,000	\$ 98,500
Aid to Local Units	18,694,554	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 83,825,554	\$ 98,500
Capital Improvements	10,277,588	(757,466)
TOTAL	<u>\$ 94,103,142</u>	<u>\$ (658,966)</u>
State General Fund:		
State Operations	\$ 54,423,100	\$ 98,500
Aid to Local Units	17,944,554	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 72,367,654	\$ 98,500
Capital Improvements	3,832,466	(757,466)
TOTAL	<u>\$ 76,200,120</u>	<u>\$ (658,966)</u>
Other Funds:		
State Operations	\$ 10,707,900	\$ 0
Aid to Local Units	750,000	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,457,900	0
Capital Improvements	6,445,122	0
TOTAL	<u>\$ 17,903,022</u>	<u>\$ 0</u>
FTE Positions	355.0	0.0
Unclass. Temp. Positions	<u>5.0</u>	<u>0.0</u>
TOTAL	<u>360.0</u>	<u>0.0</u>

* Reflects transfer of \$98,500 SGF from a facility. No new funds.

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations with the following adjustments and observations.

1. The Subcommittee concurs with the Governor's recommendation for a supplemental appropriation of \$59,782 for the food service contract.
2. Add \$98,500 from the State General Fund, reflecting the Governor's original intention to include food, medical, and program costs for Lansing Correctional Facility in the appropriate budget, and make technical adjustments.

3. The Subcommittee notes the agency expenditures from Kansas Quality Management savings in the current year. The agency received reappropriations from FY 1995 (\$274,646) and FY 1996 (\$333,017) which were one-half of the total amount identified by the agency as savings gained through the Governor's initiative to implement total quality management principals in state government. The balance of \$607,663 was expended by the agency in FY 1997. The funds were required to be expended on technology (\$557,663), KQM training (\$20,000), and incentives (\$30,000). The agency provided access to the host computer for parole officers around the state.
4. The Subcommittee notes reports in Kansas, and in many states, that the crime rate is slowing or decreasing for many crimes. The Subcommittee notes that these changes may be the result of stronger sentencing guidelines, including "hard 40 sentences," truth in sentencing laws, and sentencing grids. Other factors, such as general economic prosperity in Kansas during the last few years may, among other issues, may also play a role in lower crime rates.

The Subcommittee notes the need for accurate and relevant information on the criminal justice system. The Subcommittee supports the agency efforts to provide greater information evaluating the effectiveness of programs for inmates. The Criminal Justice Information System network, which currently is in the planning stages, should provide much needed information. The Subcommittee believes information regarding programs such as a child in need for care with the Department of Social and Rehabilitation Services, through the Juvenile Justice Authority, or the Department of Corrections is useful for evaluating the criminal justice system. The Subcommittee encourages every effort the agency employs to enhance the quality of the information available and promote an educated discussion of the issues. But, the agency is also encouraged to proceed with deliberate caution to avoid costly short-term and long-term problems. The Subcommittee concurs with the agency's reported intention to work cooperatively with the related state agencies to ensure that accurate and reliable information is available in the future.

5. The Subcommittee notes that the agency reports success with the privileges and incentives program to manage offenders in correctional facilities. The program began in January 1996 and requires inmates to earn privilege in four levels, plus two additional levels for paroled inmates, rather than receiving all privileges upon admission to the system. Inmates earn privileges and move up the levels based on appropriate behavior and will also lose privileges for inappropriate behavior.
6. The Subcommittee commends the Secretary of Corrections and the wardens for their efforts beginning in October 1996 to address issues arising for disturbances stemming from food service problems and other issues. The Secretary and his staff quickly moved to address the situation and continue to closely monitor vendor compliance and ensure smooth operations at the correctional facilities. The Subcommittee has reviewed the current food services contract and reports that the agency is addressing issues through a number of methods and is currently reviewing renewal of the contract. The Subcommittee recommends that the food service contract be reviewed at Omnibus.

7. Make technical adjustments as necessary.

CAPITAL IMPROVEMENTS DEPARTMENT OF CORRECTIONS

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendation with the following adjustments and observations.

1. Delete \$757,466 SGF for the Governor's capital improvement request.
2. Approve \$176,010 carry-over funds from the Correctional Institutions Building Fund (CIBF) to begin the planning expansion for up to 300 beds for minimum-custody mobile facility(ies) established at locations around the state.

Parameters for the mobile facility shall include:

- a. Either DOC administered or privatized administration.
- b. Facility will be opened March 1 to October 31 each year.
- c. Facilities will be located in counties where there is a need for low or middle income housing improvements. Inmates will work under the direction of skilled laborers for rehabilitation, demolition repair and new construction of homes or any other community improvement project the Secretary deems appropriate.
- d. The Department is directed to begin operations of at least one facility by March 1, 1998. The number of facilities and beds in each facility shall be determined by the Secretary of Corrections, provided the total number not exceed 300 beds.
- e. The Subcommittee notes there are currently approximately 100 inmates housed in medium security beds, and that a large expansion of minimum security beds will provide additional minimum security beds.
- f. The Subcommittee notes the continued influx of probation and parole violators. It is hoped these non-violent offenders can be detained at a lower cost in this facility. This program also offers a preventive element in rebuilding communities racked by crime. The program is conceptual at this stage and the Secretary is extended a great deal of latitude and flexibility to design the program.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 65,131,000	\$ 65,131,000	\$ 65,229,500	\$ 98,500
Aid to Local Units	18,694,554	18,694,554	18,694,554	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 83,825,554	\$ 83,825,554	\$ 83,924,054	\$ 98,500
Capital Improvements	<u>10,277,588</u>	<u>9,344,112</u>	<u>9,520,122</u>	<u>176,010</u>
TOTAL	<u>\$ 94,103,142</u>	<u>\$ 93,169,666</u>	<u>\$ 93,444,176</u>	<u>\$ 274,510</u>
State General Fund:				
State Operations	\$ 54,423,100	\$ 54,423,100	\$ 54,521,600	\$ 98,500
Aid to Local Units	17,944,554	17,944,554	17,944,554	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 72,367,654	\$ 72,367,654	72,466,154	\$ 98,500
Capital Improvements	<u>3,832,466</u>	<u>3,075,000</u>	<u>3,075,000</u>	<u>0</u>
TOTAL	<u>\$ 76,200,120</u>	<u>\$ 75,442,654</u>	<u>\$ 75,541,154</u>	<u>\$ 98,500</u>
Other Funds:				
State Operations	\$ 10,707,900	\$ 10,707,900	\$ 10,707,900	\$ 0
Aid to Local Units	750,000	750,000	750,000	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,457,900	\$ 11,457,900	11,457,900	\$ 0
Capital Improvements	<u>6,445,122</u>	<u>6,269,122</u>	<u>6,445,122</u>	<u>176,010</u>
TOTAL	<u>\$ 17,903,022</u>	<u>\$ 17,727,022</u>	<u>\$ 17,903,022</u>	<u>\$ 176,010</u>
FTE Positions	355.0	355.0	355.0	0.0
Unclass. Temp. Positions	<u>5.0</u>	<u>5.0</u>	<u>5.0</u>	<u>0.0</u>
TOTAL	<u>360.0</u>	<u>360.0</u>	<u>360.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Department of Corrections

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 403

Budget Page No. 135

<u>Expenditure Summary</u>	<u>Agency Req. FY 98</u>	<u>Gov. Rec. FY 98*</u>	<u>Senate Subcommittee Adjustments**</u>
All Funds:			
State Operations	\$ 68,935,983	\$ 66,273,943	\$ 177,507
Aid to Local Units	19,772,981	15,010,653	35,000
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 88,708,964	\$ 81,284,596	\$ 212,507
Capital Improvements	<u>40,657,620</u>	<u>9,320,000</u>	<u>0</u>
TOTAL	<u>\$ 129,366,584</u>	<u>\$ 90,604,596</u>	<u>\$ 212,507</u>
State General Fund:			
State Operations	\$ 57,865,021	\$ 55,252,157	\$ 261,728
Aid to Local Units	19,022,981	15,010,653	35,000
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 76,888,002	\$ 70,262,810	\$ 296,728
Capital Improvements	<u>36,657,620</u>	<u>5,320,000</u>	<u>0</u>
TOTAL	<u>\$ 113,545,622</u>	<u>\$ 75,582,810</u>	<u>\$ 296,728</u>
Other Funds:			
State Operations	\$ 11,070,962	\$ 11,021,786	\$ (84,221)
Aid to Local Units	750,000	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,820,962	\$ 11,021,786	\$ (84,221)
Capital Improvements	<u>4,000,000</u>	<u>4,000,000</u>	<u>0</u>
TOTAL	<u>\$ 15,820,962</u>	<u>\$ 15,021,786</u>	<u>\$ (84,221)</u>
FTE Positions	310.0	311.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>2.0</u>	<u>0.0</u>
TOTAL	<u>310.0</u>	<u>313.0</u>	<u>0.0</u>

* Reflects GBA No. 1.

** Reflects deletion of the Governor's pay plan (\$349,465, including \$265,244 SGF).

Agency Request/Governor's Recommendation

The Department of Corrections' request for FY 1998 operating expenditures total \$88,708,964, an increase of \$4,886,061 over the agency's revised FY 1997 estimate. The request also includes \$40,657,620 (including \$36,885,117 from the State General Fund) for capital improvement projects.

The 47.0 FTE reduction is the result of the agency's request to transfer Wichita Work Release program to Winfield Correctional Facility in FY 1998. Upon the transfer, 2.0 positions will be eliminated.

The Governor recommends FY 1998 operating expenditures totaling \$81,359,596, a reduction of \$2,465,958 (2.9 percent) from the FY 1997 recommendation and a reduction of \$7,349,368 (8.3 percent) from the agency's FY 1998 request. The FY 1998 recommendation includes \$12,242,017 for salaries and wages, \$44,102,910 for contractual services, \$5,685,673 for commodities, \$227,343 for capital outlay, and \$4,091,000 for debt service interest payments. A total of \$15,010,653 is recommended for aid to local units. The Governor's recommendation includes classified step movement, a 3.5 percent unclassified merit pool increase, a 1.0 percent classified base salary adjustment and full funding of longevity (\$89,920) with a 3.5 shrinkage rate, except for the inmate medical program and Kansas Correctional Industries, which have no shrinkage.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendation with the following adjustments and recommendations:

1. Delete \$349,465, including \$265,244 from the State General Fund, based on the recommendation to delete funding for the 3.5 percent unclassified merit pool (\$32,545, including \$24,702 SGF); classified step movement (\$114,869, including \$87,186 SGF); longevity bonus payments (\$99,721, including \$75,688 SGF); and the 1.0 percent classified base salary adjustment (\$102,330, including \$77,668 SGF) from the individual agency budget.
2. The Subcommittee concurs with the Governor's recommendation to transfer \$4,235,328, including \$3,485,328 from the State General Fund, and \$750,000 transferred from the Department of Social and Rehabilitation Services, to the Juvenile Justice Authority beginning on 1 July 1997. The Subcommittee is concerned about the issues remaining related to the operations of the agency and the availability of funds for juvenile community corrections' needs. The Subcommittee recommends that the full Committee review the progress toward making the Juvenile Justice Authority operational. The full Committee is requested to obtain a plan regarding corrections' issues and the relationship with the new juvenile agency. The Subcommittee suggests that if the full Committee does not see adequate preparations by the Omnibus session, the Subcommittee recommends that the Committee examine the possibility of adding the same funding amount back to the Department of Corrections' budget to ensure continued funding for juvenile community corrections.
3. The Subcommittee adds \$142,272 (all SGF) for twenty-four new vocational education slots at any facility the Secretary of Corrections deems appropriate. The Subcommittee adds \$284,700 (all SGF) for thirty new half-way house placements in the southern parole region. The Subcommittee adds \$100,000 for programs in the community that treat sex offender and substance abuse individuals on parole or postrelease supervision. The cost for the Subcommittee recommendation is \$526,972, all from the State General Fund.

The Subcommittee heard testimony from the wardens of the correctional facilities and from the Department of Corrections that job-training and work assignments, and sex offender and substance abuse programs are the highest priority for inmate programs. The Subcommittee believes that steadily rising inmate average daily population warrants the additional funding.

4. The Subcommittee encourages the Department of Corrections to include adequate inmate work and industry programs in the plans for all correctional facilities. The Subcommittee notes that reported problems of inmate idleness and the virtues of work should be addressed as part of the total plan for future correctional facilities.
5. The Subcommittee adds \$35,000 (all SGF) for staff salaries to the grant payment for the Labette Correctional Conservation Camp. The Subcommittee heard testimony that the conservation camp currently is experiencing a 40 percent turnover rate. The starting salary for a correctional officer at the camp is reported to be \$16,016, and the agency believes enhanced staff salaries would help reduce the staff turnover rate.
6. The Subcommittee recommends that the Senate Subcommittee on Capital Improvements review the request for \$597,272 for funding construction costs associated with the potential expansion of the Labette Correctional Conservation Camp. The Subcommittee notes that expansion at Labette should be one of the alternatives examined to address the demands of increasing inmate populations in the correctional facilities. The Subcommittee reviewed the agency's request to expand the program and heard testimony that federal crime bill funds may be available in the near future to fund all or part of the Labette capital improvements request. The Subcommittee learned that unlike previous years, the Labette camp is operating at capacity and currently has a month-long back-log of inmates waiting to enter the program.
7. The Subcommittee notes that the rising number of inmates incarcerated in correctional facilities, under parole or postrelease supervision, in community corrections programs, or at the conservation camp presents the State with a growing number of challenges for the future. The Subcommittee encourages the Department of Corrections to explore all available options as it plans for the future correctional and public safety needs of the State and to present to the Legislature choices and options to address needs as they arise.

Senate Committee Recommendation

The House Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Department of Corrections

Bill No. 2160

Bill Sec. 81

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 98</u>	<u>House Subcommittee Adjustments*</u>
All Funds:		
State Operations	\$ 66,273,943	\$ 0
Aid to Local Units	15,010,653	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 81,284,596</u>	<u>\$ 0</u>
Capital Improvements	9,320,000	0
TOTAL	<u><u>\$ 90,604,596</u></u>	<u><u>\$ 0</u></u>
State General Fund:		
State Operations	\$ 55,252,157	\$ 0
Aid to Local Units	15,010,653	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 70,262,810</u>	<u>\$ 0</u>
Capital Improvements	5,320,000	0
TOTAL	<u><u>\$ 75,582,810</u></u>	<u><u>\$ 0</u></u>
Other Funds:		
State Operations	\$ 11,021,786	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 11,021,786</u>	<u>\$ 0</u>
Capital Improvements	4,000,000	0
TOTAL	<u><u>\$ 15,021,786</u></u>	<u><u>\$ 0</u></u>
FTE Positions	311.0	0.0
Unclass. Temp. Positions	2.0	0.0
TOTAL	<u><u>313.0</u></u>	<u><u>0.0</u></u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendation with the following observations.

1. The Subcommittee includes the following table for the full Committee which reflects the division of functions and funds within the Department of Corrections.

Program	Fund Distribution in millions for FY 1998 (Gov. Rec.)*	Percent change from FY 1997 (Gov. Rec)
Central Administration	4.9 (Secretary and Info Sys)	(6.8)
Community Supervision	15 (community corrections) 7.2 (parole services)	(13.8)**
Treatment and Programs	10.6 (contracted programs) 18.6 (contacted medical)	11.3
Facility Operations	10.9 (contracted food)	(10.3)***
Correctional Industries	9.6	(0.2)
Debt Service-Interest	4	(5.4)
<p>* Rounded and excluding miscellaneous expenditures</p> <p>** Reflects Gov. Rec. transfer of \$4.2 million to Juvenile Justice Authority from Community Corrections. If juvenile community corrections funding in FY 1997 is excluded, the result is an increase in funding for adult community corrections of \$376,971, a 2.9 percent increase in FY 1998.</p> <p>*** FY 1997 reflects \$1,633,566 for Wichita Work Release, which in FY 1998 is in Winfield Correctional Facility budget.</p>		

2. The Subcommittee concurs with the Governor's Budget Amendment #1, item 11, which deletes \$75,000, all SGF, from offender programs due to a technical error.
3. The Subcommittee directs the agency to cease paying inmate medical and mental health contract costs from the Department of Corrections' Inmate Benefit Fund (IBF) in FY 1998. The Subcommittee directs the agency to eliminate the IBF expenditure in FY 1998 of \$1,293,000 for inmate medical and mental health contract costs and add \$1,293,000 from the IBF to the offender programs. Offender programs will have a reduced SGF appropriation of \$1,293,000 and the addition of \$1,239,000 from the IBF. There is no fiscal impact for this transfer of funding sources. No new funds, SGF or otherwise, are added.
4. The Subcommittee recommends introduction of a bill creating an interim oversight committee to study and review the activities of the Juvenile Justice Authority and the Department of Corrections. The committee would oversee the creation of the Juvenile Justice Authority and monitor Department of Corrections' expansion projects, food service, inmate population, and other related issues.
5. The Subcommittee notes the Governor's recommended plans to expand operations within existing space at Winfield, Larned, and Lansing. The expansion of operations in existing space in FY 1998 will require \$2,560,192 and 38.0 new FTE to operate an additional 256 beds. The agency budget will increase by \$958,000 for inmate food, medical, and program contracts. The Winfield, Larned, and

Lansing facilities will add a total of 38.0 FTE and operating expenditures totaling \$1,602,192. The Subcommittee commends the agency efforts to utilize existing space options and expand capacity in the most cost effective methods.

6. The Subcommittee notes and supports the Governor's recommendation to transfer \$4,235,328 including \$3,485,328 from the State General Fund, to the new Juvenile Justice Authority for juvenile community corrections programs. Consolidation of juvenile services is not only a good idea, it is current law, and necessary to bring together from numerous agencies the services for juveniles. The Subcommittee, however, notes with concern the present failure to provide responsible leadership and direction for the Juvenile Justice Authority. The Subcommittee has serious concerns about the transition process and expresses in the strongest terms possible the need for intensive legislative oversight. The Department of Corrections' reported concerns about the contractual arrangements related to the community corrections programs for juveniles if service is disrupted. The Subcommittee recommends to revisit the Juvenile Justice Authority situation at Omnibus in order to evaluate any progress toward establishing the agency before July 1997.
7. The Subcommittee notes the activities of Kansas Correctional Industries, which provides employment opportunities for 723 inmates in correctional facilities. KCI receives no state funds, and is an entirely free-standing program. The Subcommittee heard testimony about idleness problems and the value of work programs in the facilities. The Subcommittee heard as well that new jobs are necessary, given the growing inmate population. The Subcommittee encourages the agency to make every effort to expand work programs, including private sector employment, in the facilities. Privately employed inmates who receive at least the minimum wage benefit the state by paying room and board expenses, court costs, child support, and other fees, as well as a forced savings account. The Subcommittee heard testimony that work opportunities have a long-term impact on inmates. The Subcommittee notes as well its concern about the status of inmate work programs as the prison population increases. For these reasons, the Subcommittee recommends KCI as a topic of review and examination by the appropriate committee in the 1997 interim.
8. The Subcommittee notes the value of community corrections and offender programs provided for paroled inmates. The Subcommittee directs the agency to provide a prioritized accounting of community-based programs for community corrections' participants, and community offender programs including visitors' centers and half-way houses. The Subcommittee recommends review of the agency priorities and possible adjustments at Omnibus. The Subcommittee notes the Governor's rejection of agency requests to enhance community corrections and offender programs in the community. The Subcommittee will continue to examine community corrections' options during the coming weeks. The Subcommittee notes that these programs may help recidivism rates which contribute to the increasing prison population.
9. The Subcommittee directs the agency to provide the Committee and any oversight committee that may be created with a detailed accounting of department and facilities' salaries and wages since 1980. The Subcommittee requests information

on salaries, FTE, KPERS, and longevity. This information should be provided during the interim or in advance of the 1998 Session.

10. The Subcommittee seeks the information in recommendation number 9, in part, because of concerns regarding the high amount of overtime expenditures at correctional facilities and questions regarding turnover rates in the security program. The Subcommittee directs the agency to provide systemwide information regarding overtime expenditures and turnover rates in the security programs at the facilities.
11. The Subcommittee commends the warden at Hutchinson Correctional Facility for his presentation of performance measures, and encourages the agency to use Hutchinson as the model to compile more uniform and comparable performance measures at each correctional facility.
12. The Subcommittee notes the agency's reported debt service payments, including the total principal and interest payments through FY 1997 and after FY 1998. The total system-wide debt service is reflected in the following table:

	Principal	Interest	Total Payment
Paid to 7/97	\$19,640,000	\$22,460,148	\$42,100,148
Balance due as of 7/97	\$72,075,000	\$28,493,295	\$100,568,295
	\$91,715,000	\$50,953,443	\$142,668,443

13. The Subcommittee commends the agency efforts to implement dog training programs at Norton, Winfield, Wichita Work Release, and Topeka correctional facilities. These programs place dogs in facilities for the first year of their lives, to become use to human contact, before they are removed and trained to assist individuals with disabilities.
14. Make technical adjustments as necessary.

**CAPITAL IMPROVEMENTS
DEPARTMENT OF CORRECTIONS**

House Subcommittee Recommendation

For FY 1998, the Subcommittee makes the following recommendations.

1. The Subcommittee requests the Governor submit a GBA during the Omnibus session for one-time start-up costs and operating costs needed during FY 1998 for the 300-bed minimum-custody mobile facility expansion project, as well as recommended statutory revisions necessary to implement the project.

The Subcommittee notes the state will owe \$100.5 million in principal and interest at the end of the current fiscal year for previous expansion and construction. The principal due as of this year is \$72 million. The Subcommittee also notes the state's ending balances and recommends cash be paid for the expansion projects and that no bonds be issued.

The Subcommittee notes the Governor's recommended three facility expansion was to cost \$16,187,618 for three housing units with 550 beds. The Governor recommended \$540,000 in one-time start-up costs, \$6,000,000 annual operating costs including 86.0 FTE. The recommendation was part of a three-phase plan that by 2006 would add 1,532 beds at a projected total cost of \$58,000,000. The Kansas Development Finance Authority projects that the total cost to debt-finance the entire three-phase project over twenty years would be \$102,674,920. The Governor's proposal is reported to maintain capacity needs through FY 2006, at which point new construction would be requested.

2. The Subcommittee recommends that the \$379,000 enhancement package for the Labette County Conservation Camp, and the \$600,000 construction costs be reviewed at Omnibus.
3. The Subcommittee recommends that the Fiscal Oversight Committee review the fiscal note process related to Department of Correction to determine if changes are needed to clarify for the Legislature the fiscal impact of pending legislation.
4. The Subcommittee is concerned about the apparent and expressed lack of commitment and desire of the administration and Department of Corrections to pursue alternatives to incarceration through Community Corrections. The Subcommittee is concerned about the looming crisis need for bed space and the barrier of time that is required to alleviate capacity pressures. The Subcommittee notes that decisions regarding correctional beds must be made this session, and therefore directs the Department of Correction to prepare responses to items A, B, and E which are listed below for review at Omnibus. The Subcommittee requested specific enhancements for community corrections' programs to slow the prison population increase. General information was provided, but the Secretary made recommendations only related to facilities and bed expansion. Time is important to allow the collection of information since the Legislature is called upon to make a decision that may add over \$100,000,000 in debt, in addition to the \$100.5 million in principal and interest already owed. Time and study will also allow various agencies and organizations to bring their expertise together to assist in addressing future correctional needs.

The Subcommittee recommends the appointment by the Speaker of a select committee with members of the House Committees on Appropriations, Judiciary and Joint Building to investigate and make recommendations to the House on:

- a. Information received from the Department of Corrections regarding operations and the need for inmate capacity expansion, including the Governor's capital improvement recommendation.
- b. Alternatives to building new facilities including privatization, leasing of non-state beds, specialized facilities for lower-level offenders, i.e., non-violent conditional violators, community based and preventative programs.
- c. Examine the profile of offenders as they progress through the continuum of state service programs and state correctional system starting with juveniles and continuing through geriatric inmate care.
- d. Make recommendations as to specific target populations that programming and alternatives may address and relieve at least some of the pressure to build prisons during the next ten years. Specifically targeted are alternative sanctions for the 148 parole violators and 108 probation violators, who enter the state corrections system each month on average. This population represents 24 percent of the states prison population and about 40 percent of the admissions into the system.
- e. Examine potential modifications of sentencing grid and parole revocation statutes, rules, and regulations to address increasing population.
- f. Examine the differences in programs between the Community Corrections districts regarding how judges make placements.
- g. Report on federal funding programs that will or may become available.
- h. Review of Kansas Correctional Industries and private employment options in correctional facilities to explore potential expansion of work opportunities.
- i. Review programs in Court Services and make recommendations to slow the incarceration rate.
- j. The Committee is charged with making any recommendations that may mitigate the increase in prison population.

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 66,273,943	\$ 66,451,450	\$ 66,273,943	\$ (177,507)
Aid to Local Units	15,010,653	15,045,653	15,010,653	(35,000)
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 81,284,596	\$ 81,497,103	\$ 81,284,596	\$ (212,507)
Capital Improvements	<u>9,320,000</u>	<u>9,320,000</u>	<u>9,320,000</u>	<u>0</u>
TOTAL	<u>\$ 90,604,596</u>	<u>\$ 90,817,103</u>	<u>\$ 90,604,596</u>	<u>\$ (212,507)</u>
State General Fund:				
State Operations	\$ 55,252,157	55,513,885	\$ 55,252,157	\$ (261,728)
Aid to Local Units	15,010,653	15,045,653	15,010,653	(35,000)
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 70,262,810	\$ 70,559,538	\$ 70,262,810	\$ (296,728)
Capital Improvements	<u>5,320,000</u>	<u>5,320,000</u>	<u>5,320,000</u>	<u>0</u>
TOTAL	<u>\$ 75,582,810</u>	<u>\$ 75,879,538</u>	<u>\$ 75,582,810</u>	<u>\$ (296,728)</u>
Other Funds:				
State Operations	\$ 11,021,786	\$ 10,937,565	11,021,786	\$ 84,221
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,021,786	\$ 10,937,565	11,021,786	\$ 84,221
Capital Improvements	<u>4,000,000</u>	<u>4,000,000</u>	<u>4,000,000</u>	<u>0</u>
TOTAL	<u>\$ 15,021,786</u>	<u>\$ 14,937,565</u>	<u>\$ 15,021,786</u>	<u>\$ 84,221</u>
FTE Positions	311.0	311.0	311.0	0.0
Unclass. Temp. Positions	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>
TOTAL	<u>313.0</u>	<u>313.0</u>	<u>313.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Ellsworth Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 430

Budget Page No. 175

<u>Expenditure Summary</u>	<u>Agency Estimate FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 7,520,538	\$ 7,520,538	\$ 0
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 7,520,538	\$ 7,520,538	\$ 0
Capital Improvements	<u>24,892</u>	<u>24,892</u>	<u>0</u>
TOTAL	<u><u>\$ 7,545,430</u></u>	<u><u>\$ 7,545,430</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 7,506,638	\$ 7,506,638	\$ 0
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 7,506,638	\$ 7,506,638	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 7,506,638</u></u>	<u><u>\$ 7,506,638</u></u>	<u><u>\$ 0</u></u>
FTE Positions	184.5	184.5	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>184.5</u></u>	<u><u>184.5</u></u>	<u><u>0.0</u></u>

Agency Estimate/Governor's Recommendation

The Agency's revised FY 1997 estimate of operating expenditures totals \$7,520,538 (\$7,506,638 from the State General Fund), a reduction of \$8,750 from the amount approved by the 1996 Legislature. The estimate includes \$6,099,123 for salaries and wages, \$799,447 for contractual services, \$556,418 for commodities \$65,550 capital outlay request.

The Governor concurs with the agency's recommended FY 1997 expenditures of \$7,520,538.

*Appropriations
3-5-97
Attachment 3*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following observations.

1. The Subcommittee commends the warden and his staff for their active use of the facility's greenhouse and gardening program. The program provides work opportunities for inmates as well as produces extra food which is consumed by inmates and provided to other facilities and local charities. The Subcommittee recommends that the Department of Corrections explore the possibility of expanding similar programs at other correctional facilities.
2. The Subcommittee commends the warden and staff for the implementation of the inmate job interview system which compels inmates to apply and interview for all work assignments. The Subcommittee agrees that the program promotes good work habits and prepares inmates for their eventual return to society.
3. The Subcommittee commends the warden and his staff for the continued American Correctional Association accreditation. The most recent total reported ACA compliance figure was 100 percent mandatory and 99.3 percent nonmandatory. The Subcommittee heard that accreditation keeps all staff at the facility focused on job performance objectives and promotes professionalism. Accreditation also provides an additional defense against inmate lawsuits. The Subcommittee notes with satisfaction that Kansas is one of only six states to have all of its correctional facilities and field service operations accredited.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Ellsworth Correctional Facility

Bill No. --

Bill Sec. --

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 7,520,538	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 7,520,538</u>	<u>\$ 0</u>
Capital Improvements	24,892	0
TOTAL	<u><u>\$ 7,545,430</u></u>	<u><u>\$ 0</u></u>
State General Fund:		
State Operations	\$ 7,506,638	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 7,506,638</u>	<u>\$ 0</u>
Capital Improvements	0	0
TOTAL	<u><u>\$ 7,506,638</u></u>	<u><u>\$ 0</u></u>
FTE Positions	184.5	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u><u>184.5</u></u>	<u><u>0.0</u></u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendation.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 7,520,538	\$ 7,520,538	\$ 7,520,538	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	\$ 7,520,538	\$ 7,520,538	\$ 7,520,538	\$ 0
Capital Improvements	0	0	0	0
TOTAL	<u>\$ 7,520,538</u>	<u>\$ 7,520,538</u>	<u>\$ 7,520,538</u>	<u>\$ 0</u>
State General Fund:				
State Operations	\$ 7,506,638	\$ 7,506,638	\$ 7,506,638	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	\$ 7,506,638	\$ 7,506,638	\$ 7,506,638	\$ 0
Capital Improvements	0	0	0	0
TOTAL	<u>\$ 7,506,638</u>	<u>\$ 7,506,638</u>	<u>\$ 7,506,638</u>	<u>\$ 0</u>
FTE Positions	184.5	184.5	184.5	0.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0
TOTAL	<u>184.5</u>	<u>184.5</u>	<u>184.5</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Ellsworth Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 430

Budget Page No. 175

<u>Expenditure Summary</u>	<u>Agency Request FY 98</u>	<u>Gov. Rec. FY 98</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds:			
State Operations	\$ 7,917,728	\$ 7,759,625	\$ (158,881)
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 7,917,728	\$ 7,759,625	\$ (158,881)
Capital Improvements	<u>123,975</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 8,041,703</u></u>	<u><u>\$ 7,759,625</u></u>	<u><u>\$ (158,881)</u></u>
State General Fund:			
State Operations	\$ 7,915,228	\$ 7,757,125	\$ (158,881)
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 7,915,228	\$ 7,757,125	\$ (158,881)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 7,915,228</u></u>	<u><u>\$ 7,757,125</u></u>	<u><u>\$ (158,881)</u></u>
FTE Positions	184.5	184.5	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>184.5</u></u>	<u><u>184.5</u></u>	<u><u>0.0</u></u>

* Reflects deletion of the Governor's pay plan.

Agency Request/Governor's Recommendation

The Agency's FY 1998 request for operating expenditures totals \$7,917,728 (\$7,915,228 from the State General Fund), an increase of \$397,190 from the amount requested for FY 1997. The estimate includes \$6,443,808 for salaries and wages, \$805,722 for contractual services, \$573,111 for commodities \$95,087 capital outlay request.

The Governor recommends FY 1998 operating expenditures of \$7,759,625, an increase of \$239,087 or 3.2 percent over the FY 1997 recommendation. The recommendation includes \$6,340,623 for salaries and wages, \$805,722 for contractual services, \$573,111 for commodities, and \$40,169 for capital outlay requests. The Governor recommends for salaries and wages of \$6,340,623 includes classified step movement, a 3.5 percent merit pool increase, a systemwide 1.0 percent classified base salary adjustment, and full funding of longevity.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and recommendations.

1. Delete \$158,881 all from the State General Fund, based on the recommendation to delete funding for the 3.5 percent unclassified merit pool (\$1,332); classified step movement (\$83,382); longevity bonus payments (\$19,322); and the 1.0 percent classified base salary adjustment (\$54,845) from individual agency budgets.
2. The Subcommittee recommends that the Senate Subcommittee on Capital Improvements review the request to add \$123,975 in FY 1998 and \$417,985 in FY 1999 from the Correctional Institutions Building Fund for the construction of a correctional industries and maintenance building on the correctional facility grounds to expand Century Manufacturing. The Subcommittee commends the inmate work programs at the facility and encourages the Department of Corrections to work to expand programs at each correctional facility.
3. The Subcommittee commends the warden for his practices which promote a positive work ethic and decreases idleness among the inmates. The Subcommittee recognizes the importance of the private manufacturers who employ inmate labor in the state correctional facilities, particularly Century Manufacturing, for their work at Ellsworth Correctional Facility. To meet the warden's goals, it is particularly appropriate to expand existing operations that are already successful. The warden reports that Century added four inmate jobs in the last quarter of 1996 and the Subcommittee received testimony from the President of Century that he could double or triple the inmate work force if space were available. The Subcommittee supports expansion efforts and encourages the Department of Corrections to pursue expansion of work opportunities in each facility.
4. The Subcommittee notes the warden's introduction of a pilot program to change the inmate work pay incentive. Instead of a daily wage, the warden has recommended an hourly incentive pay system.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Ellsworth Correctional Facility

Bill No. 2160

Bill Sec. 81

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 98</u>	<u>House Subcommittee Adjustments*</u>
All Funds:		
State Operations	\$ 7,759,625	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 7,759,625	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 7,759,625</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 7,757,125	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 7,757,125	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 7,757,125</u>	<u>\$ 0</u>
FTE Positions	184.5	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u>184.5</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendation with the following observation.

1. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.
2. The Subcommittee includes the following table that reflects the total debt service for the facility in two bonds. Wichita Work Release and Ellsworth Correctional Facility 1994 C Bonds.

	<u>Principal</u>	<u>Interest</u>	<u>Total Payment</u>
Paid since 4/95	\$ 1,680,000	\$ 720,982	\$ 2,400,982
Balance due as of 7/97	<u>4,640,000</u>	<u>646,359</u>	<u>5,286,359</u>
	<u>\$ 6,320,000</u>	<u>\$ 1,367,341</u>	<u>\$ 7,687,341</u>

Ellsworth Correctional Facility 1988 B Bonds.

	<u>Principal</u>	<u>Interest</u>	<u>Total Payment</u>
Paid since 2/89	\$ 0	\$ 6,494,990	\$ 6,494,990
Balance due as of 7/97	<u>10,025,000</u>	<u>6,616,942</u>	<u>16,641,942</u>
	<u>\$ 10,025,000</u>	<u>\$ 13,111,932</u>	<u>\$ 23,136,932</u>

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 7,759,625	\$ 7,600,744	\$ 7,759,625	\$ 158,881
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 7,759,625	\$ 7,600,744	\$ 7,759,625	\$ 158,881
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 7,759,625</u></u>	<u><u>\$ 7,600,744</u></u>	<u><u>\$ 7,759,625</u></u>	<u><u>\$ 158,881</u></u>
State General Fund:				
State Operations	\$ 7,757,125	\$ 7,598,244	\$ 7,757,125	\$ 158,881
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 7,757,125	\$ 7,598,244	\$ 7,757,125	\$ 158,881
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 7,757,125</u></u>	<u><u>\$ 7,598,244</u></u>	<u><u>\$ 7,757,125</u></u>	<u><u>\$ 158,881</u></u>
FTE Positions	184.5	184.5	184.5	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>184.5</u></u>	<u><u>184.5</u></u>	<u><u>184.5</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: El Dorado Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 440

Budget Page No. 174

<u>Expenditure Summary</u>	<u>Agency Estimate FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds			
State Operations	\$ 14,961,400	\$ 14,938,012	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 14,961,400	\$ 14,938,012	\$ 0
Capital Improvements	1,173,112	1,173,112	0
TOTAL	\$ 16,134,512	\$ 16,111,124	\$ 0
State General Fund:			
State Operations	\$ 14,891,283	\$ 14,663,413	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 14,891,283	\$ 14,663,413	\$ 0
Capital Improvements	750,000	750,000	0
TOTAL	\$ 15,641,283	\$ 15,413,413	\$ 0
FTE Positions	387.0	387.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	387.0	387.0	0.0

Agency Estimate/Governor's Recommendation

The agency's revised estimate for FY 1997 operating expenditures totals \$14,961,400. This amount is a decrease of \$75,550 from the amount approved by the 1996 Legislature (\$14,966,883), but the Secretary of Corrections has been provided the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.

The Governor recommends FY 1997 operating expenditures at \$14,938,012, a reduction of \$23,388 from the amount estimated by the agency, all in salaries and wages. The \$23,388 decrease was due to one retirement reduction in the administration program.

*Appropriations
3-5-97
Attachment 4*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: El Dorado Correctional Facility

Bill No. -

Bill Sec. -

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds		
State Operations	\$ 14,938,012	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 14,938,012	\$ 0
Capital Improvements	<u>1,173,112</u>	<u>0</u>
TOTAL	<u>\$ 16,111,124</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 14,663,413	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 14,663,413	\$ 0
Capital Improvements	<u>750,000</u>	<u>0</u>
TOTAL	<u>\$ 15,413,413</u>	<u>\$ 0</u>
FTE Positions	387.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>387.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations, with the following observation.

1. The Subcommittee notes with grave concern the current situation regarding utilities at the facility. The Subcommittee observed first hand and heard testimony that the entire facility utility lines and steam lines are in need of repair. It is reported that moisture from the steam lines have come in contact with utility lines, threatening the integrity of the facility's systems. Preliminary cost estimates including an agency requests a Correctional Institutions Building Funds appropriation for \$160,000 to study and design corrective measures. The Subcommittee heard estimated repair expenditures as low as \$6,000,000 and as high as \$8,000,000. The Department of Corrections reports that litigation has been initiated to address design, installation, and product failure, in an effort to recover expenses. The Subcommittee notes the expense of litigation and that the time-consuming process may require funding before any legal resolution.

The Subcommittee notes that El Dorado is the state's newest facility (the main unit went on-line in FY 1992), and the current requested capital improvements from the Governor, or any other capacity expansion projects should proceed cautiously and with deliberate planning. Adequate time should be taken in future expansion projects to avoid similar costly and potentially dangerous situations.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 14,938,012	\$ 14,938,012	\$ 14,938,012	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 14,938,012	\$ 14,938,012	\$ 14,938,012	\$ 0
Capital Improvements	<u>1,173,112</u>	<u>1,173,112</u>	<u>1,173,112</u>	<u>0</u>
TOTAL	<u>\$ 16,111,124</u>	<u>\$ 16,111,124</u>	<u>\$ 16,111,124</u>	<u>\$ 0</u>
State General Fund:				
State Operations	\$ 14,663,413	\$ 14,663,413	\$ 14,663,413	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 14,663,413	\$ 14,663,413	\$ 14,663,413	\$ 0
Capital Improvements	<u>750,000</u>	<u>750,000</u>	<u>750,000</u>	<u>0</u>
TOTAL	<u>\$ 15,413,413</u>	<u>\$ 15,413,413</u>	<u>\$ 15,413,413</u>	<u>\$ 0</u>
FTE Positions	387.0	387.0	0.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>387.0</u>	<u>387.0</u>	<u>0.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: El Dorado Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 441

Budget Page No. 174

<u>Expenditure Summary</u>	<u>Agency Request FY 98</u>	<u>Gov. Rec. FY 98</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds			
State Operations	\$ 15,919,263	\$ 15,471,871	\$ (307,670)
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 15,919,263	\$ 15,471,871	\$ (307,670)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 15,919,263</u></u>	<u><u>\$ 15,471,871</u></u>	<u><u>\$ (307,670)</u></u>
State General Fund:			
State Operations	\$ 15,853,264	\$ 15,405,872	\$ (307,670)
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 15,853,264	\$ 15,405,872	\$ (307,670)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 15,853,264</u></u>	<u><u>\$ 15,405,872</u></u>	<u><u>\$ (307,670)</u></u>
FTE Positions	387.0	387.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>387.0</u></u>	<u><u>387.0</u></u>	<u><u>0.0</u></u>

* Reflects deletion of the Governor's pay plan.

Agency Request/Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$15,919,263, an increase of \$957,863 over the estimated FY 1997 operating expenditure appropriation of \$14,961,400.

The Governor recommends FY 1998 operating expenditures of \$15,471,871, a reduction of \$447,392 from the amount requested by the agency. Reductions were \$268,399 in salaries and wages and \$178,993 in capital outlay requests. The Governor's FY 1998 recommendation is an increase of \$533,859 or 3.6 percent above the Governor's FY 1997 recommendation and includes \$12,626,398 in salaries and wages, including classified step movement, unclassified merit of 3.5 percent, longevity, and classified base salary adjustment.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and recommendations.

1. Delete \$307,670 all from the State General Fund, based on the recommendation to delete funding for the 3.5 percent unclassified merit pool (\$1,269) classified step movement (\$139,103); longevity bonus payments (\$59,651); and the 1.0 percent classified base salary adjustment (\$107,647) from individual agency budgets.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Expenditure Summary	Gov. Rec. FY 98	House Subcommittee Adjustments
All Funds		
State Operations	\$ 15,471,871	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 15,471,871	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 15,471,871</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 15,405,872	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 15,405,872	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 15,405,872</u>	<u>\$ 0</u>
FTE Positions	387.0	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u>387.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendation with the following observations.

1. The Subcommittee notes that the facility has the most dangerous criminals in the state. Two units house inmates in total segregation, who are held in cells twenty-three hours per day and exercise in caged pens.
2. The Subcommittee notes that the facility currently has no industries building, as the previous industries building was remodeled into 115 bed medium custody dormitory beds. The 1996 Legislature funded \$750,000 to construct an industries building which will be occupied by Century Manufacturing in July 1997 and employ approximately 60 inmates

3. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.
4. The Subcommittee notes the combined debt service payment schedule for El Dorado and Larned Correctional Mental Health Facility 1992 L bonds:

	<u>Principal</u>	<u>Interest</u>	<u>Total Payment</u>
Paid since 11/92	\$ 17,960,000	\$ 15,244,176	\$ 33,204,176
Balance due as of 7/97	<u>57,410,000</u>	<u>21,229,994</u>	<u>78,639,994</u>
TOTAL	<u>\$ 75,370,000</u>	<u>\$ 36,474,170</u>	<u>\$ 111,844,170</u>

Current Status Comparison

	<u>Gov. Rec. FY 1998</u>	<u>Senate Committee Action FY 1998</u>	<u>House Subcommittee Action FY 1998</u>	<u>House Subcommittee Change from Senate FY 1998</u>
All Funds:				
State Operations	\$ 15,471,871	\$ 15,164,201	\$ 15,471,871	\$ 307,670
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 15,471,871	\$ 15,164,201	\$ 15,471,871	\$ 307,670
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 15,471,871</u>	<u>\$ 15,164,201</u>	<u>\$ 15,471,871</u>	<u>\$ 307,670</u>
State General Fund:				
State Operations	\$ 15,405,872	\$ 15,098,202	\$ 15,405,872	\$ 307,670
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 15,405,872	\$ 15,098,202	\$ 15,405,872	\$ 307,670
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 15,405,872</u>	<u>\$ 15,098,202</u>	<u>\$ 15,405,872</u>	<u>\$ 307,670</u>
FTE Positions	387.0	387.0	387.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>387.0</u>	<u>387.0</u>	<u>387.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Hutchinson Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 452

Budget Page No. 275

<u>Expenditure Summary</u>	<u>Agency Est. FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 20,298,497	\$ 20,298,497	\$ 0
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 20,298,497	\$ 20,298,497	0
Capital Improvements	<u>855,835</u>	<u>855,835</u>	<u>0</u>
TOTAL	<u>\$ 21,154,332</u>	<u>\$ 21,154,332</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 19,881,833	\$ 19,677,351	\$ 0
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 19,881,833	\$ 19,677,351	0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 19,881,833</u>	<u>\$ 19,677,351</u>	<u>\$ 0</u>
FTE Positions	512.0	512.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>512.0</u>	<u>512.0</u>	<u>0.0</u>

Agency Est./Governor's Recommendation

The agency's revised FY 1997 estimate of operating expenditures totals \$20,298,497, an increase of \$81,300 from the amount approved by the 1996 Legislature of \$20,217,197. The Secretary of Corrections, however, has been provided the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.

The Governor recommends FY 1997 expenditures of \$20,298,497, the same amount requested by the agency. The receipt of \$204,482 in additional federal alien incarceration funds reduced State General Fund expenditures by \$123,182.

*Appropriations
3-5-97
Attachment 5*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Hutchinson Correctional Facility

Bill No. –

Bill Sec. –

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 20,298,497	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 20,298,497	\$ 0
Capital Improvements	<u>855,835</u>	<u>0</u>
TOTAL	<u>\$ 21,154,332</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 19,677,351	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 19,677,351	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 19,677,351</u>	<u>\$ 0</u>
FTE Positions	<u>512.0</u>	<u>0.0</u>
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>512.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations with the following observations.

1. The Subcommittee reports the inspection of the facility gate which has been reported to damage vehicles. The Subcommittee heard that the gate will not be used after May 1997.
2. The Subcommittee notes that two inmates escaped from the facility's East Unit in FY 1997. The Subcommittee reports that the agency is working to address the problem and observed inmate labor groups installing additional security measures. The security enhancements came from the existing facility rehabilitation and repair funds.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 20,298,497	\$ 20,298,497	\$ 20,298,497	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 20,298,497	\$ 20,298,497	\$ 20,298,497	\$ 0
Capital Improvements	<u>855,835</u>	<u>855,835</u>	<u>855,835</u>	<u>0</u>
TOTAL	<u><u>\$ 21,154,332</u></u>	<u><u>\$ 21,154,332</u></u>	<u><u>\$ 21,154,332</u></u>	<u><u>\$ 0</u></u>
State General Fund:				
State Operations	\$ 19,677,351	\$ 19,677,351	\$ 19,677,351	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 19,677,351	\$ 19,677,351	\$ 19,677,351	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 19,677,351</u></u>	<u><u>\$ 19,677,351</u></u>	<u><u>\$ 19,677,351</u></u>	<u><u>\$ 0</u></u>
FTE Positions	512.0	512.0	512.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>512.0</u></u>	<u><u>512.0</u></u>	<u><u>512.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Hutchinson Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 452

Budget Page No. 275

Expenditure	Agency Req. FY 98	Gov. Rec. FY 98	Senate Subcommittee Adjustments*
All Funds:			
State Operations	\$ 21,627,463	\$ 21,045,376	\$ (508,725)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 21,627,463	\$ 21,045,376	\$ (508,725)
Capital Improvements	46,697	0	0
TOTAL	\$ 21,674,160	\$ 21,045,376	\$ (508,725)
State General Fund:			
State Operations	\$ 21,382,463	\$ 20,800,376	\$ (504,655)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 21,382,463	\$ 20,800,376	\$ (504,655)
Capital Improvements	46,697	0	0
TOTAL	\$ 21,429,160	\$ 20,800,376	\$ (504,655)
FTE Positions	512.0	512.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	512.0	512.0	0.0

* Reflects removal of the Governor's pay plan.

Agency Request/Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$21,627,463, an increase of \$1,328,966 over the estimated FY 1997 operating expenditure appropriation of \$20,298,497. Funding from other sources decreased by \$171,664.

The Governor recommends FY 1998 expenditures totaling \$21,045,376, \$20,800,376 from the State General Fund. The recommendation is a reduction of \$582,087 (2.7 percent) from the agency's request and an increase of \$746,879 (3.7 percent) from the FY 1997 recommendation. Included in the recommendation are \$17,652,294 for salaries and wages, \$1,720,204 for contractual services, \$1,552,060 for commodities, and \$120,818 for capital outlay requests.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and recommendations.

1. Delete \$508,725, including \$504,655 from the State General Fund, based on the recommendation to delete funding for the 3.5 percent unclassified merit pool (\$1,308 including \$1,298 SGF); classified step movement (\$230,450, including \$228,606 SGF); longevity bonus payments (\$125,639, including \$124,634 SGF); and the 1.0 percent classified base salary adjustment (\$151,328, including \$150,117) from individual agency budgets.
2. The Subcommittee recommends that the Senate Subcommittee on Capital Improvements review the request for \$46,697 (SGF) in 1998 and \$180,800 (SGF) in FY 1999 for a 32-bed addition to the South Unit along with other options to address the growing inmate population. The Governor did not recommend the agency's request for the building.
3. The Subcommittee commends the warden for his optimistic perspective while confronted with the difficult task of operating a correctional facility. The warden testified that the increasing number of inmates, and the changing nature and characteristics of the inmate population, have made the work of his staff more challenging. The Subcommittee also commends the professional manner in which the warden raises new ideas and establishes new goals and objectives to meet the changing needs of his facility.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Expenditure	Gov. Rec. FY 98	House Subcommittee Adjustments*
All Funds:		
State Operations	\$ 21,045,376	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 21,045,376	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 21,045,376</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 20,800,376	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 20,800,376	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 20,800,376</u>	<u>\$ 0</u>
FTE Positions	512.0	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u>512.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations with the following observations.

1. The Subcommittee notes that the facility performance indicators revealed a high number of inmate-on-inmate batteries and inmate-on-staff batteries. The facility, however, is one of the state's oldest and houses many of the most dangerous criminals. The Subcommittee is concerned about the battery rate and directs the warden to review the situation and in the FY 1999 budget include recommendations to address staffing needs as they may affect the battery rate.
2. The Subcommittee notes that the facility reports the loss of academic and education programs. However, the agency reports that the lower number of slots are being used more efficiently. The Subcommittee is concerned about the number of available program slots at each facility.

3. The Subcommittee notes and supports the Kansas Correctional Industries' programs which provide meaningful work for inmates. The Subcommittee compliments the warden and KCI for their efforts on behalf of KCI and private employers operating inside correctional facilities.
4. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 21,045,376	\$ 20,536,651	\$ 21,045,376	\$ 508,725
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 21,045,276	\$ 20,536,651	\$ 21,045,276	\$ 508,725
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 21,045,276</u>	<u>\$ 20,536,651</u>	<u>\$ 21,045,276</u>	<u>\$ 508,725</u>
State General Fund:				
State Operations	\$ 20,800,376	\$ 20,295,721	\$ 20,800,376	\$ 504,655
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 20,800,376	\$ 20,295,721	\$ 20,800,376	\$ 504,655
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 20,800,376</u>	<u>\$ 20,295,721</u>	<u>\$ 20,800,376</u>	<u>\$ 504,655</u>
FTE Positions	512.0	0.0	512.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>512.0</u>	<u>0.0</u>	<u>512.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Lansing Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Little

Analysis Pg. No. 464

Budget Page No. 341

<u>Expenditure Summary</u>	<u>Agency Estimate FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 28,014,096	\$ 28,137,096	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 28,014,096</u>	<u>\$ 28,137,096</u>	<u>\$ 0</u>
Capital Improvements	1,844,732	1,844,732	0
TOTAL	<u><u>\$ 29,858,828</u></u>	<u><u>\$ 29,981,828</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 27,796,520	\$ 27,715,024	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 27,796,520</u>	<u>\$ 27,715,024</u>	<u>\$ 0</u>
Capital Improvements	1,500,000	1,500,000	0
TOTAL	<u><u>\$ 29,296,520</u></u>	<u><u>\$ 29,215,024</u></u>	<u><u>\$ 0</u></u>
FTE Positions	716.0	716.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>716.0</u></u>	<u><u>716.0</u></u>	<u><u>0.0</u></u>

Agency Estimate/Governor's Recommendation

The facility's revised FY 1997 estimate for operating expenditures totals \$28,014,096, a reduction of \$1,462,250 from the amount approved by the 1996 Legislature of \$29,476,346. The Secretary of Corrections, however, has been provided the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.

The Governor recommends FY 1997 expenditures of \$28,137,096, a reduction of \$1,339,250 or 4.5 percent from the amount approved by the 1996 Legislature. The Governor concurs with the agency's request, but adds \$123,000 for commodities related to capacity expansion. The Secretary has the authority to transfer State General Funds and the agency seeks no supplemental appropriation. The Security program includes an increase of 20.0 positions approved by the 1996 Legislature. Expanded bed capacity in K, L, and M units was accompanied by the addition of 10.0 Corrections Officer I positions. The new Therapeutic Community treatment program for substance abuse inmates that began operations on October 1, 1996, required the addition of five Corrections Specialists I positions and 5.0 Corrections Officer I positions. The 1996 Legislature approved \$328,500 (SGF) for operating costs

*Appropriations
3-5-97
Attachment 6*

associated with the capacity expansion projects. The 1996 Legislature also approved \$1,500,000 to complete renovation of the A & T Building. The medium security K, L, and M Units have also been double-celled in the current year to increase LCF capacity by 210 beds.

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following observations.

1. The Subcommittee commends the warden and staff at the facility for the Therapeutic Community program to treat inmates with substance abuse problems. Inmates are isolated from the general population and interact only with other program participants and staff. The program lasts from twelve to eighteen months and currently has 48 slots for inmates, although the Department of Corrections intends to expand the program to 118 slots and move the program to the renovated A & T Building after July 1, 1997. The program began in October 1996 and the first inmates will not complete the program until October 1997 at the earliest.
2. The Subcommittee recommends that follow-up care be emphasized as an important component of the Therapeutic Community program. The Subcommittee heard testimony that the contractor for the Therapeutic Community, Gateway Inc., has community follow-up components, including a 36-bed community-based residential unit to facilitate reintegration of inmates back into society. The Subcommittee supports the program and aftercare treatment, while recognizing that the aftercare treatment will not begin operations until the first inmates complete the program in October 1997.
3. The Subcommittee commends the warden and his staff for the continued American Correctional Association accreditation. According to the warden, accreditation keeps all staff at the facility focused on job performance objectives and promotes professionalism. Accreditation also provides an additional defense against inmate lawsuits. The most recent reported total ACA score for the facility is 100 percent. This is particularly important for a facility the age of Lansing Correctional Facility. The Subcommittee notes with satisfaction that Kansas is one of only six states to have all of its correctional facilities and field service operations accredited.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Lansing Correctional Facility

Bill No. --

Bill Sec. --

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments*</u>
All Funds:		
State Operations	\$ 28,137,096	\$ (98,500)
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 28,137,096</u>	<u>\$ (98,500)</u>
Capital Improvements	1,844,732	0
TOTAL	<u><u>\$ 29,981,828</u></u>	<u><u>\$ (98,500)</u></u>
State General Fund:		
State Operations	\$ 27,715,024	\$ (98,500)
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 27,715,024</u>	<u>\$ (98,500)</u>
Capital Improvements	1,500,000	0
TOTAL	<u><u>\$ 29,215,024</u></u>	<u><u>\$ (98,500)</u></u>
FTE Positions	716.0	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u><u>716.0</u></u>	<u><u>0.0</u></u>

* Reflects transfer of \$98,500 SGF to Department of Corrections.

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations with the following adjustments and observations.

1. Deleting \$98,500 from the State General Fund, reflecting the Governor's original intention to fund capacity expansion needs at the facility, and making technical adjustments.
2. The Subcommittee notes that reported increases in FTE at the facility (20.0 in FY 1997 and 4.0 in FY 1998) are the result of expanding capacity due to double-celling of the K,L, and M Units, as well as the Therapeutic Community Unit which will be housed in the renovated A&T Building.
3. The Subcommittee commends the warden for his positive attitude and leadership during the food related disturbances in October 1996. The Subcommittee notes that the warden and his staff addressed the situation with no significant bodily harm or major facility damage.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 28,137,096	\$ 28,137,096	\$ 28,038,596	\$ (98,500)
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	\$ 28,137,096	\$ 28,137,096	\$ 28,038,596	\$ (98,500)
Capital Improvements	1,844,732	1,844,732	1,844,732	0
TOTAL	\$ 29,981,828	\$ 29,981,828	\$ 29,883,328	\$ (98,500)
State General Fund:				
State Operations	\$ 27,715,024	\$ 27,715,024	\$ 27,616,524	\$ (98,500)
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	\$ 27,715,024	\$ 27,715,024	\$ 27,616,524	\$ (98,500)
Capital Improvements	1,500,000	1,500,000	1,500,000	0
TOTAL	\$ 29,215,024	\$ 29,215,024	\$ 29,116,524	\$ (98,500)
FTE Positions	716.0	716.0	716.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0
TOTAL	716.0	716.0	716.0	0.0

SUBCOMMITTEE REPORT

Agency: Lansing Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 464

Budget Page No. 341

<u>Expenditure Summary</u>	<u>Agency Request FY 98</u>	<u>Gov. Rec. FY 98</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds:			
State Operations	\$ 30,090,219	\$ 29,648,454	\$ (761,822)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 30,090,219</u>	<u>\$ 29,648,454</u>	<u>\$ (761,822)</u>
Capital Improvements	876,025	0	0
TOTAL	<u><u>\$ 30,966,244</u></u>	<u><u>\$ 29,648,454</u></u>	<u><u>\$ (761,822)</u></u>
State General Fund:			
State Operations	\$ 30,032,969	\$ 29,593,454	\$ (761,822)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 30,032,969</u>	<u>\$ 29,593,454</u>	<u>\$ (761,822)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 30,032,969</u></u>	<u><u>\$ 29,593,454</u></u>	<u><u>\$ (761,822)</u></u>
FTE Positions	716.0	720.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>716.0</u></u>	<u><u>720.0</u></u>	<u><u>0.0</u></u>

* Reflects deletion of the Governor's pay plan.

Agency Request/Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$30,090,219, an increase of \$2,076,123 or 7.4 percent over the estimated FY 1997 operating expenditure appropriation of \$28,014,096. Funding from other sources decreased by \$160,326, due mainly to the conclusion of the federally funded Alien Incarceration Grant that totaled \$158,826 in FY 1997.

In FY 1998, the Governor recommends \$29,648,454, a reduction of \$441,765 (1.5 percent) from the agency's request, but an increase of \$1,511,358 or 5.4 percent over the Governor's FY 1997 recommendation. The Governor's recommendation of \$24,618,125 for salaries and wages includes full funding of longevity, an unclassified merit pool increase of 3.5 percent, and the facility-wide 1.0 percent classified base salary adjustment. The Governor includes funding for 4.0 new FTE (\$114,915) and capital outlay of \$197,672. Operating expenditures include \$24,618,125 for salaries and wages, \$2,409,631 for contractual services, \$2,423,026 for commodities, and \$197,672 capital outlay requests.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and recommendations.

1. Delete \$761,822 all from the State General Fund, based on the recommendation to delete funding for the 3.5 percent unclassified merit pool (\$829); classified step movement (\$356,505); longevity bonus payments (\$192,904); and the 1.0 percent classified base salary adjustment (\$211,584) from individual agency budgets.
2. The Subcommittee commends the warden and his staff for their abilities to maintain adequate and safe staffing levels in the facility while the average daily population (ADP) of inmates has increased in the last several years. The facility reduced 26.0 FTE due to the privatization of food services in FY 1996 and FY 1997. Since FY 1993, ADP has risen from 1,396 to a recommended 2,320 in FY 1998, and the facility-wide FTE has decreased from 724.0 to a recommended 720.0 in FY 1998. The Subcommittee supports the efficient use of Security program staff to address changing conditions in the facility. The Security program FTE have increased from 518.0 in FY 1993 to 540.0 in FY 1998.
3. The Subcommittee commends the private manufacturing and Kansas Correctional Industries' work programs which employ inmate labor in the state correctional facilities. The Subcommittee supports the Department of Corrections' efforts to expand work opportunities in each facility because of the beneficial effects of work on inmates and savings gained through the use of inmate labor.
4. The Subcommittee notes the high number of positive urinalysis tests in FY 1996 (167) at Lansing Correctional Facility. The Subcommittee notes that the Secretary believes two bills (S.B. 69 and H.B. 2155) will strengthen the ability to combat drug trafficking in correctional facilities. Senate Bill 69 increases the severity level from level six to level five and impose a presumptive jail sentence for transporting contraband into a correctional facility. House Bill 2155 would strengthen drug testing requirements, including zero tolerance and direct termination for correctional facility employees. S.B. 69 has passed the Senate.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Lansing Correctional Facility

Bill No. 2160

Bill Sec. 81

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 98</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 29,648,454	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 29,648,454</u>	<u>\$ 0</u>
Capital Improvements	0	0
TOTAL	<u><u>\$ 29,648,454</u></u>	<u><u>\$ 0</u></u>
State General Fund:		
State Operations	\$ 29,593,454	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	<u>\$ 29,593,454</u>	<u>\$ 0</u>
Capital Improvements	0	0
TOTAL	<u><u>\$ 29,593,454</u></u>	<u><u>\$ 0</u></u>
FTE Positions	720.0	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u><u>720.0</u></u>	<u><u>0.0</u></u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendation, with the following observation.

1. The Subcommittee commends the efforts of the facility to implement the work program for minimum custody inmates. Crews of 20-25 inmates provide labor for Kansas City, Kansas in a variety of activities. The Subcommittee supports this and other efforts to provide inmate work and address the idleness problem.
2. The Subcommittee notes and supports the Kansas Correctional Industries' programs which provide meaningful work for inmates. The Subcommittee compliments the warden and KCI for their efforts on behalf of KCI and private employers operating inside correctional facilities.
3. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.

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Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 29,648,454	\$ 28,886,632	\$ 29,648,454	\$ 761,822
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	<u>\$ 29,648,454</u>	<u>\$ 28,886,632</u>	<u>\$ 29,648,454</u>	<u>\$ 761,822</u>
Capital Improvements	0	0	0	0
TOTAL	<u><u>\$ 29,648,454</u></u>	<u><u>\$ 28,886,632</u></u>	<u><u>\$ 29,648,454</u></u>	<u><u>\$ 761,822</u></u>
State General Fund:				
State Operations	\$ 29,593,454	\$ 28,831,632	\$ 29,593,454	\$ 761,822
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	<u>\$ 29,593,454</u>	<u>\$ 28,831,632</u>	<u>\$ 29,593,454</u>	<u>\$ 761,822</u>
Capital Improvements	0	0	0	0
TOTAL	<u><u>\$ 29,593,454</u></u>	<u><u>\$ 28,831,632</u></u>	<u><u>\$ 29,593,454</u></u>	<u><u>\$ 761,822</u></u>
FTE Positions	720.0	720.0	720.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0
TOTAL	<u><u>720.0</u></u>	<u><u>720.0</u></u>	<u><u>720.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Larned Correctional Mental Health Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 476

Budget Page No. 343

<u>Expenditure Summary</u>	<u>Agency Est. FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 5,902,225	\$ 5,902,225	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal – Operating	<u>\$ 5,902,225</u>	<u>\$ 5,902,225</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 5,902,225</u></u>	<u><u>\$ 5,902,225</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 5,885,575	\$ 5,885,575	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal – Operating	<u>\$ 5,885,575</u>	<u>\$ 5,885,575</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 5,885,575</u></u>	<u><u>\$ 5,885,575</u></u>	<u><u>\$ 0</u></u>
FTE Positions	168.0	168.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>168.0</u></u>	<u><u>168.0</u></u>	<u><u>0.0</u></u>

Agency Est./Governor's Recommendation

The agency's revised FY 1997 estimate of operating expenditures totals \$5,902,225 (\$5,885,575 from the State General Fund), an increase of \$77,300 (1.3 percent) over the amount approved by the 1996 Legislature of \$5,824,925. The Secretary of Corrections, however, has been provided the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility. The agency reports that the SGF increase was the result of expansion of the average daily population from 144 to 164, primarily in the medium security work detail and expansion into Jenkins Hall located on the grounds of Larned State Hospital.

The Governor concurs with the agency's recommended FY 1997 expenditures of \$5,902,225.

*Appropriations
3-5-97
Attachment 7*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following observations.

1. The Subcommittee is concerned about the mental health needs of inmates in correctional facilities, on post-release supervision, and after they pass from the oversight of the Department of Corrections at the conclusion of their sentence. Larned Correctional Mental Health Facility serves the purpose of addressing the correctional system's most acute mental health needs, but most inmates eventually return to other correctional facilities. Additionally, the committee heard testimony that the number of inmates with a mental illness is increasing and system-wide demands for mental health care are growing not just in correctional facilities, but in community corrections, parole, and post-release supervision.
2. The Subcommittee is concerned about the coordination of discharge plans for inmates with mental health problems and those in need of further drug therapy. The Subcommittee commends the correctional facilities for their performance, but recognizes that a need may exist for expanded drug therapy in the facilities as well as upon the inmates' return to the community. Particularly with the closure of Topeka State Hospital, the Subcommittee is aware of shifting mental health burdens from the state hospital system and community mental health centers to the Department of Corrections. The Subcommittee recommends that the full Committee continue to monitor the impact of mental health needs and drug availability related to the correctional population.
3. The Subcommittee reviewed the food service operations at the facility and the possible termination of the food service preparation by the staff of Larned State Hospital for the correctional facility. Currently, food is prepared by Larned State Hospital staff and delivered to the correctional facility where Canteen Corporation employees make final preparations and serve food to the inmates. The Subcommittee recognizes the high level of satisfaction with the current relationship at the facilities. The state hospital reports the FY 1998 costs associated with providing food to the correctional facility are reflected in the following table:

	<u>FTE</u>	<u>OOE</u>
Reduction to Larned State Hospital	(1.0)	\$ (225,979)
	<u>FTE</u>	<u>Contract Cost Increase</u>
Increase to Larned Correctional Mental Health Facility	0.0	\$ 103,555
	<u>FTE</u>	<u>SGF Expenditure</u>
Net Gain to the State	(1.0)	\$ 142,483

The agency reports a net savings to the state at \$142,483. The agency reports that expansion at the correctional facility kitchen to a full-service kitchen would cost \$50,000, which would be funded from the Correctional Institutions Building Fund. The Subcommittee notes the warden does not support changing the current food service system. The Subcommittee recommends that the Subcommittee on Larned

State Hospital examine the impact of termination of food service to the correctional facility. Possible impacts might include any additional savings or expenses, as well as any impact on programs and work opportunities in the hospital.

The Subcommittee recommends the full Committee review the termination of food service preparation at Larned State Hospital for the correctional facility.

4. The Subcommittee commends the warden and his staff for the continued American Correctional Association accreditation. The reported total ACA scores for the facility are 100 percent mandatory and 99.8 percent nonmandatory. The Subcommittee heard that accreditation keeps all staff at the facility focused on job performance objectives and promotes professionalism. Accreditation also provides an additional defense against inmate lawsuits. The Subcommittee notes with satisfaction that Kansas is one of only six states to have all of its correctional facilities and field service operations accredited.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation, with the following technical amendment:

1. The total reduction for Larned State Hospital in item 3 should read \$246,038.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Larned Correctional Mental Health Facility

Bill No. -

Bill Sec. -

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 5,902,225	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 5,902,225	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 5,902,225</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 5,885,575	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 5,885,575	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 5,885,575</u>	<u>\$ 0</u>
FTE Positions	168.0	0.0
Unclassified Temp. Positions	0.0	0.0
TOTAL	<u>168.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendation.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 5,902,225	\$ 5,902,225	\$ 5,902,225	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 5,902,225	\$ 5,902,225	\$ 5,902,225	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 5,902,225</u></u>	<u><u>\$ 5,902,225</u></u>	<u><u>\$ 5,902,225</u></u>	<u><u>\$ 0</u></u>
State General Fund:				
State Operations	\$ 5,885,575	\$ 5,885,575	\$ 5,885,575	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 5,885,575	\$ 5,885,575	\$ 5,885,575	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 5,885,575</u></u>	<u><u>\$ 5,885,575</u></u>	<u><u>\$ 5,885,575</u></u>	<u><u>\$ 0</u></u>
FTE Positions	168.0	168.0	168.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>168.0</u></u>	<u><u>168.0</u></u>	<u><u>168.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Larned Correctional Mental Health Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 476

Budget Page No. 343

<u>Expenditure Summary</u>	<u>Agency Req. FY 98</u>	<u>Gov. Rec. FY 98</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds:			
State Operations	\$ 6,187,450	\$ 6,504,009	\$ (153,344)
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal – Operating	\$ 6,187,450	\$ 6,504,009	\$ (153,344)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 6,187,450</u></u>	<u><u>\$ 6,504,009</u></u>	<u><u>\$ (153,344)</u></u>
State General Fund:			
State Operations	\$ 6,184,098	\$ 6,500,657	\$ (153,344)
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal – Operating	\$ 6,184,098	\$ 6,500,657	\$ (153,344)
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 6,184,098</u></u>	<u><u>\$ 6,500,657</u></u>	<u><u>\$ (153,344)</u></u>
FTE Positions	168.0	179.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>168.0</u></u>	<u><u>179.0</u></u>	<u><u>0.0</u></u>

* Reflects deletion of the Governor's pay plan.

Agency Req./Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$6,187,450, an increase of \$285,225 over the estimated FY 1997 operating expenditure estimate of \$5,902,225, including \$5,495,680 for salaries and wages.

The Governor recommends in FY 1998 operating expenditures of \$6,504,009, an increase of \$316,559 over the agency's request and an increase of \$601,784 (10.2 percent) over the Governor's FY 1997 recommendation. The Governor recommends \$5,747,606 for salaries and wages, and \$756,403 for other operating expenditures, including \$21,783 for capital outlay requests. The Governor recommends funding for the facility-wide 1.0 percent classified base salary adjustment. The Governor's recommendation includes funding for 11.0 new FTE, 10.0 Security Officers, and 1.0 Corrections Counselor II. The positions (total salaries and wages are \$282,924) and other operating expenditures

(\$131,000) are for the recently occupied, 54 minimum security beds in the Jenkins Building, located on the grounds of Larned State Hospital.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and recommendations.

1. Delete \$153,344 all from the State General Fund, based on the recommendation to delete; classified step movement (\$75,035); longevity bonus payments (\$30,433); and the 1.0 percent classified base salary adjustment (\$47,876) from individual agency budgets.
2. The Subcommittee examined the issue of the sexual predator unit which currently houses 9 patients in a 30-bed wing of the Larned Correctional Mental Health Facility. Pending the decision of the U.S. Supreme Court some time this spring, the Subcommittee recommends that the full committee give careful attention to the future of the program. The Subcommittee heard testimony that 570 sex offenders in the current population will be released in the next three years and that approximately 40 inmates currently held at Larned Correctional Mental Health Facility are good candidates for the sexual predator program if the court rules that the program is legal. If the program is legal, and based on the space demands for the Department of Corrections, the Subcommittee wishes to alert the full Legislature of the need for a permanent, separate facility for sexual predators.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Larned Correctional Mental Health Facility

Bill No. 2160

Bill Sec. 81

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 98</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 6,504,009	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal – Operating	\$ 6,504,009	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 6,504,009</u></u>	<u><u>\$ 0</u></u>
State General Fund:		
State Operations	\$ 6,500,657	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal – Operating	\$ 6,500,657	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 6,500,657</u></u>	<u><u>\$ 0</u></u>
FTE Positions	179.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>179.0</u></u>	<u><u>0.0</u></u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor’s recommendations with the following observations.

1. The Subcommittee notes the potential need to address the sexual predator unit located in the facility. The U.S. Supreme Court is expected to rule on the constitutionality of the sexual predator unit. Currently, nine individuals occupy one thirty-bed wing at the facility. The Subcommittee heard testimony that 570 inmates convicted of sex offenses will be released in the next three years and forty of the current inmates at the facility are potential candidates for the sex predator program if the court upholds the constitutionality. The Subcommittee notes for the full committee that expanding the program may require a separate facility in the future.

2. The Subcommittee notes that the addition of 11.0 FTE (10.0 security officers and 1.0 corrections counselor) at \$282,924, are to staff the expanded facility into the Jenkins building. A total of 54 minimum custody beds will be added to the facility capacity.
3. The Subcommittee notes that Larned is the state's most expensive correctional facility and requests that the warden include in his FY 1999 budget submission performance measures and plans to lower the rate of return to the facility for inmates with mental health needs.
4. The Subcommittee notes that Larned State Hospital provides food preparation for the facility and the private contractor delivers the food to inmates in the correctional facility. The Subcommittee believes it is inappropriate to alter the situation currently, but may reconsider the subject during the next session.
5. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.
6. The Subcommittee includes for the full Committee the total debt service schedule for the combined El Dorado Correctional Facility and Larned Correctional Mental Health Facility 1992 L Bonds:

	<u>Principal</u>	<u>Interest</u>	<u>Total Payment</u>
Paid since 11/92	\$ 17,960,000	\$ 15,244,176	\$ 33,204,176
Balance due as of 7/97	<u>57,410,000</u>	<u>21,229,994</u>	<u>78,639,994</u>
TOTAL	<u>\$ 75,370,000</u>	<u>\$ 36,474,170</u>	<u>\$ 111,844,170</u>

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 6,504,009	\$ 6,350,665	\$ 6,504,009	\$ 153,344
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 6,504,009	\$ 6,350,665	\$ 6,504,009	\$ 153,344
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 6,504,009</u></u>	<u><u>\$ 6,350,665</u></u>	<u><u>\$ 6,504,009</u></u>	<u><u>\$ 153,344</u></u>
State General Fund:				
State Operations	\$ 6,500,657	\$ 6,347,313	\$ 6,500,657	\$ 153,344
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 6,500,657	\$ 6,347,313	\$ 6,500,657	\$ 153,344
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 6,500,657</u></u>	<u><u>\$ 6,347,313</u></u>	<u><u>\$ 6,500,657</u></u>	<u><u>\$ 153,344</u></u>
FTE Positions	179.0	179.0	179.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>179.0</u></u>	<u><u>179.0</u></u>	<u><u>179.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 487

Budget Page No. 361

<u>Expenditure Summary</u>	<u>Agency Est. FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 9,157,393	\$ 9,157,393	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 9,157,393	\$ 9,157,393	\$ 0
Capital Improvements	56,783	56,783	0
TOTAL	<u>\$ 9,214,176</u>	<u>\$ 9,214,176</u>	<u>\$ 0</u>
State General Fund:			
State Operations	\$ 9,133,190	\$ 9,133,190	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 9,133,190	\$ 9,133,190	\$ 0
Capital Improvements	0	0	0
TOTAL	<u>\$ 9,133,190</u>	<u>\$ 9,133,190</u>	<u>\$ 0</u>
FTE Positions	235.0	235.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u>235.0</u>	<u>235.0</u>	<u>0.0</u>

Agency Estimate/Governor's Recommendation

The agency's revised FY 1997 estimate of operating expenditures totals \$9,157,393, and is a reduction of \$71,947, from the amount approved by the 1996 Legislature. The other funds category increased when the agency received a federal institutional library services grant in the amount of \$6,203. In FY 1997, \$8,327 was shifted from budgeted programs to compensate for an increase in utility costs of \$8,237. The Secretary of Corrections, however, has been provided the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility.

The Governor recommends FY 1997 operating expenditures of \$9,157,393, the same amount estimated by the agency.

*Appropriations
3-5-97
Attachment 8*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Norton Correctional Facility

Bill No. --

Bill Sec. --

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 9,157,393	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,157,393	\$ 0
Capital Improvements	<u>56,783</u>	<u>0</u>
TOTAL	<u>\$ 9,214,176</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 9,133,190	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,133,190	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 9,133,190</u>	<u>\$ 0</u>
FTE Positions	235.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>235.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 9,157,393	\$ 9,157,393	\$ 9,157,393	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,157,393	\$ 9,157,393	\$ 9,157,393	\$ 0
Capital Improvements	<u>56,783</u>	<u>56,783</u>	<u>56,783</u>	<u>0</u>
TOTAL	<u>\$ 9,214,176</u>	<u>\$ 9,214,176</u>	<u>\$ 9,214,176</u>	<u>\$ 0</u>
State General Fund:				
State Operations	\$ 9,133,190	\$ 9,133,190	\$ 9,133,190	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,133,190	\$ 9,133,190	\$ 9,133,190	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 9,133,190</u>	<u>\$ 9,133,190</u>	<u>\$ 9,133,190</u>	<u>\$ 0</u>
FTE Positions	235.0	235.0	235.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>235.0</u>	<u>235.0</u>	<u>235.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 487

Budget Page No. 361

Expenditure Summary	Agency Req. FY 98	Gov. Rec. FY 98	Senate Subcommittee Adjustments*
All Funds:			
State Operations	\$ 9,621,655	\$ 9,443,879	\$ (215,562)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 9,621,655	\$ 9,443,879	\$ (215,562)
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,621,655</u></u>	<u><u>\$ 9,443,879</u></u>	<u><u>\$ (215,562)</u></u>
State General Fund:			
State Operations	\$ 9,616,255	\$ 9,438,479	\$ (215,562)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	\$ 9,616,255	\$ 9,438,479	\$ (215,562)
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 9,616,255</u></u>	<u><u>\$ 9,438,479</u></u>	<u><u>\$ (215,562)</u></u>
FTE Positions	235.0	235.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>235.0</u></u>	<u><u>235.0</u></u>	<u><u>0.0</u></u>

* Reflects deletion of the Governor's pay plan.

Agency Request/Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$9,621,655, an increase of \$464,262 over the estimated FY 1997 operating expenditure estimate of \$9,157,393.

The Governor's recommendation for FY 1998 totals \$9,443,879, a reduction of \$177,776 from the amount requested by the agency, but a 3.1 percent increase above the Governor's FY 1997 recommendation. Recommended reductions are made in capital outlays totaling \$251,303 and commodities at \$10,672. The Governor recommends increases over the agency request for salaries and wages of \$74,950 and contractual services of \$9,249. The Governor's recommendation includes

funding for classified step movement, full funding of longevity, and a 1.0 percent classified base salary adjustment.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and observations.

1. Delete \$215,562 all from the State General Fund, based on the recommendation to delete funding for classified step movement (\$90,030); longevity bonus payments (\$58,390); and the 1.0 percent classified base salary adjustment (\$67,142) from individual agency budgets.
2. The Subcommittee commends the warden for his optimistic perspective while confronted with the difficult task of operating a correctional facility. The warden testified that the increasing number of inmates, and the changing nature and characteristics of the inmate population, have made the work of his staff more challenging. The Subcommittee also commends the professional manner in which the warden raises new ideas and establishes new goals and objectives to meet the changing needs of his facility.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 98</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 9,443,879	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,443,879	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 9,443,879</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 9,438,479	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,438,479	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 9,438,479</u>	<u>\$ 0</u>
FTE Positions	235.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>235.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendation, with the following observation.

1. The Subcommittee notes and supports the Kansas Correctional Industries' programs which provide meaningful work for inmates. The Subcommittee compliments the warden and KCI for their efforts on behalf of KCI and private employers operating inside correctional facilities.
2. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 9,443,879	\$ 9,228,317	\$ 9,443,879	\$ 215,562
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,443,879	\$ 9,228,317	\$ 9,443,879	\$ 215,562
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 9,443,879</u></u>	<u><u>\$ 9,228,317</u></u>	<u><u>\$ 9,443,879</u></u>	<u><u>\$ 215,562</u></u>
State General Fund:				
State Operations	\$ 9,438,479	\$ 9,222,917	\$ 9,438,479	\$ 215,562
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 9,438,479	\$ 9,222,917	\$ 9,438,479	\$ 215,562
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 9,438,479</u></u>	<u><u>\$ 9,222,917</u></u>	<u><u>\$ 9,438,479</u></u>	<u><u>\$ 215,562</u></u>
FTE Positions	235.0	235.0	235.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>235.0</u></u>	<u><u>235.0</u></u>	<u><u>235.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 499

Budget Page No. 450

<u>Expenditure Summary</u>	<u>Agency Est. FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 11,129,246	\$ 11,129,246	\$ 0
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,129,246	\$ 11,129,246	\$ 0
Capital Improvements	<u>482,921</u>	<u>482,921</u>	<u>0</u>
TOTAL	<u><u>\$ 11,612,167</u></u>	<u><u>\$ 11,612,167</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 10,986,697	\$ 10,986,697	\$ 0
Aid to Local Units	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 10,986,697	\$ 10,986,697	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 10,986,697</u></u>	<u><u>\$ 10,986,697</u></u>	<u><u>\$ 0</u></u>
FTE Positions	281.0	281.0	0.0
Unclassified Temp. Positions	<u>3.0</u>	<u>3.0</u>	<u>0.0</u>
TOTAL	<u><u>284.0</u></u>	<u><u>284.0</u></u>	<u><u>0.0</u></u>

Agency Estimate/Governor's Recommendation

The agency's FY 1997 estimate of operating expenditures totals \$11,129,246 (\$10,986,697 from the State General Fund), which is a decrease of \$2,250 from the amount approved by the 1996 Legislature.

The Governor concurs with the agency's request of \$11,129,246 for FY 1997 operating expenditures.

*Appropriations
3-5-97
Attachment 9*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Topeka Correctional Facility

Bill No. --

Bill Sec. --

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 11,129,246	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,129,246	\$ 0
Capital Improvements	<u>482,921</u>	<u>0</u>
TOTAL	<u>\$ 11,612,167</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 10,986,697	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 10,986,697	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 10,986,697</u>	<u>\$ 0</u>
FTE Positions	281.0	0.0
Unclassified Temp. Positions	<u>3.0</u>	<u>0.0</u>
TOTAL	<u>284.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations, with the following observation.

1. The Subcommittee heard testimony that the facility may have problems paying utility costs in the current year, and that in the event Topeka State Hospital closes before July 1997, the facility may require funds in the current year to assume operations of the laundry and for utilities. For these reasons, the Subcommittee recommends the issues be revisited at Omnibus.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 11,129,246	\$ 11,129,246	\$ 11,129,246	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 11,129,246	\$ 11,129,246	\$ 11,129,246	\$ 0
Capital Improvements	<u>482,921</u>	<u>482,921</u>	<u>482,921</u>	<u>0</u>
TOTAL	<u>\$ 11,612,167</u>	<u>\$ 11,612,167</u>	<u>\$ 11,612,167</u>	<u>\$ 0</u>
State General Fund:				
State Operations	\$ 10,986,697	\$ 10,986,697	\$ 10,986,697	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 10,986,697	\$ 10,986,697	\$ 10,986,697	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 10,986,697</u>	<u>\$ 10,986,697</u>	<u>\$ 10,986,697</u>	<u>\$ 0</u>
FTE Positions	281.0	281.0	281.0	0.0
Unclass. Temp. Positions	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>0.0</u>
TOTAL	<u>284.0</u>	<u>284.0</u>	<u>284.0</u>	<u>0.0</u>

SUBCOMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 499

Budget Page No. 450

<u>Expenditure Summary</u>	<u>Agency Req. FY 98</u>	<u>Gov. Rec. FY 98</u>	<u>Senate Subcommittee Adjustments*</u>
All Funds:			
State Operations	\$ 12,426,202	\$ 12,054,546	\$ (321,976)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 12,426,202</u>	<u>\$ 12,054,546</u>	<u>\$ (321,976)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 12,426,202</u></u>	<u><u>\$ 12,054,546</u></u>	<u><u>\$ (321,976)</u></u>
State General Fund:			
State Operations	\$ 12,354,598	\$ 11,982,942	\$ (319,916)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal - Operating	<u>\$ 12,354,598</u>	<u>\$ 11,982,942</u>	<u>\$ (319,916)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 12,354,598</u></u>	<u><u>\$ 11,982,942</u></u>	<u><u>\$ (319,916)</u></u>
FTE Positions	287.0	287.0	0.0
Unclassified Temp. Positions	3.0	3.0	0.0
TOTAL	<u><u>290.0</u></u>	<u><u>290.0</u></u>	<u><u>0.0</u></u>

* Reflects the deletion of the Governor's pay plan.

Agency Request/Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$12,426,202, an increase of \$1,296,956 over the estimated FY 1997 operating expenditure appropriation of \$11,129,246. The change in the all other funds category is the result of the receipt in FY 1997 of a \$67,500 one-time federal grant.

The Governor recommends FY 1998 operating expenditures of \$12,054,546, an increase at 8.3 percent from the FY 1997 recommendation and a reduction of 3.0 percent from the agency's request. The Governor includes salaries and wages of \$10,116,359, contractual services of \$1,186,465, commodities of \$688,418, and capital outlay requests of \$63,304. The Governor's recommendation includes substantial changes related to the Topeka West Unit and Topeka State Hospital. The Governor

recommends \$91,092 in funds for the West Unit utilities. The Governor recommends the transfer of 6.0 FTE and adds \$139,154 in salaries and wages including the 1.0 percent classified base salary adjustment and the transfer of operations of the Topeka State Hospital laundry facility. The Governor recommends the addition of \$398,684 to other operating expenditures for costs associated with the laundry facility.

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and observations.

1. Delete, \$321,976 including \$319,916 from the State General Fund, based on the recommendation to delete funding for the 3.5 percent unclassified merit pool (\$7,237 including \$7,191 SGF); classified step movement (\$130,848, including \$130,011 SGF); longevity bonus payments (\$99,377, including \$98,741 SGF); and the 1.0 percent classified base salary adjustment (\$84,514, including \$83,973) from individual agency budgets.
2. The Subcommittee concurs with the Governor's recommendation to add funding and 6.0 FTE for the operation of the laundry facility. The Subcommittee expects the state agencies that use the facility (Kansas Neurological Institute, the Youth Center at Topeka, and the Agriculture Laboratory) will reimburse the Department of Corrections for the laundry services they use.
3. The Subcommittee commends the warden for his optimistic perspective while confronted with the difficult task of operating a correctional facility. The warden testified that the increasing number of inmates, and the changing nature and characteristics of the inmate population, have made the work of his staff more challenging. The Subcommittee also commends the professional manner in which the warden raises new ideas and establishes new goals and objectives to meet the changing needs of his facility.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Expenditure Summary	Gov. Rec. FY 98	House Subcommittee Adjustments
All Funds:		
State Operations	\$ 12,054,546	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 12,054,546	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 12,054,546</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 11,982,942	\$ 0
Aid to Local Units	0	0
Other Assistance	0	0
Subtotal - Operating	\$ 11,982,942	\$ 0
Capital Improvements	0	0
TOTAL	<u>\$ 11,982,942</u>	<u>\$ 0</u>
FTE Positions	287.0	0.0
Unclassified Temp. Positions	3.0	0.0
TOTAL	<u>290.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations with the following observations.

1. The Subcommittee directs the warden to provide the Committee with year-to-date expenditures reflecting expenditures related to the 6.0 new FTE, the facility's utility expenditures, and the shrinkage rate. The Subcommittee directs that these materials be provided in advance of the 1998 Session.
2. The Subcommittee notes that the Governor's recommendation to transfer 6.0 FTE for the laundry facility is from the Topeka State Hospital approved FTE of 404.4 in FY 1997 which will be eliminated at the end of the current year.
3. The Subcommittee notes with concern the increasing female inmate population. As of February 21, 1997, the female inmate population was 479, while the agency reports a female capacity of 484. The Subcommittee heard testimony that female

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unit at East Unit may expand and occupy the 111 minimum custody beds at the West Unit.

4. The Subcommittee recommends that pending the decisions of the Hospital Proposal Evaluation and Analysis Committee, future plans at the facility should be reviewed at Omnibus
5. The Subcommittee notes and supports the Kansas Correctional Industries' programs which provide meaningful work for inmates. The Subcommittee compliments the warden and KCI for their efforts on behalf of KCI and private employers operating inside correctional facilities.
6. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 12,054,546	\$ 11,732,570	\$ 12,054,546	\$ 321,976
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	<u>\$ 12,054,546</u>	<u>\$ 11,732,570</u>	<u>\$ 12,054,546</u>	<u>\$ 321,976</u>
Capital Improvements	0	0	0	0
TOTAL	<u><u>\$ 12,054,546</u></u>	<u><u>\$ 11,732,570</u></u>	<u><u>\$ 12,054,546</u></u>	<u><u>\$ 321,976</u></u>
State General Fund:				
State Operations	\$ 11,982,942	\$ 11,663,026	\$ 11,982,942	\$ 319,916
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	<u>\$ 11,982,942</u>	<u>\$ 11,663,026</u>	<u>\$ 11,982,942</u>	<u>\$ 319,916</u>
Capital Improvements	0	0	0	0
TOTAL	<u><u>\$ 11,982,942</u></u>	<u><u>\$ 11,663,026</u></u>	<u><u>\$ 11,982,942</u></u>	<u><u>\$ 319,916</u></u>
FTE Positions	287.0	287.0	287.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0
TOTAL	<u><u>287.0</u></u>	<u><u>287.0</u></u>	<u><u>287.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Winfield Correctional Facility

Bill No. –

Bill Sec. –

Analyst: Little

Analysis Pg. No. 511

Budget Page No. 511

<u>Expenditure Summary</u>	<u>Agency Est. FY 97</u>	<u>Gov. Rec. FY 97</u>	<u>Senate Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 4,025,394	\$ 3,983,607	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal – Operating	<u>\$ 4,025,394</u>	<u>\$ 3,983,607</u>	<u>\$ 0</u>
Capital Improvements	3,253	3,253	0
	<u><u>\$ 4,028,647</u></u>	<u><u>\$ 3,986,860</u></u>	<u><u>\$ 0</u></u>
State General Fund:			
State Operations	\$ 3,959,233	\$ 3,917,446	\$ 0
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal – Operating	<u>\$ 3,959,233</u>	<u>\$ 3,917,446</u>	<u>\$ 0</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 3,959,233</u></u>	<u><u>\$ 3,917,446</u></u>	<u><u>\$ 0</u></u>
FTE Positions	111.0	111.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>111.0</u></u>	<u><u>111.0</u></u>	<u><u>0.0</u></u>

Agency Est./Governor's Recommendation

The facility's revised FY 1997 estimate for operating expenditures total \$4,025,394, a reduction of \$8,750 from the amount approved by the 1996 Legislature of \$4,034,144. The Secretary of Corrections, however, has been provided the authority to transfer, with the approval of the Governor, State General Fund moneys from the Department of Corrections or any facility to the DOC or any other facility. The 1996 Legislature transferred 6.0 FTE positions (along with \$203,636 from SGF), from the budget of Winfield State Hospital and Training Center to the WCF. The transfer shifts operations of the power and sewer plant to the correctional facility.

The Governor recommends \$3,983,607 for operating expenditures in FY 1997. The recommendation includes a \$40,476 reduction in salaries and wages from the agency's request. The majority of the savings comes from a retirement reduction current year savings of \$40,735 (warden's salary and benefits).

*Appropriations
3-5-97
Attachment 10*

Senate Subcommittee Recommendation

The Subcommittee concurs with the Governor's recommendation with the following observations.

1. The Subcommittee notes the Department of Corrections' decision to consolidate the operations of the Wichita Work Release Program and Winfield Correctional Facility which the department undertook in October 1996. The consolidation transferred 45.0 FTE, 198 inmates, and \$1,797,705 to the Winfield facility operating budget.
2. The Subcommittee commends the warden and her staff for the continued American Correctional Association (ACA) accreditation. The most recent reported total ACA scores for the facility are 100 percent mandatory and 99.4 percent nonmandatory. The Subcommittee heard that accreditation keeps all staff at the facility focused on job performance objectives and promotes professionalism. Accreditation also provides an additional defense against inmate lawsuits. The Subcommittee notes with satisfaction that Kansas is one of only six states to have all of its correctional facilities and field service operations accredited.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

Agency: Winfield Correctional Facility

Bill No. -

Bill Sec. -

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 97</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 3,983,607	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 3,983,607	\$ 0
Capital Improvements	<u>3,253</u>	<u>0</u>
	<u>\$ 3,986,860</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 3,917,446	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 3,917,446	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 3,917,446</u>	<u>\$ 0</u>
FTE Positions	111.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>111.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1997, the Subcommittee concurs with the Governor's recommendations.

Current Status Comparison

	Gov. Rec. FY 1997	Senate Committee Action FY 1997	House Subcommittee Action FY 1997	House Subcommittee Change from Senate FY 1997
All Funds:				
State Operations	\$ 3,983,607	\$ 3,983,607	\$ 3,983,607	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	<u>\$ 3,983,607</u>	<u>\$ 3,983,607</u>	<u>\$ 3,983,607</u>	<u>\$ 0</u>
Capital Improvements	0	0	0	0
TOTAL	<u><u>\$ 3,983,607</u></u>	<u><u>\$ 3,983,607</u></u>	<u><u>\$ 3,983,607</u></u>	<u><u>\$ 0</u></u>
State General Fund:				
State Operations	\$ 3,917,446	\$ 3,917,446	\$ 3,917,446	\$ 0
Aid to Local Units	0	0	0	0
Other Assistance	0	0	0	0
Subtotal - Operating	<u>\$ 3,917,446</u>	<u>\$ 3,917,446</u>	<u>\$ 3,917,446</u>	<u>\$ 0</u>
Capital Improvements	0	0	0	0
TOTAL	<u><u>\$ 3,917,446</u></u>	<u><u>\$ 3,917,446</u></u>	<u><u>\$ 3,917,446</u></u>	<u><u>\$ 0</u></u>
FTE Positions	111.0	111.0	111.0	0.0
Unclass. Temp. Positions	0.0	0.0	0.0	0.0
TOTAL	<u><u>111.0</u></u>	<u><u>111.0</u></u>	<u><u>111.0</u></u>	<u><u>0.0</u></u>

SUBCOMMITTEE REPORT

Agency: Winfield Correctional Facility

Bill No. 178

Bill Sec. 81

Analyst: Little

Analysis Pg. No. 511

Budget Page No. 511

<u>Expenditure Summary</u>	<u>Agency Req. FY 98</u>	<u>Gov. Rec. FY 98*</u>	<u>Senate Subcommittee Adjustments**</u>
All Funds:			
State Operations	\$ 7,755,131	\$ 8,682,259	\$ (147,147)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal – Operating	<u>\$ 7,755,131</u>	<u>\$ 8,682,259</u>	<u>\$ (147,147)</u>
Capital Improvements	0	0	0
	<u>\$ 7,755,131</u>	<u>\$ 8,682,259</u>	<u>\$ (147,147)</u>
State General Fund:			
State Operations	\$ 7,632,856	\$ 8,559,984	\$ (144,352)
Aid to Local Units	0	0	0
Other Assistance	0	0	0
Subtotal – Operating	<u>\$ 7,632,856</u>	<u>\$ 8,559,984</u>	<u>\$ (144,352)</u>
Capital Improvements	0	0	0
TOTAL	<u><u>\$ 7,632,856</u></u>	<u><u>\$ 8,559,984</u></u>	<u><u>\$ (144,352)</u></u>
FTE Positions	181.0	201.0	0.0
Unclassified Temp. Positions	0.0	0.0	0.0
TOTAL	<u><u>181.0</u></u>	<u><u>201.0</u></u>	<u><u>0.0</u></u>

* Reflects GBA addition of \$65,000.

** Reflects deletion of the Governor's pay plan.

Agency Request/Governor's Recommendation

The agency's FY 1998 operating expenditures request totals \$7,755,131, an increase of \$3,729,737 over the estimated FY 1997 operating expenditure estimate of \$4,025,394. Funding from all sources has been increased due to the consolidation of Wichita Work Release Facility under Winfield Correctional Facility which includes the transfer of 45.0 FTE from the work release program.

The Governor recommends FY 1998 expenditures of \$8,617,259, an increase of \$862,128 over the agency's request and an increase of \$4,633,652 (116.3 percent) over the Governor's FY 1997 recommendation. The largest increases came in salaries and wages \$2,980,411 and in contractual services \$1,056,489. Operating expenditures include \$6,466,809 for salaries and wages, \$1,223,724 for contractual services, \$811,465 for commodities, and \$115,261 capital outlay request. The

Governor's recommended increases are related to three specific topics: shift of the Wichita Work Release program to the agency; Winfield State Hospital and Training Center (WSH&TC) closure plans; and the A-Dorm capacity expansion plan.

In FY 1998, the agency requests that 13.0 FTE positions (an increase from the current 10.0 FTE in support services) currently employed at WSH&TC be transferred to WCF to maintain all buildings and grounds currently operated by WSH&TC. The agency estimates that an additional \$830,000 is needed for WCF to assume operations of WSH&TC, including \$740,000 for utilities. The security program is also seeking authorization to transfer 10.0 FTE from hospital security to the correctional facility. The classification and programs program also requests new 2.0 FTE positions.

The Governor recommends the transfer of 23.0 FTE from the staff at WSH&TC requested by the agency, at a cost in FY 1998 of \$620,704. A total of 10.0 FTE are recommended for the security program and 13.0 FTE for the support services program. The Governor does not recommend the 2.0 FTE for classification and programs. The Governor recommends funding of other operating expenditures related to the operational control and maintenance of WSH&TC in the amount of \$1,685,582, an increase of \$258,837 over the agency's request.

The Governor also recommends reoccupation of 132 minimum custody beds in the A-Dorm at WCF in FY 1998. Salaries and wages and operational costs are included in the Governor's FY 1998 budget recommendation while construction and one-time costs are included in the Department of Corrections' budget. The requested \$757,978 will fund salaries and wages for 15.0 security FTE, 7.0 FTE for classification and programs, and 1.0 FTE for support services, and projected annual operating cost of \$132,400.

The following table represents the distribution of new FTE recommended for Winfield Correctional Facility in the Governor's FY 1998 recommendations. The Wichita FTE are already counted in the Department of Corrections' system-wide FTE total. The other 46.0 positions would be new FTE.

<u>Project</u>	<u>Number of FTE Positions</u>	<u>Salaries and Wages, (incl. 1.0 percent base salary adjustment)</u>
Wichita Work Release Program Consolidation	45.0 Total FTE	\$ 1,498,443
Winfield State Hospital Transfer of Positions	10.0 Security Program	\$ 254,805
	13.0 Support Services	\$ 365,899
	<u>23.0 Total FTE</u>	<u>\$ 620,704</u>
Winfield Correctional Facility Capacity Expansion	15.0 Security Program	\$ 517,490
	7.0 Classification and Programs	\$ 210,005
	1.0 Support Services	\$ 30,483
	<u>23.0 Total FTE</u>	<u>\$ 757,978</u>
	91.0 FTE Total	\$ 2,877,125

Senate Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor's recommendations, with the following adjustments and recommendations.

1. Delete \$147,147, including \$144,352 from the State General Fund, based on the recommendation to delete funding for classified step movement (\$59,108, including \$57,985 SGF); longevity bonus payments (\$38,236, including \$37,510 SGF); and the 1.0 percent classified base salary adjustment (\$49,803, including \$48,857) from individual agency budgets.
2. The Subcommittee notes the significant changes occurring due to the closure of Winfield State Hospital before December 31, 1997. The Subcommittee notes the Governor's recommendation to transfer 23.0 FTE positions to the correctional facility to continue maintenance and security of the hospital grounds during and after closure in FY 1998.
3. The Subcommittee notes that the potential development of a soldiers' home in the vacated hospital buildings may be determined during the 1997 Session. The warden reports that the establishment of a soldiers' home is considered a complementary use of the existing facilities and would not cause foreseeable problems. The warden indicated the growing need for expanded kitchen and dining facilities as the correctional facility population increases. The warden further reports that efficiency and staffing, as well as space utilization, might be best served by cooperation between the correctional facility and any entity that may occupy the hospital in the future. The Subcommittee recognizes, however, any decisions on these matters may be premature until the future use of the hospital grounds is clarified. For that reason, the Subcommittee recommends that the Winfield Correctional Facility issues be revisited during the Omnibus session.

Senate Committee Recommendation

The Committee concurs with the Subcommittee recommendation.

Senate Recommendation

The full Senate has not taken action on this agency's budget.

<u>Expenditure Summary</u>	<u>Gov. Rec. FY 98</u>	<u>House Subcommittee Adjustments</u>
All Funds:		
State Operations	\$ 8,682,259	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal – Operating	\$ 8,682,259	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
	<u>\$ 8,682,259</u>	<u>\$ 0</u>
State General Fund:		
State Operations	\$ 8,559,984	\$ 0
Aid to Local Units	0	0
Other Assistance	<u>0</u>	<u>0</u>
Subtotal – Operating	\$ 8,559,984	\$ 0
Capital Improvements	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 8,559,984</u>	<u>\$ 0</u>
FTE Positions	201.0	0.0
Unclassified Temp. Positions	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>201.0</u>	<u>0.0</u>

House Subcommittee Recommendation

For FY 1998, the Subcommittee concurs with the Governor’s recommendations, with the following adjustments and observations.

1. The Subcommittee concurs with the Governor’s Budget Amendment #1, item 12, which adds \$65,000, all SGF for the warden’s salary.
2. The Subcommittee notes that the Governor’s recommendation transfers 23.0 FTE positions (\$757,978 SGF) from Winfield State Hospital who will otherwise be among the 517.5 approved FTE in FY 1997 eliminated at the end of December 1997. The request includes 10.0 security officers and 13.0 support services employees. The Subcommittee notes that depending on the disposition of the hospital grounds, the transfers may be a temporary measure. The Subcommittee recommends that the issue of FTE transfers be reviewed at Omnibus. As well, the Subcommittee wishes to review at Omnibus the agency’s request to add 2.0 FTE positions for facility and department computer technicians. The Governor did not recommend the positions.

3. The Subcommittee notes the high number of changes occurring at the facility which includes the consolidation of the Wichita Work Release program, the closure of the Winfield State Hospital, and the Governor's recommended expansion into the A Dorm.
4. The Subcommittee directs the warden to supply the Subcommittee with year-to-date expenditures reflecting the expenditures related to the 23.0 FTE in advance of 1998 Legislative Session.
5. The Subcommittee directs the agency to continue to maintain and report all expenditures and FTE positions related to Wichita Work Release program as a program separate and distinct from the Winfield Correctional Facility.
6. The Subcommittee notes the reported increasing expenditures for utilities at the facility. The Subcommittee recommends that facility utilities be examined at Omnibus and that the Secretary report on the expenditures to date for utilities at that time.
7. The Subcommittee includes the following table which reflects the combined debt service payments for the Wichita Work Release Facility and Ellsworth Correctional Facility 1994 C Bonds:

	<u>Principal</u>	<u>Interest</u>	<u>Total Payment</u>
Paid since 4/95	\$ 1,680,000	\$ 720,982	\$ 2,400,982
Balance due as of 7/97	<u>4,640,000</u>	<u>646,359</u>	<u>5,286,359</u>
TOTAL	<u>\$ 6,320,000</u>	<u>\$ 1,367,341</u>	<u>\$ 7,687,341</u>

Current Status Comparison

	Gov. Rec. FY 1998	Senate Committee Action FY 1998	House Subcommittee Action FY 1998	House Subcommittee Change from Senate FY 1998
All Funds:				
State Operations	\$ 8,682,259	\$ 8,535,112	\$ 8,682,259	\$ 147,147
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 8,682,259	\$ 8,535,112	\$ 8,682,259	\$ 147,147
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 8,682,259</u></u>	<u><u>\$ 8,535,112</u></u>	<u><u>\$ 8,682,259</u></u>	<u><u>\$ 147,147</u></u>
State General Fund:				
State Operations	\$ 8,559,984	\$ 8,415,632	\$ 8,559,984	\$ 144,352
Aid to Local Units	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operating	\$ 8,559,984	\$ 8,415,632	\$ 8,559,984	\$ 144,352
Capital Improvements	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u><u>\$ 8,559,984</u></u>	<u><u>\$ 8,415,632</u></u>	<u><u>\$ 8,559,984</u></u>	<u><u>\$ 144,352</u></u>
FTE Positions	201.0	201.0	201.0	0.0
Unclass. Temp. Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u><u>201.0</u></u>	<u><u>201.0</u></u>	<u><u>201.0</u></u>	<u><u>0.0</u></u>