

Approved: 5-5-91
Date

MINUTES OF THE HOUSE COMMITTEE ON APPROPRIATIONS.

The meeting was called to order by Chairperson George Teagarden at 2:20 p.m. on April 1, 1991 in room 514-S of the Capitol.

All members were present except: Representatives Helgerson, Blumenthal, Hochhauser, Goossen, and Pottorff (all excused).

Committee staff present:

Ellen Piekalkiewicz, Legislative Research Department
Debra Duncan, Legislative Research Department
Jim Wilson, Revisor of Statutes
Susan Miller, Administrative Aide
Sue Krische, Committee Secretary

Conferees appearing before the committee:

Others attending: see attached list.

SB 141 - Appropriations for FY92, Department of Corrections, correctional facilities.

DEPARTMENT OF CORRECTIONS

Representative Adam reviewed the FY91 and FY92 subcommittee reports (Attachment 1). Representative Adam moved adoption of the FY91 subcommittee report on the Department of Corrections. Representative Lowther seconded. Motion carried. The subcommittee noted the Department has a comprehensive plan for the computer system expansion which should receive favorable consideration from the House Computers, Communication and Technology Committee. The pilot project to study the effectiveness of parole officers with smaller caseloads was a suggestion of the Subcommittee. Representative Adam moved adoption of the FY92 subcommittee report on the Department of Corrections. Representative Kline seconded. Motion carried.

TOPEKA CORRECTIONAL FACILITY (Attachment 2)

Representative Adam moved adoption of the FY91 and FY92 subcommittee reports on the Topeka Correctional Facility in which the House subcommittee concurred with the Senate and the Governor. Representative Hensley seconded. Motion carried.

HUTCHINSON CORRECTIONAL FACILITY

Representative Adam presented the FY91 and FY92 subcommittee reports (Attachment 3). Representative Adam moved adoption of the FY91 and FY92 subcommittee reports on Hutchinson Correctional Facility. Representative Hensley seconded. Motion carried.

LANSING CORRECTIONAL FACILITY

Representative Hensley reviewed the FY91 and FY92 subcommittee reports (Attachment 4). Staff noted the R and S medium security dormitories located on the floodplain at Lansing will have to be closed under the court order involving the elimination of 25 positions. Representative Hensley moved adoption of the FY91 and FY92 subcommittee reports on Lansing Correctional Facility. Representative Adam seconded. Motion carried.

ELLSWORTH CORRECTIONAL FACILITY

Representative Hensley presented the FY91 and FY92 subcommittee reports (Attachment 5). Representative Chronister asked if expenditures for food and clothing were reviewed by the Subcommittee throughout the system. Staff advised the Governor reduced funding in both those areas and the Subcommittee decided not to go below the Governor in that funding. Representative Hensley moved adoption of the FY91 subcommittee report on the Ellsworth Correctional Facility. Representative Adam seconded. Motion carried. Representative Hensley moved adoption of the FY92 subcommittee report on Ellsworth Correctional Facility. Representative Lowther seconded. Motion carried.

WINFIELD CORRECTIONAL FACILITY

Representative Hensley presented the FY91 and FY92 subcommittee reports (Attachment 6). Representative Hensley moved adoption of the FY91 subcommittee report on Winfield Correctional Facility. Representative Kline seconded. Motion carried. Representative Lowther indicated Department of Corrections officers supervise work details of 5 or more inmates. The Department of Corrections policy is that work details of less than five are supervised by civilians. Representative Hensley moved adoption of the FY92 subcommittee

CONTINUATION SHEET

MINUTES OF THE HOUSE COMMITTEE ON APPROPRIATIONS, room 514-S Statehouse, at 2:20 p.m.
on April 1, 1991.

report on Winfield Correctional Facility. Representative Kline seconded. Motion carried.

NORTON CORRECTIONAL FACILITY

Representative Kline reviewed the FY91 and FY92 subcommittee reports (Attachment 7). Representative Kline moved adoption of the FY91 and FY92 subcommittee reports on the Norton Correctional Facility. Representative Hensley seconded. Motion carried.

EL DORADO CORRECTIONAL FACILITY

Representative Kline reviewed the FY92 subcommittee report (Attachment 8). Representative Kline moved adoption of the FY92 subcommittee report. Representative Lowther seconded. Motion carried.

LARNED CORRECTIONAL MENTAL HEALTH FACILITY (Attachment 9)

Representative Kline moved adoption of the FY92 subcommittee report on Larned Correctional Mental Health Facility. Representative Adam seconded. Motion carried.

Representative Patrick suggested that possible savings could be realized by financing the indebtedness on the correctional facilities through KDFFA rather than by PMIB loans. In order to amend the Department of Corrections report, Representative Wisdom moved to reconsider Committee action on the FY92 subcommittee report on the Department of Corrections. Representative Vancrum seconded. Motion carried. Representative Patrick made a substitute motion to conceptually amend the FY92 subcommittee report on the Department of Corrections to direct the Department to investigate refinancing the PMIB loan for the indebtedness on the correctional facilities through KDFFA if it will save money and is feasible and to adopt the FY92 subcommittee report on the Department of Corrections, as amended. Representative Dean seconded. Substitute motion carried.

Representative Adam moved that SB 141, as amended, be recommended favorably for passage. Representative Hensley seconded. Motion carried.

Chairman Teagarden requested a report from Representative Adam and the Corrections subcommittee on SB 146 which would authorize the DOC to charge employed parolees and offenders on conditional release a supervision fee of \$7 per month. The fee is to be used to fund employment counselors in the state's five parole regions. Provision is made for fee deferral in hardship cases. The bill is proposed to increase employment services for parolees and persons on conditional release. Jim Wilson, Revisor of Statutes, explained technical amendments needed to the bill to reference the fee collected as an "employment generation fee" and to clarify the deposit of the excess funds collected in the treasury to be credited to the State General Fund. Representative Adam moved adoption of the technical amendments to SB 146. Representative Hensley seconded. Motion carried. Representative Adam moved that SB 146, as amended, be recommended favorably for passage. Representative Kline seconded. Motion carried. Staff noted that should this bill be enacted the five positions will be an item for Omnibus.

INTRODUCTION OF BILLS

Representative Wisdom requested introduction of a bill concerning the Kansas Civil Service Act relating to unclassified service at KUMC. Representative Wisdom moved introduction of the bill. Representative Chronister seconded. Motion carried.

Representative Chronister moved that the minutes of March 6 (2 meetings) and March 7, 1991 (2 meetings) be approved as presented. Representative Wisdom seconded. Motion carried.

The meeting was adjourned at 3:30 p.m. The next meeting is scheduled for Tuesday, April 2 at 1:30 p.m. in 514-S.

SUBCOMMITTEE REPORT

Agency: Department of Corrections

Bill No. 340

Bill Sec. 10

Analyst: Mills

Analysis Pg. No. 556

Budget Page No. 144

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 54,926,643	\$ 52,341,061	\$ --
Aid to Local Units	10,848,742	10,848,742	--
Other Assistance	1,565,472	1,565,472	--
Subtotal -- Operating	<u>\$ 67,340,857</u>	<u>\$ 64,755,275</u>	<u>\$ --</u>
Capital Improvements	5,497,075	6,047,075	--
	<u><u>\$ 72,837,932</u></u>	<u><u>\$ 70,802,350</u></u>	<u><u>\$ --</u></u>
State General Fund:			
State Operations	\$ 46,060,148	\$ 43,503,617	\$ --
Aid to Local Units	10,623,742	10,623,742	--
Other Assistance	1,565,472	1,565,472	--
Subtotal -- Operating	<u>\$ 58,249,362</u>	<u>\$ 55,692,831</u>	<u>\$ --</u>
Capital Improvements	3,756,910	3,756,910	--
	<u><u>\$ 62,006,272</u></u>	<u><u>\$ 59,449,741</u></u>	<u><u>\$ --</u></u>
FTE Positions:			
DOC	772.0	691.5	--
SCCB	2.0	2.0	--

Agency Request/Governor's Recommendation

The agency's revised FY 1991 estimate totals \$67,340,857 for operating expenditures. The agency's revised estimate for aid to local units of government for community corrections and conservation camp grants is \$10,848,742, compared to the approved budget of \$10,671,516. The FY 1991 budget request also includes \$1,565,472 for the settlement of a class action lawsuit by corrections officers. The agency estimate for FY 1991 also includes \$10,969,520 (All Funds) for various capital improvement projects and debt service. The agency requests FY 1991 supplemental funding of \$5,514,750 (SGF) for the following programs: Central Management for communication and data processing expenses (\$102,881); the Honor Camps for food, inmate pay, and supplies (\$28,421); Inmate Medical and Mental Health Care for medical costs which exceed the \$10,000 cap (\$820,000); Community Services to reduce the shrinkage rate from 8.2 percent to 1.5 percent (\$28,314); and operating expenditures for the new El Dorado Correctional Facility (\$4,535,134 and 347.5 FTE positions) based upon the anticipation that two of the housing units will be opened on June 1, 1991.

The Governor's recommendation for operating expenditures in FY 1991 is \$64,755,275, which is a reduction of \$2,585,582 from the agency revised estimate. The reductions are found in salaries (\$996,114), contractual services (\$219,237), commodities (\$19,861), capital outlay (\$1,201,000),

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Attachment 1

and debt service (\$171,370). Of the recommended operating expenditure, \$55,692,831 is from the State General Fund. The Governor also recommends \$10,848,742 in aid to local units for Community Corrections Act grants (\$10,259,242) and an operating grant for the Labette County CCC Camp (\$589,500). The Governor also recommends a total of \$11,431,959 for various capital improvement projects and debt service. The Governor recommends FY 1991 supplemental funding of \$3,257,108 for the following programs: Central Management for communication and data processing expenses (\$88,690); the Honor Camps for other operating expenditures (\$22,044); Inmate Medical and Mental Health Care to pay for the hospitalization of inmates who exceed the contractual cap (\$693,350); and operating expenditures for the new El Dorado Correctional Facility (\$2,453,024 and an additional 214.0 FTE positions).

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation, with the following adjustments:

1. Shift of \$90,000 (SGF) for a salaries and wages pool to be expended at the discretion of the Secretary of Corrections at the various correctional facilities to reduce shrinkage requirements. Of the total recommended \$40,000 is to be used to finance a salary shortfall at the El Dorado and Toronto Correctional Work Facilities. The additional \$90,000 is offset by salary savings of \$90,000 which amount is lapsed in the Central Management program in the DOC Central Office.

Senate Committee Recommendation

The Senate Committee concurs with the Senate Subcommittee recommendation with the following adjustment:

1. Addition of a proviso for the salaries and wages pool to require that such funds be used only for the salaries and wages of Security staff.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 91</u>	<u>Senate Rec. FY 91</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ --	\$ 52,341,061	\$ --
Aid to Local Units	--	10,848,742	--
Other Assistance	--	1,565,472	--
Subtotal -- Operating	\$ --	\$ 64,755,275	\$ --
Capital Improvements	--	6,047,075	--
	<u>\$ --</u>	<u>\$ 70,802,350</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ --	\$ 43,503,617	\$ --
Aid to Local Units	--	10,623,742	--
Other Assistance	--	1,565,472	--
Subtotal -- Operating	\$ --	\$ 55,692,831	\$ --
Capital Improvements	--	3,756,910	--
	<u>\$ --</u>	<u>\$ 59,449,741</u>	<u>\$ --</u>
FTE Positions:			
DOC	--	691.5	--
SCCB	--	2.0	--

House Subcommittee Recommendation

The House Subcommittee concurs with the Senate recommendation, with the following adjustments:

1. Deletion of the proviso on the \$90,000 in the salaries and wages pool which restricts such funding for use only for Security staff. The House Subcommittee believes that the Secretary should have greater discretion in utilizing this funding. Also, shift of \$40,000 of the salaries and wages pool directly to the El Dorado and Toronto Correctional Work Facilities to meet a shortfall in salaries and wages, thus retaining \$50,000 in the general salaries and wages pool.
2. A technical adjustment to the appropriation bill to create a fee fund, inmate benefit fund, and a canteen fund at the new El Dorado Correctional Facility in FY 1991.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Solbach III

Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Department of Corrections

Bill No. 141

Bill Sec. 2

Analyst: Mills

Analysis Pg. No. 556

Budget Page No. 144

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 64,458,545	\$ 53,959,652	\$ 1,249,953
Aid to Local Units	18,744,739	11,584,106	--
Other Assistance	200,000	--	--
Subtotal -- Operating	\$ 83,403,284	\$ 65,543,758	\$ 1,249,953
Capital Improvements	6,907,420	6,077,420	--
TOTAL	\$ 90,310,704	\$ 71,621,178	\$ 1,249,953
State General Fund:			
State Operations	\$ 55,815,259	\$ 45,282,365	\$ 667,430
Aid to Local Units	18,744,739	11,584,106	--
Other Assistance	200,000	--	--
Subtotal -- Operating	\$ 74,759,998	\$ 56,866,471	\$ 667,430
Capital Improvements	4,907,420	3,077,420	--
TOTAL	\$ 79,667,418	\$ 59,943,891	\$ 667,430
FTE Positions:			
DOC	542.0	426.5	10.0
SCCB	2.0	2.0	--

* Excludes amounts reserved for employee compensation.

NOTE: The above table reflects the agency request and Governor's recommendation excluding the funding for the new El Dorado and Larned facilities (which the Senate Subcommittee recommends be established as separate budget entities). The narrative below discusses the original recommendation of the Governor, which included funding for the two new facilities in the Department's budget.

Agency Request/Governor's Recommendation

The agency's operating budget request for FY 1992 totals \$100,846,714 an increase of \$33,505,857 over the FY 1991 revised estimate. In state operations, the agency requests funding of \$7,203,153 for 328.0 new positions: 211.5 for the Larned Correctional Mental Health Facility (\$4,408,533); 19.0 for the Honor Camps (\$359,940); 1.0 for the Office of the Secretary (\$17,376); 1.0 for Data Processing (\$26,616); 79.5 for Parole Services (\$1,928,988); 1.0 for Inmate Programs (\$31,176); 2.0 for Community Corrections (\$55,872); 4.0 for Programs Administration (\$204,366); and 9.0 for the Osawatomie Correctional Facility (\$170,286). The Department requests \$17,344,739 for aid to local units of government for the Community Corrections Act grants to counties, and

\$1,400,000 for the Labette County Correctional Conservation Camp. For capital improvements and debt service in FY 1992, the Department requests \$13,007,000, of which \$11,007,000 is from the State General Fund. Of the capital improvement and debt service request, \$2,000,000 is for major maintenance and rehabilitation of facilities, \$1,900,000 is for roof repair at facilities, and \$9,107,000 is for debt service on the following projects: the new Larned and El Dorado facilities (\$7,377,966), the Ellsworth Correctional Facility (\$1,553,818), and the renovated Wichita Work Release Facility (\$175,216).

The Governor's recommendation for operating expenditures in FY 1992 is \$80,990,174, which is a reduction of \$19,856,540 from the agency request. The reductions are found in salaries (\$4,828,009), contractual services (\$4,039,405), commodities (\$209,461), capital outlay (\$3,493,230), aid to local units (\$7,160,633), and claims (\$200,000), with an increase in debt service (\$74,198). Of the recommended operating expenditure, \$72,312,887 is from the State General Fund. The Governor recommends the addition of 262.0 FTE new positions in FY 1992: 183.0 new staff for the Larned Correctional Mental Health Facility, 78.0 additional staff for the El Dorado Correctional Facility, and 1.0 new Corrections Manager position for Inmate Programs in the central office. The Governor also recommends \$11,584,106 in aid to local units for Community Corrections Act grants (\$10,358,606) and an operating grant for the Labette County CCC Camp (\$1,225,500). The Governor also recommends a total of \$12,251,198 for various capital improvement projects and debt service. The projects include major maintenance and rehabilitation projects (\$3.0 million), and \$9,251,198 is for debt service on the following projects: the new El Dorado facility (\$5,738,822), the new Larned facility (\$1,635,376), the Ellsworth Correctional Facility (\$1,713,000), and the Wichita Work Release Facility (\$164,000). The Governor's recommendation for FY 1992 includes a reserve of funds for step movement, longevity bonuses, unclassified merit pool, and a cost-of-living increase; but these items are excluded from the detailed expenditures estimates for each agency.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation, with the following adjustments:

1. Addition of \$150,000 (SGF) to partially restore funding for the college education programs for inmates at the various facilities. The agency had requested \$236,302 for college programs; the Governor recommended no funding for these programs in FY 1992.
2. Addition of \$150,000 (SGF) for a salary and wages pool to be expended at the discretion of the Secretary of Corrections to meet any shortfalls in salaries at the various correctional facilities.
3. Addition of \$367,430 (SGF) and 10.0 FTE Parole Officer I positions to assist the Department in meeting the increased caseloads. The current parole officer caseload coverage is 75; the ten additional positions would reduce the caseload to an average of 66.
4. Addition of \$582,523 (EDIF) to provide the Department with enhanced computer hardware (an upgrade of the existing AS/400 machine) and additional software. The Department had requested \$2.1 million in FY 1992 for the computer upgrade; the Governor recommended an additional \$50,000 for enhanced data

processing. The Senate Subcommittee believes that the Department needs to expand the existing computer system to satisfy its information processing needs and inmate tracking system to allow for the efficient processing of inmates. Also, implementation of the sentencing guidelines will place additional demands on the Department's computer system. The Senate Subcommittee recommends EDIF funding for the expansion. If the House Committee develops an alternative source of funding (such as certificates of participation), the Senate Subcommittee would support the alternative funding method.

5. The Senate Subcommittee concurs with the Governor's recommendation to transfer \$50,000 from the Correctional Industries Fund to the State General Fund. The 1989 Legislature approved the transfer of \$1,305,138 from the State General Fund to the Correctional Industries Fund to assist in the startup of new industries programs at the new Hutchinson and Ellsworth facilities. During the 1990 Session, the Conference Committee which reviewed the Department's budget included language which recommended that the payback to the General Fund be made over a five-year period, beginning in FY 1991, and that \$105,138 be transferred in FY 1991, with additional annual transfers of \$300,000 over the next four ensuing fiscal years. The transfer of \$105,138 was made in FY 1991 by Sub. H.B. 2624. A representative of Kansas Correctional Industries stated that, because of reduced balances in the industries fund and the demands of starting the new industries, a transfer of \$300,000 would cause a dangerously low ending balance. The Senate Subcommittee recommends that a minimum of \$50,000 (which is about 0.5 percent of total industries fund expenditures) be transferred each year. The amount of the transfer should be reviewed each year by the appropriate Committees, in view of the ending balances in the fund each year.
6. Reduction of \$200,000 (CIBF) for rehabilitation and repair projects (for a total expenditure of \$2.8 million) in order to leave an ending balance of \$108,649 in the Correctional Institutions Building Fund in FY 1992.
7. Appropriation of the recommended budget of the Larned Correctional Mental Health Facility and the El Dorado Correctional Facility as separate state institutions, rather than as programs within the budget of the Department of Corrections.

Senate Committee Recommendation

The Senate Committee concurs with the Senate Subcommittee recommendations, with the following adjustments:

1. Deletion of \$582,523 (EDIF) and addition of \$582,523 (SGF) to provide for the computer equipment recommended in Item No. 4 above. In addition, the Senate Committee recommends that the Senate Subcommittee meet again with the Secretary of Corrections to develop a more precise estimate of the funding needed to provide for the computer system enhancements and to consider alternative funding sources.

2. Addition of \$500,000 (SGF) to provide for a total of \$650,000 for the salaries and wages pool recommended in Item No. 2 above which is to be expended at the discretion of the Secretary, with a proviso that such funds are to be used only for salaries and wages of Security staff.
3. The Senate Committee discussed the issue of the variation of caseloads among community corrections staff (25), parole officers (65-80), and court services officers (200-300). The Committee is aware that the interim Judiciary Committee has asked the Kansas Sentencing Commission to conduct a study of the feasibility of consolidating court services, community corrections, and parole services. The Senate Committee supports the Sentencing Commission's efforts to complete such a study prior to the start of the 1992 Session.

Senate Committee of the Whole Recommendation

The Senate Committee of the Whole concurs with the Senate Committee recommendation, with the following adjustment:

1. Addition of \$305,550 (State General Fund) to provide total FY 1992 funding of \$888,073 to finance a computer system expansion project for the KDOC computer system. Total cost of the five-year expansion project is estimated at \$1,996,138. The total cost is to be financed through \$524,075 in operating costs in FY 1992 and \$1,472,063 from the Kansas Development Finance Authority, to be repaid over FY 1992 through FY 1996. The total funding of \$888,073 in FY 1992 is composed of \$524,075 for operating costs (including training, programming conversion, software design and implementation, maintenance, and staff travel), and \$363,998 for the first year's annual payment to KDFFA.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 2,055,503	\$ 56,015,155	\$ (739,358)
Aid to Local Units	--	11,584,106	--
Other Assistance	--	--	--
Subtotal -- Operating	<u>\$ 2,055,503</u>	<u>\$ 67,599,261</u>	<u>\$ (739,358)</u>
Capital Improvements	(200,000)	5,877,420	--
TOTAL	<u><u>\$ 1,855,503</u></u>	<u><u>\$ 73,476,681</u></u>	<u><u>\$ (739,358)</u></u>
State General Fund:			
State Operations	\$ 2,055,503	\$ 47,337,868	\$ (739,358)
Aid to Local Units	--	11,584,106	--
Other Assistance	--	--	--
Subtotal -- Operating	<u>\$ 2,055,503</u>	<u>\$ 58,921,974</u>	<u>\$ (739,358)</u>
Capital Improvements	--	3,077,420	--
TOTAL	<u><u>\$ 2,055,503</u></u>	<u><u>\$ 61,999,394</u></u>	<u><u>\$ (739,358)</u></u>
FTE Positions:			
DOC	10.0	436.5	5.0
SCCB	--	2.0	--

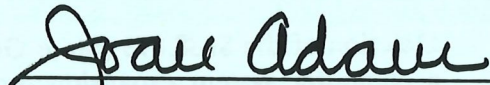
House Subcommittee Recommendations

The House Subcommittee concurs with the Senate recommendation, with the following adjustments:

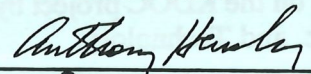
1. Deletion of the \$888,073 (State General Fund) which the Senate had added for a computer system expansion project for the KDOC computer system. The House Subcommittee recommends deletion of this funding, pending a recommendation on the KDOC project by the House Committee on Computers, Communications, and Technology.
2. Reduction of \$35,000 (State General Fund), per Governor's Budget Amendment No. 1, in the amount appropriated for debt service on the PMIB loan for the new El Dorado Correctional Facility. The original calculation was based on an interest rate of 8.0 percent; the revised calculation uses an interest rate of 7.48 percent on the PMIB loan payment.
3. Addition of \$183,715 (State General Fund) and 5.0 FTE Parole Officer I positions to be used in a pilot project under which the caseloads of these officers would be kept at 50 randomly-assigned cases per officer. The Department of Corrections should monitor this pilot project to determine the impact of caseloads of 50 on cost effectiveness, parole violation rates, and other related performance measures. The results of the pilot project will be reported to the

appropriate Subcommittees during the 1992 Session. The House Subcommittee encourages the DOC to clearly communicate to the other Parole Officers that those 5.0 new positions are a pilot project undertaken at the direction of the Legislature, in order to eliminate any morale problems among the officers who will be carrying the higher caseloads. These 5.0 positions are in addition to the 10.0 new positions added by the Senate.

4. The House Subcommittee notes that the Governor's recommendation for FY 1992 included no funding for college education programs for inmates, although the agency had requested \$236,302 for these programs. The Senate added \$150,000 for inmate college programs in FY 1992. The House Subcommittee recommends that the Secretary of Corrections attempt to find savings in the \$11.3 million recommended for inmate programs in order to fund college programs at the requested level of \$236,302.
5. Deletion of the proviso on the \$650,000 in the salaries and wages pool which restricts such funding for use only for Security staff. The House Subcommittee believes that the Secretary should have greater discretion in utilizing this funding.
6. The House Subcommittee received testimony on S.B. 146, who would require individuals under parole supervision to pay a monthly supervision fee of \$7 upon becoming employed. Moneys would be deposited in an Employed Parolees Fee Fund and would be used to fund one position in each of the five parole regions. Those positions would function to assist parolees in obtaining and maintaining employment and to support job development and increased employment opportunities. The Department estimates that such a fee would generate \$221,000 annually, which could fund 5.0 FTE Parole Officer II positions. The House Subcommittee recommends enactment of S.B. 146, with an amendment to term the fee an "employment generation fee." Also, an adjustment to the appropriation bill to appropriate the new fee fund as a "no limit" fund in FY 1992.



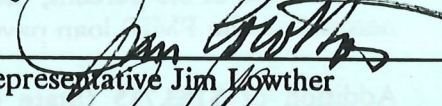
 Representative Joan Adam
 Subcommittee Chair



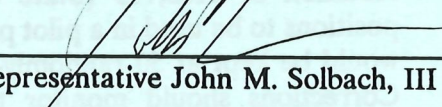
 Representative Anthony Hensley



 Representative Phil Kline



 Representative Jim Lowther



 Representative John M. Solbach, III

SUBCOMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Mills

Analysis Pg. No. 579

Budget Page No. 558

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 11,871,787	\$ 11,635,331	\$ --
Capital Improvements	193,723	193,723	--
TOTAL	<u>\$ 12,065,510</u>	<u>\$ 11,829,054</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ 11,838,284	\$ 11,601,828	\$ --
Capital Improvements	193,723	193,723	--
TOTAL	<u>\$ 12,032,007</u>	<u>\$ 11,795,551</u>	<u>\$ --</u>
FTE Positions:			
TCF-E	239.0	239.0	--
TCF-W	92.8	92.8	--
TOTAL	<u>331.8</u>	<u>331.8</u>	<u>--</u>

Note: Topeka Correctional Facility is the new institutional title resulting from the consolidation of the Topeka Correctional Facility-East (the former State Reception and Diagnostic Center and the Kansas Correctional-Vocational Training Center) and the Topeka Correctional Facility-West (the former Forbes Correctional Facility and the Topeka Correctional Facility located at Topeka State Hospital), per 1990 S.B. 748 and further directives of the Secretary of Corrections.

Agency Request/Governor's Recommendation

The agency's FY 1991 estimated total expenditures are \$12,065,510, which is \$299,270 above the approved budget of \$11,766,240. This increase is attributed to the agency request for supplemental funding of \$105,547 and the estimated expenditure of \$193,723 for capital improvement projects funded from the major maintenance funds of the Department of Corrections. The agency requests FY 1991 supplemental funding of \$105,547 to meet a shortfall in salaries and wages funding; the agency attributes this shortfall to excessive shrinkage (5.9 percent) which was budgeted in FY 1991. The agency assumes an average daily inmate population (ADP) of 630 in FY 1991, reflecting the consolidation of the four facilities into one administrative unit. The agency includes funds for 331.8 FTE positions in FY 1991, the same number as originally approved by the 1990 Legislature. The agency estimates expenditures from the General Fees Fund of \$33,503 in FY 1991.

The Governor's recommendation in FY 1991 is \$11,829,054, a reduction of \$236,456 from the agency estimate. The reductions are found in salaries and wages (\$220,956), contractual

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Attachment 2

services (\$9,090), and commodities (\$6,410). The salaries and wages recommendation of \$9,650,852 will support 331.8 FTE positions, the number currently authorized. The Governor's recommendation supports an ADP of 630 inmates and the 331.8 positions authorized. The Governor does not recommend any supplemental funding.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1991.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

Expenditure Summary	Senate Adj. FY 91	Senate Rec. FY 91	House Subcommittee Adjustments
All Funds:			
State Operations	\$ --	\$ 11,635,331	\$ --
Capital Improvements	--	193,723	--
TOTAL	\$ --	\$ 11,829,054	\$ --
State General Fund:			
State Operations	\$ --	\$ 11,601,828	\$ --
Capital Improvements	--	193,723	--
TOTAL	\$ --	\$ 11,795,551	\$ --
FTE Positions:			
TCF-E	--	239.0	--
TCF-W	--	92.8	--
TOTAL	--	331.8	--

House Subcommittee Recommendation

The House Subcommittee concurs with the Senate recommendation for FY 1991.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Solbach III

Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Topeka Correctional Facility

Bill No. 141

Bill Sec. 3

Analyst: Mills

Analysis Pg. No. 579

Budget Page No. 558

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 12,447,528	\$ 11,833,382	\$ --
Capital Improvements	--	--	--
TOTAL	<u>\$ 12,447,528</u>	<u>\$ 11,833,382</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ 12,427,528	\$ 11,813,382	\$ --
Capital Improvements	--	--	--
TOTAL	<u>\$ 12,427,528</u>	<u>\$ 11,813,382</u>	<u>\$ --</u>
FTE Positions:			
TCF-E	238.0	238.0	--
TCF-W	90.8	90.8	--
TOTAL	<u>328.8</u>	<u>328.8</u>	<u>--</u>

* Excludes amounts reserved for employee compensation.

Note: Topeka Correctional Facility is the new institutional title resulting from the consolidation of the Topeka Correctional Facility-East (the former State Reception and Diagnostic Center and the Kansas Correctional-Vocational Training Center) and the Topeka Correctional Facility-West (the former Forbes Correctional Facility and the Topeka Correctional Facility located at Topeka State Hospital), per 1990 S.B. 748 and further directives of the Secretary of Corrections.

Agency Request/Governor's Recommendation

For FY 1992, the agency requests \$12,447,528 for operating expenditures. The requested funding for the budget is almost entirely from the State General Fund (99.8 percent), with the agency's General Fees Fund budgeted at \$20,000. The FY 1992 request includes 328.8 FTE positions, a decrease of 3.0 FTE from the current level of 331.8. The agency budget would support an ADP of 630, the same level as in FY 1991. No capital improvement projects are requested.

The Governor's recommendation in FY 1992 is \$11,833,382, a reduction of \$614,146 from the agency request. The reductions are found in salaries and wages (\$444,995), contractual services (\$26,652), commodities (\$54,572), and capital outlay (\$87,927). The net change from the current year to the budget year is an increase of \$4,328. The recommendation for FY 1992 supports an ADP of 630 and 328.8 positions, a reduction of 3.0 positions from the approved level in FY 1991.

The Governor's recommendation for FY 1992 includes a reserve of funds for step movement, longevity bonuses, unclassified merit pool, and a cost-of-living increase; but these items are excluded from the detailed expenditure estimates for each agency.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1992.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ --	\$ 11,833,382	\$ --
Capital Improvements	--	--	--
TOTAL	\$ --	\$ 11,833,382	\$ --
State General Fund:			
State Operations	\$ --	\$ 11,813,382	\$ --
Capital Improvements	--	--	--
TOTAL	\$ --	\$ 11,813,382	\$ --
FTE Positions:			
TCF-E	--	238.0	--
TCF-W	--	90.8	--
TOTAL	--	328.8	--

House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate for FY 1992.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Solbach III

Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Hutchinson Correctional Facility

Bill No. 340

Bill Sec. 9

Analyst: Mills

Analysis Pg. No. 589

Budget Page No. 322

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 20,138,976	\$ 19,677,215	\$ --
Capital Improvements	1,739,803	1,139,803	--
TOTAL	\$ 21,878,779	\$ 20,817,018	\$ --
State General Fund:			
State Operations	\$ 19,912,986	\$ 19,451,225	\$ --
Capital Improvements	95,233	95,233	--
TOTAL	\$ 20,008,219	\$ 19,546,458	\$ --
FTE Positions:			
HCF	385.0	385.0	--
HCWF	146.0	146.0	--
TOTAL	531.0	531.0	--

Note: Hutchinson Correctional Facility (HCF) is the new institutional title resulting from the consolidation of the Kansas State Industrial Reformatory (KSIR) and the Hutchinson Correctional Work Facility (HCWF), per 1990 S.B. 748 and further directives of the Secretary of Corrections. Current usage appears to refer to the former KSIR as HCF-Central and the former HCWF as HCF-East.

Agency Request/Governor's Recommendation

The agency's FY 1991 revised operating expenditures estimate is \$20,138,976, which is \$10,990 above the approved amount of \$20,127,986. This difference is attributed to an increase of \$8,974 in the agency's General Fees Fund and the receipt of \$2,016 in federal library funds. The revised FY 1991 budget is based on an average daily inmate population (ADP) of 1,510, which is 28 less than the original approved level of 1,538. The budget will support 531.0 FTE positions, which is the approved level for FY 1991.

The Governor's recommendation for operating expenditures in FY 1991 is \$19,677,215, which is \$461,761 below the agency revised estimate. The reductions are found in salaries (\$414,155), contractual services (\$5,504), and commodities (\$42,102). The Governor's recommendation supports an average daily inmate population of 1,510 and 531.0 FTE positions.

HA
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Attachment 3

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1991, with the following observations:

1. The Senate Subcommittee notes that the Governor's recommendation for FY 1991 is a reduction of \$461,761 from the agency revised estimate; the Subcommittee does not recommend any additional reductions in FY 1991 below the Governor's recommendation.
2. The Senate Subcommittee believes that the shrinkage rate set in the Governor's recommendation is artificially high and may hinder the safe and secure operation of the institution. The agency requested a shrinkage rate of 3.4 percent (\$537,459) but the Governor's recommendation sets shrinkage at 4.5 percent (\$708,867). The Warden stated that 17 positions will have to be kept vacant for four months to meet this shrinkage rate.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

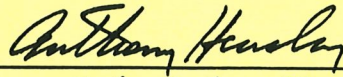
<u>Expenditure Summary</u>	<u>Senate Adj. FY 91</u>	<u>Senate Rec. FY 91</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ --	\$ 19,677,215	\$ --
Capital Improvements	--	1,139,803	--
TOTAL	<u>\$ --</u>	<u>\$ 20,817,018</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ --	\$ 19,451,225	\$ --
Capital Improvements	--	95,233	--
TOTAL	<u>\$ --</u>	<u>\$ 19,546,458</u>	<u>\$ --</u>
FTE Positions:			
HCF	--	385.0	--
HCWF	--	146.0	--
TOTAL	<u>--</u>	<u>531.0</u>	<u>--</u>

House Subcommittee Recommendation

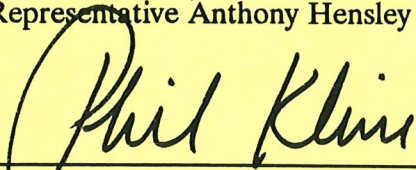
The House Subcommittee concurs with the Senate recommendation for FY 1991.



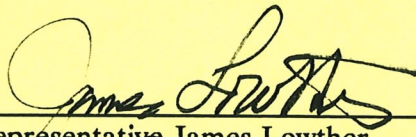
Representative Joan Adam
Subcommittee Chair



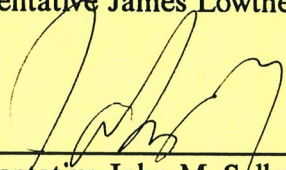
Representative Anthony Hensley



Representative Phil Kline



Representative James Lowther



Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Hutchinson Correctional Facility

Bill No. 141

Bill Sec. 4

Analyst: Mills

Analysis Pg. No. 589

Budget Page No. 322

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 22,313,369	\$ 19,823,598	\$ --
Capital Improvements	182,641	182,641	--
TOTAL	<u>\$ 22,496,010</u>	<u>\$ 20,006,239</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ 22,048,369	\$ 19,563,598	\$ --
Capital Improvements	182,641	--	--
TOTAL	<u>\$ 22,231,010</u>	<u>\$ 19,563,598</u>	<u>\$ --</u>
FTE Positions:			
HCF	422.0	385.0	--
HCWF	144.0	137.0	--
TOTAL	<u>566.0</u>	<u>522.0</u>	<u>--</u>

* Excludes amounts reserved for employee compensation.

Note: Hutchinson Correctional Facility (HCF) is the new institutional title resulting from the consolidation of the Kansas State Industrial Reformatory (KSIR) and the Hutchinson Correctional Work Facility (HCWF), per 1990 S.B. 748 and further directives of the Secretary of Corrections. Current usage appears to refer to the former KSIR as HCF-Central and the former HCWF as HCF-East.

Agency Request/Governor's Recommendation

The agency requests \$22,313,369 for operating expenditures in FY 1992. Funding for state operations is requested almost entirely from the State General Fund (\$22,048,369). State General Fund resources are requested to be increased by \$2,135,383 or 10.7 percent. The General Fees Fund of the agency is requested at \$265,000. The FY 1992 request contains funding for 566.0 FTE positions (422.0 at HCF-Central and 144.0 at HCF-East), a net increase of 35.0 over the 531.0 approved for the current year. The agency estimates an average daily inmate population of 1,365 for FY 1992 (965 at HCF-Central and 400 at HCF-East), a decrease of 145 from the revised ADP level of 1,510 for FY 1991.

The Governor's recommendation for state operations in FY 1992 is \$19,823,598 or \$2,489,771 less than the agency requested. The reductions are found in salaries (\$1,834,112), contractual services (\$6,040), commodities (\$171,362), and capital outlay (\$478,257). The Governor's recommendation reflects the policy of the Secretary of Corrections to combine the Hutchinson Correctional Facility and the Hutchinson Correctional Work Facility into one institution. The recommendation for FY 1992 supports an average daily inmate population of 1,365 and 522.0 FTE positions. The Governor recommends the elimination of 9.0 positions. The positions eliminated are

in Administration (5.0), Security (1.0), Laundry and Supply (1.0), and Classification and Records (2.0). The Governor's recommendation for FY 1992 includes a reserve of funds for step movement, longevity bonuses, unclassified merit pool, and a cost-of-living increase; but these items are excluded from the detailed expenditure estimates for each agency.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1992, with the following observation:

1. The Senate Subcommittee believes that the shrinkage rate set in the Governor's recommendation is artificially high and may hinder the safe and secure operation of the institution. The agency requested a shrinkage rate of 2.3 percent (\$405,918) but the Governor's recommendation sets shrinkage at 4.0 percent (\$634,730). The Warden stated that 12 positions will have to be kept vacant to meet this shrinkage rate.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ --	\$ 19,823,598	\$ --
Capital Improvements	--	182,641	--
TOTAL	\$ --	\$ 20,006,239	\$ --
State General Fund:			
State Operations	\$ --	\$ 19,563,598	\$ --
Capital Improvements	--	--	--
TOTAL	\$ --	\$ 19,563,598	\$ --
FTE Positions:			
HCF	--	385.0	--
HCWF	--	137.0	--
TOTAL	--	522.0	--

House Subcommittee Recommendation

The House Subcommittee concurs with the Senate recommendation, with the following observation:

1. The House Subcommittee notes that the Governor's recommendation for maintenance materials is a reduction of \$53,142 in FY 1992, which may require future adjustments by the 1992 Legislature. The House Subcommittee also notes that the Governor's recommendation for food in FY 1992 is a reduction of \$31,859 from the agency request of \$1,395,389, and is apparently based on a reduction of the facility's food inventory from a three-month supply of food items to a two-month supply.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Solbach III

Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Lansing Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Mills

Analysis Pg. No. 611

Budget Page No. 398

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 28,215,869	\$ 27,518,149	\$ --
Capital Improvements	4,305,864	4,305,864	--
TOTAL	<u>\$ 32,521,733</u>	<u>\$ 31,824,013</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ 28,178,869	\$ 27,481,149	\$ --
Capital Improvements	123,079	123,079	--
	<u>\$ 28,301,948</u>	<u>\$ 27,604,228</u>	<u>\$ --</u>
FTE Positions	754.5	754.5	--

Agency Request/Governor's Recommendation

The agency's FY 1991 estimated operating expenditures are \$28,215,869, which is \$258,877 above the approved operating budget of \$27,956,992. The agency request includes a State General Fund supplemental appropriation of \$332,745 in FY 1991, of which the entire amount is for salaries and wages. For FY 1991, the agency assumes an average daily inmate population (ADP) of 1,800, a reduction of 26 from the actual ADP of 1,826 for FY 1990. The budget is based on 754.5 FTE positions.

The Governor's recommendation in FY 1991 is \$27,518,149, a reduction of \$697,720 from the agency estimate. The reductions are found in salaries and wages (\$604,262) and contractual services (\$93,458). The salaries and wages recommendation of \$20,639,260 will support 754.5 FTE positions, the number currently authorized. The Governor's recommendation supports an ADP of 1,800 inmates and the 754.5 positions authorized. The Governor does not recommend any funding for the supplemental funding requested.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1991.

Senate Committee Recommendation

No change.

HA
4-1-91
Attachment 4

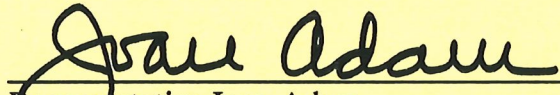
Senate Committee of the Whole Recommendation

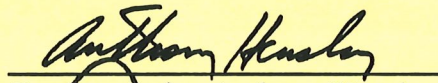
No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 91</u>	<u>Senate Rec. FY 91</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ --	\$ 27,518,149	\$ --
Capital Improvements	--	4,305,864	--
TOTAL	<u>\$ --</u>	<u>\$ 31,824,013</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ --	\$ 27,481,149	\$ --
Capital Improvements	--	123,079	--
	<u>\$ --</u>	<u>\$ 27,604,228</u>	<u>\$ --</u>
FTE Positions	--	754.5	--

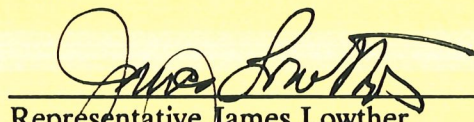
House Subcommittee Recommendation

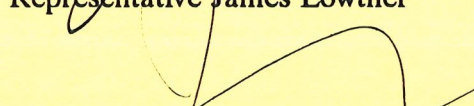
The House Subcommittee concurs with the Senate recommendation for FY 1991.


 Representative Joan Adam
 Subcommittee Chair


 Representative Anthony Hensley


 Representative Phil Kline


 Representative James Lowther


 Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Lansing Correctional Facility

Bill No. 141

Bill Sec. 5

Analyst: Mills

Analysis Pg. No. 611

Budget Page No. 398

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 29,815,921	\$ 26,256,907	\$ 80,000
Capital Improvements	4,436,900	3,508,784	--
TOTAL	\$ 34,252,821	\$ 29,765,691	\$ 80,000
State General Fund:			
State Operations	\$ 29,665,921	\$ 26,106,907	\$ 80,000
Capital Improvements	1,165,000	--	--
TOTAL	\$ 30,830,921	\$ 26,106,907	\$ 80,000
FTE Positions	785.5	719.5	--

* Excludes amounts reserved for employee compensation.

Agency Request/Governor's Recommendation

For FY 1992, the agency requests \$29,815,921 for state operations. Funding for state operations of \$29,665,921 is requested from the State General Fund, which finances 99.5 percent of the operating budget. The FY 1992 request includes funding for 785.5 FTE positions, an increase of 31.0 over the 754.5 approved for FY 1991. The net increase of 31.0 positions is the result of the request for 40.0 new positions and the abolition of 9.0 existing positions (7.0 in Security and 2.0 in Classification and Records.) The FY 1992 request is based on an ADP of 1,502, a reduction of 298 from the 1,800 estimated in FY 1991. The FY 1992 request also contains \$1,165,000 from the State General Fund and \$3,271,900 from the Correctional Institutions Building Fund for capital improvement projects. (Staff Note: The amount of \$3,512,800 from the CIBF was appropriated by the 1989 Legislature as part of a multiyear project to construct a new steam generating plant at the institution.)

The Governor's state operations recommendation in FY 1992 is \$26,256,907, a reduction of \$3,559,014 from the agency request. The reductions are found in salaries and wages (\$2,354,297), contractual services (\$155,659), commodities (\$192,077), and capital outlay (\$856,981). The net change from the current year to the budget year is a decrease of \$1,261,242 or 4.6 percent. The recommendation for FY 1992 supports an ADP of 1,502 and 719.5 positions. No funding for new positions is recommended. The Governor's recommendation for FY 1992 would eliminate 35.0 FTE existing positions by reducing 27.0 in Security, 3.0 in Food Service, and 5.0 in Classification and Records.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1992, with the following additional recommendations:

1. Addition of \$80,000 (SGF) in salaries funding to assist the facility in meeting the 5.0 percent shrinkage rate set in the Governor's recommendation; the funding was shifted from the Norton Correctional Facility in FY 1991 as the result of salary savings at Norton.
2. The Senate Subcommittee requested that the Secretary of Corrections develop several options for shifting inmates to more efficiently utilize the Department's facilities. The Secretary presented two options for consideration by the Senate Subcommittee. Option No. 1 involved the following elements: (a) "mothballing" the Osawatomie Correctional Facility, but providing work details for Hillsdale Lake and the Miami County area out of the Lansing Correctional Facility and shifting some of the Osawatomie staff to the Lansing facility; (b) construction of a 200-bed dormitory at the former KCIL site to provide housing for a total of 400 minimum security male inmates (funding of some \$900,000 for the dormitory is available from the earlier construction project at KCIL); (c) moving about 36 minimum and medium custody female inmates from the Lansing site to the Topeka Correctional Facility; and (d) leaving about 40 female inmates at Lansing (the maximum security females and a contingent for the Zephyr/Heatron enterprises. Option No. 2 presented by the Secretary involved the following elements: (a) moving the approximately 36 minimum and medium female inmates from Lansing to the Topeka Correctional Facility; (b) remodeling the housing units at the former KCVTC site by adding toilet facilities to allow for double celling of the female inmates in Topeka; (c) moving maximum security female inmates to the Topeka Correctional Facility; (d) leaving a female contingent at Lansing for the Zephyr/Heatron operation; and (e) expenditure of approximately \$15,000 to \$45,000 for renovation of the former-KCVTC site for the female inmates. It would appear that there exist several ways in which to operate the correctional system in a more efficient fashion and that the system can accommodate additional inmates if the Secretary is given the flexibility to shift staff positions, other operating expenditures, and programs funding among the facilities. The Senate Subcommittee endorses providing additional flexibility to the Secretary of Corrections to allow for more efficient operation of the correctional system.
3. Shift from FY 1992 to FY 1993 of \$271,900 of the \$3,271,900 (CIBF) which was previously appropriated for the new steam generating plant, in order to leave an ending balance of \$108,649 in the Correctional Institutions Building Fund in FY 1992. The Senate Subcommittee notes that H.B. 2011, which has passed the House, will divert half of the 10 percent of the State Gaming Revenues Fund which is transferred to the Correctional Institutions Building Fund to a juvenile detention facilities fund. The Senate Subcommittee expresses a concern about reducing by half the amount of funding that is available for repair and rehabilitation of the Kansas correctional facilities.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
All Funds:			
State Operations	\$ 80,000	\$ 26,336,907	\$ --
Capital Improvements	(271,900)	3,236,884	--
TOTAL	<u>\$ (191,900)</u>	<u>\$ 29,573,791</u>	<u>\$ --</u>
State General Fund:			
State Operations	\$ 80,000	\$ 26,186,907	\$ --
Capital Improvements	--	--	--
TOTAL	<u>\$ 80,000</u>	<u>\$ 26,186,907</u>	<u>\$ --</u>
FTE Positions	--	719.5	--

House Subcommittee Recommendation

The House Subcommittee concurs with the Senate recommendation with the following adjustments:

1. The House Subcommittee notes that Senate Subcommittee recommendation No. 3 above shifts some \$271,900 of the \$3,271,900 (CIBF) previously appropriated for the new steam generating plant from FY 1992 to FY 1993. The House Subcommittee is also aware that H.B. 2455 would increase the percent of funding from the State Gaming Revenues Fund to the CIBF from 10 percent to 15 percent. Should H.B. 2455 or a bill substantially similar be enacted, the House Subcommittee recommends that the funding for the steam plant be restored in FY 1992, by either conference committee action or during Omnibus review.
2. The House Subcommittee notes that the Governor's recommendation for capital outlay for the Lansing facility is \$391,860 in FY 1991 and \$344,870 in FY 1992, compared to the agency request of \$391,860 in FY 1991 and \$1,201,851 in FY 1992. The House Subcommittee recommends that expenditures for capital outlay be monitored by future subcommittees to ensure that such funding is at a level adequate to permit the institution to acquire and retain accreditation by the American Correctional Association.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Sobach III

Representative John M. Sobach III

SUBCOMMITTEE REPORT

Agency: Ellsworth Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Mills

Analysis Pg. No. 632

Budget Page No. 202

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 7,355,436	\$ 7,210,256	\$ --
Special Revenue Fund	20,000	20,000	--
TOTAL	<u>\$ 7,375,436</u>	<u>\$ 7,230,256</u>	<u>\$ --</u>
FTE Positions	185.5	185.5	--

Agency Request/Governor's Recommendation

The Ellsworth Correctional Facility, which was originally approved by the 1986 Legislature, is currently operational with a capacity of 516 inmates. The facility was constructed through a 15-year lease/purchase agreement with the Ellsworth Public Building Commission, and later with the Kansas Development Finance Authority; the approved project budget was \$19.8 million. As of November 30, 1990, 508 inmates were being housed at the facility. The agency estimate for FY 1991 is \$7,375,436, an amount which is \$227 below the approved budget of \$7,375,663. The amount of \$40,726 is being requested as a current year supplemental appropriation to finance a shortfall in salaries funding in the Security program which the agency attributes to excessive shrinkage and the 1.75 percent reduction approved in FY 1991. The agency revised estimate will continue to support the 185.5 FTE positions currently approved and assumes an average daily inmate population of 490 for FY 1991.

The Governor's recommendation in FY 1991 is \$7,230,256, a reduction of \$145,180 from the agency estimate. The reductions are found in salaries and wages (\$113,688) and contractual services (\$31,492). The salaries and wages recommendation of \$5,318,165 will support 185.5 FTE positions, the number currently authorized. The Governor's recommendation supports an ADP of 490 inmates and the 185.5 positions authorized. The Governor does not recommend any supplemental funding in FY 1991.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1991, with the following observations:

1. The Senate Subcommittee learned during its review of the Ellsworth Correctional Facility budget for FY 1991 that the FY 1991 budget approved by the 1990 Legislature was based on an average daily inmate population (ADP) of 516, while the revised agency estimate assumes an ADP of 490 in FY 1991, a reduction of

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Attachment 5

26 from the budgeted level. The Governor's recommendation for FY 1991 is a reduction of \$145,180 from the revised agency estimate; the Governor's reductions are found in salaries (\$113,688) and contractual services (\$31,492). Budget staff of the Department of Corrections stated that the facility shifted \$40,726 from other operating expenditures to salaries in order to reduce shrinkage (of which \$39,575 was subsequently deleted by the Governor's recommendation to increase shrinkage to 3.5 percent), and that the actual ADP so far this year is 502.

- The Senate Subcommittee is aware that the agency requested a turnover rate of 2.7 percent (\$153,312) for FY 1991 and that the Governor's recommendation sets the turnover rate at 3.5 percent (\$192,887). The Senate Subcommittee was told by the Warden that staff positions, including Security staff positions, would have to be held open to meet this level of turnover savings. The Senate Subcommittee expresses the concern that the policy of leaving sensitive Security positions vacant in order to generate salary savings is detrimental to the safety and security of the institution and of the general public. Therefore, other methods such as furloughs or other personnel control measures must be developed and implemented.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

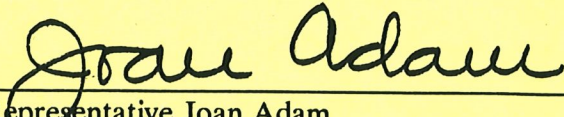
<u>Expenditure Summary</u>	<u>Senate Adj. FY 91</u>	<u>Senate Rec. FY 91</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 7,210,256	\$ --
Special Revenue Fund	--	20,000	--
TOTAL	<u>\$ --</u>	<u>\$ 7,230,256</u>	<u>\$ --</u>
FTE Positions	--	185.5	--

House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate, with the following exception:

- The House Subcommittee does not concur with the language in Senate Subcommittee Recommendation No. 2 above which states that "other methods

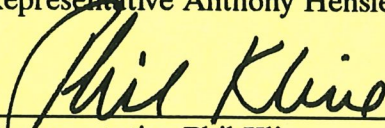
such as furloughs or other personnel control measures must be developed and implemented." The House Subcommittee is unclear as to what "other personnel control measures" could be undertaken to generate salary savings. The House Subcommittee believes that the corrections managers should be free to manage the facilities in the manner they feel to be most efficient and, therefore, the House Subcommittee endorses the Senate recommendation that a salaries and wages pool be appropriated for the Secretary of Corrections to aid the facilities in meeting salary needs.



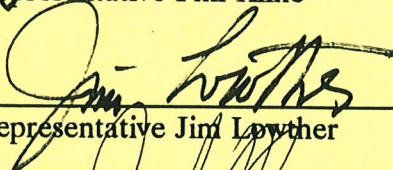
Representative Joan Adam
Subcommittee Chair



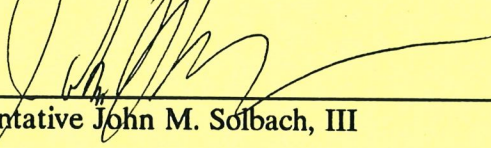
Representative Anthony Hensley



Representative Phil Kline



Representative Jim Loyther



Representative John M. Solbach, III

SUBCOMMITTEE REPORT

Agency: Ellsworth Correctional Facility

Bill No. 141

Bill Sec. 6

Analyst: Mills

Analysis Pg. No. 632

Budget Page No. 202

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 8,300,655	\$ 7,378,480	\$ --
Special Revenue Fund	12,500	12,500	--
TOTAL	<u>\$ 8,313,155</u>	<u>\$ 7,390,980</u>	<u>\$ --</u>
FTE Positions	207.5	185.5	--

* Excludes amounts reserved for employee compensation.

Agency Request/Governor's Recommendation

The agency request for FY 1992 for state operations totals \$8,313,155, of which \$8,300,655 is from the State General Fund and \$12,500 is from the agency's General Fees Fund. The FY 1992 request contains salaries and wages funding of \$6,264,111 which would support 207.5 FTE positions, an increase of 22.0 FTE positions over the 185.5 approved for FY 1991. The budget is based on an average daily inmate population of 516, an increase of 26 over the ADP of 490 now estimated for FY 1991. The Department of Corrections indicates that, according to preliminary estimates, a total of \$1,553,818 will be needed for debt service in FY 1992 on the bonds issued for this facility. Funding in that amount is requested in the budget of the Department of Corrections.

The Governor's recommendation in FY 1992 is \$7,390,980, a reduction of \$922,175 from the agency request. The reductions are found in salaries and wages (\$796,263), contractual services (\$36,336), commodities (\$4,281), and capital outlay (\$45,295). The net change from the current year to the budget year is an increase of \$160,724 or 2.2 percent. The recommendation for FY 1992 supports an ADP of 516 and 185.5 positions. No funding for new positions is recommended. The Governor's recommendation does include funding of \$1,713,000, in the budget of the Department of Corrections, for debt service on the outstanding bonds. The Governor's recommendation for FY 1992 includes a reserve of funds for step movement, longevity bonuses, unclassified merit pool, and a cost-of-living increase; but these items are excluded from the detailed expenditure estimates for each agency.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1992, with the following observations:

1. The Senate Subcommittee is aware that the agency requested a turnover rate of 1.2 percent (\$73,939) for FY 1992 and that the Governor's recommendation sets the turnover rate at 3.5 percent (\$198,316) in the budget year. The Senate Subcommittee was told by the Warden that 9.0 FTE staff positions, including Security staff positions, would have to be held open to meet this level of turnover savings. The Senate Subcommittee expresses the concern that the policy of leaving sensitive Security positions vacant in order to generate salary savings is detrimental to the safety and security of the institution and of the general public. Therefore, other methods such as furloughs or other personnel control measures must be developed and implemented.

2. The Senate Subcommittee notes that the current practice at the Ellsworth Correctional Facility provides off-grounds inmate work details to the City of Ellsworth, Kanopolis Lake, and Wilson Lake which are supervised by KDOC officers. At some other facilities, such work details are supervised by employees of the local units which are benefitting from the inmate labor; such employees are trained by KDOC trainers prior to undertaking such supervision. The Senate Subcommittee recommends that the work details at Ellsworth be supervised by employees of the local unit (or Wildlife and Parks) to which the inmate labor is provided. This change would then allow the additional KDOC officers to remain in the facility as additional security staff.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 7,378,480	\$ --
Special Revenue Fund	--	12,500	--
	<u>\$ --</u>	<u>\$ 7,390,980</u>	<u>\$ --</u>
FTE Positions	--	185.5	--

House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate, with the following exceptions:

5-5

1. The House Subcommittee does not concur with the language in Senate Subcommittee Recommendation No. 1 above which states that "other methods such as furloughs or other personnel control measures must be developed and implemented." The House Subcommittee is unclear as to what "other personnel control measures" could be undertaken to generate salary savings. The House Subcommittee believes that the corrections managers should be free to manage the facilities in the manner they feel to be most efficient and, therefore, the House Subcommittee endorses the Senate recommendation that a salaries and wages pool be appropriated for the Secretary of Corrections to aid the facilities in meeting salary needs.
2. The House Subcommittee recommends that the Department of Corrections modify its policies regarding off-grounds inmate work details to require that the entity benefitting from the work details (city, county, or state agency) be required to reimburse the Department for the salaries of the DOC employees who supervise the work details. This practice of reimbursement is currently used for several of the work details and should be expanded to all other facilities. The House Subcommittee believes that this practice of using DOC staff to supervise inmate crews, with reimbursement for the supervisors' salaries, is preferable to allowing other non-DOC employees to supervise the crews.
3. The House Subcommittee notes that the Governor's recommendation for food in FY 1992 is a reduction of \$36,496 from the agency request of \$584,399, and is apparently based on a reduction of the facility's food inventory from a three-month to a two-month supply.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

Jim Lowther

Representative Jim Lowther

Representative John M. Solbach, III

SUBCOMMITTEE REPORT

Agency: Winfield Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Mills

Analysis Pg. No. 624

Budget Page No. 616

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 3,568,000	\$ 3,464,392	\$ --
Special Revenue Fund	4,500	4,500	--
TOTAL	<u>\$ 3,572,500</u>	<u>\$ 3,468,892</u>	<u>\$ --</u>
FTE Positions	103.0	103.0	--

Agency Request/Governor's Recommendation

The agency's FY 1991 estimated expenditures for state operations are \$3,572,500, which is equal to the original approved budget. The agency's estimate is based on an average daily inmate population of 240, which is 50 less than the ADP of 290 as originally approved by the 1990 Legislature.

The Governor's recommendation in FY 1991 is \$3,468,892, a reduction of \$103,608 from the agency estimate. The reductions are found in salaries and wages (\$87,828), contractual services (\$2,900), and commodities (\$12,880). The salaries and wages recommendation of \$2,833,750 will support 103.0 FTE positions, the number currently authorized. The Governor's recommendation supports an ADP of 240 inmates.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1991, with the following observations:

1. The Senate Subcommittee learned during its review of the Winfield Correctional Facility budget for FY 1991 that the FY 1991 budget approved by the 1990 Legislature was based on an average daily inmate population (ADP) of 290, while the revised agency estimate assumes an ADP of 240 in FY 1991, a reduction of 50 from the budgeted level. The Governor's recommendation for FY 1991 is a reduction of \$103,608 from the revised agency estimate; the Governor's reductions are found in salaries (\$87,828) and other operating expenditures (\$15,780). Budget staff of the Department of Corrections stated that the facility shifted \$28,500 from other operating expenditures to salaries in order to reduce shrinkage (which was subsequently deleted by the Governor's recommendation to increase shrinkage to 4.0 percent) and that the actual ADP so far this year is 251.

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Attachment 6*

2. The Senate Subcommittee is aware that the agency requested a turnover rate of 2.2 percent (\$65,838) for FY 1991 and that the Governor's recommendation sets the turnover rate at 4.0 percent (\$118,073). The Senate Subcommittee was told by the Warden that staff positions, including Security staff positions, would have to be held open to meet this level of turnover savings. The Senate Subcommittee expresses the concern that the policy of leaving sensitive Security positions vacant in order to generate salary savings is detrimental to the safety and security of the institution and of the general public. Therefore, other methods such as furloughs or other personnel control measures must be developed and implemented.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 91</u>	<u>Senate Rec. FY 91</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 3,464,392	\$ --
Special Revenue Fund	--	4,500	--
TOTAL	<u>\$ --</u>	<u>\$ 3,468,892</u>	<u>\$ --</u>
FTE Positions	--	103.0	--

House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate, with the following exception:

1. The House Subcommittee does not concur with the language in Senate Subcommittee Recommendation No. 2 above which states that "other methods such as furloughs or other personnel control measures must be developed and implemented." The House Subcommittee is unclear as to what "other personnel control measures" could be undertaken to generate salary savings. The House Subcommittee believes that the corrections managers should be free to manage the facilities in the manner they feel to be most efficient and, therefore, the House Subcommittee endorses the Senate recommendation that a salaries and wages pool be appropriated for the Secretary of Corrections to aid the facilities in meeting salary needs.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

Jim Lowther

Representative Jim Lowther

John M. Solbach, III

Representative John M. Solbach, III

SUBCOMMITTEE REPORT

Agency: Winfield Correctional Facility

Bill No. 141

Bill Sec. 7

Analyst: Mills

Analysis Pg. No. 624

Budget Page No. 616

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 3,991,705	\$ 3,622,094	\$ --
Special Revenue Fund	4,500	4,500	--
TOTAL	\$ 3,996,205	\$ 3,626,594	\$ --
FTE Positions	110.0	103.0	--

* Excludes amounts reserved for employee compensation.

Agency Request/Governor's Recommendation

For FY 1992, the agency requests a total of \$3,996,205, of which \$3,190,766 is for salaries and wages and \$805,439 is for other operating expenditures. Funding for state operations is requested at \$3,991,705 from the State General Fund, and \$4,500 from the agency's General Fees Fund. The FY 1992 request would support 110.0 FTE positions, an increase of 7.0 FTE positions over the 103.0 positions approved for FY 1991. The agency proposes an average daily inmate population of 290, the same ADP as originally approved for FY 1991.

The Governor's recommendation in FY 1992 is \$3,626,594, a reduction of \$369,611 from the agency request. The reductions are found in salaries and wages (\$268,946), contractual services (\$3,680), commodities (\$45,457), and capital outlay (\$51,528). The net change from the current year to the budget year is an increase of \$157,702 or 4.5 percent. The recommendation for FY 1992 supports an ADP of 290 and 103.0 positions. No funding for new positions is recommended. The Governor's recommendation for FY 1992 includes a reserve of funds for step movement, longevity bonuses, unclassified merit pool, and a cost-of-living increase; but these items are excluded from the detailed expenditure estimates for each agency.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1992, with the following observations:

1. The Senate Subcommittee is aware that the agency requested a turnover rate of 3.4 percent (\$111,445) for FY 1992 and that the Governor's recommendation sets the turnover rate at 4.0 percent (\$121,743) in the budget year. The Senate Subcommittee was told by the Warden that staff positions, including Security staff positions, would have to be held open to meet this level of turnover savings.

The Senate Subcommittee expresses the concern that the policy of leaving sensitive Security positions vacant in order to generate salary savings is detrimental to the safety and security of the institution and of the general public. Therefore, other methods such as furloughs or other personnel control measures must be developed and implemented.

2. The Senate Subcommittee notes that the current practice at the Winfield Correctional Facility provides off-grounds inmate work details to the city of Winfield, the city of Oxford, Cowley County, and KDOT, some of whom are supervised by employees of the local units and some of whom are supervised by KDOC officers. At some other facilities, such work details are supervised by employees of the local units which are benefitting from the inmate labor; such employees are trained by KDOC trainers prior to undertaking such supervision. The Senate Subcommittee recommends that all of the work details at Winfield be supervised by employees of the local units (or KDOT) to which the inmate labor is provided. This change would then allow the additional KDOC officers to remain in the facility as additional security staff.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 3,622,094	\$ --
Special Revenue Fund	--	4,500	--
TOTAL	<u>\$ --</u>	<u>\$ 3,626,594</u>	<u>\$ --</u>
FTE Positions	--	103.0	--

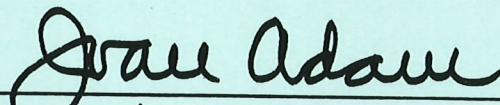
House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate, with the following exceptions:

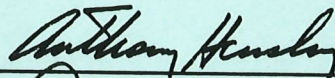
1. The House Subcommittee does not concur with the language in Senate Subcommittee Recommendation No. 1 above which states that "other methods such as furloughs or other personnel control measures must be developed and

implemented." The House Subcommittee is unclear as to what "other personnel control measures" could be undertaken to generate salary savings. The House Subcommittee believes that the corrections managers should be free to manage the facilities in the manner they feel to be most efficient and, therefore, the House Subcommittee endorses the Senate recommendation that a salaries and wages pool be appropriated for the Secretary of Corrections to aid the facilities in meeting salary needs.

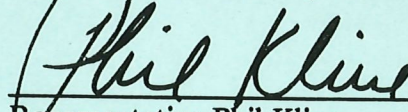
2. The House Subcommittee recommends that the Department of Corrections modify its policies regarding off-grounds inmate work details to require that the entity benefitting from the work details (city, county, or state agency) be required to reimburse the Department for the salaries of the DOC employees who supervise the work details. This practice of reimbursement is currently used for several of the work details and should be expanded to all other facilities. The House Subcommittee believes that this practice of using DOC staff to supervise inmate crews, with reimbursement for the supervisors' salaries, is preferable to allowing other non-DOC employees to supervise the crews.
3. The House Subcommittee notes that the Governor's recommendation for clothing is a reduction of \$10,000 in FY 1991 and of \$20,247 in FY 1992, which may require future adjustments by the 1992 Legislature. The House Subcommittee also notes that the Governor's recommendation for food in FY 1992 is a reduction of \$12,493 from the agency request of \$328,046, and is apparently based on a reduction of the facility's food inventory from a three-month supply of food items to a two-month supply.



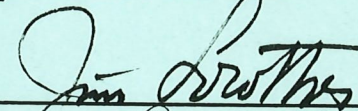
Representative Joan Adam
Subcommittee Chair



Representative Anthony Hensley



Representative Phil Kline



Representative Jim Lowther



Representative John M. Solbach, III

SUBCOMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. --

Bill Sec. --

Analyst: Mills

Analysis Pg. No. 601

Budget Page No. 436

<u>Expenditure Summary</u>	<u>Agency Req. FY 91</u>	<u>Gov. Rec. FY 91</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 9,541,109	\$ 9,380,163	\$ (80,000)
Special Revenue Fund	43,000	44,447	--
TOTAL	<u>\$ 9,584,109</u>	<u>\$ 9,424,610</u>	<u>\$ (80,000)</u>
FTE Positions:			
Norton	210.0	210.0	--
Stockton	42.0	42.0	--

Agency Request/Governor's Recommendation

The agency's FY 1991 revised operating expenditures estimate is \$9,584,109, which is equal to the budget approved by the 1990 Legislature. The revised FY 1991 budget is based on an average daily inmate population (ADP) of 560 (466 at Norton and 94 at Stockton). The budget will support 252.0 FTE positions (210.0 at Norton and 42.0 at Stockton), which is the approved staffing level for FY 1991.

The Governor's recommendation in FY 1991 is \$9,424,610, a reduction of \$159,499 from the agency estimate. The reductions are found in salaries and wages (\$150,146), contractual services (\$3,800), and commodities (\$7,000), with an offsetting increase in capital outlay (\$1,447). The salaries and wages recommendation is \$7,131,663 will support 252.0 FTE positions, the number currently authorized. The Governor's recommendation supports an ADP of 466 inmates.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1991, with the following adjustment:

1. Shift \$80,000 in salaries funding from the Norton Correctional Facility in FY 1991 to the Lansing Correctional Facility to use in FY 1992 to meet the shrinkage rate recommended by the Governor. The Warden at Norton stated that the funding represents salary savings due to vacant positions.

Senate Committee Recommendation

No change.

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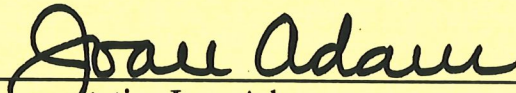
Senate Committee of the Whole Recommendation


No change.

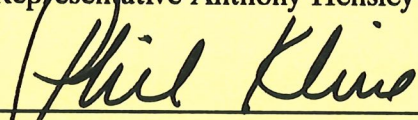
<u>Expenditure Summary</u>	<u>Senate Adj. FY 91</u>	<u>Senate Rec. FY 91</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ (80,000)	\$ 9,300,163	\$ --
Special Revenue Fund	--	44,447	--
TOTAL	<u>\$ (80,000)</u>	<u>\$ 9,344,610</u>	<u>\$ --</u>
FTE Positions:			
Norton	--	210.0	--
Stockton	--	42.0	--

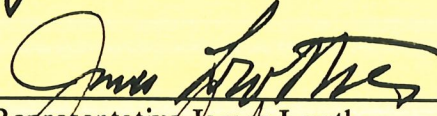
House Subcommittee Recommendation

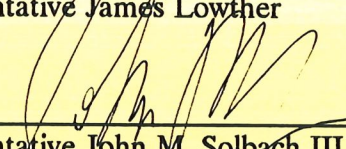
The House Subcommittee concurs with the Senate recommendation for FY 1991.


 Representative Joan Adam
 Subcommittee Chair


 Representative Anthony Hensley


 Representative Phil Kline


 Representative James Lowther


 Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Norton Correctional Facility

Bill No. 141

Bill Sec. 8

Analyst: Mills

Analysis Pg. No. 601

Budget Page No. 436

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 11,617,047	\$ 9,747,408	\$ --
Special Revenue Fund	5,000	12,000	--
TOTAL	<u><u>\$ 11,622,047</u></u>	<u><u>\$ 9,759,408</u></u>	<u><u>\$ --</u></u>
FTE Positions:			
Norton	241.0	210.0	--
Stockton	42.0	42.0	--

* Excludes amounts reserved for employee compensation.

Agency Request/Governor's Recommendation

The agency requests \$11,622,047 for operating expenditures in FY 1992, an increase of \$2,037,938 over the FY 1991 agency revised estimate. Of the total requested, \$9,979,530 is for the Norton facility and \$1,642,517 is for the Stockton unit. Funding is requested almost entirely from the State General Fund, with the exception of \$5,000 requested from the agency's General Fees Fund. The FY 1992 request contains funding for 283.0 FTE positions, an increase of 31.0 positions over the 252.0 positions approved for the current year. The agency estimates an average daily inmate population of 594 in FY 1992, an increase of 34 over the 560 ADP in FY 1991.

The Governor's recommendation in FY 1992 is \$9,759,408, a reduction of \$1,862,639 from the agency request. The reductions are found in salaries and wages (\$1,107,854), contractual services (\$24,823), commodities (\$54,240), and capital outlay (\$675,722). The net change from the current year to the budget year is an increase of \$334,798 or 3.6 percent. The recommendation for FY 1992 supports an ADP of 594 and 252.0 positions. No funding for new positions is recommended. The Governor's recommendation for FY 1992 includes a reserve of funds for step movement, longevity bonuses, unclassified merit pool, and a cost-of-living increase; but these items are excluded from the detailed expenditure estimates for each agency.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation for FY 1992.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 9,747,408	\$ --
Special Revenue Fund	--	12,000	--
TOTAL	\$ --	\$ 9,759,408	\$ --
FTE Positions:			
Norton	--	210.0	--
Stockton	--	42.0	--

House Subcommittee Recommendation

The House Subcommittee concurs with the Senate recommendation, with the following observation:

1. The House Subcommittee notes that the agency requested a total of 19.0 FTE new positions in the Security program (in addition to the 138.0 FTE Security positions currently authorized) and that no new positions were recommended by the Governor. As the average daily inmate population at this facility is projected to increase from 560 in FY 1991 to 594 in FY 1992, the House Subcommittee recommends that the necessary staffing level for the Security program be reviewed in the 1992 Session by the appropriate subcommittees.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Solbach III

Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: El Dorado Correctional Facility Bill No. 141 Bill Sec. 9
 Analyst: Mills Analysis Pg. No. 570 Budget Page No. 164

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 12,113,753	\$ 11,330,939	\$ --
FTE Positions	347.5	347.0	--

* Excludes amounts reserved for employee compensation.

Agency Request/Governor's Recommendation

The Department of Corrections requests funding of \$12,113,753 in FY 1992 and \$4,746,372 in FY 1991 for operating expenditures for the new correctional facility at El Dorado. The funding is requested as a program within the budget of the Department of Corrections. Staffing is requested at 347.5 FTE positions in both years. The requested budget for the El Dorado Correctional Facility assumes that two of the five 128-bed housing units will be opened on June 1, 1991 to accept the first inmates. The FY 1992 budget request reflects transition from a 256 inmate occupancy level to the full operating capacity of 640 over the course of FY 1992. The average daily inmate population (ADP) is assumed at 256 in FY 1991 and 431 in FY 1992. The 1989 Legislature approved funding for the construction of a new 640-cell correctional facility (which will be expandable to 1,408 cells) and new mental health facilities. Total project costs were originally estimated at \$72.8 million. The FY 1992 budget request of the Department of Corrections includes \$7,377,966 for debt service on the bonds and the PMIB loan which are financing the construction of the El Dorado and Larned facilities. The 1990 Legislature approved an FY 1991 operating budget of \$211,238 and 55.0 positions for the new facility (within the budget of the Department of Corrections).

The Governor's recommendation for FY 1992 for the El Dorado Correctional Facility is \$11,330,939 and 347.0 FTE positions. The FY 1991 recommendation is \$2,664,262 and 269.0 FTE positions. The Governor recommends FY 1991 supplemental funding of \$2,453,024 and an additional 214.0 positions for the facility (in addition to the budget of \$211,238 and 55.0 positions which were approved by the 1990 Legislature). The FY 1992 recommendation is based on an average daily inmate population of 431 inmates. The Governor's recommendation for FY 1992 includes \$5,738,822 for debt service on the facility. The Governor also recommends debt service funding of \$6,138,200 in FY 1991 for both the El Dorado and the Larned facilities.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation, with the following adjustment:

HA
 4-1-91
 Attachment 8

1. Appropriation of the recommended budget of the El Dorado Correctional Facility as a separate state institution, rather than as a program within the budget of the Department of Corrections.

Senate Committee Recommendation

No change.

Senate Committee of the Whole Recommendation

No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 11,330,939	\$ --
FTE Positions	--	347.0	--

House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate, with the following observation:

1. The House Subcommittee notes that the Governor's recommendation for FY 1992 of \$11.3 million is based on an average daily inmate population of 431 inmates, reflecting the phase-in of the new facility over the course of FY 1992 to reach the operational capacity of 640 inmates. It is to be expected that the FY 1993 budget request will be an increase of at least one-third over the FY 1992 budget.

Joan Adam

Representative Joan Adam
Subcommittee Chair

Anthony Hensley

Representative Anthony Hensley

Phil Kline

Representative Phil Kline

James Lowther

Representative James Lowther

John M. Solbach III

Representative John M. Solbach III

SUBCOMMITTEE REPORT

Agency: Larned Correctional Mental Health Facility

Bill No. 141

Bill Sec. 10

Analyst: Mills

Analysis Pg. No. 573

Budget Page No. 166

<u>Expenditure Summary</u>	<u>Agency Req. FY 92</u>	<u>Gov. Rec. FY 92*</u>	<u>Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ 5,329,677	\$ 4,115,477	\$ --
FTE Positions	211.5	183.0	--

* Excludes amounts reserved for employee compensation.

Agency Request/Governor's Recommendation

The agency request for the new Larned Correctional Mental Health Facility in FY 1992 totals \$5,329,677 and 211.5 FTE positions. The funding is requested as a program within the budget of the Department of Corrections. No funding is requested in FY 1991. The new Larned facility will be a 150-bed correctional facility (with future expansion capabilities to 300 beds) and will be used as a transitional unit for those inmates who are not able to function in the general population of a correctional facility, but who are not in need of acute care or hospitalization. The current schedule for completion of the facility calls for inmate placement to begin in January of 1992. The FY 1992 budget request is a six-month budget (with the exception that some hiring of staff will begin in August and September of 1991). The agency assumes an ADP in FY 1992 of 75 inmates.

The Governor's recommendation for the Larned Correctional Mental Health Facility in FY 1992 is \$4,115,477 and 183.0 FTE positions, a reduction of \$1,214,200 and 28.5 positions from the agency request. No funding is recommended for FY 1991. The Governor's recommendation for FY 1992 is based on an ADP of 75 inmates and a scheduled opening of January 1, 1992. The Governor's recommendation also includes \$1,635,376 for debt service on the facility in FY 1992.

Senate Subcommittee Recommendation

The Senate Subcommittee concurs with the Governor's recommendation, with the following adjustment:

1. Appropriation of the recommended budget of the Larned Correctional Mental Health Facility as a separate state institution, rather than as a program within the budget of the Department of Corrections.

Senate Committee Recommendation

No change.

HA
4-1-91
Attachment 9

Senate Committee of the Whole Recommendation

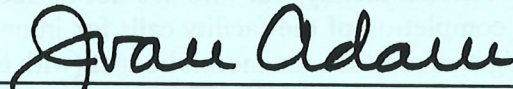
No change.

<u>Expenditure Summary</u>	<u>Senate Adj. FY 92</u>	<u>Senate Rec. FY 92</u>	<u>House Subcommittee Adjustments</u>
State Operations:			
State General Fund	\$ --	\$ 4,115,477	\$ --
FTE Positions	--	183.0	--

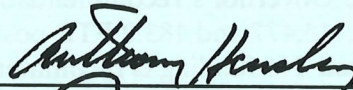
House Subcommittee Recommendation

The House Subcommittee concurs with the recommendation of the Senate, with the following observation:

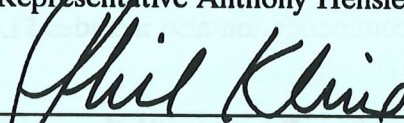
- The House Subcommittee notes that the \$4.1 million recommended by the Governor for FY 1992 is a six-months' budget, covering the operation of the facility from January to June, 1992. The FY 1993 budget request of this facility for a 12-month period will be substantially larger than the FY 1992 budget.



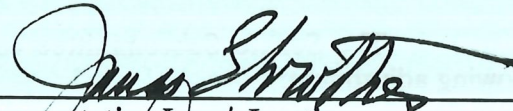
Representative Joan Adam
Subcommittee Chair



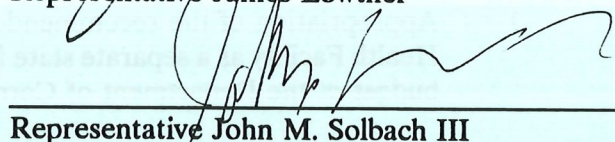
Representative Anthony Hensley



Representative Phil Kline



Representative James Lowther



Representative John M. Solbach III

lcmhf/RM