

MINUTES OF THE SENATE COMMITTEE ON EDUCATION

The meeting was called to order by Chairperson Dave Kerr at 12:45 p.m. on April 7, 1995 in Room 527-S of the Capitol.

All members were present except: Senator Emert
Senator Hensley

Committee staff present: Ben Barrett, Legislative Research Department
Avis Swartzman, Revisor of Statutes
Brenda Dunlap, Committee Secretary

Conferees appearing before the committee: William Docking

Board of Regents Confirmation Hearing of William Docking

Mr. Docking reviewed his background and qualifications. (See Attachment 1 & 2) Senator Lawrence asked him what he hoped to personally achieve as a member of the Board of Regents. He responded by saying it is a tremendous honor and privilege to serve on the Board. He is aware of the tremendous time commitment required, but he said it is a wonderful opportunity to effect long term education improvements, and to educate students to meet changing work force needs. He also wants to work to change the mission statements of the universities to eliminate duplication of degrees.

Chairman Kerr asked him what his attitude is toward the organization of faculty and graduate assistants. Mr. Docking replied that there is a union at Pittsburg State University, and although there were some problems at the beginning, there are currently no problems. He would prefer to maintain a good working relationship and open communication with instructors so that unions are not necessary. Chairman Kerr asked his opinion of qualified admissions. He replied he is in favor of them as he has studied the substantial attrition rate from freshmen to sophomore years at the Regent universities, and the potential increase of students enrolling in college during the next ten years. As the universities will need to do more educating with less dollars, he does not feel remedial training is the best use of university dollars. He feels community colleges would be a better venue for remedial training to take place. Chairman Kerr asked him how this could be accomplished, and he replied by changing policy slowly in small increments, and by employing good public relations in an attempt to educate the public.

Senator Jones expressed his disagreement with qualified admissions, and pointed out that the figures that Mr. Docking quoted did not go into any detail citing how many students who dropped out had transferred to other schools, had been pregnant, had flunked out, etc. Mr. Docking agreed with him, and said it would be a good idea to try to gather that data.

Senator Downey commented that universities are providing education, but not training for specific jobs, and shouldn't universities be accountable for student achievement outcomes just as elementary and secondary schools are. In addition, universities are offering degrees and course work for jobs that are no longer available, which is a disservice to students. Mr. Docking replied that the mission and goals of education need to continue evolving as the world changes and evolves.

A motion was made by Senator Corbin to recommend favorably to the full senate to confirm Mr. Docking's appointment to the Board of Regents. The motion was seconded by Senator Walker and the motion carried.

The meeting was adjourned at 1:20 p.m.

REMARKS TO THE SENATE EDUCATION COMMITTEE

APRIL 7, 1995

Mr. Chairman and Members of the Committee:

Thank you for the opportunity to appear before you today regarding my nomination as a member of the Board of Regents. My name is Bill Docking. I live in Arkansas City.

I thought you would find it helpful to hear about my background and experiences. First, with the exception of my last 2 years of high school, my entire formal education has been in the Kansas public school system. In 1973, I received my undergraduate degree in Liberal Arts from the University of Kansas. I continued at KU and in 1977 received my Law Degree and Masters Degree in Business Administration.

From 1977 until 1983, I lived in the Kansas City area. For 2 years, I worked for the accounting firm of Peat, Marwick, Mitchell & Co. In 1979, I received certification as a Certified Public Accountant. From 1979 through 1983, I worked in private industry in Kansas City. In 1983, I returned to my home town of Ark City. Since then, I have worked for the Union State Bank. I currently serve as President, Director and Chief Executive Officer of the Union State Bank and as Chairman of the Board of the City National Bank & Trust Co. of Guymon, Oklahoma. Also, I serve as managing member of Docking Development, LLC, an oil production company with field offices in Oxford, Kansas.

My family instilled in me a commitment to public service. I am actively involved in Ark City with many organizations including the Salvation Army Advisory Board, Chamber of Commerce, Community Health Foundation and Rotary. I had the privilege of serving the banking profession as a former President of the Kansas Bankers Association and as the American Bankers Association's Kansas State Chairman and for 3 years as a member of the ABA's Government Relations Council.

Involvement with education at all levels has been an important priority for me. Currently, I serve as Trustee of the Cowley County Community College Endowment Association and as Trustee and Audit Committee member of the Kansas University Endowment Association. Also, I currently serve as a mentor in the Ark City Mentoring Project co-sponsored by our local High School and Chamber of Commerce.

Senate Education
4-7-95
Attachment 1

SENATE CONFIRMATION QUESTIONNAIRE

Office of Governor Bill Graves

Please complete and return this form to the Governor's Appointments Office. Attach additional sheets if necessary.

Name: William R. Docking

Home Address: Route #3, Stonebridge

City, State, Zip: Arkansas City, Kansas 67005

Business Address: PO Box 928

City, State, Zip: Arkansas City, Kansas 67005-0928

Home Phone: (316)442-8299 Business Phone: (316)442-5200

Date of Birth: 3/23/1951 Place of Birth: Lawrence, Kansas

Party Affiliation: Democrat KBI Check: NA In Process Complete

Appointed as: member of Kansas Board of Regents

Appointment Date: 2/10/95 Expiration Date: 12/31/98

Term Length: 4 years Statutory Authority: K.S.A. 74-3201, Kansas Const. Article 6, Section 2,3

Salary: K.S.A. 74-3202, 75-3223 Predecessor: _____

Statutory Requirements: (K.S.A. 74-3201) To be selected from among the members of the two political parties casting the highest and next highest number of votes in the last preceding election. No more than five shall be of the same party. Kan. Const., Art. 6, Sec. 3(b) requires that one member shall be appointed from each Congressional District, the remaining two at large but no two members shall reside in the same
BACKGROUND County.

1. List high school, college, or other education institution attended along with the date attended and degree conferred.

| Education Institution | Dates | Degree |
|-----------------------------------|--------------------|---|
| <u>St. Mark's School of Texas</u> | <u>1967 - 1969</u> | <u>High School degree</u> |
| <u>University of Kansas</u> | <u>1969 - 1977</u> | <u>B.A. (1973), Juris Doctorate (1977) Master of Business Administration (1977)</u> |

2. List memberships in business, trade and professional organizations for the past 10 years.

| Organization | Dates |
|---|-----------------------|
| <u>Kansas and American Bar Associations</u> | <u>1977 - present</u> |
| <u>American Institute of Certified Public Accountants</u> | <u>1980 - present</u> |
| <u>Kansas Society of Certified Public Accountants</u> | <u>1980 - present</u> |
| <u>Kansas and American Bankers Association (membership in name of Union State Bank)</u> | <u>1983 - present</u> |
| <u>Rotary Club of Arkansas City</u> | <u>1983 - present</u> |

3. List any public offices you have been elected or appointed to, along with the dates of service.

| Office Held | Dates |
|--|--------------------|
| <u>Member Kansas Employment Security Board of Review</u> | <u>1981 - 1983</u> |

*Senate Education
4-7-95
Attachments 2*

4. List any positions held with a foreign, federal or local government entity along with the dates of service. None.

| Position | Government Entity | Dates |
|----------|-------------------|-------|
| | | |
| | | |
| | | |

5. List any lobbying activities you have been involved in during the past five years. This includes activities as a registered lobbyist or lobbying activities for which you were compensated. None

| Group | Compensation (yes/no) | Dates |
|-------|-----------------------|-------|
| | | |
| | | |
| | | |

6. List experience or interests which qualify you for the position to which you have been appointed. I have a long standing interest and commitment to public education. Currently, I participate in the Arkansas City High School Mentoring Program and serve as a Trustee of the Cowley County Community College Endowment Association and the University of Kansas Endowment Association (and as a member of its Audit Committee).

7. Summarize business and professional experience. President, director and chief executive officer of the Union State Bank Arkansas City, KS (1983 - present). Chairman of the Board of the City National Bank & Trust Co., Guymon, OK (1985 - present). Managing Member of Docking Development, LLC, Oxford, KS (oil production company, 1983-present). Vice President of Operations, Oppenheimer Industries, Inc., Kansas City, MO (over)

8. List any service in the United States military. Include dates of service, branch, date and type of discharge. None

| Branch | Discharge | Dates |
|--------|-----------|-------|
| | | |
| | | |
| | | |

9. Provide details of any arrest, charge or questioning by a federal, state or other law enforcement authority for violation of any federal, state, county or municipal law, regulation or ordinance (excluding traffic violations for which a fine of \$100 or less was imposed). None.

10. List and provide details of any interests that may present a conflict of interest for this position. None of which I am aware.

I, William R. Docking, declare that this questionnaire is true, correct and complete to the best of my knowledge.

William R. Docking
Signature

2-27-95
Date

FILED

MAR 07 1995



RECEIVED

MAR 03 1995

RON THORNBURGH
SECRETARY OF STATE
KANSAS COMMISSION ON GOVERNMENTAL STANDARDS AND CONDUCT

Kansas Commission
on Governmental
Standards & Conduct

STATEMENT OF SUBSTANTIAL INTERESTS FOR INDIVIDUALS WHOSE

APPOINTMENT TO STATE OFFICE IS SUBJECT TO SENATE CONFIRMATION

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by each person whose appointment to a state position is subject to Senate confirmation (K.S.A. 46-247 and 46-248). Failure to complete and return this statement may result in a fine of \$10 per day for each day it remains unfiled. Also, any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 913-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

D O C K I N G W I L L I A M R

Last Name

First Name

MI

D O C K I N G J U D Y O

Spouse's Name

R R 3 S T O N E B R I D G E P O B O X 9 2 8

Number & Street Name; Apartment Number, Rural Route, or P.O. Box Number

A R K A N S A S C I T Y K S 6 7 0 0 5 0 9 2 8

City, State, Zip Code

3 1 6 ** 4 4 2 ** 8 2 9 9

Home Phone Number

3 1 6 ** 4 4 2 ** 5 2 0 0

Business Phone Number

B. APPOINTED POSITION SUBJECT TO SENATE CONFIRMATION:

K A N S A S S T A T E B O A R D O F R E G E N T S

List Name of Agency, Commission or Board

M E M B E R

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

* 5 2 4 3

C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income in, which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.
 If you have nothing to report in Section "C", check here ____.

| BUSINESS NAME AND ADDRESS | TYPE OF BUSINESS | DESCRIPTION OF INTERESTS HELD | HELD BY WHOM | PERCENT OF OWNERSHIP INTERESTS |
|---|--|-----------------------------------|--|--------------------------------|
| 1. Union State Bank, Arkansas City, KS | Commercial Bank | .5% * | <input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |
| 2. Docking Bank Consulting Co., a general partnership | Bank consulting & holding company | 33 1/3% | <input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |
| 3. City National Bancshares, Guymon, OK | Bank holding co. | 11.7% | <input type="checkbox"/> You <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |
| 4. Docking Development, LLC | The LLC owns an oil production co. & an insurance agency | 18.7% | <input type="checkbox"/> You <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |
| 5. Docking Brothers, LLC | Ownership of real estate located in Oxford, KS | 50% | <input type="checkbox"/> You <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |
| 6. Southridge Limited Partnership | Ownership of real estate in Kansas City, MO | 1 unit valued at approx. \$11,000 | <input type="checkbox"/> You <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |
| 7. 415 West Chestnut, Ponca City, OK 74601 | Rental house | | <input type="checkbox"/> You <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Jointly | |

*Direct ownership of 10 shares (.5%). Docking Bank Consulting Co., of which I am a 33 1/3% general partner, owns 1,311 shares (65.6%) of Union State Bank stock.
 See attached list of publicly-traded securities.

D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.
 If you have nothing to report in Section "D", check here x .

| NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED | ADDRESS | RECEIVED BY: |
|--|---------|--------------|
| 1. | | |
| 2. | | |
| 3. | | |

KANSAS COMMISSION ON GOVERNMENTAL STANDARDS AND CONDUCT
STATEMENT OF SUBSTANTIAL INTERESTS FOR INDIVIDUALS WHOSE
APPOINTMENT TO STATE OFFICE IS SUBJECT TO SENATE CONFIRMATION

WILLIAM R. DOCKING

E. 1. continued

- | | | |
|-----------------------------|---|--|
| 3. Docking Development, LLC | 600 S. Main, Oxford, KS 67119 | oil production company |
| 4. W.R. Docking Consulting | PO Box 928, Arkansas City, KS 67005-0928 | Investment consulting for family member |

F. continued

- | | | |
|--|-------------------|--------------|
| 6. Docking Brothers, LLC, PO Box 928, Arkansas City, KS 67005-0928 | Managing member | W.R. Docking |
| 7. Arkansas City Community Health Foundation, PO Box 245, Arkansas City, KS 67005-0245 | Treasurer | W.R. Docking |
| 8. Salvation Army of Arkansas City 107 West Walnut, Arkansas City KS 67005 | Advisory Director | W.R. Docking |

**WILLIAM R. DOCKING
MARKETABLE SECURITIES
February 22, 1995**

| SHARES | NAME | SHARE PRICE | TOTAL VALUATION |
|--------------|------------------------------|-------------|-------------------|
| 12723.22 | American Heritage Fund | 0.820 | 10,433.04 |
| 400 | Bell South | 58.875 | 23,550.00 |
| 808.0476 | Fourth Financial Corporation | 33.000 | 26,665.57 |
| 2131.3 | G.E. | 55.125 | 117,487.91 |
| 200 | Johnson & Johnson | 56.750 | 11,350.00 |
| 200 | MCI | 19.860 | 3,972.00 |
| 100 | Merck | 41.750 | 4,175.00 |
| 3400 | PepsiCo | 39.000 | 132,600.00 |
| 200 | Rubbermaid | 31.625 | 6,325.00 |
| 200 | Schlumberger | 57.250 | 11,450.00 |
| 200 | Southwestern Bell | 41.000 | 8,200.00 |
| 100 | Wal-Mart Stores | 23.75 | 2,375.00 |
| 18400 | Utilicorp United | 28.500 | 524,400.00 |
| TOTAL | | | 882,983.52 |

**MARKETABLE SECURITIES OWNED
WITHIN THE PRECEDING 12 MONTHS
WHICH ARE NO LONGER OWNED**

| SHARES | NAME | SOLD |
|--------|----------------|----------|
| 300 | Boeing | 3-21-94 |
| 400 | Phillip Morris | 12-19-94 |
| 100 | Warner Lambert | 9-2-94 |

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____.
If you have nothing to report in Section "E"1, check here ____.

| NAME OF BUSINESS | ADDRESS | TYPE OF BUSINESS |
|-----------------------------------|---|------------------|
| 1. Union State Bank | PO Box 928, Arkansas City, KS 67005-0928 | Commercial bank |
| 2. City National Bank & Trust Co. | PO Box 410, Guymon, OK 73942 | Commercial bank |

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
If you have nothing to report in Section "E"2, check here ____.

| NAME OF BUSINESS | ADDRESS | TYPE OF BUSINESS |
|---------------------------------------|-------------------------------------|------------------|
| 1. St. Joseph Regional Medical Center | 1900 N. 14th, Ponca City OK | Hospital |
| 2. Arkansas City Memorial Hospital | 216 West Birch, Arkansas City KS | Hospital |

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "F", check here ____.

| BUSINESS NAME AND ADDRESS | POSITION HELD | HELD BY WHOM |
|---|--|--------------|
| 1. Union State Bank, PO Box 928, Arkansas City, KS 67005-0928 | President, Director & Chief Executive Officer | W.R. Docking |
| 2. City National Bank & Trust Co., PO Box 410, Guymon, OK 73942 | Chairman of the Board | W.R. Docking |
| 3. City National Bancshares, PO Box 410, Guymon, OK 73942 | Director | W.R. Docking |
| 4. Docking Bank Consulting Co., PO Box 928, Arkansas City, KS 67005-0928 | General Partner | W.R. Docking |
| 5. Docking Development, LLC, 600 S. Main, Oxford, KS 67119 | Managing Member | W.R. Docking |

G. **RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. *The phrase "client or customer" relates only to businesses or combination of businesses.* In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here x .

| | NAME OF CLIENT / CUSTOMER | ADDRESS | RECEIVED BY |
|-----|---------------------------|---------|-------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| 6. | | | |
| 7. | | | |
| 8. | | | |
| 9. | | | |
| 10. | | | |
| 11. | | | |
| 12. | | | |
| 13. | | | |

H. **DECLARATION:**

I, William R. Docking, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

February 28, 1995
Date

William R. Docking
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES 2 .

Return your completed statement to the Secretary of State, State House, Topeka, Kansas 66612.