

Approved _____ Date _____

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS

The meeting was called to order by Senator August "Gus" Bogina at
Chairperson

9:00 a.m./p.m./ on April 22, 1986 in room 123-S of the Capitol.

All members were present except:

Committee staff present:

Research Department: Robin Hunn, Gloria Timmer, Paul West, Laura Howard,
Ray Hauke, Scott Rothe, Alan Conroy

Revisor's Office: Norman Furse

Committee Office: Judy Bromich, Doris Fager

Conferees appearing before the committee:

Barbara Sabol, Secretary, Department of Health and Environment
Dr. Robert Harder, Secretary, Department of Social and Rehabilitation Services
Lt. Bill Jacobs, Kansas Highway Patrol
General Ralph Tice, Adjutant General
Marshall Crowther, Executive Secretary, KPERs
Dick Mills, Secretary, Department of Corrections
Steve Davies, Department of Corrections

OMNIBUS APPROPRIATIONS BILL - Continuation of April 21 deliberations

Department of Transportation

A/C/A
Ms. Hunn explained that the House Committee on Ways and Means has acted upon capital improvements for a highway patrol facility at I-70 and Gage, in Topeka. She stated that this concerns trading property in south Topeka for labor on the proposed facility. Senator Bogina added that the property now used is in a commercial area and traffic in the area is a problem for the Highway Patrol. He said the KHP had taken bids on an even exchange, but that was not possible. Senator Harder remembered that, originally, there was to be a building constructed with no cash involved. Senator Bogina said there was a problem, because the land is worth only \$600,000 and the building will cost \$1.6 million.

There was extended discussion concerning the new highway patrol facility. Senator Harder said the Building Committee had not approved the above proposal. Committee members stressed that they felt that Committee should approve the facility before appropriations are made. It was the consensus of the committee to disapprove the appropriation of \$1 million for the highway patrol building. (This amount had been approved by House Ways and Means)

Ms. Hunn explained that the House Committee had also reduced the appropriation limit on the operations program, based on asphalt prices. This is projected to be a savings of \$1.4 million. No action was taken by the committee on this item.

DEPARTMENT OF HEALTH AND ENVIRONMENT - Ms. Timmer, Analyst

A. House Bill No. 3112 - There was discussion concerning the polychlorinated biphenyl (PCB) disposal, and about HB 3112. Secretary Sabol agreed that PCB should be completely out of the system in a few years. It was noted by members of the committee that there are very few incinerators in the country for disposing of PCB.

Motion was made by Senator Gaines and seconded by Senator Johnston to provide a total of \$25,000 from the State General Fund in FY 1987 for contractual professional consultant services to allow development of rules and regulations relating to HB 3112. The motion carried by voice vote.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS

room 123-S, Statehouse, at 9:00 a.m./p/m. on April 22, 1986

OMNIBUS APPROPRIATIONS BILL - Continued

DEPARTMENT OF HEALTH AND ENVIRONMENT - Continued

B. House Bill No. 2850 - Ms. Timmer explained that the \$125,000 mentioned in the Research Department memorandum needs no action; but the \$22,624 requested for hiring a coordinator for six months does need to be considered. Senator Werts indicated he feels this is a very worthwhile investment. Senators Doyen and Kerr suggested that the salary for the coordinator be taken from the \$125,000. Ms. Timmer reminded the committee that the Hazardous Waste Cleanup Fund has been used to its maximum every year, and that this is a new program. It was decided by the committee to include a proviso in the appropriations bill to note that the salary of the coordinator be taken from the total funding of \$125,000.

House Bill No. 2663 - There was discussion concerning the request for additional funding as a result of passage of this bill. Senator Kerr asked why there was need for a Health Planner and a Secretary II. Ms. Sabol explained that there had been a ruling from the Federal Government that her department cannot use the federally paid person to do this work. She said she is trying to make sure the state-mandated program is funded in a way that is in compliance with the federal grant. She added that applications are stacked up because they cannot be reviewed in a timely fashion.

The committee approved the request in the amount of \$68,730.

D. House Bill No. 2661 - There were numerous questions from committee members concerning implementation of HB 2661, and Secretary Sabol explained the needs of her Department as a result of passage of the bill. It was the consensus of the committee to approve the total request of \$69,546 as noted in the Research Department memorandum.

Motion was made by Senator Gaines and seconded by Senator Johnston to approve hiring a professional physician to supervise the responsibilities of the Department under HB 2661, and added responsibilities (such as epidemiologist and Director of the Division of Health) and to provide approximately \$100,000 from the Health Care Stabilization Fund for this position. The motion carried by voice vote.

E. House Bill No. 3078 (pending) - Because the Conference Committee on HB 3078 had not met, there was no action on the recommendations from the Department in the memorandum presented by the Legislative Research Department.

SB 672 - License Fees for Child Care Facilities - As requested by the Department of Health and Environment, the committee approved increase of a line item of \$67,500 (additional revenue). At this point the line item is \$125,000 and it would be increased by \$67,500.

DEPARTMENT OF ADMINISTRATION - Mr. Hauke, Analyst

A. Senate Bill No. 581 - There was discussion concerning this item, with Senator Werts noting that suggestions from employees were envisioned to save enough money to pay for cash awards given for the suggestions. Senator Bogina suggested there may be need for seed money for the program.

Motion was made by Senator Talkington and seconded by Senator Harder to approve an appropriation of \$10,000 for this purpose. The motion carried by voice vote.

Items B and C needed no action--"B" because it had been previously included by the Senate Committee, and "C" because no action has been taken by the Joint Committee on State Building Construction.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS,

room 123-S, Statehouse, at 9:00 a.m./p.m. on April 22, 1986

OMNIBUS APPROPRIATIONS BILL - Continued

Request from Department of Administration (Attachment B) - Following a brief discussion, the committee approved a total of \$15,036 as requested to finance operating expenditures in the Division of Accounts and Reports related to medicare mandatory withholding by governmental units.

State Aircraft - Secretary Shields proposed issuance of a Certificate of Participation to finance purchase of a new state aircraft. (Attachment B-1) Secretary Shields explained his remarks in Attachment B-1, and answered questions from the committee. Senator Werts asked about the difference in cost of chartering and owning an aircraft. Mr. Shields said it is more expensive to charter aircraft. He said it would be more cost-effective to implement a state aircraft service arrangement instead of having employees travel by automobile. When asked about the cost of operating the aircraft, Mr. Shields said it is self-sustaining except for major overhauls.

Motion was made by Senator Feleciano and seconded by Senator Gannon to approve the request made by the Department of Administration, and to suggest that the Secretary explore the possibility of purchasing a used corporate aircraft instead of purchasing a new one. The motion carried by voice vote.

New Parking Lot - Mr. Shields indicated that, during the Legislative session, there is a shortfall of 1,000 parking slots, and during the remainder of the year the shortfall is 800 slots. He said a proposal is pending in which the City of Topeka will purchase land adjacent to the old Rock Island Station at the north end of Van Buren Street for \$200,000. The state would build a parking lot for 290 cars. The state would have full use of that lot during the legislative session and would have space for 250 cars the rest of the year. The state would contract with the city to provide suitable service from the lot to the Capitol Complex, and the funding would be derived from parking fees.

Mr. Shields continued by stating that parking fees would be increased for state employees on August 1 from \$5 to \$10 per month, and the cost of the parking lot could be financed from that increase. It would be financed over a period of 36 months.

Mr. Shields said there was approval last year for 115 parking spaces by the Judicial Center, but that has since been removed.

There were questions from committee members concerning other options to increase the amount of parking available around the Capitol Complex. Mr. Shields indicated that all options have been considered and some additional parking spaces have been freed by moving motor pool vehicles to another lot. (Mr. Shields provided Attachment B-2, showing a breakdown of income and expenses in the Building and Grounds Fund)

Motion was made by Senator Feleciano and seconded by Senator Talkington to approve the suggestion made by Secretary Shields, which would authorize an expenditure of \$58,657 in FY 1987 from the Building and Grounds Fund to finance payments for a parking agreement with the City of Topeka. The motion carried by voice vote.

Senator Gannon asked if the Secretary of Administration had made a study concerning the use of Department of Corrections inmates to perform janitorial services at the Santa Fe Office Building. Secretary Shields said this has been tried on a limited basis in the past, and it hasn't worked very well.

Clerical Study - For the committee's information, a letter dated April 17, 1986, and signed by Gary L. Stotts, Acting Director of the Budget, was distributed. (Attachment B-3)

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS,
room 123-S, Statehouse, at 9:00 a.m./p.m. on April 22, 1986

OMNIBUS APPROPRIATIONS BILL - Continued

Department of Social and Rehabilitation Services - Mr. Hauke, Analyst

Mr. Hauke referred to previous action taken by the committee for Osawatomie State Hospital and Larned State Hospital, which would provide for an appropriation of \$50,000 for community services to replace programs being deleted at Osawatomie and \$100,000 for community programs in the Larned area. The committee agreed that this action was taken and should be noted accordingly in the SRS budget.

A. Senate Bill No. 670 - There was discussion concerning the contents of SB 670. Dr. Harder said the Advisory Committee (Children and Youth) wants a staff person, but he feels his department can handle the additional duties without additional staff. It was noted that new members on the advisory committee should have some input into the recommendations for future operations. No action was taken on this item.

B. Substitute for House Bill No. 2874 - There was discussion concerning the possible expenditures under provisions of this bill. Dr. Harder was questioned about the estimated expenditure of \$250,000 per instance after an attempted abortion. He said that figure is taken from actual expenditures in the past. He agreed that it would be possible to handle this expenditure by a supplemental appropriation next January. It was the consensus of the committee to reduce the Foster Care budget by \$15,000, due to projected reduced placements; and to take no action on the additional funding which may be needed as a result of passage of SB 670.

C. House Bill No. 2714 - There was a brief discussion concerning this item. Mr. Hauke said the expense would be involved in providing facilities for emergency shelters for juveniles. He said use of Youth Centers might be a possibility in certain areas of the state, but noted that their population fluctuates. No action was taken.

D. House Bill No. 3127 (Pending) - Because this measure is pending, no action was taken on the item.

E. House Bill No. 2658 (Pending) - The committee granted the Department's request for a total of \$18,024 to implement this provision of statute.

F. Governor's Budget Amendment No. 2 - Central Mail - This item was approved by the committee.

G. Governor's Budget Amendment No. 4 - Child Abuse Federal Funding. - The committee agreed with the recommendation by the Governor concerning this item.

Additional Request from Secretary Harder

Dr. Harder explained that the State Board of Education has completed their review of special education at Larned State Hospital and Topeka State Hospital, and it indicates that SRS will have another group of children at both of those facilities who would no longer qualify for categorical aid to education. He would like an adjustment for those populations which they no longer consider. There was a brief discussion concerning this matter, and the estimated cost to SRS. Dr. Harder said he would estimate \$150,000 at each institution. It was agreed that, when this change comes to pass, there should be a reduction in the Special Education appropriation and an increase in the state hospital appropriations for the amount determined to be appropriate at that time.

The meeting was recessed until 1:30 p.m.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS

room 123-S, Statehouse, at 9:00 a.m./p.m. on April 22, 1986.

Afternoon Meeting

OMNIBUS APPROPRIATIONS BILL - Continued

Fish and Game Commission - Mr. West, Fiscal Analyst

A. Senate Bill No. 496 - Following a brief discussion, the committee approved an amount of \$6,070 from the fee fund for FY 1987 to implement the provisions of SB 496.

Additional Request (Attachment B-4)

Following a brief discussion concerning the request in Attachment B-4, the committee approved an expenditure limitation/increase for the Ottawa State Fishing Lake for FY 1986 capital improvements by \$25,000.

Pheasant and Quail restoration - Senator Gaines suggested that the state initiate a program to restore pheasant and quail in the State of Kansas. He suggested that \$100,000 be appropriated from the Institutional Building Fund and that \$100,000 be transferred out of the Fish and Game Commission funds, to build brooder houses to provide work for inmates in correctional institutions, who would be responsible for raising the birds.

There were questions about initiating this program, the possibility of the need for additional legislation, etc. It was decided that no action would be taken until Senator Gaines had presented this suggestion to the Joint Committee on State Building Construction.

State Board of Pharmacy

A. Governor's Budget Amendment No. 4, Item 4 - Attorney Fees. The recommendation by the Governor was approved by the committee.

Department of Economic Development

Items A, B, C, and D were not acted upon at this time, pending additional recommendations from the committees studying the economic development issues.

E. Governor's Budget Amendment No. 4, Item 1 - Kansas Industrial Training Program - There were questions about the recommendation for additional funding for FY 1986 of \$500,000. It was decided that no action would be taken on the recommendation at this time.

Park and Resources Authority - Request for additional funding for El Dorado State Park. - Senator Gaines noted that this would be the end of the program for that park, and in future years other state parks will be funded.

Motion was made by Senator Feleciano and seconded by Senator Gaines to provide an additional appropriation of \$45,000 (approximately) for FY 1987 for Eldorado State Park operations, and to take this amount from the State General Fund. The motion carried by voice vote.

Request for capital improvement projects - These requests were made in a letter to Senator Bogina and Representative Buntin dated April 18, 1986, and signed by Keith A. Springer. It was decided to take no action on this, pending recommendation by the Joint Committee on State Building Construction.

Animal Health Department - Mr. Rothe, Fiscal Analyst

A. Governor's Budget Amendment No. 2 - This recommendation, concerning transfer of cost of capitol complex central mail activity, was approved.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS

room 123-S, Statehouse, at 9:00 a.m./p/m. on April 22, 1986

OMNIBUS APPROPRIATIONS BILL - Continued

Adjutant General

A. Governor's Budget Amendment No. 4, Item 7 - Capital improvements - The committee approved the Governor's recommendation for an increase of \$42,600 in the Military Fees Fund expenditure limitation in FY 1987.

B. Governor's Budget Amendment No. 4, Item 7 - Office Assistant. - The committee approved the increase of \$16,293 in the Military Fees Fund expenditure limitation and an increase of 1 FTE in the agency's position limitation for FY 1987.

Senator Bogina indicated he had a letter from Senator Salisbury requesting reinstatement of Emergency Planner II and a half-time secretarial position in the Adjutant General's budget. There was discussion concerning this issue, including the fact that some federal funds are being eliminated, the agency has cut back on the number of positions, and the Governor eliminated positions in this Department. When asked about this request, General Tice said there are emergency operators who will be maintaining disaster plans and keeping them current, and he can spend the Department's funds to better advantage elsewhere than for the requested positions. No action was taken on the request.

Roof repairs and new construction - General Tice said he will be presenting needs for capital improvements and repairs to the Building Construction Committee at a meeting tomorrow. No action was taken, pending recommendation from that committee.

Kansas Highway Patrol

A. House Bill No. 2752 - There was discussion concerning the Patrol's request for an additional \$184,616 for FY 1987 from the State General Fund to carry out the provisions of HB 2752. Senator Kerr indicated he felt the Highway Patrol needs this help to perform duties relating to drinking drivers. Answering a question by Senator Gannon, Lt. Jacobs said a good program could be initiated if at least half of the funding were approved. The consensus of the committee was to provide 200 portable breath analyzers, 500 disposable mouthpieces, eight cylinders of stabilizing solution, and 400 batteries, at a total cost of \$100,668.

Salary Increase (Attachment C) - Senator Bogina asked if the Highway Patrol will receive the 3% increase the same as other state employees. Mr. Rothe said they would receive it. Senator Doyen stressed that the request before the committee takes care of inequities created by the Legislature last year, and further stated that he was aware these inequities have created morale problems.

Motion was made by Senator Doyen and seconded by Senator Gaines to provide the requested increases, the total amount being \$178,149. The motion carried by voice vote.

Motion was made by Senator Feleciano and seconded by Senator Doyen to also include the Capitol Area Security Patrol in the proposed increase (\$96,423). The motion carried by voice vote.

Request for capital improvements (Attachment D) - It was noted that the second, third and fourth items on Attachment D were approved by the Joint Committee on State Building Construction. There was discussion concerning the use of fiberglass instead of steel for the fuel storage tanks. The Chairman suggested that the Highway Patrol might check the cost of fiberglass and the feasibility of using that material.

Motion was made by Senator Harder and seconded by Senator Feleciano to approve purchase 3 fuel storage tanks totaling \$57,000, to be appropriated from Department of Transportation Highway Funds. The motion carried by voice vote.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS,

room 123-S, Statehouse, at 9:00 a.m./p/m. on April 22, 1986

OMNIBUS APPROPRIATIONS BILL - Continued

Secretary of State

A. Printing Costs - Constitutional Amendments - The committee approved this expenditure, totaling \$75,000, for FY 1987 from the State General Fund.

Youth Center at Topeka - Mr. Rothe, Fiscal Analyst

Senator Winter distributed Attachment E, a supplemental report concerning needs at the Youth Center. Following an extended discussion, motion was made by Senator Winter and seconded by Senator Gannon to provide an addition of \$94,462 in FY 1987 from the State General Fund to provide additional staff for security coverage; and to request that a Legislative Post Audit study be made at YCAT as suggested in the supplemental report. The motion carried by voice vote.

Kansas Public Employees Retirement System - Mr. Conroy, Fiscal Analyst

A. Governor's Budget Amendment No. 2 - Central Mail - The committee approved the Governor's recommendation concerning the item of capitol complex central mail activity.

B. Governor's Budget Amendment No. 3, Item No. 2 - Local Actuary Services
Following a brief discussion, the committee approved an appropriation for FY 1986 of \$6,000 for actuarial valuations of local police and fire pension plans.

C. Governor's Budget Amendment No. 4, Item 2 - School Employer Contributions
There were numerous questions concerning the increase in school employees. Mr. Crowther said that payrolls are submitted to KPERS, but the employees are not separated into classes, so he cannot provide information on the areas which have been increased. It was decided to approve the additional funding recommended in Budget Amendment No. 4 for this purpose.

Also included in Budget Amendment No. 4 are recommended employer contribution rates for FY 1987. Following a period of discussion and questions directed to Mr. Crowther and the fiscal staff, the Chairman asked for a motion. Motion was made by Senator Werts and seconded by Senator Doyen to maintain the school employers' contribution rate at 4 percent, as recommended by the Governor; and to decrease the non-school employers' contribution rate to 3.9 percent, also as recommended by the Governor. The motion carried by voice vote.

Letter from Marshall Crowther (Attachment F)

The first request in Attachment F concerned two positions, with the priority being a position in the accounting section. Motion was made by Senator Gaines and seconded by Senator Feleciano to approve an Account Clerk II position, with an accompanying increase in expenditure limitation of \$16,321. The motion carried by voice vote.

The second request in Attachment F concerned temporary employees needed because of the anticipated increase in early retirement requests as a result of passage of HB 2635. Motion was made by Senator Gaines and seconded by Senator Werts to grant the above request, and to increase the expenditure limitation by \$25,536 for two temporary Clerk III's. The motion carried by voice vote.

Data Processing Services - Staff explained that KPERS has encumbered funds in FY 1986 which will not be expended until FY 1987. According to Mr. Conroy, the House Committee on Ways and Means agreed to insert a proviso which would allow the agency to expend funds specifically for DISC services and hardware from one year to the other.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS,

room 123-S Statehouse, at 9:00 a.m./p.m. on April 22, 1986

OMNIBUS APPROPRIATIONS BILL - Continued

Motion was made by Senator Feleciano and seconded by Senator Gaines to eliminate the proviso included by the House Committee, and to increase the FY 1987 expenditure limitation instead. The motion carried by voice vote.

Kansas State Penitentiary

A. Governor's Budget Amendment No. 3, Item No. 8 - It was noted by staff that the roofing project was originally requested for FY 1987. Senator Harder indicated that the Building Construction Committee was convinced it should be escalated. The recommendation was approved.

Kansas Correctional Institution at Lansing

A. Governor's Budget Amendment No. 4, Item No. 8 - Inmate Medical Costs. There were questions concerning the increase in medical costs. Mr. Conroy said there have been additional illnesses in FY 1986 and a number of pregnancies. The item was approved.

Department of Corrections

Technical Adjustments - Mr. Conroy said he discovered several adjustments needed to conform appropriations with the recommendations of the committee. The consensus was to make the needed adjustments.

Capital Improvements - Attachment G - There was discussion concerning construction of a 120-Bed Housing Unit at the Kansas Correctional Institution at Lansing. There was extensive discussion concerning the project. Senator Harder said that no final decisions have been made by the Joint Committee on State Building Construction. Senator Winter indicated that the Building Committee needs to prioritize major capital improvement projects for the Department of Corrections. He suggested this Housing Unit may not be as important as the Food Service Facility.

Motion was made by Senator Harder and seconded by Senator Werts to approve expenditures for the Food Service Building as recommended by the Joint Committee on State Building Construction, but to make no recommendation concerning the Housing Unit at this time. The motion carried by voice vote.

At this point, there was discussion concerning the Food Service Building. Senator Gaines asked Secretary Mills if the old building might be used for additional inmate housing. Mr. Mills said three opinions have been given and all note it would be less expensive to build new buildings than to renovate the old ones. He said there are now inmates in part of the building in question.

Attachment G, Department of Corrections, Item A.

Motion was made by Senator Gannon and seconded by Senator Kerr to provide \$15,000 for construction of a pole barn at Kansas State Penitentiary. The motion carried by voice vote.

Attachment G, Department of Corrections Item B.

It was the consensus of the committee to approve this item.

Toronto Honor Camp - capital improvements

It was the consensus of the committee to provide funding to expand offices at Toronto Honor Camp and to provide a permanent structure instead of mobile units. The funding will be as follows: \$220,000 for FY 1986 and \$230,000 for FY 1987, all from the State General Fund.

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS

room 123-S Statehouse, at 9:00 a.m./p.m. on April 22, 1986.

OMNIBUS APPROPRIATIONS BILL - Continued

Attachment G - Department of Corrections, Item C - Ellsworth Correctional Center. - There was extended discussion concerning this project. The Chairman appointed a subcommittee to work with Secretary Mills to work out a solution to this problem. The subcommittee included Senators Harder, Johnston and Gaines.

The committee continued discussion concerning the Ellsworth facility. Senator Bogina reminded the committee that the bill providing for construction of the Ellsworth facility has not been passed, and decisions concerning expenditures probably should be deferred until action is taken on that measure. Senator Gaines and Secretary Mills disagreed about the need for a medium security facility, with the Secretary suggesting that is what is needed desperately at this time.

There was a discussion concerning community drug and alcohol programs, and their connection with inmates in correctional institutions. Attachment H was distributed. This attachment contained the Department of Social and Rehabilitation Services suggestions concerning treatment programs for inmates reentering society, and the projected cost of such programs for FY 1987.

Motion was made by Senator Kerr and seconded by Senator Gaines to appropriate \$909,216 from the State General Fund in FY 1987 for contracted inmate drug and alcohol counseling services. There followed a discussion, during which it was agreed that it is the intention of the committee that the alcohol and drug abuse program will be implemented as a joint project between the Department of Corrections and the Department of Social and Rehabilitation Services. SRS shall be responsible for the development of the necessary programs and follow-up. DOC will provide quarters for the program within the institution. SRS shall be responsible for the programs within the walls and for the follow-up on the outside. The motion carried by voice vote.

Attachment I (Letter from Department of Corrections dated April 17, 1986) In addition to items in the letter, the Department requested \$264,000 for loose equipment at the medium security prison at Lansing, in order that the equipment may be available upon completion of the building. The committee did not approve the \$264,000.

Motion was made by Senator Kerr and seconded by Senator Johnston to reduce the non-security turnover rate to "0" (zero) and to restore \$28,430 requested in Attachment I on page 2 (Department of Corrections - agency 521). The motion carried by voice vote.

On the remaining items in Attachment I, it was the consensus to agree with decisions already made by the House Ways and Means Committee.

The decision was made to delay further deliberations on the Omnibus Bill until the measure had been considered by House Committee of the Whole. The meeting was adjourned by the Chairman.

STATE OF KANSAS



OFFICE OF THE GOVERNOR
State Capitol
Topeka 66612-1590

John Carlin Governor

March 11, 1986

Budget Amendment No. 2

The Honorable Gus Bogina, Chairperson
Committee on Ways and Means
Senate Chamber
Third Floor, Statehouse

and

The Honorable William Bunten, Chairperson
Committee on Ways and Means
House of Representatives
Third Floor, Statehouse

Gentlemen:

Subsequent to preparation of my original budget recommendations, the Secretary of Administration proposed that the administrative operations for central mail services be included within the "off-budget" of the Department of Administration. As part of the off-budget, the administrative operations would be financed by charges collected from user agencies for services provided. Presently, this program is financed by a State General Fund appropriation.

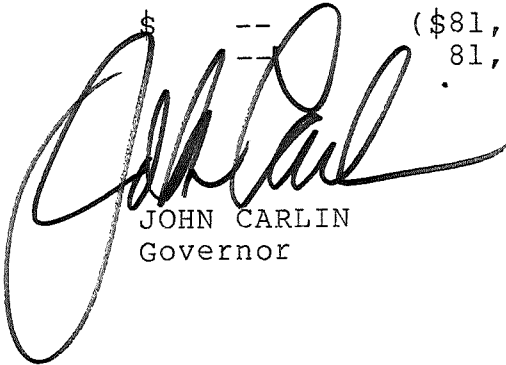
After studying the proposal, I recommend that it be adopted but that the shift to off-budget status be made over a two-year period. For FY 1987, I recommend that the only charges to be assessed against user agencies are those amounts that will be financed from special revenue funds. Pro rata amounts of administrative costs that are attributable to State General Fund agency costs will continue to be financed with a State

General Fund appropriation to the Department of Administration, thereby avoiding the numerous changes to agency budgets and appropriation bills that would have the effect of simply transferring State General Fund expenditures from the Department of Administration to user agencies. There is no advantage to shifting State General Fund costs from the Department of Administration to user agencies at this time.

Prior to preparation of FY 1988 budgets, all state agencies utilizing central mail services will be notified of their pro rata share of the administrative costs for central mail services, and the agencies will be instructed to include these amounts in their FY 1988 budget requests for payment to the Department of Administration. Consequently, for FY 1988, there will be no State General Fund appropriation to the Department of Administration to finance the administrative operations of central mail services, and the transition to off-budget status will be complete.

For FY 1987, the amount of charges to be assessed against user agencies total \$81,200. These amounts are based upon each agency's FY 1985 utilization of central mail services. I amend my original budget recommendations to reduce FY 1987 State General Fund expenditures for the Department of Administration's central mail services by \$81,200 and to increase special revenue fund expenditures for various agencies by a like amount. These latter adjustments are summarized in the attached table. Off-budget expenditures for the Department of Administration will be increased by \$81,200, and it is recommended that a new special revenue fund against which these expenditures will be charged, entitled "Central Mail Services Fund," be established.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	(\$81,200)
Special Revenue Funds	--	81,200



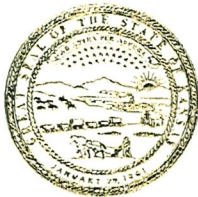
JOHN CARLIN
Governor

JC:dh
Attachment

<u>Agency</u>	<u>Fund Name</u>	<u>Amount</u>
Bd. of Accountancy	Board of Accountancy Fee Fund	\$ 704
State Council on Vocational Education	Federal Fund	141
Animal Health Dept.	Animal Disease Control Fund	1,173
Bank Commissioner	Bank Commissioner Fee Fund	469
Bd. of Barber Examiners	Barber Examiners Fee Fund	70
Behavioral Sciences Regulatory Board	Behavioral Sciences Regulatory Board Fee Fund	704
Bd. of Healing Arts	Healing Arts Fee Fund	1,408
Corporation Commission	Public Service Regulation Fund	2,837
	Conservation Fee Fund	1,435
	Motor Carrier License Fees Fund	8,745
	Mined-Land Conservation and Reclamation Fee Fund	99
Board of Cosmetology	Cosmetology Fee Fund	469
Dept. of Administration	Motor Pool Service Fund	70
	Computer Services Fund	235
	Intragovernmental Printing Service Fund	23
	State Communications Services Fund	141
	Health Care Benefits Program Fund	704
	State Workmen's Compensation Self-Insurance Fund	704
Board of Mortuary Arts	Mortuary Arts Fee Fund	141
Grain Inspection Dept.	Grain Inspection Fee Fund	939
Dept. of Transportation	State Highway Fund	16,895
Dept. of Human Resources	Workmen's Compensation Fee Fund	3,989
Insurance Department	Health Care Stabilization Fund	639
	State Firefighters Relief Fund	150

<u>Agency</u>	<u>Fund Name</u>	<u>Amount</u>
KPERS	Kansas Public Employees Retirement Fund	13,844
Consumer Credit Comm.	Consumer Credit Fee Fund	704
Board of Nursing	Board of Nursing Fee Fund	1,877
Real Estate Commission	Real Estate Fee Fund	1,407
Savings & Loan Dept.	Savings & Loan Fee Fund	47
Secretary of State	Uniform Commercial Code Fee Fund	3,520
Securities Commissioner	Securities Act Fee Fund	469
Department of SRS	Federal Formula Grants	14,101
Department of Education	Certificate Fees Fund	1,408
Bd. of Technical Professions	Technical Professions Fee Fund	<u>939</u>
Total		\$81,200

STATE OF KANSAS



OFFICE OF THE GOVERNOR

State Capitol
Topeka 66612-1590

John Carlin Governor

March 18, 1986

Budget Amendment #3

The Honorable Gus Bogina, Chairperson
Committee on Ways and Means
Senate Chamber
Third Floor, Statehouse

and

The Honorable William Bunten, Chairperson
Committee on Ways and Means
House of Representatives
Third Floor, Statehouse

Gentlemen:

This letter presents several amendments to my budget recommendations for FY 1986 and FY 1987. In total these amendments are summarized as follows:

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$6,237,941	\$1,071,287
Special Revenue Fund	2,891,981	1,698,646
Total	<u>\$9,129,922</u>	<u>\$2,769,933</u>

Department of Administration

To address an increasing workload in assisting in child support collections as a result of changes in the collection procedures and the beginning of a media campaign to advertise the availability of the collection program, I amend my original budget recommendations for the Department of Administration to provide two additional positions for the set-off program. The

cost of these positions, a Central Accountant II and an Account Clerk II, totals \$44,950, and the additional expenditures will be financed from the Accounting Services Recovery Fund.

	<u>FY 1986</u>	<u>FY 1987</u>
Special Revenue Funds	\$ --	\$ 44,950

Kansas Public Employees Retirement System

In accordance with K.S.A. 12-5002(c), all cities maintaining a local police or fire pension plan must have periodic actuarial valuations (at least once every three years). These cities may either secure the services of an actuary of their own choosing or utilize the services of the KPERS actuary, in which case the costs are paid from the State General Fund. For FY 1986, KPERS failed to include in their budget request the amount of \$6,000 necessary to finance local actuarial valuations currently being prepared by their actuary. I amend my budget recommendation for KPERS by \$6,000 in order to provide the necessary funds to finance these statutorily required actuarial services.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ 6,000	\$ --

Department on Aging

As a result of federal action, the Kansas Department on Aging is projected to receive \$172,692 less in federal funds for the Nutrition Program from the current federal fiscal year authorization than estimated in my budget report. The loss of these funds will impact the state program by \$129,519 in FY 1986 and \$43,173 in FY 1987.

I amend my budget recommendations to replace these lost resources with State General Fund amounts. The Nutrition Program administered by the Department through the area agencies on aging, has proven to be an essential and necessary service for our older Kansans in providing congregate nutrition and home-delivered meals.

During the first quarter of FY 1986, the United States Department of Agriculture retroactively reduced the reimbursement of the price per meal for all meals served in FY 1985. This reduction was reflected in my initial budget recommendation. However, the Department on Aging has now received an unanticipated \$36,802 reimbursement in the current fiscal year from the USDA for the reduction in the price per meal for meals served during fiscal year 1985. This reimbursement will be distributed to area agencies on aging

based on the number of meals served during this time. The funds must be used by the area agency for Nutrition Program expenditures.

Consequently, I amend my budget recommendations for the Department on Aging as follows:

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ 129,519	\$ 43,173
Older Americans Act-Federal Fund	(129,519)	(43,173)
Nutrition Fund-Federal	36,802	--

Department of Social and Rehabilitation Services

The Secretary of Social and Rehabilitation Services requested the creation of a new fund "Vocational Rehabilitation Unit Services Reimbursement Fund" for FY 1987. The fund is requested with a no limit expenditure limitation. The Kansas Vocational Rehabilitation Unit (KVRU) contracts with Washburn University for services provided by KVRU clients. The reimbursements received by KVRU from Washburn University are currently deposited to the Kansas Vocational Rehabilitation Center Sales Fund. Since that fund is assigned to a different facility, the KVRU does not have direct access to the fund in order to provide services to KVRU clients. The creation of a new fund for KVRU will allow for the receipts generated by KVRU clients to be used for services to such clients. The Department of Social and Rehabilitation Services estimates that \$6,000 per year will be expended through this fund.

In a letter dated January 28, 1986, the Disability Determination Section (DDS) of the Department informed the Secretary of Social and Rehabilitation Services of their intent to discontinue the Disability Hearings program effective October 1, 1986, unless three additional positions were provided for FY 1987. The Disability Hearings program was under a federal moratorium at the time the FY 1987 state budget was prepared. Since that time the moratorium has been lifted and the Department is now requesting three additional positions to operate the program. The three positions will be financed from federal funds and are estimated to cost \$52,873. The federal fund from which these expenditures will be made is appropriated with a no limit expenditure limitation.

The monthly caseload and expenditure amounts for FY 1986 for all agency programs have been monitored on a regular basis by the Department of Social and Rehabilitation Services and the Division of the Budget. Current projections indicate that expenditures for the Aid to Dependent Children (ADC), General Assistance (GA), and Medical Assistance (MA) programs will

exceed amounts estimated in the budget report. For FY 1986, my budget recommendations for ADC provided \$87,263,295 to finance 790,500 person months at an average grant of \$110.39. Estimates now indicate that an amount of \$88,624,598 will be required in order to finance 799,861 person months at an average grant of \$110.80. This revised estimate increases total ADC expenditures by \$1,361,303, of which \$683,374 will be financed from the State General Fund.

My recommendations for FY 1986 for the General Assistance program provide \$11,741,462 in order to finance 111,900 person months at an average grant of \$104.96. Current estimates indicate that expenditures of \$13,559,839 will be required to finance 119,155 person months at an average grant of \$113.80. This revised estimate will require an additional \$1,818,377 which will be financed totally from the State General Fund.

The revised estimated expenditures for the Medical Assistance Program in FY 1986 are \$235,854,107, an increase of \$3,553,183. Of this increase, \$817,176 would be financed from State General Fund appropriations. In order to maintain medical assistance services at the needed levels, I am recommending that the Medical Assistance Program be increased to \$235,854,107 for FY 1986.

Federal FY 1986 aid to the Department of Social and Rehabilitation Services has been reduced in accordance with provisions of Gramm-Rudman-Hollings. It is estimated that this action will reduce federal block grant funds to the Department for expenditure in state FY 1986 by \$1,756,897. For the first quarter of state FY 1987 (the balance of the FFY 1986), the estimated reduction in federal block grant receipts is projected to be \$585,607. In addition, other (non-block grants) federal fund receipts will be reduced by an estimated \$1,201,284 in FY 1986 and \$400,424 in FY 1987.

To meet these reductions for FY 1986, the Department of Social and Rehabilitation Services will utilize \$799,897 of unobligated carry-over social services block grant funds to partially offset the loss. These funds will be expended in the Homemaker Services, Adult Residential and Day Treatment, and Day Care programs. If the balance is to be met through program reductions, the Department has proposed the following adjustments:

FY 1986

<u>Services</u>	<u>Fed. Blk. Grt. Reductions</u>	<u>Recommended Restorations</u>
LIEAP Grants	\$ 481,519	\$ --
Community Mental Health & Mental Retardation Grants	85,275	85,275
Alcohol & Drug Abuse Program Grants	64,725	64,725
Community Services Grants	94,752	91,918
Weatherization Program	83,850	--
Day Care & Foster Care	146,879	142,680
Total	<u>\$ 957,000</u>	<u>\$ 384,598</u>

Block Grant Funds:

Social Services	\$ 92,680	\$ --
Community Services	94,752	--
Alcohol, Drug Abuse, and Mental Health	150,000	--
LIEAP	619,568	--
State General Fund	--	384,598
Total	<u>\$ 957,000</u>	<u>\$ 384,598</u>

However, the Department of Social and Rehabilitation Services has requested and I am amending my recommendations to provide \$384,598 of supplemental State General Fund appropriations to restore the projected loss of federal block grant funds except for LIEAP grants and the Weatherization Program. These latter programs are not included for restoration as current experience indicates expenditure at an amount less than adjusted receipts estimates. Should the current experience change and additional funding for LIEAP or Weatherization be required, I propose appropriate amounts be transferred from oil overcharge funds recently awarded to the state. I am not recommending state assumption of non-block grant losses at this time as I am informed by the Department of Social and Rehabilitation Services that the reductions will not have serious program repercussions until FY 1987. My recommendations are summarized as restorations in the table above.

For the first quarter of FY 1987, block grant receipts will be reduced by an estimated \$585,607. Column one of the following table indicates the Department's proposed reductions by program and source of reduced federal funding.

FY 1987

<u>Services</u>	<u>Fed. Blk. Grt. Reductions</u>	<u>Recommended Restorations</u>
LIEAP	148,765	--
Community Mental Health & Retardation Grants	26,875	--
Alcohol & Drug Abuse Grants	19,820	--
Homemaker	38,100	38,100
Adult Residential & Day Treatment	156,365	107,524
Community Services	30,233	30,233
Weatherization Program	29,302	--
Foster Care	38,746	88,746
Youth Day Care	97,401	97,401
Total	\$ 585,607	\$ 362,004

Block Grant Funds:

Social Services	\$ 299,189	\$ --
Community Services	31,590	--
Alcohol, Drug Abuse, & Mental Health	48,325	--
LIEAP	206,503	--
State General Fund	--	362,004
Total	\$ 585,607	\$ 362,004

The Department of Social and Rehabilitation Services has requested that \$362,004 of the reductions be refinanced and restored. Column two of the above table indicates the programs that I recommend receive additional state general funds to replace estimated reductions for the balance of the FFY 1986 federal block grant fund reductions. Included in my recommendation is an additional \$50,000 in state general funds to fund foster care grant increases. Provisions of Gramm-Rudman-Hollings will not allow for full funding of the grant increase that became effective on January 1, 1986.

As was noted before, there are reductions in other federal funded programs for the Department. However, the Department has indicated that these reductions can be absorbed for the time being without a major reduction in critical services. Accordingly, I do not propose amendments restoring these reductions at this time.

Finally, the Department of Social and Rehabilitation Services has requested that additional funds be provided for salaries and wages in FY 1986. The Department has provided year-to-date information which indicates that if current experience and practices continue, the Department is underfunded by \$761,841 for FY 1986 salaries and wages. Within this projection, there are certain subprograms which are of major

concern and are estimated to experience a reduction in services if additional funds are not provided. The following table indicates the subprograms for which additional funds are needed, the estimated shortfall, and the State General Fund portion of the total amount. I amend my recommendations for FY 1986 to include the amounts listed. A discussion of each item follows the table.

<u>Subprogram</u>	<u>Estimated Deficit</u>	<u>State General Fund</u>
Area Office	\$ 48,060	\$ 26,440
Income Maintenance - Field Operations	269,183	157,020
Homemaker	262,882	99,979
Adult Medical Services	132,200	55,737
Family Support Total	<u>49,516</u> \$761,841	<u>49,516</u> \$388,692

The requested addition of \$48,060 for the Area Office subprogram is due to higher than estimated expenditures for part time intermittent positions which are utilized in lieu of full time positions which are vacant. These positions are needed in order to maintain current services.

The \$269,183 for income maintenance-field operations is due to lower than estimated turnover in full-time positions. These positions are essential since they perform the initial intake review of clients requesting public assistance and provide continued review of client eligibility.

The requested increases for Homemaker Services, Adult Medical Services, and Family Support subprograms are to finance higher than budgeted levels of service from the subprograms. All three subprograms employ part-time intermittent positions to provide services. If the Department continues to meet the demand for these home and community based services, expenditures will exceed the amounts estimated in the FY 1987 Governor's Budget Report for FY 1986. Since these programs provide needed services and, without additional funding, the number of clients served would need to be reduced, I am recommending additional expenditures of \$444,598 for these subprograms.

In summary, my recommendations for the Department of Social and Rehabilitation Services are summarized as follows.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$4,092,217	\$362,004
Other Funds	3,402,487	(303,131)
Positions	--	3.0

Kansas State University

My original budget recommendations for FY 1986 for utilities for Kansas State University did not reflect the proper funding for utility costs for Nichols Hall as approved by the 1985 Legislature. Accordingly, I amend my budget recommendations to include an additional \$43,823 in FY 1986 to finance utility expenditures for the support of Nichols Hall.

In action taken on February 21, 1986, the Board of Regents approved a request by Kansas State University to increase State General Fund expenditures for the University by \$417,789 in FY 1986. This amount is required to offset the loss of federal funds previously estimated to be available for the financing of programs for the Agricultural Experiment Station and for the Cooperative Extension Service. Federal fund losses for the Agricultural Experiment Station are estimated at \$178,717 and the loss to the Cooperative Extension Service is estimated to be \$239,072.

The Governor and the Legislature have historically treated Kansas State University's funding for agricultural research and cooperative extension as general use moneys. In other words, federal fund receipts are utilized to finance, in part, Cooperative Extension and the Agricultural Experiment Station in lieu of State General Fund appropriations. The federal fund reductions are the result of two federal actions; first, the moneys awarded to the University in December of 1985 by continuing resolution of Congress were reduced .6% below the amounts originally estimated, and second, effective March 1, 1986, provisions of the Gramm-Rudman legislation reduced appropriations by another 4.3%. These actions reduced agricultural experiment station funds to a total of \$2,852,103 for FY 1986 as compared to the \$3,030,820 amount originally approved by the 1985 Legislature while cooperative extension service funding was reduced to a total of \$4,244,988 as compared to the \$4,484,060 approved by the 1985 Legislature.

Since FY 1986 is three-fourths completed it would be difficult for Kansas State University to reduce the expenditures by the above amounts without seriously damaging these programs. Therefore, I amend my budget recommendations to increase State General Fund appropriations for Kansas State University for FY 1986 by \$417,789 and to reduce Federal Fund expenditures by a like amount.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$461,612	\$ --
Special Revenue Funds	(\$417,789)	--

Department of Education

My recommendations for the community college credit-hour and out-district state aid programs and the Washburn University out-district state aid program were based upon preliminary fall enrollment data which was utilized to project credit hours of entitlement for fiscal years 1986 and 1987. Complete fall enrollment data and a review of spring enrollment data indicate that my initial recommendations will be insufficient to fully fund the entitlement formulas. Accordingly, I amend my recommendations for these aid programs by a total of \$682,282 for both fiscal years 1986 and 1987. It would be the intent of these recommendations for FY 1987 that if the amounts prove to be insufficient to fully fund the entitlement formulas, supplemental appropriations would be approved to finance the necessary additional amounts. The following table summarizes the recommended adjustments:

	<u>Original Recommendation FY 1986 & 1987</u>	<u>Revised Recommendation FY 1986 & 1987</u>	<u>Difference</u>
Community College Credit Hour-State Aid	\$20,434,000	\$20,766,495	\$ 332,495
Community College Out- Dist. State Aid	5,499,000	5,789,401	290,401
Washburn Univ. Out- Dist. State Aid	641,033	700,419	59,386
Total			<u>\$ 682,282</u>

For state school transportation aid, my FY 1986 recommendation was based upon an estimated increase of five percent in applicable transportation costs and transportation of 112,100 students. While the estimated increase in transportation costs is still appropriate, the Department of Education is now estimating that 113,512 students will be transported during the current fiscal year. Based upon this current information, I amend my original recommendations by \$525,000, increasing state school transportation aid from \$41,550,000 to \$42,075,000.

For FY 1987, my recommendation of \$39,300,000 for state school transportation aid was based upon financing 90 percent of the estimated entitlement amount (\$43,624,000). Based upon an estimated further increase in the number of students to be transported, it is estimated that the full entitlement amount

will total \$44,205,000, 90 percent of which totals \$39,784,500. Accordingly, I amend my original FY 1987 recommendation by \$484,500, increasing state school transportation aid from \$39,300,000 to \$39,784,500.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$1,207,282	\$1,166,782

Kansas Correctional Institution at Lansing

I amend my FY 1986 supplemental recommendation for the Kansas Correctional Institution at Lansing to provide an additional \$104,911. The additional funds will allow the continuation of medical, dental and hospital treatment for inmates. At the time the current year recommendation was made, it was estimated a supplemental expenditure of \$26,321 would meet the health care needs for this institution. This amount is no longer sufficient. Costs have risen due to larger populations and the greater number requiring specialized care.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$104,911	\$ --

Kansas State Penitentiary

I amend my budget recommendation for the Kansas State Penitentiary to provide an additional \$129,200 from the State General Fund for FY 1986 capital improvements. This amount is necessary for the replacement of the roof on the inside service building. This building is undergoing extensive interior renovation and in order to preserve and protect the investment, a new roof is necessary. The roofing inspector for the Division of Architectural Services has inspected the roof and reported that further patching of the existing roof would not be serviceable or cost effective. Provisions should be made to allow for reappropriation and use of unexpended year-end balances in FY 1987.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ 129,200	\$ --

State Reception and Diagnostic Center

In December, 1985, the State Finance Council approved expenditures for renovation of the main building to house 32 additional inmates and for the construction of a new 4,700 square feet counseling and evaluation building at the State Reception and Diagnostic Center. Due to the timing of the

project, detail estimates were not available and I did not include any operating expenditures attributable to occupying the new space in my budget recommendations. It is now estimated the counseling and evaluation building will be available and utilized for nine months and the renovated space in the main building utilized for six months in FY 1987. Accordingly, I amend my budget recommendation for the State Reception and Diagnostic Center to increase FY 1987 State General Fund expenditures by \$118,648. Included in this amount are salaries and wages expenditures of \$74,230 for six F.T.E. positions -- five Correctional Officers III and a Psychologist I -- and \$44,418 for other operating expenditures.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$118,648

Board of Agriculture

The Board of Agriculture has requested a FY 1986 supplemental appropriation of \$75,000 from the State General Fund for the Farmer's Assistance, Counseling and Training Service (FACTS) program. The Board requests the supplemental appropriation to finance legal services for farmers who cannot afford to retain their own attorneys and who are experiencing legal problems because of financial distress. Existing FY 1986 resources of \$160,000 for legal services are insufficient to make these services available through the end of the fiscal year. I amend my FY 1986 budget recommendations to include a supplemental appropriation of \$75,000 for the Board of Agriculture to continue legal services to farmers through the FACTS program.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$75,000	\$ --

Kansas Water Office

The Kansas Water Office has requested that the FY 1987 budget be reduced by \$619,320 to reflect savings made possible by exercising certain options contained in water storage purchase contracts with the Corps of Engineers. These contract options allow the Water Office to defer payment of principal and interest on the storage not yet utilized in Perry and Milford Reservoirs. Savings in FY 1987 are \$272,053 for the Milford Reservoir contract and \$347,267 for the Perry Reservoir contract. I amend my FY 1987 budget recommendations to reflect these savings.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$(619,320)

Park and Resources Authority

I amend my FY 1986 budget recommendation to increase State General Fund expenditures for the Park and Resources Authority by \$32,200. The additional amount is necessary to assure sufficient funding for utilities, communications and maintenance supplies.

Additional funding for utilities is due to higher than anticipated rate increases by Wolf Creek, rural water districts, and other suppliers, and greater than expected utilization of the El Dorado State Park. The total increase for utilities is estimated at \$25,000.

An additional amount of \$2,200 is recommended for the costs of installing telephones to be located in the gatehouses at the two new areas of El Dorado State Park. The agency inadvertently omitted these charges during preparation of the budget request.

Maintenance supplies are increased by \$5,000 to replace three backup wastewater pumping station motors at El Dorado State Park and to provide road gravel for various parks in the system. The backup motors are a requirement of the Department of Health and Environment to protect against waste spillage. The road gravel is needed to restore campsites, parking lots, and picnic sites that experienced substantial deterioration due to heavy rains in the fall.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$32,200	\$ --

Kansas Corporation Commission -- Exxon Oil Overcharge Funds

The State of Kansas recently received its share of the \$2.1 billion disbursement made pursuant to court order to compensate for oil overcharges made by the Exxon Corporation during the period 1973-1981. On March 6, 1986, \$23,958,453.17 was deposited in the State Treasury in a separate subaccount established for that purpose within the Energy Grants Management Fund of the Kansas Corporation Commission. Under terms of the court order, the funds must be spent on activities outlined in five existing federal energy grant programs: Weatherization, Low-Income Energy Assistance Program (LIEAP), State Energy Conservation Plan (SECP), Institutional Conservation Program (ICP), and Energy Extension Services (EES).

The Exxon funds represent a unique and substantial resource for state investment, and it is important that the expenditure of these funds be planned in a careful and well-reasoned manner. Therefore, I am instructing the Kansas Corporation Commission to develop a detailed expenditure proposal --

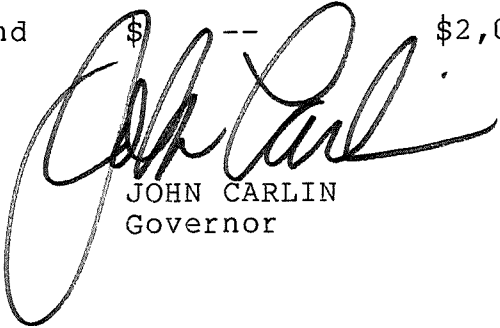
including recommendations for specific projects -- that will be submitted on September 15, 1986 for consideration during the normal budget review process.

At the same time, however, I also am asking that the Attorney General petition the appropriate federal authorities to remove or modify the requirement that limits the use of the overcharge funds to the five federal grant programs. These programs have merit, but to limit such a sizeable amount to them is, in my view, overly restrictive.

Under my proposal, the major portion of the Exxon funds would not be allocated until a detailed plan is submitted, reviewed and approved by the Governor and the Legislature. However, I amend my budget recommendations to provide for an expenditure limitation increase of \$2.0 million for the Energy Grants Management Fund to permit an expansion in FY 1987 of the Institutional Conservation Program administered by the KCC. This program, also known as the schools and hospitals program, provides matching grants to public and non-profit schools and hospitals for projects designed to reduce energy consumption and costs in the operation of buildings. Applicants for Exxon funds directed to this program would follow the same application and review procedures now in place for the ICP program. In addition, I am prepared to further amend my recommendations to provide for a transfer of oil overcharge funds to the Department of Social and Rehabilitation Services to supplement loss of federal funds supporting the LIEAP and Weatherization programs should such be warranted after additional review of program utilization.

The adjustment to my budget recommendation is presented below:

	<u>FY 1986</u>	<u>FY 1987</u>
Energy Grants Management Fund	\$ --	\$2,000,000


JOHN CARLIN
Governor

JC:dh

STATE OF KANSAS



OFFICE OF THE GOVERNOR
State Capitol
Topeka 66612-1590

John Carlin Governor

April 15, 1986

Budget Amendment No. 4

The Honorable Gus Bogina, Chairperson
Committee on Ways and Means
Senate Chamber
Third Floor, Statehouse

and

The Honorable William Bunten, Chairperson
Committee on Ways and Means
House of Representatives
Third Floor, Statehouse

Gentlemen:

This letter presents several amendments to my budget recommendations for FY 1986 and FY 1987. In total these amendments are summarized as follows:

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$1,328,960	\$3,438,043
Special Revenue Funds	(65,062)	(45,612)
Total	<u>\$1,263,898</u>	<u>\$3,392,431</u>

Department of Economic Development

Subsequent to the preparation of my budget recommendations, the Department of Economic Development notified me of a need for a supplemental appropriation for the Kansas Industrial Training Program (KIT) in the amount of \$500,000. The KIT program provides training funds to industries already located in Kansas

who are expanding their operations and to new firms desiring to locate in the state. It appears that the General Motors Corporation and an unnamed international manufacturing entity intend to locate and expand their operations in the State of Kansas pursuant to receipt of training funds for their new employees. For this reason I amend my budget to include an additional amount of \$500,000 for the current year for the Kansas Industrial Training Program.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$500,000	\$ --

Kansas Public Employees Retirement System

My FY 1987 budget recommendations were based upon employer contribution rates for KPERS Non-School and KPERS-School of 4.0 percent and 3.7 percent, respectively, a reduction of .3 percent from the certified rates of 4.3 percent and 4.0 percent. The reduced rates anticipated a favorable actuarial analysis for certifying FY 1988 employer contribution rates which would have permitted reductions in the certified rates for FY 1987. In previous fiscal years, when the actuarial analysis for certifying employer contribution rates for the fiscal year immediately following the budget year indicates that the rates will be lower than the budget year certified rates, these rates have been moved up and applied to the budget year in order to take advantage of the savings attributable to the lower rates. Accordingly, based upon preliminary information which indicated a potential for another reduction in rates, I based my budget recommendations on employer contribution rates which were reduced from the certified rates for FY 1987. My recommended contribution rates represent the best estimates available at the time my original budget recommendations were prepared.

I am now informed that the KPERS actuary will recommend FY 1988 employer contribution rates of 3.9 percent for KPERS Non-School and 4.1 percent for KPERS School. Consequently, the basis upon which my original recommendations were made is no longer appropriate. I amend my budget recommendation for KPERS School to reflect an employer contribution rate of 4.0 percent, equal to the certified rate for FY 1987. Accordingly, my recommended expenditures for KPERS School employer contributions, as reflected in the FY 1987 Governor's Budget Report, will increase from \$38,996,750 to \$41,944,012, an additional State General Fund demand of \$2,947,262. This additional amount is based upon the budget limits of 2%-3.5% included in Senate Bill No. 601 which I recently signed into law.

In addition, there are several employees in the Department of Education who are members of the KPERS School Retirement System. An increase in the employer contribution rate to 4.0

percent will result in additional contributions of \$2,811 for this group of employees. This amount would be financed from the State General Fund, and I amend my budget recommendations accordingly.

With respect to KPERS Non-School, I recommend amendments to agency budgets to reflect an employer contribution rate of 3.9 percent. While this recommendation does not parallel my recommendation to adopt the certified rate for KPERS School, I think it is appropriate to capture the savings which adopting a contribution rate of 3.9 percent will provide. A reduction in the employer contribution rate from my original recommendation of 4.0 percent to 3.9 percent will result in reductions in agency budgets, as reflected in the FY 1987 Governor's Budget Report, totaling \$497,813, of which \$317,738 is financed from the State General Fund. The details of these reductions can be obtained from the Division of the Budget. Since the proposed rate of 3.9 percent is different than the certified rate of 4.3 percent, the rate will have to be established in statute.

The following table summarizes my recommended employer contribution rates for FY 1987:

	<u>Certified Rates</u> <u>FY 1987</u>	<u>GBR Rates</u> <u>FY 1987</u>	<u>Certified Rates</u> <u>FY 1988</u>	<u>Rec. Rates</u> <u>FY 1987</u>
KPERS Non-School	4.3%	4.0%	3.9%	3.9%
KPERS School	4.0	3.7	4.1	4.0

Also with respect to KPERS School, I have been informed that the current year appropriation of \$40,217,000 and the supplemental appropriation of \$713,109 included in Senate Bill No. 584 will be insufficient to fully fund the state's obligation for local school employer contributions for FY 1986. This is due to a higher than anticipated growth in the covered payroll upon which the state's obligation is based. The initial estimate of growth in the covered payroll for FY 1986 was 7.8 percent. It is now estimated, based upon the covered payrolls for the first two quarters of the fiscal year, that the covered payroll will increase by 9.4 percent. While the initial growth estimate of 7.8 percent was sufficient to absorb increases in salaries (for example, increase in the salaries for teachers and principals averaged statewide 7.63 percent and 7.09 percent, respectively), it was not sufficient to absorb the increases in covered payrolls due to the addition of new employees. Information from the Department of Education indicates that local school districts and community colleges added a total of 710.3 FTE positions for the 1985-86 school year (information on staff changes by area vocational-technical schools is not available). Information from KPERS indicates that contributions are being paid on 1,053 more employees than at the same time a year ago.

Based on this additional information, I amend my FY 1986 recommendation for school employer contributions from \$40,930,109 to \$41,537,089, an increase of \$606,980. Accordingly, for FY 1987, I amend my recommended expenditures for school employer contributions, as reflected in the FY 1987 Governor's Budget Report, to add an additional amount of \$816,988. The following table summarizes my amendments related to the Kansas Public Employee Retirement System.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund		
School Employer Contributions	\$ 606,980	\$3,764,250
School Employer Contributions (Dept. of Education)	--	2,811
Employer Contributions (State Agencies)	--	(317,738)
Special Revenue Funds		
Employer Contributions (State Agencies)	--	(180,075)

Board of Indigents' Defense Services

I have been informed that my budget recommendations for the Board of Indigents' Defense Services underfund salary adjustments for certain employees moving from trainee status in the Sedgwick County Public Defender's Office. I amend my budget recommendation for the Board of Indigents' Defense Services to increase FY 1987 State General Fund expenditures by \$7,812.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$ 7,812

Board of Pharmacy

The Board of Pharmacy has requested additional expenditure authority for the current fiscal year in order to pay for higher than anticipated costs for contractual legal services. Through February, the Board had incurred expenses totaling \$16,166. In reviewing the average expenditures for this period and anticipating the need for legal services for the remainder of the year, the Board estimates that additional costs will total \$7,000 above the amount of \$18,000 currently budgeted. I amend my budget recommendations to provide this additional amount necessary to assure sufficient funds for legal services. Since my original recommendation for FY 1986 is \$2,156 below the authorized expenditure limitation, an increase of only \$4,844 in the expenditure limitation on the State Board of Pharmacy will be required.

	<u>FY 1986</u>	<u>FY 1987</u>
Board of Pharmacy Fee Fund	\$7,000	\$ --

Department of Human Resources

In accordance with the Public Employer-Employee Relations Act and the Professional Negotiations Act, the Department of Human Resources investigates and attempts to mediate a settlement to disputes concerning prohibited practices. When there is disagreement an administrative hearing is held and a record of the proceeding is made by a certified court reporter. This record is considered by the Department and an order is issued to resolve the dispute. The State General Fund line item appropriation for contingency for court reporting in FY 1986 totals \$4,350 and was based on previous experience. It appears now that this amount will not be adequate to fund the estimated costs for the remainder of the year. As of March 26, 1986 the Department has already incurred court reporting fees totaling \$3,975. There are 13 cases pending and the Department estimates that 12 of these cases will go to hearing requiring court reporting services which average approximately \$600 for each one day hearing. I amend my budget recommendation to provide a supplemental appropriation of \$7,200 to adequately fund necessary court reporting services.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$7,200	\$ --

Department of Social and Rehabilitation Services

The Department of Social and Rehabilitation Services has requested that the FY 1986 expenditure limitation established on the Child Abuse and Neglect Program Fund-Federal be increased from \$150,000 to \$202,596, an increase of \$52,596. The Department has received additional federal grant amounts authorized for federal fiscal year 1985 and desires to expend the funds for state operations in the current fiscal year. The funds would finance part-time intermittent positions (\$15,214), travel and subsistence (\$3,650), development of a child abuse central registry (\$19,713), and acquisition of various equipment items (\$14,019).

	<u>FY 1986</u>	<u>FY 1987</u>
Child Abuse and Neglect Program Fund-Federal	\$ 52,596	\$ --

Adjutant General

Subsequent to the preparation of my budget, the Adjutant General requested the addition of one position to support the agency's facility management office. Duties and responsibilities of this office include the maintenance, repair and construction of National Guard facilities statewide. The National Guard Bureau has recognized the growth in the number of Kansas Guard facilities and the increased complexity within this office by providing 100 percent federal reimbursement for this

position. Consequently, I amend my FY 1987 budget recommendations for the Adjutant General to provide the necessary expenditure authority of \$16,293 and to increase the position limitation for FY 1987.

In addition, the Adjutant General has informed me that funds have been made available by the federal government for renovation of state-owned armories. These funds carry a state matching requirement which will be fulfilled through the use of the Military Fees Fund. The initial expenditures will be \$42,600 of which \$31,950 will be reimbursed by the federal government. I amend my budget recommendations to provide the necessary expenditure authority.

	<u>FY 1986</u>	<u>FY 1987</u>
Military Fees Fund	\$ --	\$ 58,893

Kansas Correctional Institution at Lansing

Since submission of a previous budget amendment of \$104,911 for health care costs at the Kansas Correctional Institution at Lansing, additional unforeseeable medical expenses have arisen which will make this amount insufficient to provide adequate funding for health care services. It is now estimated that an additional amount of \$55,079 will be required, and I amend my budget recommendations for the current fiscal year to provide this additional amount.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ 55,079	\$ --

Mental Retardation and Mental Health Institutions

Since submission of my FY 1986 budget recommendations, two mental retardation institutions have informed me that current salary and wage expenditure rates will result in spending above that contained in my recommendation. Two factors have contributed to this anticipated over expenditure. First, turnover rates at the two institutions have been below the rates earlier anticipated, resulting in lower than anticipated turnover savings. In both institutions, new positions which I recommended to address Medicaid certification issues have been filled more quickly than anticipated. Second, each institution has hired seasonal and temporary staff in excess of budgeted amounts. Therefore, to avoid possible certification problems, the intentional holding open of vacant positions or the layoff of existing staff, I amend my FY 1986 budget recommendation for Parsons State Hospital and Training Center to add \$134,000 from the State General Fund and for Winfield State Hospital and Training Center to add \$210,000 from the State General Fund.

In addition I amend my budget for Rainbow Mental Health Facility to add \$49,110 from the State General Fund and for Topeka State Hospital to add \$58,015 from the State General Fund

and for Winfield State Hospital and Training Center to add \$126,750 from the State General Fund. Each of these hospitals now anticipates greater other operating expenditures than were recommended last January. At Topeka State Hospital the additional funds are needed to cover the hospital's utility costs. At the Rainbow Mental Health Facility increased expenditures are necessitated by higher than anticipated contractual services, including the hospital's school contract. At Winfield State Hospital and Training Center, the expenditure increase is related to the hospital's addressing Medicaid certification issues during the last nine months.

Finally, the most recent estimates of fee fund collections indicate less receipts will be collected than anticipated when preparing my budget recommendations. Factors contributing to the lower fee fund receipts at several of the hospitals include reduction in the number of psychiatric admissions who carry psychiatric coverage in their health insurance and an increase in the number of admissions who are insured through health maintenance organizations which have been unwilling to cover the costs associated with the involuntary commitment of their members. As a result, I make the following amendments to my FY 1986 budget to adjust for these fee fund shortages:

	<u>FY 1986</u>	
	<u>SGF</u>	<u>Hosp. Fee Fund</u>
Kansas Neurological Institute	(\$ 45,000)	\$ 45,000
Larned State Hospital	(125,000)	125,000
Osawatomie State Hospital	257,364	(257,364)
Rainbow Mental Health Facility	67,294	(67,294)
Parsons State Hospital	(90,000)	90,000
Topeka State Hospital	60,000	(60,000)

My amendments for the mental retardation and mental health institutions for FY 1986 are summarized follows:

	<u>SGF</u>	<u>Fee Funds</u>
Kansas Neurological Institute	\$ (45,000)	\$ 45,000
Larned State Hospital	(125,000)	125,000
Osawatomie State Hospital	257,364	(257,364)
Parsons State Hospital	44,000	90,000
Rainbow Mental Health Facility	116,404	(67,294)
Topeka State Hospital	118,015	(60,000)
Winfield State Hospital	336,750	--
	<u>\$ 702,533</u>	<u>\$(124,658)</u>

Regents Institutions

The State Board of Regents has transmitted to the Division of the Budget revised utility cost estimates for each of the Regent's institutions for FY 1986. These estimates are based primarily upon actual utility costs through February and revised estimates for the last four months of the fiscal year. Based

upon these estimates, I revise my FY 1986 budget recommendations for the Regent's institutions to reduce expenditures for utilities by \$343,522 systemwide. The following table illustrates the impact of this recommendation on each of the institutions.

	FY 1986 Budget Rec.	FY 1986 Amended Rec.	Increase (Decrease)
Fort Hays State Univ.	\$ 756,644	\$ 819,444	\$ 62,800
Kansas State Univ.	4,429,035	4,422,841	(6,194)
Veterinary Medical School	960,076	910,666	(49,410)
Emporia State Univ.	826,079	785,498	(40,581)
Pittsburg State Univ.	867,261	829,008	(38,253)
Kansas Technical Institute	151,673	112,700	(38,973)
University of Kansas	6,009,006	5,642,302	(366,704)
Univ. of Kansas Med Center	4,843,394	4,804,929	(38,465)
Wichita State University	2,186,324	2,358,582	172,258
Total	<u>\$21,029,492</u>	<u>\$20,685,970</u>	<u>\$(343,522)</u>

As a consequence of the above recommendations I amend my budget recommendations to decrease FY 1987 expenditures for utilities by \$343,522. This recommendation adjusts FY 1987 expenditures for all of the institutions in a manner consistent with the revised estimates for FY 1986. Expenditure estimates for utilities for FY 1987 are recommended at levels that correspond to the amounts recommended in FY 1986 adjusted for new buildings brought on line in FY 1987 and for increased electrical rates associated with the operation of the Wolf Creek Nuclear Power Plant. The following table illustrates the impact of this recommendation on the budget of each of the institutions:

	FY 1987 Budget Rec.	FY 1987 Amended Rec.	(Decrease) Increase
Fort Hays State Univ.	\$ 756,644	\$ 819,444	\$ 62,800
Kansas State Univ.	4,436,367	4,430,173	(6,194)
Veterinary Medical School	960,076	910,666	(49,410)
Emporia State Univ.	826,079	785,498	(40,581)
Pittsburg State Univ.	917,261	879,008	(38,253)
Kansas Technical Institute	151,673	112,700	(38,973)
University of Kansas	6,045,056	5,678,352	(366,704)
Univ. of Kansas Med Center	4,843,394	4,804,929	(38,465)
Wichita State University	2,566,542	2,738,800	172,258
Total	<u>\$21,503,092</u>	<u>\$21,159,570</u>	<u>\$(343,522)</u>

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$(343,522)	\$(343,522)

University of Kansas

My budget recommendations for FY 1987 include \$92,737 in State General Fund moneys to finance, in part, the operation of the Law Enforcement Training Center operated by the University of Kansas. The Legislature has passed and I have signed Senate Bill No. 595 which increases the amount of court docket fees deposited to the credit of the Law Enforcement Training Fund. Passage of this legislation will make sufficient moneys available in the Law Enforcement Training Center Fund to finance fully operation of the Law Enforcement Training Center. Therefore, I amend my budget by reducing State General Fund expenditures for the University of Kansas by \$92,737 and increasing expenditures from the Law Enforcement Training Fund by a like amount.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$(92,737)
Law Enforcement Training Fund	--	92,737

School for the Deaf

The Kansas State School for the Deaf received notification from the Kansas State Department of Education that it will receive an allocation of \$4,833 for the Vocational Education Fund-Federal. This allocation, used to partially fund salaries for the school, is \$17,167 less than originally estimated. Consequently, I amend my budget recommendations for FY 1987 to decrease the expenditure limitation on the Vocational Education Fund-Federal, from \$22,000 to \$4,833. To compensate for this reduction, I further amend my budget recommendations to recommend additional expenditures of \$17,167 from the State General Fund.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$ 17,167
Vocational Education Fund-Federal	--	(17,167)

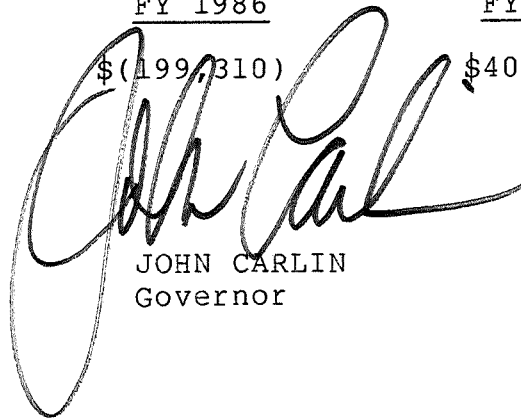
Public Broadcasting Commission

Subsequent to the development of my budget recommendations, the Public Broadcasting Commission has requested a state grant of \$400,000 to Smoky Hills Public Television as the state's portion of an estimated acquisition cost of \$1,624,724 to purchase the KLBY Television Station in Colby. This purchase would expand public television to a large portion of western Kansas at a minimal cost to Kansas taxpayers. I concur with the Commission's proposal and amend my budget accordingly.

However, use of these funds should be made contingent upon the other parties, particularly the federal government, also providing their portions of the acquisition price. The federal government has currently classified this grant as a "high priority" project. The contributions from all parties are; \$400,000 state; \$899,724 federal; \$125,000 Smoky Hills Public Television; and \$200,000 from local sources.

In addition, the Commission has informed me that anticipated expenditures in the current fiscal year for translator projects will not be made as the projects have not been financed by the federal government. Consequently, I amend my budget to reduce FY 1986 State General Fund expenditures by \$199,310.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$(199,310)	\$400,000



JOHN CARLIN
Governor

JC:dh

STATE OF KANSAS



OFFICE OF THE GOVERNOR

State Capitol
Topeka 66612-1590

John Carlin Governor

April 23, 1986

Budget Amendment No. 5

The Honorable Gus Bogina, Chairperson
Committee on Ways and Means
Senate Chamber
Third Floor, Statehouse

and

The Honorable William Bunten, Chairperson
Committee on Ways and Means
House of Representatives
Third Floor, Statehouse

Gentlemen:

This letter presents amendments to my budget recommendations for FY 1986 and FY 1987. In total these amendments are summarized as follows:

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ 116,653	\$(3,545,364)
Special Revenue Funds (On-Budget)	(174,708)	2,104,270
Special Revenue Funds (Off-Budget)	--	(12,880)
Total	\$ (58,055)	\$(1,453,974)

Wichita State University

At its meeting of April 18, 1986, the State Board of Regents approved the establishment of a cooperative Ph.D. program between Wichita State University, Kansas State University, and

the University of Kansas, to be located at Wichita State University. This program would make available research opportunities for faculty of Wichita State University while assisting the aviation industry in Wichita in research and development activities, and Ph.D. programs would be established in chemistry and applied mathematics. The Board has approved a State General Fund budget of \$325,000 for the operation of this program.

Component parts of the Ph.D. program would be put into place at Wichita State University with certain critical details such as which institution(s) award degrees; composition of dissertation committees; and logistical arrangements for faculty exchange to be worked out as soon as practically feasible. We would anticipate answers to these issues in conjunction with the Board's review of mission, role, and scope of all the Regents institutions. Should the Wichita State University mission indicate, these programs would become free standing Ph.D. programs.

Students will be able to enroll in chemistry and applied mathematic doctoral programs beginning this summer, with the expectation that the degrees can be completed on the Wichita State University campus.

State funding for the program would provide three new senior faculty members in the areas of chemistry and mathematics at Wichita State University (\$150,000), would finance research equipment necessary in the areas of chemistry and mathematics (\$50,000), and finally, would provide for a faculty exchange program between the University of Kansas, Kansas State University and Wichita State University (\$125,000). The program represents a cooperative arrangement between the University of Kansas, Kansas State University, and Wichita State University through which, the Regents system will be able to provide additional research and teaching expertise to an essential component of the economic base of Kansas, the general aviation industry in Wichita.

I amend my budget to include \$325,000 of State General Fund moneys, together with three positions, for this program.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$325,000

Department of Corrections

I amend my budget recommendation for the Department of Corrections to provide an additional \$68,969 in FY 1986. This amount will allow the El Dorado Honor Camp to pay the medical bills for two inmates injured in an automobile collision while working on an inmate work project. One of the inmates has filed a suit for damages from the insurer as a result of a loss of a leg in the accident. The Department of Corrections has filed as a plaintiff intervenor and is now a party to this inmate's suit. The Department of Corrections is asking for reimbursement of these medical expenses. At this time it is difficult to determine when the inmate's suit will be settled and in the interest of meeting fiscal responsibilities which, until the suit is settled, are the responsibility of the Department of Corrections, I amend my budget to provide for this additional appropriation.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ 68,969	\$ --

In addition, I amend my budget recommendations to provide an additional amount of \$24,664 to remodel and construct an addition to the main building at the Toronto Honor Camp for FY 1987. My recommendation to the 1985 Legislature for this project was \$516,500. This was reduced by the Legislature to \$450,000. After reviewing project bids on April 15, 1986, the cost of the project totaled \$534,045. The Department has elected to utilize inmate labor, manufactured goods from Correctional Industries, and special maintenance moneys to reduce the project cost. Notwithstanding these savings, the project cost totals \$474,664; or \$24,664 more than the current appropriations for the project.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$ 24,664

State Aid Programs

The revision in consensus revenue estimates will impact my original recommendations for several state aid programs for fiscal years 1986 and 1987. In addition, there are several state aid programs for which the actual amounts for distribution are now available. To more accurately reflect expenditures for these items, I amend my budget recommendations as follows:

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund		
County and City Revenue		
Sharing Fund	\$ --	\$ 74,176
Local Ad Valorem Tax		
Reduction Fund	47,684	(274,516)
Special Revenue Funds		
Special County Mineral		
Production Tax Fund	(148,423)	(1,588,400)
County and City Bingo		
Tax Fund	(26,285)	(39,000)

Space Assignments in State Buildings

In response to the Legislature's decision to not relocate the Attorney General's Office from the Judicial Center, the Department of Administration has revised the assignment of building space in the State Office Building that will be vacated upon the movement of state agencies to the Santa Fe Office Building. The major changes reflected in this most recent space assignment plan are: 1) the assignment of the Disability Determination Section of the Department of Social and Rehabilitation Services, currently housed in privately-owned space, to the 10th floor of the State Office Building; 2) assignment of consolidation and expansion space for the Department of Revenue on the first floor; 3) assignment of expansion space for the Department of Social and Rehabilitation Services on the 11th floor rather than the 10th floor; 4) assignment of expansion space on the 10th floor for the Department of Transportation; 5) assignment of computer space on the 11th floor for the Departments of Transportation, Revenue, and Social and Rehabilitation Services; and 6) elimination of the previous space assignment for Buildings and Grounds on the first floor.

In addition, subsequent to preparation of my original budget recommendations, it was decided to move the Consumer Credit Commissioner, currently housed in privately-owned space, to the Santa Fe Office Building. Based upon an anticipated occupancy date of February 1, 1987, the agency will incur additional costs of \$800 for moving and \$2,566 for rent.

In response to these new space assignments, I amend my budget for rent and relocation expenses as follows:

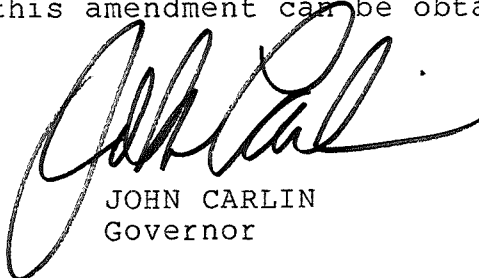
	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund		
Attorney General	\$ --	\$ (71,941)
Department of Revenue	--	(6,126)
Social & Rehab. Services	--	35,933
Special Revenue Funds		
Attorney General	--	(4,783)
Department of Revenue	--	13,978
Department of Administration (Off-Budget)	--	(12,880)
Social & Rehab. Services	--	49,976
Department of Transportation	--	16,579
Consumer Credit Commissioner	--	3,366

Department of Social and Rehabilitation Services

The Department of Social and Rehabilitation Services has recently been informed that the Federal Medical Assistance Percentage (FMAP) will be changed by the Federal Consolidated Omnibus Budget Reconciliation Act of 1986 (COBRA), effective October 1, 1986. The FMAP will be changed from 50 percent to 51.38 percent of eligible state program costs. This change in FMAP will effect state programs for Foster Care, Aid to Dependent Children, Child Support Enforcement, and the Medicaid portion of the State Medical Assistance Program. The increase in FMAP will allow a reduction in State General Fund expenditures used to match federal funds. Therefore, I amend my FY 1987 budget recommendations for the Department of Social and Rehabilitation Services to delete \$3,652,554 in State General Fund expenditures and to increase federal fund expenditures by a like amount. The proposed adjustments are as follows: Foster Care, \$108,000; Aid to Dependent Children, \$954,000; and Medicaid, \$2,590,554.

	<u>FY 1986</u>	<u>FY 1987</u>
State General Fund	\$ --	\$(3,652,554)
Special Revenue Funds	--	3,652,554

The specific details of this amendment can be obtained from the Division of the Budget.



JOHN CARLIN
Governor

JC:dh

KANSAS DEPARTMENT OF TRANSPORTATION



JOHN B. KEMP, Secretary of Transportation

JOHN CARLIN, Governor

FEBRUARY 11, 1986

MEMORANDUM TO: Members of Joint Committee on State Building Construction
FROM: Kansas Department of Transportation
REGARDING: Proposed Barter of KDOT Property

Introduction

Included in this memorandum is information concerning KDOT's proposal to barter certain of its improvements and property located at 3200 S. Topeka Blvd. in Topeka, Kansas for construction by a commercial developer of new improvements to be located on state property at 101 and 220 Gage in Topeka, Kansas.

This proposal would benefit the property taxpayers of Topeka by removing the existing KDOT maintenance facilities from the city's most densely developed commercial area, thereby returning this prime commercial land and its improvements to the tax rolls. The KDOT would benefit from this relocation by acquiring new facilities, avoiding an estimated \$195,000 in scheduled improvements to existing buildings, and improving the effectiveness of its maintenance operations by avoiding this highly congested area of the city. The Kansas Highway Patrol would benefit by acquiring a new shop adjacent to its existing administration building at 220 Gage.

Existing Property and Improvements at 3200 S. Topeka

The KDOT has divided its property at 3200 S. Topeka into two tracts, A & B (see figure 1), for the purpose of the trade. Tract A, the front portion of the property on Topeka Avenue, contains the following improvements:

KDOT Area Office (KDOT No. 1-4009)	
Year Constructed	- 1951
Construction Type	- Brick
Gross Area	- 2,768 sq. ft.
Use	- Administrative Offices
Appraised Value	- \$ 0
KDOT Area Shop (KDOT No. 1-4009)	
Year Constructed	- 1951
Construction Type	- Brick
Gross Area	- 3,306 sq. ft.
Use	- Equipment Maintenance
Appraised Value	- \$ 0

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PROPOSED BARTER OF KDOT PROPERTY

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KDOT Sub-Area Shop (KDOT No. 1-1410)

Year Constructed	-	1953
Construction Type	-	Concrete Block
Gross Area	-	3,750 sq. ft.
Use	-	Equipment Storage & Office
Appraised Value	-	\$ 0

Chemical Storage Building (KDOT No. 1-4018)

Year Constructed	-	1969
Construction Type	-	Wood Frame
Capacity	-	300 Tons
Use	-	Salt Storage
Appraised Value	-	\$ 0

Tract A land

Topeka Blvd. Frontage	-	313.5 feet
Lot depth	-	471.0 feet
Lot shape	-	irregular
Gross Area	-	161,208 sq. ft. (3.7 Acres)

Appraised Value		
Front 394' of Tract A		\$475,000
Back 77' of Tract A		<u>\$ 14,000</u>
Total Value		\$489,000

Tract B, the back portion of the property, contains the following improvements:

District Shop (KDOT No. 1-0014)

Year Constructed	-	1958
Construction Type	-	Concrete Block
Gross Area	-	3,750 sq. ft.
Use	-	Materials Storage
Appraised Value	-	\$ 0

District Shop (KDOT No. 1-0027)

Year constructed	-	1948
Construction Type	-	Metal
Gross Area	-	800 sq. ft.
Use	-	Materials Storage
Appraised Value	-	\$ 0

Sign Shop (KDOT No. 1-0013)

Year Constructed	-	1955
Construction Type	-	Concrete Block
Gross Area	-	4,250 sq. ft.
Use	-	Sign Fabrication
Appraised Value	-	\$ 0

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Geology and Planning (KDOT No. 0-0006)
 Year Constructed - 1957
 Construction Type - Concrete Block
 Gross Area - 4,500 sq. ft.
 Use - Equipment Maintenance &
 Materials Storage
 Appraised Value - \$ 0

District Storage (KDOT No. 1-0027)
 Year Constructed - Unknown
 Construction Type - Pole
 Gross Area - 8,000 sq. ft.
 Use - Materials Storage
 Appraised Value - \$ 0

Tract B Land
 Street Frontage - None
 Lot depth - 423.3 feet
 Lot shape - irregular
 Gross Area - 203,881 sq. ft.
 (4.7 acres)
 Appraised Value - \$101,000.00

The value of Tracts A and B is based on an appraisal completed for the KDOT by David Craig and Company, Real Estate Appraising and Counseling consultants, June 21, 1985. The appraised values included in this memorandum represent adjustments to the consultants' estimates to accord with the tract dimensions of the current proposal. It should also be noted that some private developers who have expressed an interest in the proposal have suggested that the appraised value could be greater than the KDOT consultant has indicated.

Proposal to Barter Tract A

The proposed barter of Tract A would require the following improvements to be constructed for the KDOT at 101 Gage and the KHP at 220 Gage at no cost to the state (see figures 2 and 3 for existing facilities at these locations).

Area Office (KDOT required Construction at 101 Gage)
 Construction Type - Prefab Metal
 Gross Area - 2,630 sq. ft.
 Use - Administrative Offices

Area Shop
 Construction Type - Prefab Metal
 Gross Area - 3,700 sq. ft.
 Use - Equipment Maintenance

Sub-Area Shop		
Construction Type	-	Prefab Metal
Gross Area	-	1,300 sq. ft.
Use	-	Equipment Storage & Office
KHP Shop (KHP required Construction at 220 Gage)		
Construction Type	-	Prefab Metal
Gross Area	-	3,100 sq. ft.
Use	-	Equipment Maintenance & Storage

The Tract A barter would require the KDOT to relinquish title to 161,208 square feet of prime commercial land on South Topeka Blvd. with its associated 9,824 square feet of improvements and a 300 ton salt storage structure. In exchange for this property and improvements, the KDOT's minimally acceptable exchange criteria are construction (at no cost to the state) of the 10,730 square feet of prefabricated metal structures listed above.

Additional items that may optionally be included in the Tract A barter by the developer (also at no cost to the state) are:

Salt Dome (Optional KDOT Construction at 101 Gage)		
Construction Type	-	Prefab Wood
Capacity	-	1,160 Tons
Use	-	Salt Storage
Storage Yard & Security Lighting		
Construction Type	-	6" crushed rock with 6' chain link fencing
Size	-	74,000 square feet
Use	-	Vehicle Storage

If it is to the developer's competitive advantage, in order to ensure his proposal is the one accepted by the KDOT, he may include (at no cost to the state) either or both of these items in addition to KDOT's minimum acceptable criteria for exchange.

The acceptance of the Tract A barter would eliminate the need to perform the currently scheduled capital improvements to the following structures located at 3200 S. Topeka Blvd.

FY 1988 - Insulate and weatherproof Sub Area shop (KDOT No. 1-4010) at an estimated construction cost of \$12,008.

FY 1990 - Insulate and Remodel Area Office and Shop (KDOT No. 1-4009) at an estimated construction cost of \$123,467.

If the developer opts to include the salt dome structure with the Tract A barter proposal, the following scheduled capital improvement to structures located at 101 Gage would not be required.

FY 1988 - Sub Area Chemical Storage Building Replacement (KDOT No. 1-4017) at an estimated construction cost of \$60,030.

Proposal to Barter Tract B

At the KDOT's option, Tract B may also be bartered for the following improvements to be constructed for the KDOT at 101 Gage.

District Shop		
Construction Type	-	Prefab Metal
Gross Area	-	3,080 sq. ft.
Use	-	Equipment and Materials Storage with Administrative Offices
Sign Shop		
Construction Type	-	Prefab Metal
Gross Area	-	6,900 sq. ft.
Use	-	Sign Fabrication
Geology and Planning		
Construction Type	-	Prefab Metal
Gross Area	-	3,400 sq. ft.
Use	-	Equipment Maintenance and Material Storage
District Storage		
None required		

The Tract B barter would require the KDOT to relinquish title to all 203,881 square feet of commercial ground at 3200 S. Topeka with its associated 21,300 square feet of improvements. In exchange for this property and improvements, the KDOT's minimal acceptable exchange criteria are the construction (at no cost to the state) of the 13,380 square feet of prefabricated metal structures listed above.

Status of the Proposal

The KDOT has presented this proposal to the Joint Committee on State Building Construction at its August 27, 1985 meeting in Topeka. At that time Chairman Phil Kline directed the KDOT to complete its study of the issue and to report at KDOT's next scheduled appearance before the Committee. Since that time, KDOT has completed its study of the issue.

PROPOSED BARTER OF KDOT PROPERTY

November 4, 1985

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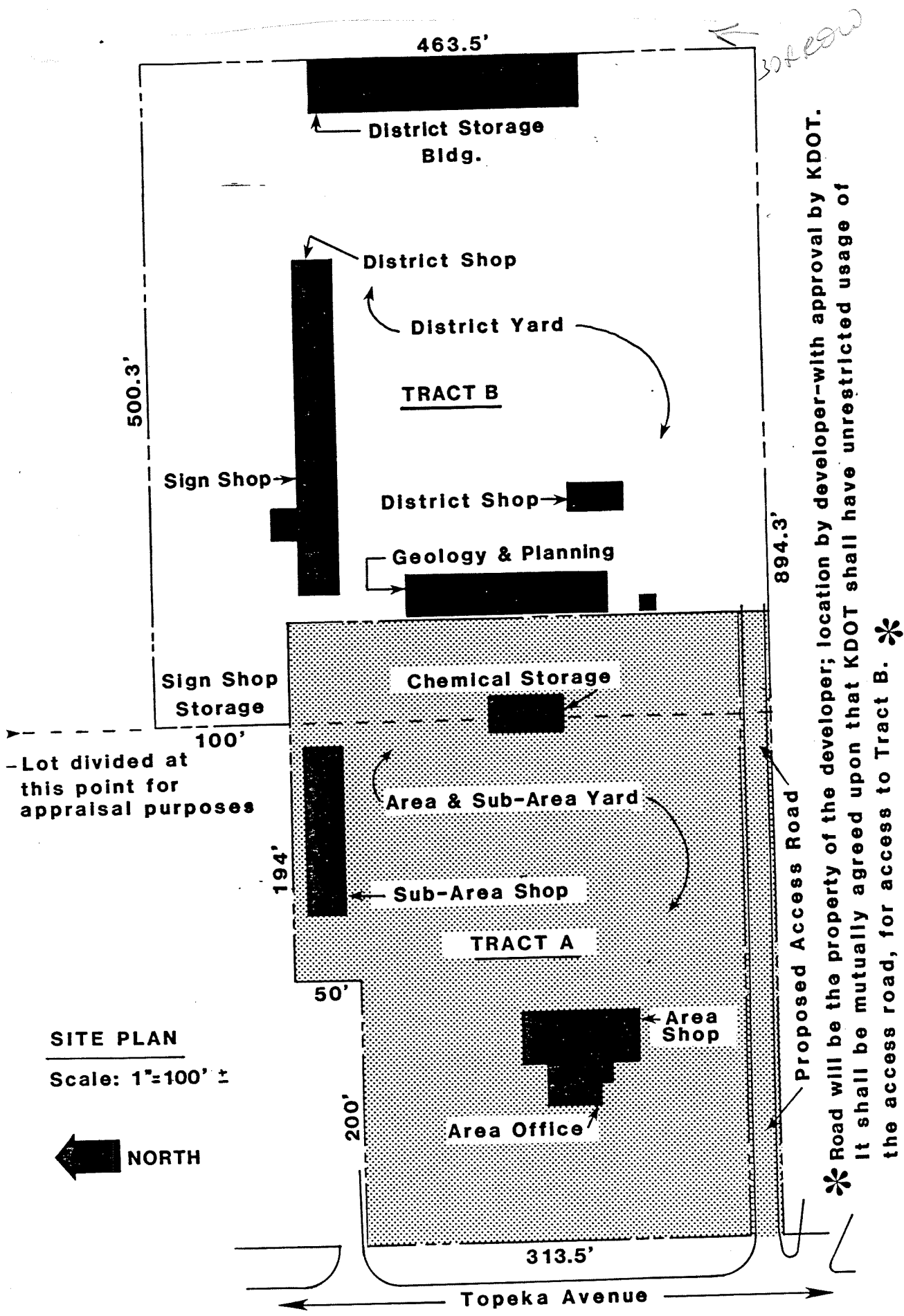
The KDOT presented the completed proposal to members of the Legislative Budget Committee at its October 16, 1985 meeting. At that time Chairman Bill Bunten urged the Department to expedite the proposed swap of the property owned by the Department at 3200 S. Topeka Avenue. The Committee also requested the Department to explore the possibility of similar swaps, or outright sales, of other KDOT facilities which have become surrounded by urban or commercial development. The Department is currently reviewing the feasibility of additional swaps, of existing facilities, that would be beneficial to both the KDOT and the local community.

This proposal was presented to the members of the Joint Committee on State Building Construction at its November 4, 1985 meeting. At that time, Chairman Phil Kline directed the KDOT to proceed with the proposed swap of the property owned by the Department at 3200 S. Topeka Avenue. Since this last meeting with the Joint Committee on State Building Construction the KDOT has completed specifications and bid documents for the project. The project was advertised in the January 30, 1986 Kansas Register for a March 20, 1986 letting.

The KDOT held a prebid conference February 10, 1986, to discuss the project requirements and the contingencies for correcting certain title defects and obtaining proper zoning on KDOT tracts located at 101 and 220 Gage. The zoning issue involved with the 101 Gage tract is a legal question as to whether the usage has been "grandfathered in" as an exception to the zoning ordinance or if a special permit is required. The zoning issue with the 220 Gage tract is that the current usage is a nonconforming usage which has not been "grandfathered in" and the proposed usage will require a special permit to be obtained to permit construction of the proposed project at 220 Gage.

The issue of title defects relates only to the tract of land at 220 Gage. KDOT's interest in this tract is a permanent easement for highway purposes. It would be desirable, however, to have fee title for this property. The underlying fee titles are held by the Department of Social and Rehabilitation Services and the Menninger Foundation. This is not considered a critical issue at this time, because the Menninger tract could be deleted from the project with no adverse effects to the project, if the Menninger Foundation declined to transfer fee title. KDOT is currently in the process of obtaining the underlying fee title from the Department of Social and Rehabilitation Services.

The KDOT welcomes any comments or suggestions from the Committee that will facilitate a timely and advantageous conclusion to the proposed barter.



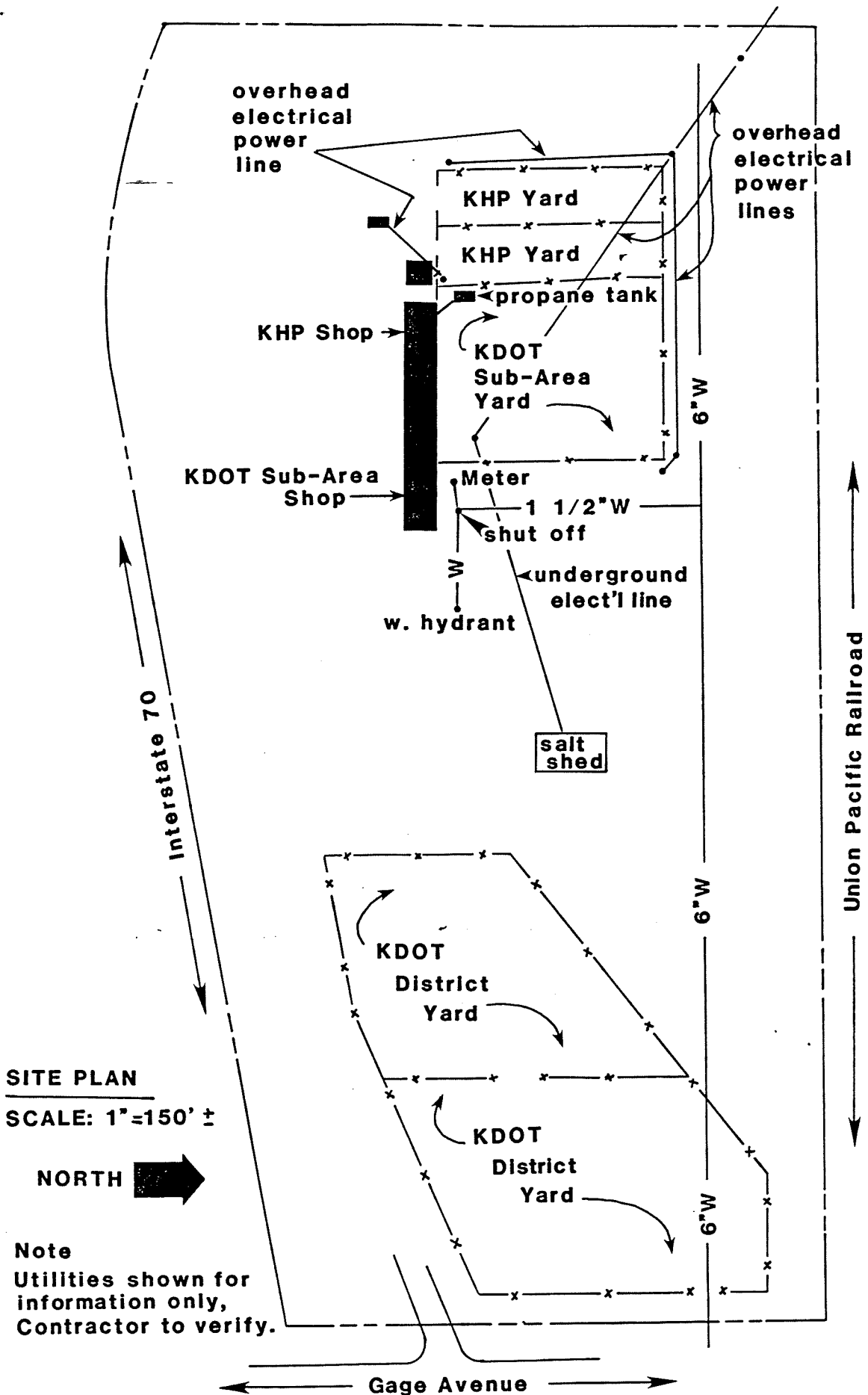
* Road will be the property of the developer; location by developer—with approval by KDOT. It shall be mutually agreed upon that KDOT shall have unrestricted usage of the access road, for access to Tract B. *

SITE PLAN
Scale: 1"=100' ±



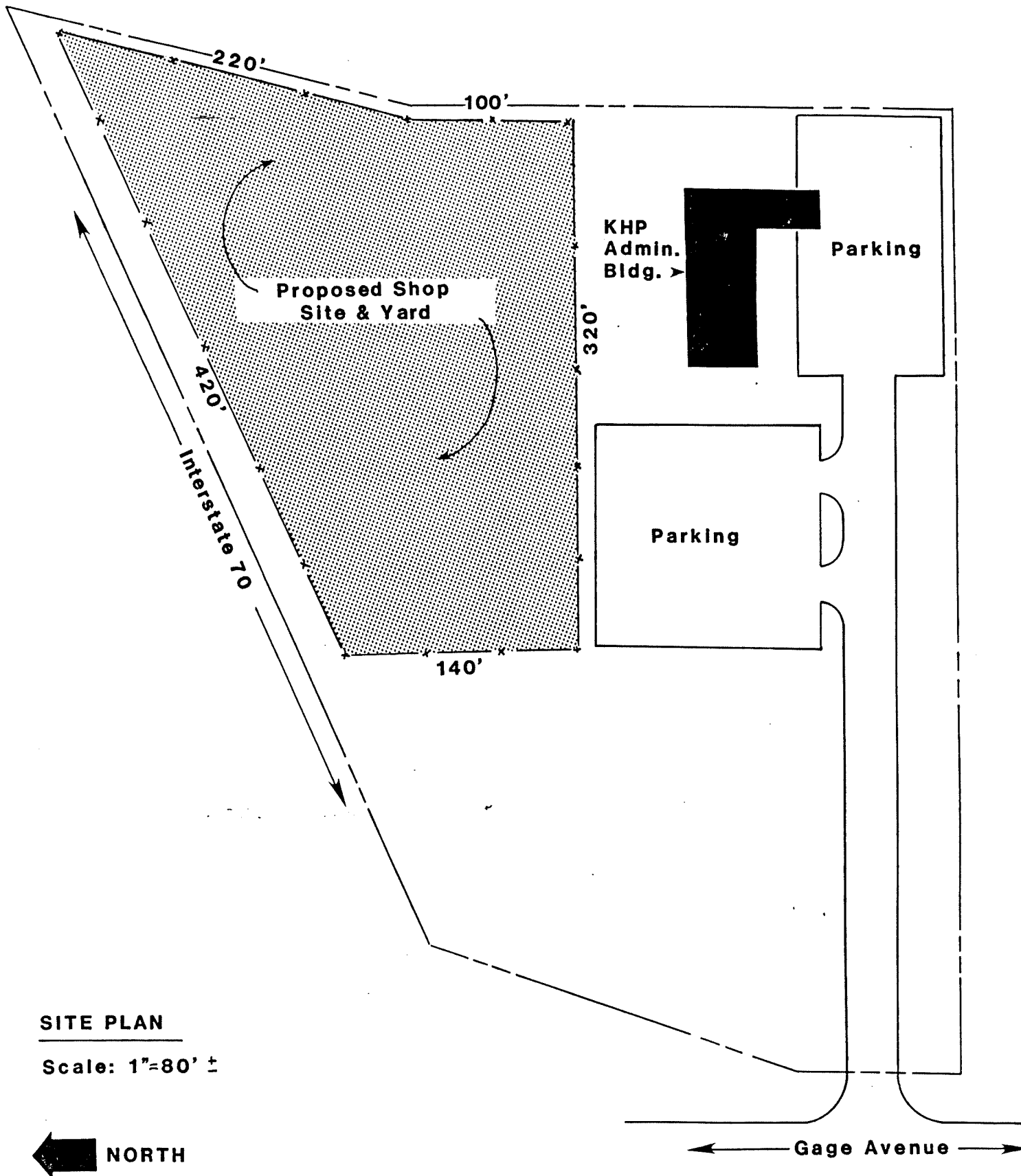
SUB-DIVISION OF KDOT PROPERTY AT 3200 S. TOPEKA AVENUE INTO: TRACT A AND TRACT B

Figure No. 1



EXISTING KDOT AND KHP PROPERTY AT 101 GAGE

Figure No. 2



SITE PLAN
 Scale: 1"=80' ±

NORTH ←

PROPOSED DEVELOPMENT OF KHP PROPERTY AT 220 GAGE AVENUE

Figure No. 3

MEMORANDUM

OFFICE OF
DEPT OF ADMINISTRATION
APR 17 1986
RECEIVED

TO: Alden K. Shields, Secretary of Administration
FROM: James R. Cobler, Director *JRC*
Division of Accounts and Reports
SUBJECT: Estimates for Fiscal Year 1987 Costs of Implementing
Mandatory Medicare Coverage
DATE: April 17, 1986

Following are our estimated fiscal year 1987 costs to the Division of
Accounts and Reports for implementing mandatory Medicare coverage:

1 add'l UTS-40 terminal @ \$128/mo	\$ 1,536
1 add'l printer @ \$250/mo	3,000
Printing "turn-around" forms to be used for municipalites to make remittances and reports with	6,000
Expenses for relocation of office equip	500
Expenses for workshops for briefing newly covered employers	1,000
Add'l postage	3,000

Total	\$15,036 ^x
	=====

Per our discussion with Virgil Basgall this morning, Virgil will be
estimating the fiscal year 1987 costs (including developmental run-
writing costs and processing costs) for the Division of Information
Systems and Communications.

If you have questions about how our estimates were arrived at,
please let me know.

cc: Russell Getter

*Amount would be SGF in the Accts and Reports line

B 4-22-86

STATE OF KANSAS



DEPARTMENT OF ADMINISTRATION
Office of the Secretary

JOHN CARLIN,
Governor
ALDEN K. SHIELDS,
Secretary of Administration

Room 263-E
State Capitol Building
Topeka, Kansas 66612-1572
(913) 296-3011

M E M O R A N D U M

TO: Senator Gus Bogina
Representative Bill Buntin

FROM: *AKS* Alden K. Shields, Secretary of Administration

DATE: April 21, 1986 *[Signature]*

SUBJECT: State Aircraft

We have previously discussed the need to update the state aircraft. In the way of background, the current state aircraft was manufactured in 1969; it was the first King Air 100 built, and thus holds the honor of having serial number 1. In 1976, the airplane was taken back to the assembly line and updated to the latest model at that time, an A-100. In 1978, the State entered into a lease-purchase agreement for the King Air, N3100K, serial number 1. The State chose the option to purchase the aircraft in 1979. Prior to purchase, it was owned by Beechcraft Corporation in Wichita, Kansas.

When the aircraft was acquired, it had approximately 1,500 hours on the airframe and now has approximately 5,000 hours. Since the purchase, we have upgraded certain of the electronics. Finally, in the latter part of 1983, a double engine change was completed as recommended by Beechcraft and Pratt and Whitney.

One problem we now face with this airplane is that the avionics, flight director and auto pilot are of the 1969 vintage, which makes everything 16 years old. Repair and parts have become expensive and in some instances, repair parts are difficult to obtain. After some research, it was estimated that it would cost somewhere around \$300,000 to re-do the avionics to current day state of the art avionics. This investment probably would raise the value of the aircraft no more than one-half the cost of the installation.

To ensure safe travel for state officials, the Department of Administration proposes that we upgrade the airplane. The airplane, of course, will be competitively bid with specifications worked out by Marvin Miller, the chief pilot, and the Division of Purchases. Preliminary investigations indicate that we ought to seek a maximum funding authority of \$2.4 million. We would prefer to have the flexibility to trade in the airplane or retain it and sell it outright and we would propose to craft the bid specifications in that way. We believe that the current aircraft has a value of \$375,000 to \$400,000, so that the net maximum cost might approximate no more than \$2.0 million.

With respect to financing, we would propose to use the next certificate of participation as the vehicle. I've identified below three options with 36-month, 48-month and 60-month payback periods. The interest assumption is 8.25%.

	<u>Monthly Payment</u>	<u>Annual Payment</u>	<u>Total P&I</u>
I. 36 months	\$75,485	\$905,820	\$2,717,438
II. 48 months	\$58,873	\$706,476	\$2,825,907
III. 60 months	\$48,951	\$587,412	\$2,937,060

To take advantage of certain dealer incentives currently being provided by one vendor, it would be desirable to take delivery on this aircraft by May 31 of 1986.

I am available to discuss this proposal in more detail at your convenience.

AKS:cm

Estimated Resources and Demands
Building and Ground Fund -- FY 1987

Reappropriation	\$ 274,074 ¹
Add: Parking Fees	219,600 ²
Add: Warehouse Rent and Other	62,685
Total Available Resources	<u>\$ 556,359</u>
Less: Operating Expenditures	140,304
Less: Rental of Trolleys	16,248 ³
Less: Debt Service Payments	42,409 ⁴
Less: Resurface Lot #1	245,000
Ending Balance	<u>\$ 112,398</u>

1 Adjusted from balances reflected in Governor's recommendation.

2 Assumes \$10 per month parking fee, effective August 1.

3 Assumes rental of two trolleys, effective January 1.

4 Payment of accrued interest of \$3,094 on January 1 and \$7,863 per month for 36 months commencing on February 1 (\$250,000 financed for 36 months at interest rate of 8.25 percent)

STATE OF KANSAS



DEPARTMENT OF ADMINISTRATION
DIVISION OF THE BUDGET

JOHN CARLIN,
Governor
ALDEN K. SHIELDS
Director of the Budget

April 17, 1986

Room 152-E
State Capitol Building
Topeka, Kansas 66612
(913) 296-2436

The Honorable Gus Bogina, Chairperson
Committee on Ways and Means
Senate Chamber
Third Floor, Statehouse

and

The Honorable William Bunten, Chairperson
Committee on Ways and Means
House of Representatives
Third Floor, Statehouse

Gentlemen:

Attached are the revised estimates of the cost of implementing the clerical study for six months of FY 1987. The cost is now projected to be \$3,694,504 all funds and \$2,413,471, state general funds. The attached material replaces the portion of the report submitted to your committee March 3, 1986 titled Clerical Study Implementation.

Please let me know if you have any questions.

Gary L. Stotts
Acting Director of the Budget

GLS:ks

B-3 4-22-86

CLERICAL STUDY IMPLEMENTATION

Agency	# of Positions Receiving Increase	\$ Total	SGF
016 Board of Abstracters	1	1,078	0
028 Board of Accountancy	2	1,092	0
034 Adjutant General	23	19,469	14,383
036 Advis. Coun. Voc Ed	1	1,887	0
039 Dept. of Aging	4	2,776	821
046 Bd. of Agriculture	81	113,949	109,217
055 Animal Health	16	20,066	5,017
058 Civil Rights	10	5,094	5,094
082 Atty. Gen. - KBI	26	28,354	28,354
094 Bank Commission	5	2,763	0
100 Barber Bd.	1	445	0
102 Behavioral Sciences	2	3,881	0
105 Healing Arts	6	6,711	0
143 Corporation Comm.	76	100,840	0
145 KCVTC	8	6,078	6,078
149 Bd. of Cosmetology	4	7,156	0
159 Credit Union Dept.	2	660	0

ERICAL STUDY IMPLEMENTATION

Agency	# of Positions Receiving Increase	\$ Total	SGF
160 Crime Victims Rep. Bd.	1	795	0
173 Dept. of Admin.	207	169,977	91,787
204 Mortuary Arts	1	3,329	0
234 Fire Marshal	8	7,974	7,974
240 Fish and Game	42	38,356	0
246 Fort Hays State	91	102,464	72,851
258 Grain Inspection	20	22,245	0
264 Health & Environment	180	211,309	206,640
276 Transportation	263	242,513	0
280 Highway Patrol	39	45,717	45,717
288 Historical Society	36	35,489	35,489
296 Human Resources	266	182,744	175,954
300 Economic Dev.	14	16,387	16,387
307 KCIL	7	4,824	4,824
313 KSIR	32	44,858	44,858
319 YCAT	17	12,088	12,088

CLERICAL STUDY IMPLEMENTATION

Agency	# of Positions Receiving Increase	\$ Total	SGF
325 YCAB	8	4,946	4,946
331 Insurance Dept.	51	75,010	75,010
355 YCAA	9	9,838	9,838
359 Arts Commission	2	4,501	4,501
363 KNI	38	32,932	32,932
365 KPERS	37	28,151	0
367 Kansas State Univ.	691	792,029	457,000
373 State Fair	2	2,493	0
379 Emporia State Univ.	100	75,209	53,849
385 Pittsburg State Univ.	130	156,609	120,902
391 Wheat Commission	2	836	0
410 Larned St. Hosp.	74	105,638	105,638
434 State Library	7	7,695	7,695
454 Consumer Credit Comm.	2	1,550	0
482 Bd. of Nursing	8	8,018	0
488 Bd. of Optometry	1	809	0
494 Osawatomie State Hosp.	79	49,261	49,261

CLERICAL STUDY IMPLEMENTATION

Agency	# of Positions Receiving Increase	\$ Total	SGF
503 Park & Resources	18	13,987	13,987
507 Parson St. Hosp.	36	32,824	32,824
521 Corrections	53	73,112	63,952
523 Adult Authority	4	3,544	3,544
525 KSP	44	52,489	52,489
531 Bd. of Pharmacy	2	2,978	0
549 Real Estate Comm.	5	7,732	0
551 SRDC	11	19,136	19,136
555 Rainbow Mental Health	11	13,166	13,166
561 Bd. of Regents	5	2,345	2,345
562 Bd. of Tax Appeals	7	8,271	8,271
565 Dept. of Revenue	593	895,798	429,982
585 Norton St. Hosp.	12	11,293	11,293
597 Savings & Loan	2	4,771	0
604 Visually Handicapped	5	8,328	8,328
610 School for Deaf	9	10,552	10,552
625 Securities Comm.	4	4,447	0

CLERICAL STUDY IMPLEMENTATION

Agency	# of Positions Receiving Increase	\$ Total	SGF
628 SRS	945	914,327	414,444
629 MHRS	9	6,170	6,170
634 Conservation Comm.	3	4,191	4,191
640 Soldiers Home	4	5,336	5,336
652 Dept. of Ed.	55	51,939	42,538
653 KTI	15	17,694	17,694
663 Technical Profess.	2	768	0
664 Topeka St. Hosp.	81	81,045	81,045
670 State Treasurer	31	52,068	52,068
68201 Univ. of Kansas	754	822,147	522,790
68202 KUMC	926	1,024,750	871,979
709 Water Office	4	5,067	5,067
713 Winfield St. Hosp.	40	30,129	30,129
715 Wichita State Univ.	381	389,711	298,517
Sub-total - Filled positions receiving increase	6,804	7,389,008	4,826,942
Sub-total - Filled not receiving increase	184	--	--
Total	6,988	7,389,008	4,826,942
Governor's recommendation to implement for six months of FY 1987		3,694,504	2,413,471

Kansas Fish & Game

BOX 54A, RURAL ROUTE 2, PRATT, KANSAS 67124
(316) 672-5911

REGIONAL OFFICES

Northwest Regional Office
Rt. 2, 183 Bypass
Hays, Kansas 67601

Northcentral Regional Office
Box 489, 511 Cedar
Concordia, Kansas 66901

Northeast Regional Office
3300 S.W. 29th St.
Topeka, Kansas 66614

Southwest Regional Office
888 Highway 10
Dodge City, Kansas 67801

Southcentral Regional Office
Box 424, 8420 Broadway
Valley Center, Kansas 67147

Southeast Regional Office
222 West Main Building
Suite C & D
Chanute, Kansas 66720

April 15, 1986

The Honorable August Bogina, Jr.
Chairman, Senate Ways and Means Committee
State Capitol Building, Room 123-S
Topeka, KS 66612

Dear Senator Bogina:

The 1985 Legislature made an appropriation to repair and renovate the dam and spillway of Ottawa State Fishing Lake.

Bids have been received covering the contract to complete this work. The low bid of \$137,814.11 was \$15,414.11 over the amount estimated to do this phase of the project. Since the amount bid is on estimated quantities, an additional \$9,585.89 may be necessary to assure completion of this project.

A request for recommendation from the Joint Committee on State Building Construction has been made. I would ask that the Ways and Means Committee include an appropriation increase of \$25,000 in the Omnibus Appropriation Bill, to be used for accepting the low bid and to cover other miscellaneous expenses associated with this project. Any amount not required will be returned to the unencumbered fee fund balance.

I am enclosing copies of the bids as received on this project.

Sincerely,



Bill Hanzlick, Director
Kansas Fish and Game Commission

meg

enclosure

KANSAS HIGHWAY PATROL

Service—Courtesy—Protection

John Carlin
Governor



Col. Bert Cantwell
Superintendent

March 25, 1986

Sen. Ross Doyen, Chairman
Highway Patrol Subcommittee
Senate Ways & Means Committee
Statehouse, Room 502-S
Topeka, Kansas 66612

Dear Senator Doyen:

The attached information is the fiscal impact of a salary range adjustment for KHP personnel, above the rank of trooper, and for all CASP personnel:

KHP Operations -	<u>2-step</u>	<u>1-step</u>
SGF	\$164,954	\$83,669
Other Funds	<u>13,195</u>	<u>6,598</u>
CASP -	\$178,149	\$90,267
SGF: Security Officer	\$43,812	
Patrol Officer	<u>42,088</u>	
	\$85,900	\$85,900
TOTAL COST	^{264,049} \$349,949 <u>\$349,949</u>	<u>\$176,167</u>
TOTAL SGF	<u>\$250,854</u>	<u>\$169,569</u>

The above funds would allow for a two range spread between all ranks within the KHP career ladder. The CASP personnel would realize a range increase of:

	<u>Range</u>
Security Officer I	6 to 9
Security Officer II	10 to 11
Patrol Officer	14 to 16
Patrol Sergeant	16 to 18

122 SW SEVENTH STREET
TOPEKA, KANSAS 66603-3847 (913) 232-9200

C 4-22-86
1603-H

Sen. Ross Doyen, Chairman
March 25, 1986
Page 2

The attached documents will provide the detailed explanation for the above summary statements. The agency computed the KHP Operations information, but we are accepting the DPS numbers for the CASP personnel due to the short time frame.

Very truly yours,

BERT CANTWELL
Superintendent


A. J. ARMBRISTER
Fiscal/Budget Manager

AJA:wmd

Attachments (2)

cc: Senator Bogina
Senator Talkington
Senator Gaines
Scott Rothe
Walt Darling

KANSAS HIGHWAY PATROL

SUMMARY OF FISCAL IMPACT FOR "2 RANGE DIFFERENCE"

ON SERGEANTS THRU LT. COLONEL FOR F.Y. 1987

AS OF 3-24-86

NO. OF POSITIONS	CLASSIFICATION	RANGE		TOTAL COST		NET INCREASE (5%)	NET INCREASE (2.5%)
		OLD	NEW	(OLD RANGE)	(NEW RANGE)		
<u>PROGRAM 7001:</u>							
-	TROOPER (UNDER 4 YR)	21	-	-	-	-	-
-	TROOPER (OVER 4 YR)	22	-	-	-	-	-
47	SERGEANT	23	24	1,375,980	1,444,848	68,868	34,434
18	LIEUTENANT	25	26	59,0520	6,194,88	28,968	15,504
12	CAPTAIN	27	28	433,752	4,554,48	21,696	10,848
3	MAJOR	28	30	1,151,52	1,205,16	5,364	2,682
1	LT. COLONEL	30	32	41,832	43,920	2,088	1,044
81	SUBTOTAL			2,557,236	2,684,220	126,984	64,512 *
(47)	HOLIDAY PAY (AVG. 663/POSITION-SGT, \$29,234)			--	--	1,462	731
(17)	" " (" " / " -- LT, \$10,574)			--	--	529	264
	SUBTOTAL					128,975	65,507
	ADD: KP&P @ 15.9%					20,507	10,416
	WCI @ .7%					903	459
	UCI @ .1%					129	66
81	TOTAL - PROGRAM 7001					150,514	76,448

PROGRAM 7052:

1	SERGEANT	23	24	29,724	31,212	1,488	744
1	SUBTOTAL			29,724	31,212	1,488	744
	ADD: KP&P @ 15.9%					237	118
	WCI @ .7%					10	5
	UCI @ .1%					1	1
1	TOTAL - PROGRAM 7052					1,736	868

* INCLUDES 1 EACH -- SERGEANT AND CAPTAIN WHO ARE ABOVE RANGE. WITH THE RANGE INCREASE THEY ARE ELIGIBLE FOR ONLY A ONE-STEP INCREASE REGARDLESS OF WHETHER LEGISLATURE AUTHORIZES EITHER A ONE-STEP OR TWO-STEP INCREASE.

(CONT'D.)

22-606 AIRPAD

22-606
AMPAD

No. OF POSITIONS	CLASSIFICATION	RANGE		TOTAL COST		NET INCREASE	NET INCREASE
		OLD	NEW	(OLD RANGE)	(NEW RANGE)	(5%)	(2.5%)
PROGRAM 7100:							
	TROOPER (UNDER 4 YR)	21	--	--	--	--	--
	TROOPER (OVER 4 YR)	22	--	--	--	--	--
3	SERGEANT	23	24	89172	93636	4464	2232
2	LIEUTENANT	25	26	65544	68832	3288	1644
1	CAPTAIN	27	27	36132	38004	1872	936
6	SUBTOTAL			190848	200472	9624	4812
(3)	HOLIDAY PAY (AVG. \$780/POSITION -- SGT, \$2340)			--	--	117	59
(2)	" " (AVG. \$780/POSITION -- LT, \$1560)			--	--	78	39
	SUBTOTAL					9819	4910
	ADD: KP&F @ 15.9%					1561	781
	WCI @ .7%					69	34
	UCI @ .1%					10	5
6	TOTAL - PROGRAM 7100					11459	5730
PROGRAM 7001 - PILOTS:							
15	PILOT I (TROOPER)	23	--	--	--	--	--
2	PILOT II (TROOPER)	24	25	57540	60408	2868	1434
5	PILOT II (SGT.)	24	25	149739	157280	7541	3771
1	PILOT III (LT.)	26	27	34416	36132	1716	858
23	SUBTOTAL			241695	253820	12125	6063
(7)	HOLIDAY PAY (AVG. \$622/POSITION -- P. II, \$4354)			--	--	218	109
(1)	" " (AVG. \$622/POSITION -- P. III, \$622)			--	--	31	16
	SUBTOTAL					12374	6188
	ADD: KP&F @ 15.9%					1967	984
	WCI @ .7%					87	43
	UCI @ .1%					12	6
23	TOTAL - PROGRAM 7001 - PILOTS					14440	7221

SUMMARY OF FISCAL IMPACT FOR "2-RANGE DIFFERENCE", (CONTD.)

22-606
AMRAD

	1	2	3	4	5	6
					TWO-STEP (5%)	ONE-STEP (2.5%)
1	<u>COST INCREASE BY PROGRAM:</u>					
2						
3	PROGRAM 7001 - HIGHWAY PATROL OPERATIONS					
4	TROOPER CLASSIFICATIONS					
5	PILOT CLASSIFICATIONS					
6	SUBTOTAL - PROGRAM 7001					
7					150514	76448
8					14440	7221
9					164954	83669
10	PROGRAM 7052 - MTR. CARRIERS SAFETY ASSISTANCE PROGRAM (MCSAP)					
11					1736	868
12	PROGRAM 7100 - KANSAS TURNPIKE PATROL					
13					11459	5730
14	AGENCY TOTAL INCREASE					
15					178149	90267
16						
17	<u>COST INCREASE BY FUND/ACCOUNT:</u>					
18						
19	1000-0200 STATE GENERAL FUND					
20					164954	83669
21	2514-9900 SPECIAL REVENUE FUND					
22					11459	5730
23	2000-NI CARRIER LICENSE FEES FUND					
24					347	174
25	3615-0000 HIGHWAY PATROL - FEDERAL FUND					
26					1389	694
27	AGENCY TOTAL INCREASE					
28					178149	90267
29						
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40						

(CW)



DEPARTMENT OF ADMINISTRATION
Division of Personnel Services

JOHN CARLIN,
Governor
SUSAN IRZA,
Director of Personnel Services

Room 129-South
State Office Building
Topeka, Kansas 66612-1595
(913) 296-4278

M E M O R A N D U M

DATE: February 17, 1986
TO: Colonel Bert Cantwell, Superintendent
Kansas Highway Patrol
FROM: Susan Irza, Director *SI*
Division of Personnel Services
SUBJECT: Law Enforcement Task Force Study

Received
KANSAS HIGHWAY PATROL

Attached is a copy of the report submitted to me by the Law Enforcement Task Force Committee which has recently completed its study of Security Officers and Patrol Officers in the Capitol Area Security Patrol.

I suggest that we meet at your convenience to discuss the findings and to determine the manner of their implementation.

SI:SK:dar/0012

M E M O R A N D U M

DATE: February 6, 1986
TO: William B. McGlasson
THRU: Spud Kent *ASK*
FROM: Alice Johnston
RE: Law Enforcement Task Force Study

INTRODUCTION

Colonel Bert Cantwell, Superintendent, Kansas Highway Patrol, requested a salary range change for certain positions in the Capitol Area Security Patrol (CASP). The request included all positions in the classes of Security Officer I and II, Patrol Officer and Patrol Sergeant. This request was initially referred to the Group L Coordinating Committee. Some discussion of the problem ensued; however, no action was taken.

Following the implementation guidelines for the Fiscal Year 1986 pay plan, Jackie McClain, Personnel Director, KUMC, requested a salary realignment for the classes of University Detective Lieutenant, University Police Lieutenant and University Police Captain to rectify compression against the class of University Detective.

This compression issue was extracted from other identified compression issues in order to address these two law enforcement series with one task force. Therefore, recommendations in this report regarding the University Law Enforcement Series should be forwarded to the Secretary of Administration.

The Law Enforcement Task Force Study Committee was assembled to address both these issues. The committee was comprised of the following individuals:

Lynn George - KU
Wes Oliver - KUMC
Carol Frey - SRS
Chuck Mason - KHP
Spud Kent - DPS
Alice Johnston - Chair - DPS

The committee met four times (November 22, December 3 and 17, 1985, and January 9, 1986) and had a number of telephone conversations gathering and exchanging information between meetings. It was determined that our charge would be to make recommendations to address the immediate needs of the Capitol Area Security Patrol (CASP) and to make recommendations in the related university law enforcement series

William B. McGlasson

February 6, 1986

Page Two

to alleviate compression problems resulting from implementation of the 1985 pay plan. In addition, both of these elements should be re-evaluated in the comprehensive Group L study due to begin in June, 1986.

I. Capitol Area Security Patrol

In order to evaluate the issues at hand, new position descriptions were obtained from KHP for all CASP positions, which included:

- 35 Security Officer I positions
- 4 Security Officer II positions
- 22 Patrol Officer positions
- 5 Patrol Sergeant positions

Sample position descriptions for positions classified in the Security Officer, Patrol Officer, University Police Officer and University Detective series were reviewed for comparison and consistency purposes.

After analyzing the duties and responsibilities performed, positions classified as Patrol Officer and Patrol Sergeant in the Capitol Area Security Patrol were separated from like positions in the rest of the state. All Patrol Officers and Patrol Sergeants are assigned a variety of parking, security and law enforcement tasks; however, those officers and sergeants in CASP appear to have a higher level of enforcement responsibility than found in other state agencies, interact more with city police and county sheriff departments and are soon to have access to the NCIC (National Crime Information Computer) network. However, the investigation and enforcement responsibility of the CASP Officers and Sergeants further appears to be at a level less than that inherent in the University Police Officer and Sergeant classes.

In an effort to alleviate the immediate problem for CASP without further affecting the classified system, the adoption of a salary range differential footnote for Patrol Officer and Patrol Sergeant classes is suggested. Before recommending such a differential, salary data was gathered from Central States Salary Conference, September 1985, for the Patrol Sergeant class.

Security Officer salary data from Kansas Department of Human Resources, Greater Kansas City Area Hospital Association, and Management Service Associates (Greater Kansas City Area, KC, Mo.) was reviewed. As the salary for Security Officers is a statewide concern, rather than localized concern, recommendations are for a salary range change.

II. Compression Within the University Law Enforcement Series

By our definition compression exists if either of the following criteria are met:

1. Compression exists in salary ranges close to those eliminated from the previous pay matrix.
2. Compression between a supervisory class and a class of employees being supervised has a difference of less than two salary ranges.

Using this definition, compression exists between the class of University Detective (SR 21) and University Detective Lieutenant (SR 22). To alleviate this compression the normal recommendation would be to reassign the University Detective Lieutenant to SR 23. However, the University Detective series and the University Police series are parallel series, performing comparable work for comparable pay at regents institutions. A salary range reassignment in either of the two series would have the effect of paying two different salary ranges for comparable work. The most appropriate action to alleviate compression and prevent inequities, would be to recommend the following salary range changes: University Detective Lieutenant and University Police Lieutenant from SR 22 to SR 23 and University Police Captain from SR 24 to SR 25.

RECOMMENDATIONS AND FISCAL IMPACT

The Law Enforcement Task Force Study Committee makes the following salary range recommendations as interim resolutions to the problems addressed:

<u>CLASS</u>	<u>TITLE CODE</u>	<u>CURRENT RANGE</u>	<u>MIN</u>	<u>MAX</u>	<u>REC RANGE</u>	<u>MIN</u>	<u>MAX</u>	<u>FISCAL IMPACT</u>
Security Officer I	4127	6	805	1,080	9	933	1,252	\$42,660
Security Officer II	4128	10	981	1,315	11	1,029	1,381	1,152
University Detective Lieutenant	4116	22	1,761	2,359	23	1,849	2,477	0
University Police Lieutenant	4118	22	1,761	2,359	23	1,849	2,477	0
University Police Captain	4117	24	1,941	2,601	25	2,038	2,731	0
<u>TOTAL COST</u>								<u>\$43,812</u>

William B. McGlasson
February 6, 1986
Page Four

We further recommend the adoption of the following salary differential footnote:

"A salary differential of two ranges above the assigned ranges for Patrol Officers and Patrol Sergeants classes shall be provided for positions allocated to these classes in the Kansas Highway Patrol Capitol Area Security Patrol."

<u>CLASS</u>	<u>TITLE CODE</u>	<u>FISCAL IMPACT</u>
Patrol Officer	4136	\$32,268
Patrol Sergeant	4137	<u>9,820</u>
	TOTAL COST	\$42,088

As the Security Officer III class, SR 13, is no longer used, we recommend that it be abolished.

The fiscal impact for salary range changes is figured per regulation 1-5-19c which allows for payment at the same dollar amount or increase to Step A.

AJ:sl

and the suggested career ladder options, ranges were chosen conservatively such that the range midpoints (except for Trooper) would be as close to the 50th percentile as possible.

Table 8-1
Recommended Pay Ranges and Their Resultant Range Midpoint
Percentile Scores as Compared with 36 Law Enforcement Organizations

<u>Job Classification</u>	<u>Fiscal 1986 Range</u>	<u>Range Midpoint Percentile</u>	<u>Recommended Range</u>	<u>Approximate Resultant Percentile¹</u>
Colonel	36	53	36	53
Lieutenant Colonel	30	36	32	57
Major	28	31	30	48
Captain	27	46	28	55
Lieutenant	25	42	27	61
PET Sergeant	23	-	25	-
Field Sergeant	23	41	24	50
Governor's Security Trooper	22*	-	24	-
CASP Sergeant	16	-	23	-
Aircraft Trooper	23	-	23	-
MCSAP Trooper	22	-	23	-
Trooper (General)	22	89	22	89
(Trooper III)	-	-	22	89
(Trooper II)	-	-	21	71
(Trooper I)	-	-	20	54
CASP Officer	14	-	19	-

¹ These percentile scores are based on the total sample of 36 law enforcement agencies.

*On August 12, 1985, the authors were notified by KHP that the two range increment for Governor's Security Troopers had been restored, making their actual range 24. Our internal equity analyses indicate that range 24 is the appropriate range for this job.

The data in Table 8-1 essentially speak for themselves, and the specific rationale on which the recommendations are based are contained in the several chapters noted above. Several exceptions to these and the general rationale of "pay the market" (50th percentile) were necessary and they are discussed briefly here.

Agency: Kansas Highway Patrol

Project	Amount Requested FY 1987	Governor's Rec. FY 1987	Committee Rec. FY 1987
Highway Patrol Training Center — Topeka	\$ 192,000 ^m	\$ —	\$ —
× Fuel Storage Tank, Division III Headquarters — Hays	19,000	—	—
× Fuel Storage Tank, Division VI Headquarters — Garden City	19,000	—	—
× Fuel Storage Tank, Division IV Headquarters — Chanute	19,000	—	—
Metal Storage Building, Division III Headquarters — Hays	5,100	—	—
Metal Storage Building, Division VI Headquarters — Garden City	5,100	—	—
Metal Storage Building, Division V Headquarters — Wichita	5,100	—	—
TOTAL	<u>\$ 264,300</u>	<u>\$ —</u>	<u>\$ —</u>

Funding

State General Fund	\$ 264,300	\$ —	\$ —
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m) Multiyear funding requested/recommended.

Highway Patrol Training Center — Topeka

The agency is requesting a total of \$3,804,000, all from the State General Fund, for the construction of a new Highway Patrol training center in Topeka. The multiyear funding request includes \$192,000 in FY 1987, \$1,960,000 in FY 1988, and \$1,652,000 in FY 1989. The agency proposes to construct the new facility on existing state property, if possible, adjacent to the interstate highway. The property would be of sufficient size to incorporate a pistol/rifle range, a pursuit driving pad, and future construction needs. The 45,497 square foot training center would provide space to house, feed, instruct and train 50-60 individuals, plus staff, for extended periods. Specifically, the building would include offices (2,048 square feet), classrooms and training facilities (5,925 square feet), a multipurpose area (13,040 square feet), dormitory facilities with showers and restrooms for both males and females (19,613 square feet), and dining and kitchen facilities (4,871 square feet).

The current Kansas Highway Patrol Training Center occupies a former SAC Air Crew Alert building, built in 1959, at the former Shilling Air Force Base in Salina. The 15,221 square foot building includes the 2,524 square foot Division II Headquarters. The building is on lease from the Salina Airport Authority and has contractual limitations on building modifications. The agency maintains that annual maintenance and repair costs are high with utility costs for the complex exceeding \$20,000 annually.

(Both the utilities and the maintenance of the facility are included in the budget of the Department of Transportation.) Over 500 persons will be trained in the facility during FY 1986, a number that continues to increase each year. The agency reports that the 52-bed facility includes just one shower stall for female trainees in the only women's restroom in the building, and just four shower stalls for men. The agency also states that the building is wheelchair-inaccessible, and suffers from a storage shortage, frequent plumbing and sewage problems, inadequate intrafacility communications, and inadequate loading facilities.

The agency estimates the 45,497 square foot building would cost \$69.62 per square foot, or \$3,167,467. Other costs include \$125,946 for movable training equipment, \$40,365 for an 80-car parking area, and \$20,000 for lighting, landscaping and fencing, for a base cost of \$2,922,674. The agency also estimates a 15.5 percent inflationary factor to a bid date of July, 1987 (\$453,621), a 5 percent construction contingency (\$161,700), architectural fees of 6 percent (\$199,165), a 1 percent Division of Architectural Services fee (\$32,333), and miscellaneous expenses (\$33,000) including prints, surveys, and subsoils. The estimated total cost is \$3,804,000.

Committee Recommendation.

Fuel Storage Tanks

The agency requests the installation of three 10,000 gallon, 8 foot by 27 foot, steel underground storage tanks and pumping units for unleaded gasoline. One tank would be installed at each of the three division headquarters listed below. The agency estimates bulk fuel prices at 10-12 cents per gallon less than commercial fuel prices, indicating a payback on each of the bulk tanks of approximately two years. Costs for each fuel tank is estimated at \$19,000, including \$16,500 for construction, \$1,000 for a 6 percent project contingency, and \$1,500 for a 9 percent architectural fee.

Division III Headquarters — Hays. The Division uses approximately 90,762 gallons of fuel annually for the 40 officers stationed at Hays. With a bulk fuel price savings of 10-12 cents per gallon, the agency estimates the \$19,000 fuel storage tank would result in a savings of \$10,890 per year.

Committee Recommendation.

Division VI Headquarters — Garden City. This Division uses approximately 77,390 gallons of fuel annually for the 33 officers stationed at Garden City. With a bulk fuel price savings of 10-12 cents per gallon, the agency estimates the \$19,000 fuel storage tank would result in a savings of \$9,287 per year.

Committee Recommendation.

Division IV Headquarters — Chanute. This Division uses approximately 104,835 gallons of fuel annually for the 41 officers stationed at Chanute. With a bulk fuel price savings of 10-12 cents per gallon, the agency estimates the \$19,000 fuel storage tank would result in a savings of \$12,580 per year.

Committee Recommendation.

Metal Storage Buildings

The agency requests the construction of three 10 foot by 20 foot by 8 foot metal storage buildings and concrete pads for the storage of bulky items or items not conducive to storage within a headquarters building. One metal storage building would be constructed at each of the three division headquarters listed below for the storage of paper stock, auto parts, tires, cases of oil, antifreeze, washer solvent, and other similar large or bulky items which can be stored at an external location. The agency states that because of the current limited storage capacity of these headquarters, frequent trips to the Kansas Highway Patrol Headquarters Supply Office in Topeka are necessary. The cost for each 200 square foot building (\$25.50 per square foot) is estimated at \$5,100, including \$4,300 for construction, \$500 for an 11.6 percent project contingency, and \$300 for a 7 percent architectural fee.

Division III Headquarters — Hays. The agency requests \$5,100 for the construction of a 200 square foot metal storage building for support of 40 officers and 8 civilian personnel at Hays.

Committee Recommendation.

Division VI Headquarters — Garden City. The agency requests \$5,100 for the construction of a 200 square foot metal storage building for support of 33 officers and 8 civilian personnel at Garden City.

Committee Recommendation.

Division V Headquarters — Wichita. The agency requests \$5,100 for the construction of a 200 square foot metal storage building for support of 55 officers and 11 civilian personnel at Wichita.

Committee Recommendation.

SUPPLEMENTAL REPORT

Youth Center at Topeka

On April 1, 1986, a hearing was held on the Youth Center at Topeka's (YCAT) "C" level budget request for a Special Offenders Unit (concept paper enclosed). Present at the meeting were Senators Winter and Gannon, Representative Fuller, and staff from Youth Services and YCAT.

The agency's request for \$209,406 for a Special Offenders Unit would provide for specialized treatment for 14 to 16 hours a day for the 10 to 12 emotionally explosive, violent juvenile offenders in the agency's population at any given time.

YCAT presented an additional request for \$114,575 for nine security officers (also attached) to provide double security coverage between 3:00 p.m. and 7:00 a.m., with single coverage between 7:00 a.m. to 3:00 p.m. The agency is also considering closing off the east and west access roads during the evening hours. Currently, the four secure cottages have single security coverage 24-hours per day.

The Committee noted it appears that YCAT is receiving a higher percentage of harder to manage youth than in the past at a time when its average daily census has been at or above the capacity of 219. It also appears that the new nonsmoking policy may have induced some of the recent incidents of violence at YCAT.

The Committee suggests that the following alternatives be further reviewed:

1. Addition of 7.0 FTE Security Officers (four Security Officers II and three Security Officers I) at a total cost of \$94,462 for salaries and wages. The new positions would provide YCAT with double security coverage between 3:00 p.m. and 7:00 a.m.
2. A Legislative Post Audit study at YCAT concentrating on:
 - a. the need for a Special Offender Unit or added psychiatric care;
 - b. the need for improved structural security within the cottages;
 - c. the need to control campus access roads at certain hours; and
 - d. the need to provide security fencing around the campus.

The Legislative Post Audit Division has completed a performance audit on YCAT to be released during the week of April 21, 1986. The study reviews the control of drugs and cash as well as incidents of violence against YCAT staff.

YCAT.SR/pb

E 4-22-86

Police quell disturbance at facility

Topeka police and deputies from the Shawnee County Sheriff's Department were called early Sunday morning to quell a disturbance at the Topeka Youth Center, 1440 N.W. 25th, after two males apparently attempted to escape.

Five males at the center were ultimately involved in the disturbance, which started around 2 a.m. and ended about three hours later, officials said.

Milton Jackson, director of youth services at the facility, said the incident was sparked when night personnel in the crisis intervention cottage learned that two males were planning an escape by attacking two night-shift workers.

Three youths, two of whom had escaped briefly from other cottages last month, then slammed against the locked doors of their rooms until the doors gave way around 4 a.m., Jackson said.

"All stayed in the building," Jackson said. "No one got outside."

Officials were able to seal off a hallway with doors in the cottage. Law enforcement officers, including two city canine patrols, were then summoned.

The youths, ages 16 to 18, broke the locks to some doors, broke out several windows and damaged the lighting system in the hallways. They then broke out a ceiling panel and climbed into the ceiling until police moved in and the youths surrendered.

Seven other youths were in the building at the time but did not take part in the disturbance, said Jackson. No one was injured in the disturbance, authorities said.

The disturbance did not affect other areas of the center. About 200 youths were being housed at the center when the disturbance broke out. Jackson said a damage estimate was not yet available.

The crisis intervention cottage houses youths who have committed violent acts before being sent to the center or while at the center.

Officials at the facility have been studying whether to build a new building or upgrade the security at the current structure.

SECURITY OFFICERS
YOUTH CENTER AT TOPEKA

The addition of security staffing for the Youth Center at Topeka could go a long way as acting as a deterrent to some of the problems we have been experiencing in the past few months.

We suggest that double coverage for Security Officers at YCAT should occur during the suggested shifts of 3-11PM and 11-7AM for all seven (7) days with single coverage from 7-3PM.

As a measure to curtail unauthorized visitors to our campus during the evening hours, we are also considering closing off the east and west access roads. This will make it necessary for those people entering and exiting our campus to use the main entrance. We feel if we have security positions, the monitoring of after hours traffic could more easily be accomplished by using this procedure.

Cost breakdown for nine (9) new Security Officer positions as follows:

2 staff - 3-11 shift
 2 staff - 11-7 shift
 1 staff - 7-3 shift
 5 staff x .7 relief factor = 3.5 positions + 5 = 9 Security Positions

Nine (9) New Positions at Step A:

		Shift Differential
<i>Range 13</i>	1 - Sec Officer III - 1 x \$13,620 = 13,620	
<i>Range 10</i>	4 - Sec Officer II - 4 x \$11,772 = 47,088	(3 staff x .20 x 2080 = \$ 1,248)
<i>Range 6</i>	4 - Sec Officer I - 4 x \$ 9,660 = 38,640	(3 staff x .20 x 2080 = \$ 1,248)
	<u>\$99,348</u>	<u>\$ 2,496</u>
		+99,348
		<u>101,844</u>
		X 12.5
		<u>12,731</u>
		<u>101,844</u>
	Total Estimate =	<u><u>\$114,575</u></u>

With a permanent security staff, we could also put the four (4) current Youth Service Workers that are used as Night Rovers back into the closed cottages and thus alleviate some of the night shift single coverage in the cottages.

MEMORANDUM

FROM: Robert C. Barnum *RCB*

DATE: June 21, 1985

TO: Gene Wilson

SUBJECT: Violent Offenders Program

Concept Paper
Specialized Program for Violent Juvenile Offenders

I. General Information

This paper provides the program concepts of a program for violent juvenile offenders at the Youth Center at Topeka. The program concepts serve as the specifications upon which the youth center will develop a program to serve this specialized population of male offenders. This paper is an expansion on the Youth Services FY87 Issue Paper "Special Program for Violent Juvenile Offenders". Priority 4 has been assigned to the issue paper.

At any given time there are between 10 and 20 emotionally explosive, violent juvenile offenders in the youth center population. These youth present unique management and habilitation problems as well as substantial public safety concerns. In fact, those explosive youth who have had the opportunity for less restrictive intervention have failed in those efforts.

These youth are frequently passed back and forth between mental health facilities and youth centers as these two systems try to manage their volatility. A recognition of the major difficulties in handling these youth is reflected in their being targeted as a priority treatment group by (JJDP) the Federal Juvenile Justice and Delinquency Prevention Act and the National Institute of Mental Health (NIMH). Traditional treatment and behavior modification approaches do not successfully reach this group and they continue to behave in an extremely impulsive fashion with little regard for the consequences of their actions. They may, in fact, respond in ways that seem illogical given the anticipated consequences.

To create programs for these youth with special needs requires both the provision of adequate security to assure public and staff safety and the delivery of intensive, specialized treatment. Security is provided both by the physical setting and by staff who can assure control. Intensive, specialized programming involves both a substantial enhancement of normal program elements as well as a focusing of those activities into a regular predictable structure where the norm is active participation. Such program activities include:

- On-site delivery of educational services in a full six hour school day, (primarily GED prep);
- Productive work, such as cleaning, grounds maintenance done in a work setting as similar to a real job as possible.
- Rigorous wellness and physical fitness training with an emphasis on endurance and team activities;
- Training in decision making skills and problem solving;
- Psychological services directed at an assessment of skills and training in impulse control, behavior control, coping mechanisms and functioning defenses;
- Social work services directed at maintaining community ties and training in assertiveness, decision making, relationships with others, and successful manipulation;
- Medical supervision of medication and involvement in an overall wellness program;
- Outdoor experiences, both inside and outside the secure environment, which are based on the wilderness experience program model and the problem-solving model;

- Extensive modeling, simulation, and structure provided by the Youth Service Worker;
- Stress management training and biofeedback training directed at self management;
- Activity programming directed at leisure time activities, wellness, physical fitness decision making, and independent living skills;
- Career Education based on youth center programs.

All of these program enhancements are intended to encourage a sense of wellness and success rather than illness and failure. A lifestyle change must be the goal.

Such a specialized program requires:

- Intensive, scheduled treatment for 14 to 16 hours a day, seven days a week;
- The participation of only a small number of youth at any one time;
- Rigidly controlled admissions to the program;
- On the average 14 to 20 month length of stay;
- On-going external review of the program;
- The youths' direct return to the community.

All of these programmatic enhancements are compatible with the current overall youth center goal of developing each youth's ability to live independently in the community as productive citizens. As these program enhancements are developed and refined they can be provided to other youth in youth centers at no added cost.

In order for these youth to support themselves as productive citizens gainful employment will be necessary. A study of the characteristics of people desired by employers yielded the following rank order listing.

1. Honest and dependable;
2. Reliable and punctual;
3. Get along well with people;
4. Cooperate with supervisors;
5. Accept and handle responsibility;
6. Willing to undergo further job skill training;
7. Think of self as worthy person;
8. Communicate orally and listen effectively;
9. Work with minimum supervision;
10. Solve personal and professional problems;
11. Possess entry level job skills;
12. Read with understanding;
13. Understand required mathematics.

Interestingly enough, this list represents the skills or behaviors needed to function independently in the community. This list then provides a set of behavior to target in program offerings. The list also provides some behavioral/skill assessment possibilities to measure or predict readiness to enter the community.

Personnel changes would be required to assure that there are youth service staff adequate to manage this group of explosive youth, while modeling non-violent approaches to life.

Staffing Requirements:

Youth Service Workers -

	<u>AM</u>		<u>PM</u>		<u>11-7</u>
YSW	III = 2	YSW	IV = 2	YSW	III = 2
YSW	III = 2	YSW	III = 2	YSW	II = $\frac{2}{4}$
YSW	II = $\frac{2}{6}$	YSW	II = $\frac{2}{6}$		

YSW V - Cottage Director = 1

Psychologists/Social Workers - Psychologist II = 1
 Social Worker II = 1

Educators - 2 PSA teachers

Recreation/AT - 2

Psychiatrist - 4-5 hours per week

Using the example of YCAT's Commanche Cottage and Step C of the FY86 Salary Plan, the budgetary requirements would be:

Capital Improvements	Unknown		
<u>Salary and Wages</u>	<u>Existing</u>		
YSW V	\$21,132	(0)	-0-
YSW IV	19,164	(1)	\$ 19,164
YSW III	52,164	(5)	86,940
YSW II	78,900	(1)	15,780
Psychologist II (1)	29,724	(0)	-0-
Social Worker II (1)	22,188	(0)	-0-
PSA teacher (2)	50,000	(0)	-0-
AT I (0)	-0-	(2)	38,328
Psychiatrist 250hr/yr	-0-	\$100/hr	25,000
Sub Total			\$185,212
Fringes - 17%			31,486
Training			5,000
Total			\$221,698

II. Implementation

A. Timelines

- Concept Paper complete August, 1985;
- Project Program Planning August, 1985 - December, 1986;
- Project fully implemented January, 1987.

B. Superintendent's Role

It is critical that this program be seen as one whose primary impetus and program philosophy comes from the Superintendent. The Superintendent will need to take an extremely active part in initiating and supporting

the activities of the program in assuring that resources are available to enable the program to function and for regular monitoring of the program.

C. Program Director's Role

The program director will also have to assume a large share of the responsibility for program philosophy, program implementation and program monitoring. As such, the program director should chair a task force of key individuals from within the institution as well as consultants and Central Office staff, which would be responsible for the details of program design and implementation. The program director would be primarily responsible for this program and its operation within the institution.

D. Program Manager

A program manager will need to be selected from among existing staff with assigned responsibility for day to day operation, maintenance of the program philosophy, and reporting to administration on compliance with the standards. The program manager would also be responsible for the initial staff development activities and coordination with the training division. Selection should probably occur prior to finalization of program development.

E. Staffing

A budget issue paper has been purposed which would request additional staffing for Commanche Cottage at the Youth Center at Topeka. This FY87 issue paper calls for an additional expenditure in the neighborhood of \$222,000 to provide additional activity therapy, psychiatric and youth service worker services for this population. Assignment of teaching staff, social work and psychology staff from existing youth center staff would be made.

F. Consultants

Consultants will be necessary to work with the task force in the design of specific parts of the program. Examples of these areas would be the wellness, physical endurance activities, outdoor experience, education, bio feedback programming. Consultants provide the valuable service of assisting the task force incorporate the latest technology into the program design. Funding of consultants would probably be solely from grant funds solicited by the task force. More detail on consultants will be provided later.

G. Admission Criteria

Admission criteria should be developed by the task force. These criteria should be designed to restrict admission to the most emotionally explosive violent offenders in the youth center population. Priority should go to youth demonstrating explosive violent behavior within the youth centers. Youth who are committed on violent offenses and make a good adjustment in the youth center may not be admitted to this program.

H. Staff Training

Considerable investment should be made to assure that all staff assigned are initially trained as a group (team) in all aspects of the program. For example, all staff should be trained as a group in the approved behavior management techniques and in the decision making processes. Some very technical aspects may be reserved for professional groups, however, extreme care should be used to assure a team operation.

I. Curriculum - Competency Based

All program elements should be developed on the bases of competencies to be trained and mastered. Clear definition of competencies and mastery should be achieved. Existing materials should be sought out for program use.

III. Programmatic Areas

A. Educational Services

Educational services for the cottage would be provided by the Lawrence-Gardner High School. Educational services are envisioned to be individually prescribed to operate during a six hour period of the day and to address the specific learning and behavior problems of each youth. It is anticipated that GED prep would be the primary goal as well as vocationally oriented classroom activities. During the six hours that the school is in operation it is primary and only in an exceptional case would other related services necessary. Absences should be handled as they would be in a work setting. In order to monitor this activity, standards should be developed specific to the program defining the monitorable activities including procedural compliance issues necessary to the operation of the program, student achievement of competencies as prescribed and student attendance in the classroom and at related services.

The development of these standards should be accomplished with Dr. Jane Adams, Educational Coordinator, taking the lead role. Each of the standards developed will also have a monitoring procedure so that monitoring is an active part of the program. This is an area where we might be wise to involve an outside consultant to explore the alternative school model.

Monitoring of compliance with these standards should include external review to assure active monitoring of the standards as well as to assure that the findings of the monitoring are incorporated into the on-going planning process and the corrective action plans are in place.

Staff Required: 2 teachers from existing staff
 Consultants: Consultant in Alternative School
 Cost: Cost of consultant - Grant Funds

B. Productive Work

The program must provide for each youth at least six hours a day of productive work beyond that which takes place as regular maintenance of their personal area. To accomplish this, work assignments may need to be coordinated so that youth can be moved as a group during a specific period of the day to a work assignment. However, individual work assignments should be considered as a primary method. The development of this activity should include the input of the Business Department, the school, and the youth service worker. Every effort should be made to assure that all work activities are well coordinated with any possible educational programming. The concept of productive work includes programmed activities such as school and related services. It does not include wellness type activities.

No staff.

C. Wellness and Physical Fitness

The concepts of wellness and physical fitness are central themes of programming for violent offenders. All programmatic activities must be focused from the wellness perspective and must be pointed in a wellness direction. The wellness concept assumes that the youth has the capacity to function in a productive way, that there is no illness process that functions as a primary disabler and that the future requires a pro-active attitude of knowing where you want to be and assuming responsibility for achievement around a set of goals. The wellness concept is also one of life-style. It is one of understanding nutritional concepts. It is one of understanding the impact of life-style on disease processes. It is one of understanding how to monitor ones self and one of understanding what kinds of activities are personally rewarding in a productive way.

Wellness must be infused throughout all of the programmatic activities. Getting ones self up, preparing ones self for the day's activities, monitoring ones self as you go through the day making choices about the intake of materials into the body are examples of learning objectives. All areas must be involved in intergrating wellness into their program. This program area should be a no smoking area for staff, youth and vistors.

Physical fitness is another area that must be emphasized. The emphasis of the physical fitness segment must include wellness. It must also be directed at endurance type activities and team sport activities or team work activities. The endurance activities serve the purpose of providing experiential learning around the concepts of investing of yourself in the immediate, even in a painful way sometimes, for a longer term gain or something that you want. This is not to deny the importance of running very hard for short distances or walking rapidly for short distances or participation in individual sports. It is rather the need for experiential learning around the longer term rewards for an investment of your self in the immediate period that provides focus for this activity.

Heavy emphasis needs to be placed on the concept of team work. The program should demand that the learning around team activities be that the group (team) must function as a unit and that the individual must become a part of that team and must sacrifice some personal recognition and other parts of self to the interest of the larger group. Individual stardom should never be the behavior that is rewarded within these kinds of activities. Heavy reliance on group and team functioning should guide the task force.

Both of these areas (wellness and physical fitness) have been the subject of extensive research in the last several years. In order to develop a program with maximum potential for lifestyle change we need to consider the inclusion of some physical education and wellness experts from outside the department in that process. Washburn University could provide opportunities to locate specific people through the Department of Health, Physical Education and Dance at Washburn. Consultants such as these could be used to provide information about the latest research as well as consultation on program design and perhaps some training of staff. One other possible contribution consultants could make would be

to assist with some research design which would provide for us some feed back about the programmatic impact of this area.

Staff Required: None

Consultants: Consultant in wellness and physical education

Cost: Cost of consultant - Grant Funds

D. Stress Management Training and Bio Feedback

The concept of stress management should be taught to the youth in this program and there should be expectation that stress management techniques should be used to assist youth in managing their own lives on a day to day basis. Many of these techniques can be learned through bio feedback training. Bio feedback provides an experiential way to teach people how to manage behaviors that have been out of their control for some time.

This too is an area of considerable recent research and recent understandings. This is an area where it would be very helpful to seek outside consultation either from the Universities or perhaps through the Menniger Foundation's Stress Management and Bio Feedback project. Here again, we would be concerned with program design, staff training and with development of monitoring procedures to determine the effectiveness of the activity.

Staff Required: None

Consultants: Consultant in Bio Feedback - Grant Funds

E. Training and Decision Making Skills and Problem Solving Activities

Within this program there should be a prescribed method of decision making. The technique should be, first of all, trained to both the staff and the students with the Youth Services staff assuming primary responsibility for training students in the decision making methodology. For example, when a youth who is experiencing some kind of difficulty or working to solve a problem, staff should approach that situation on the basis that the difficulty to be resolved requires a good decision and then assist the student in walking through that decision process. As students become more efficient in using the process and in describing the process staff can shift to a role of assisting the youth in reviewing what activities they have gone through to arrive at the decision they have made. This should be applied to all behaviors and choices. Decision making then becomes a primary method of helping youth develop their abilities to solve problems. The method used should also be used by staff in decision making. The technique used should be sound and efficient enough to enable staff to model it on a day to day basis.

Consultants: None

Cost: None

F. Psychological Services

Psychological services should be focused in the area of the assessment of psychological skills, psychological deficits; and of assisting in the development of plans with students so that they can assume responsibility for change in those deficits. Other responsibilities of psychology would

be to provide considerable training in the area of impulse control, behavior control, development of coping mechanisms and of assisting youth in building defense mechanisms that function for them in a productive way. The psychologist assigned should spend at least 80% of his/her time in direct contact with youth. Psychology services would function as both educational related services as defined in the IEP and as treatment services as defined in the Individual Treatment Plan.

Staff Required: 1 Psychologist II
 Consultants: None
 Cost: None - Assigned from existing youth center staff

G. Social Worker Services

Social work services should be directed at assessing social skill competencies and deficits and participating in the planning effort so that the students can begin to develop social skills required for productive citizenship. Social workers should also be involved directly in the training of social skills. The development of these training activities should be based on the activities currently going on at the Youth Center at Beloit around the psycho-ed groups and with the activities at the Youth Center at Atchison which have been developed as part of their Career Ed Program directed towards social skills training. The Social Worker assigned would spend at least 80% of his/her time in direct contact with youth. Social work services would function as both educational related services as defined in the IEP and as treatment services as defined in the individual treatment plan.

Staff Required: 1 Social Worker II
 Consultants: None
 Cost: None - Assigned from existing youth center staff

H. Medical Programs

Medical programs should emphasize an early comprehensive assessment of the health, wellness and physical fitness of the youth with particular emphasis on overall wellness, health education, and assisting youth involve themselves in those kind of activities. Medical program will also be concerned with psychiatric status of the youth monitoring any medications. The use of psycho active medication must be discouraged except in extreme situations.

Staff Required: 1 Psychiatrist 250hr/yr @ \$10/hr.
 Consultants: None
 Cost: \$25,000 State General Fund

I. Outdoor Wilderness Experiences

This program needs to have a challenging outdoor experience based in part on the concepts of the Wilderness Experience Programs such as Outward Bound. We should also take a look at Boy Scouts of America Cope Program and other wilderness experience programs and confidence course types of programs. These kinds of experiences should be primarily viewed as experiential opportunities to give something of yourself in the short term for long term gains that involve some experiential risk taking. It should be very clearly tied also to the decision making concepts and to

those activities in day to day program activities around impulse control, behavior control, coping with the kinds of things you experience, defense mechanism functioning, and to social skills. This is another area where we should probably develop a source of consultation as we work on this piece of program.

We should also consider building confidence course areas of our own or contracting with organizations in the local area that may have those in place already. Also needed are tents and outdoor cooking equipment.

The Wilderness Experience can be done both inside the secure environment as well as in more rigorous environments. Programs within the secure environment include some camping and cooking experiences that are carried out in the outdoor or area behind the cottage where the youth would actually camp in the outdoors and do their own meal preparation over an open fire.

Staff Required: None

Consultants: Consultation in wilderness programs

Supplies: Tenting and cooking equipment

Cost: \$300 - \$500

J. Behavioral Modeling, Simulation and Mentorship

Youth Services workers need to be heavily involved in the delivery of experiences and training with the youth in this program. One of their major roles has to be to assure that all times they are modeling good decision making practices and to model non-violent approaches to problem solving. This means, heavy supervision of the use of the prescribed behavior management technics whether that be Camelot, Mandt or some other procedure that we approve.

Each youth should have a Youth Service worker as a primary mentor. This relationship should be a demanding one of staff making demands for personal responsibility and continuing progress.

Staff Required: 1 YSW V 2 YSW IV 8 YSW III 6 YSW II

Consultants Required: None

Cost: \$22,000 including initial training

K. Activity Program

The activity program needs to focus on wellness, physical fitness and the development of skills needed to access leisure time, recreational wellness and physical activity kinds of facilities in local community. These programs should operate during the normal periods of the day that people access recreation. We should seriously consider an activity program early in the morning which would involve some strenuous physical activity of an aerobic nature during the period of time between awaking and breakfast. Other alternate times might include having a lunch period long enough to have some physical activity that might be appropriate at or near a work sight and of course the evenings and the weekends. Week-ends should be heavily programmed. The activity program should also take some responsibility around the area of independent living skills and teaching issues of money management, apartment selection, car purchasing,

use of public transportation. The Activities Department should also primarily responsible for the management of the outdoor experience portion of the program.

Staff Required: 2 ATI
 Consultants: None
 Cost: \$38,400

L. Career Education

Career Education concept should be infused throughout the program as well. The basic concepts of that program are described in a concept paper on Career Education. Existing program may be adapted to this population.

Staff Required: None
 Consultants: None
 Cost: None

M. Release

Release from the program should be directly into the community. All release and aftercare planning should consider the fact that as a youth progresses through the program as envisioned they would have developed a considerable sense of self worth, considerable faith in their ability to succeed, and a sense of their own independence. It will be important that all plans recognize the level of independence and self-confidence that's been developed which would pretty much require that all youth go into an independent living kind of situation at release. All settings which are dependency creating including group homes, foster homes, family homes should be viewed skeptically for any kind of aftercare planning. Consideration should be given to youth center staff providing aftercare directly or in coordination with Area Offices.

All youth should leave on a conditional release status with a high probability of discharge within 6 to 12 months.

IV. Sequencing and Scheduling

A. Sequencing

The purpose of sequencing program is to assure that prerequisite skills are mastered before going on to new tasks. This should also provide for the development, practice and maintenance of skills. Lastly, a sequenced program provides a time limited program and predictability.

This plan calls for a 50 week program broken down into 4 main phases:

Phase 1	Preparatory	10 Weeks
Phase 2	Individual Skill Development	10 Weeks
Phase 3	Skill Practice & Maintenance	20 Weeks
Phase 4	Skill Maintenance & Conditional Release Planning	10 Weeks

Preparatory Phase I 10 Weeks

Sample tasks for Phase I would include skill building in the following areas:

- Physical Conditioning
- Social Responsibility
- Personal Care
- Defense Mechanism Training
- Team Building
- Decision Making/Problem Solving
- Group Skills
- Goal Setting
- Basic Reading with Understanding

Individual Skill Development Phase II 10 Weeks

Sample tasks for Phase II would include plan establishment, skill development and beginning skill practice:

- Individual goal setting
- Individual plan established
- Expand physical conditioning to old health education
- Begin to assume wellness lifestyle
- Practice decision making/problem solving through experimental activities and daily living.
- Practice social responsibility in daily living and experiential activities.

Skill Practice and Maintenance Phase III 20 Weeks

- Assume leadership responsibility within program (tutoring, leading discussion groups, student council, grievance committee, student court, etc.)
- Continuation of defense mechanism and social skills training
- Continuation of problem solving/decision making
- Review and recommend goals and goal plan
- Complete pre-employment skills training
- High adventure experience

Skill Maintenance and Conditional Release Planning Phase IV 10 Weeks

- Assume full responsibility for daily behavior
- Initiate and complete conditional release plan
- Seek assistance from conditional release supervisor
- Review any marginal skill training areas
- Leave on conditional release 6 - 12 months

B. Scheduling

The program schedule should cover the period from wake-up to lights out. The week day schedule should run from wake-up about 6:00 a.m. to lights out at about 10:00 p.m. Weekend schedules could permit a later rising time and bed time. A typical week schedule would look like: (See page 12)

	Monday through Friday	Saturday	Sun.
6:00 a.m.	Wake-up/exercise and clean-up		
7:00	Breakfast	Wake-up/Exercise & Clean-up	Wake-up/Exercise & Clean-up
8:00	School and Related Services or Work Assignments	Breakfast	Breakfast
9:00			
10:00		Skill Building	Free Time and Religious Activities
11:00			
12:00 Noon	Lunch Period	Lunch Period	Lunch Period
1:00 p.m.			
2:00	Break	Activity Period	Skill Building
3:00			
4:00	Skill Building		
5:00			
6:00	Evening Meal	Evening Meal	Evening Meal
7:00			
8:00	Activity Period	Free Time	Activity Period
9:00			
10:00	News and Preparation for Bed		
11:00			
12:00 Midnight			
1:00 a.m.			
2:00			
3:00			
4:00			
5:00			

3

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6-10-35

Youth Center At Topeka
FY 87 "C" Level Request

Special Offender's Unit -- Personnel
Costs (revised fringes)

<u>Positions</u>	<u>Base Pay</u>	<u>Fringe Benefits includes 4.3% KPERS</u>	<u>Total</u>
2.0 Activity Therapists I	(\$18,252) x 2 = \$ 36,504	\$ 6,266	\$ 42,770
1.0 Youth Service Worker II	\$ 15,024	\$ 2,736	\$ 17,760
5.0 Youth Service Workers III	(\$16,572) x 5 = \$ 82,860	\$ 14,632	\$ 97,492
1.0 Youth Service Worker IV	\$ 18,252	\$ 3,132	\$ 21,384
	<u>\$ 152,640</u>	<u>\$ 26,766</u>	<u>\$ 179,406</u>

Other Costs

Psychiatric Consultant	\$ 25,000
Training Costs	\$ 5,000
TOTAL	\$ 209,406

SR/jar

Kansas Public Employees Retirement System

MARSHALL CROWTHER, Executive Secretary

April 17, 1986

Senator August "Gus" Bogina
Statehouse, Room 123-S
Topeka, Kansas 66612

Dear Gus:

As you are aware, the retirement system had requested two new positions in its FY 1987 budget request. The reason for this is that over the past ten years the retirement system has experienced phenomenal growth. As an example, total assets have increased by over 397% and retirants have increased by over 47%. In addition, legislation has been enacted which has impacted virtually all sections in the retirement system. A primary example would be the passage of the lump sum death benefit for retirants. This alone has generated additional paperwork of approximately 1,500 vouchers annually, which are processed through both the benefit and accounting sections.

Additionally, statistics show that transaction activity increased significantly in FY 1985. Cash receipt transactions were up 44% from FY 1984 and cash disbursements increased by 17%. Now that the agency has authority to purchase its own computer, one of the initial applications is anticipated to be a computerized general ledger. The two positions that were requested were a Clerk III to be assigned to the benefit section and an Account Clerk II in the accounting section.

After much soul-searching, it is our sincere hope that the development of our new computer system will alleviate some of the problems currently being encountered in the benefit section. I would, therefore, suggest that from a priority standpoint of the two positions being requested, the one in the accounting section would be most desirable. Responsibilities for this position would include the pre-audit of vouchers, assigning computerized general ledger codes to transactions, reconciliation of monthly activity between CASK records and KPERS records, and the update of withdrawals via the CRT for warrant payment information.

The estimated salary plus fringes for this position would amount to \$16,321.

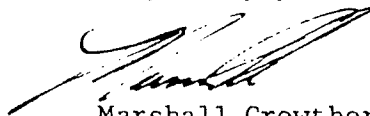
While it is hoped the development of our new computer system will alleviate some of the problems in the benefit section, we are confronted with an initial problem of benefit estimates. As you are aware, 1985 legislation made permanent the 13th check for all who retire on or before July 1, 1987. Additionally, this legislation provided for a four year final average salary which was initially to take affect for all who retire after July 1, 1988, as well as a crediting of 1.4% for all participating service for those with ten or more years of participating service again to take affect for all who retire on or after July 1, 1988. Because of the choices to be made by

F4-2286

individuals contemplating retirement, our benefit estimate activity has increased substantially. We would, therefore, request an amount of \$25,536 for the addition of two temporary Clerk III's in FY 1987.

Your consideration of the above request would be greatly appreciated and if you have any questions, please let me know.

Very, truly yours,



Marshall Crowther
Executive Secretary

MC:JLH:djp

cc: Representative Bunten

Adjutant General

A. Capital Improvements. The Conference Committee on H.B. 2799 deferred until the Omnibus appropriation bill a recommendation of the capital improvement requests of the Adjutant General pending a final recommendation of the Joint Committee on State Building Construction. The tentative recommendation of the Building Committee is to add \$91,017 in FY 1986 for architectural planning fees for six armories in addition to the \$249,335 approved during the 1985 Legislative Session for armory repairs. For FY 1987, the Building Committee tentatively recommends \$260,893 for capital improvements including \$244,253 for armory roof and structural repairs and \$16,640 for architectural planning fees. All but \$10,000 of the amount for FY 1987 would be from the State General Fund.

Kansas Highway Patrol

B. Capital Improvements. The Conference Committee on H.B. 2758 deferred until the Omnibus appropriation bill a recommendation on the capital improvements requests of the Highway Patrol pending a final recommendation of the Joint Committee on State Building Construction. The tentative recommendation of the Building Committee for FY 1987 is to provide \$57,000 from the State General Fund to construct three fuel storage tanks at Division Headquarters in Hays, Garden City, and Chanute.

Kansas State Industrial Reformatory

A. Joint Committee on State Building Construction -- Utility Lines. The Joint Committee on April 12, 1986 made a tentative recommendation of \$50,000 from the State General Fund in FY 1987 for a capital improvement project at the Kansas State Industrial Reformatory. The project includes the replacement and installation of nine fire hydrants, 3,300 linear feet of waterlines, and 530 linear feet of gas lines.

Kansas State Penitentiary

B. Joint Committee on State Building Construction -- Moveable Equipment. The Joint Committee on April 12, 1986 made a tentative recommendation of \$264,000 from the State General Fund in FY 1987 for a capital improvement project at KSP. The project includes the acquisition of moveable equipment for the Administration/Support Services Building, presently under construction, and for the maximum custody education program.

Kansas Correctional Institution at Lansing

B. Joint Committee on State Building Construction -- 120-Bed Housing Unit. The Joint Committee on State Building Construction has made a tentative recommendation of \$3,107,022 (\$2,156,301 in FY 1987 and \$950,721 in FY 1988) all from the State General Fund, to construct a 120-bed housing unit at the institution. The State Finance Council on June 27, 1985, released \$160,752 to plan the requested housing unit. The housing unit would contain 96 minimum

custody cells/rooms for females and 24 minimum custody cells/rooms for males. The housing unit would have a total gross square footage of 22,560 and per square foot construction cost of \$135.55. The housing unit is one component of a master plan which calls for major demolition of most of the existing structures and construction of replacement buildings which total in cost in excess of \$16 million. However, no major structures would need to be demolished for construction to begin on the housing unit.

C. Joint Committee on State Building Construction -- Food Service/Support Programs Building. The Joint Committee on State Building Construction has made a tentative recommendation of \$2,332,671 (\$1,926,512 in FY 1987 and \$406,159 in FY 1988) all from the State General Fund, to construct a new food service/support programs building at the institution. The State Finance Council on December 6, 1985, released \$125,657 to plan the requested facility. The structure would include 20,940 square feet and contain space for food preparation, dining, educational services, and vocational training. The estimated cost per square foot for the facility is \$110.35. The food service/support programs building is one component of a master plan which calls for major demolition of most of the existing structures and construction of replacement buildings which total in cost in excess of \$16 million. However, no major structures would need to be demolished for construction to begin on the food service/support programs building.

Department of Corrections

15,000
A. Joint Committee on State Building Construction -- Pole Barn. The Joint Committee on State Building Construction on April 12, 1986 made a tentative recommendation of \$26,000 from the Correctional Industries Farm Operation Fund in FY 1987 to construct a pole barn at KSP. The project would entail a pole hay barn of 5,760 square feet which will provide storage for 5,000 bales of hay for the Correctional Industries farm program.

B. Joint Committee on State Building Construction -- Major Maintenance Fund. The Joint Committee on State Building Construction on April 12, 1986 made a tentative recommendation of \$300,000 from the State General Fund in FY 1987 for a major repairs, special maintenance, and remodeling of correctional institutions fund. The funds, although appropriated to the Department of Corrections, can be transferred by the Secretary of Corrections to any state correctional institution for maintenance, repairs, or remodeling of the physical plant. The 1984 Legislature and the 1985 Legislature appropriated \$300,000 for this purpose.

C. Joint Committee on State Building Construction -- Ellsworth Correctional Center. The Joint Committee on State Building Construction has made a tentative recommendation of \$1,500,000 from the State General Fund in FY 1987 to begin lease/purchase payments on a new correctional center to be located in Ellsworth, Kansas. The facility would be constructed by the Ellsworth Public Building Commission and leased to the state for a period of 15 years at which time the state would obtain ownership of the facility. The total estimated cost to plan and construct the facility is \$12,545,587. The total estimated lease payments over a 15-year period, as of March 12, 1986, would be \$21,713,194. The State Finance Council has previously released \$465,675 for planning the correctional center. The proposed facility would

contain 150 medium custody beds and 40 minimum custody beds for a total capacity of 190 inmates. The facility is being designed in such a manner that another 150 medium custody beds and 40 minimum custody beds could be added later, for a total potential capacity of 380 beds. The medium security housing would be contained in a 33,776 gross square foot building and contain individual cells or rooms grouped in "pods" of 25. A separate 40-bed dormitory of 4,290 gross square feet would contain two 20-bed sleeping areas. The complex, in addition to the medium custody housing and dormitory unit, would contain five other buildings. The other structures include an administration building, a support services building, a warehouse, a garage/motor pool, and a maintenance/vocational training building. The entire complex would contain 99,533 square feet and cost \$118.51 per square foot. The cost per inmate bed is \$62,084 if the state constructs the facility or \$114,280 per inmate bed if the state lease/purchases the facility.

Kansas State Historical Society

C. Building Committee. For FY 1987 capital improvements, the Building Committee has tentatively recommended \$110,000 from the State General Fund. Of this total, \$20,000 is for Historic Properties Maintenance and Repairs and \$90,000 is for a Historic Properties Preservation, Restoration, and Development Account for stabilization and renovation of the Funston Home. Neither the House or Senate Committee made any recommendation concerning capital improvements funding pending action by the Joint Committee on State Building Construction.

State Board of Agriculture

H. Capital Improvements. The Joint Committee on State Building Construction has approved \$9,887 from the State General Fund to finance construction of a new solvent storage facility at the agency's agricultural laboratory. The Governor's FY 1987 investment budget had included \$10,151 for the project, although no capital improvements were financed by the Governor's basic budget recommendations. Because the Building Committee's recommendation was made following completion of subcommittee action on the agency's FY 1987 appropriations, neither Ways and Means Committee has had the opportunity to consider this item.

The project would involve construction of a 10 by 20 foot stock-size metal building, including ductwork, insulation, thermostat, and electrical circuitry to accommodate the possible future installation of air conditioning equipment. The laboratory presently stores its chemical solvents in a similar facility that is physically separated from the laboratory, but is connected by a concrete walkway and overhang. Agency officials have expressed concern about the close physical proximity of the existing solvent storage area to the main laboratory facility. The request would construct a new building across the parking lot to the north of the laboratory.

COMMUNITY PROGRAMS

PROPOSAL BASE #576

The drug and alcohol treatment programs in our Kansas communities are divided into the following five (5) categories:

- (1) Intermediate treatment (residential)
- (2) Outpatient treatment
- (3) Intensive outpatient day treatment
- (4) Reintegration (halfway house)
- (5) Social detox

The State Department of Social and Rehabilitation Services feels confident that they can provide quality drug and alcohol treatment programs for inmates reentering society through SRS/ADAS. Although the type of treatment needed upon release can not be predicted at this time, the minimal number of exiting inmates needing these services during FY '87 can be estimated at 576*.

SRS/ADAS reported they can serve 1,000 clients per year at a cost of \$1,578,500 for all modalities. This is an average cost of \$15,785.00 per inmate. At this cost per inmate, the community programs could serve 576* at a cost of \$909,216. *FY87*

See Attachment A

*This figure is an estimate based on actual experience during FY 1985. The estimate assures that 24% of all eligible inmates (approximately 200 per month) will be delayed due to drug and alcohol treatment needs. Information provided by Policy and Planning Unit 4-16-86.

Community Programs

Intermediate Treatment (Residential)

40 Beds
30 Day Treatment Period
Cost = ● \$60 per
patient day

Outpatient

90 Day Treatment Period
Cost = ● \$50.00
per session

Intensive Outpatient/Day Treatment

6 week Treatment Period
Cost = ● \$40 per
patient day

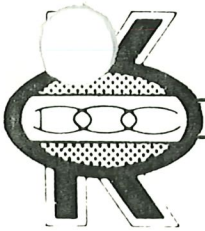
Reintegration (Halfway House)

50 Beds
90 Day Treatment Period
Cost = ● \$20.00
per patient day

Social Detox

4 Beds
Cost = ● \$50 per
patient day

Total Number of Clients	576	Clients served per year
Total Cost of all Modalities	\$909,216	



KANSAS DEPARTMENT OF CORRECTIONS

JOHN CARLIN — GOVERNOR

RICHARD A. MILLS — SECRETARY

JAYHAWK TOWERS • 700 JACKSON • TOPEKA, KANSAS • 66603-3798
913-296-3317

April 17, 1986

Senator Gus Bogina, Jr.
Chairman, Senate Ways & Means Committee

Representative William Bunten
Chairman, House Ways & Means Committee
Statehouse
Topeka, Kansas 66612

RE: Restoration of Certain Funds FY 1987 - as per Governor's
Recommendation

Dear Senator Bogina and Representative Bunten:

After a very careful review of the recommendation that resulted from the conference committee report on H.B. 2799 the Department of Corrections very strongly feels that additional consideration must be given for the restoration of certain funds in addressing the issue of salary turnover increases and reductions of adult and college level programs for F.Y. 1987, the restoration of a clerical position at KCVTC and adding the psychologist I position for seven months for the 32 bed expansion at S.R.D.C..

For F.Y. 1987 we are requesting that funds be restored as per Governor's budget recommendation for F.Y. 1987 as follows:

OK 1) Excess Turnover - Salaries and Wages

For Fiscal Year 1987, the department is requesting the restoration of funds totaling \$208,351 which represents the additional turnover funds taken during the conference committee review of H.B. 2799 which effects the correctional system of Kansas.

The Department of Corrections feels that the additional turnover for all non security positions and programs would only create an additional burden on these agencies for salaries and wages and would force the correctional program and facilities to keep several key positions vacant, if it would materialize, in order to comply and stay within the appropriated funds. This would have a tremendous impact upon our correctional facilities and programs in carrying out the responsibilities in maintaining and operating these facilities based upon our current inmate population and the growth increase that is anticipated for F.Y. 1987.

A review of the turnover for the programs effected for prior years shows only a minimal turnover. It appears in most cases a supplemental funding need for FY 1987 would have to be submitted with the budget request for FY 1988. The Department feels it is imperative that if and when, these key positions become vacant that the replacements be hired quickly so very little time is lost. Keeping these positions filled is very important in carrying out the duties and responsibilities within the correctional system.

Department of Corrections - agency 521	\$28,430
Kansas State Penitentiary - agency 525	78,905
Kansas State Industrial Reformatory - agency 313	75,871
Kansas Correctional Institution-Lansing- agency 307	13,863
Kansas Correctional Vocational Training Center-agency 145	16,250
State Reception and Diagnostic Center- agency 551	(4,968)
 Total Funds Restored - Salaries & Wages	 \$208,351

2) Restoration of Funds for Contract College Education Programs

We are requesting that funds be restored as per Governor's Budget Recommendation for F.Y. 1987 for the continuation in maintaining the current level of programs for college level courses for F.Y. 1987.

Amounts to be Restored

Kansas State Penitentiary - agency 525	\$ 4,065
Kansas State Industrial Reformatory - agency 313	7,885
Kansas Correctional Institution at Lansing - agency 307	3,997
 Total Funds Restored	 \$15,947

3) Restoration of Funds for Adult Education, including GED and special programs.

We are requesting that funds be restored as per Governor's Budget recommendation for F.Y. 1987 addressing those individuals whom are very much in need of these types of programs, which hopefully will provide these individuals the opportunity to better themselves and hopefully will not return to us in the future.

Amount to be Restored

Kansas State Industrial Reformatory - agency 313	\$23,767
--	----------

The restoration of the above funds as requested will allow the correctional system to continue to provide the same level of programs for F.Y. 1987 that is provided for F.Y. 1986. This would also allow for some small increases for staff and operating costs (by contract) in which we can avoid a cut back of program levels in F.Y. 1987 from F.Y. 1986 at those correctional institutions addressed above.

4) Restoration of one half year of Secretary I at KCVTC

The Department requests the \$7,897 and one FTE be restored to KCVTC for the six month period it was removed. The Governors recommendation includes this position for the full year. Because of the small size of the clerical support staff to Administration (4) of KCVTC, this position must serve multiple functions. These duties include the following:

- 1) Confidential secretarial duties for the Deputy Director
- 2) Parole eligibility plans, recommendation and reports for the Psychologist, two Correction Counselor II's, two Social Workers, one Correction Counselor, the Athletic Director and the Librarian.
- 3) Additional secretarial duties are provided for the clinical chaplain and the mail clerk.
- 4) Other duties include gathering documents for accreditation and related typing, preparing I.D.'s for new employees and preparing inmate visiting forms.

The duties of this position were drastically increased in 1982 when a typist position was deleted. We believe the need for this position is strongly warranted and request that \$7,897 be added to KCVTC for FY 1987 to maintain this existing position for the full year.

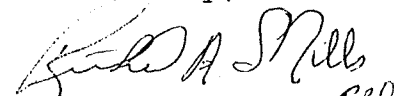
5) Restore one Psychologist I position for seven months at S.R.D.C.

The FY 1987 Governors budget amendment includes the staffing and operation of the 32 bed expansion at S.R.D.C. The staffing includes only one security post and one psychologist I for seven months. The conference committee removed the psychologist position which we request to be restored at a cost of \$12,000 for the seven months.

This position will provide the psychological testing, clinical interviews and written psychological evaluations for the 32 additional inmates being evaluated as a result of the increased bedspace created by the renovation. An additional component to the evaluation process to be performed by the psychologists will be the substance abuse assessment. With the expansion and this position the caseload for each psychologist would still increase to approximately seven evaluations per week and include participation in the staffing for each case. The current caseload is six per week. However, some scoring responsibilities are being delegated to the clerical services section. We feel that all psychologists can handle an additional case per week.

We respectfully request that these items be funded in the Omnibus appropriation bill in the amounts set above.

Yours Truly,



RICHARD A. MILLS
Secretary of Corrections

RLD/RAM/aka

cc: Senate Ways & Means Members
House Ways & Means Members
Director, Division of the Budget
Governor's Office
Legislative Research